

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

AESTHETIC MANAGEMENT PARTNERS, LLC and
AESTHETIC MANAGEMENT PARTNERS, INC.,
Petitioner,

v.

HYDRAFACIAL LLC,
Patent Owner.

IPR2025-01169 (Patent 9,550,052 B2)
IPR2025-01217 (Patent 11,446,477 B2)¹

Before KRISTINA M. KALAN, ERIC C. JESCHKE, and
CYNTHIA M. HARDMAN, *Administrative Patent Judges*.

JESCHKE, *Administrative Patent Judge*.

SCHEDULING ORDER

¹ The combined caption is for administrative convenience only and does not indicate that these proceedings have been joined. The parties are not authorized to use this caption without express permission of the Board.

A. GENERAL INSTRUCTIONS

1. *Initial and Additional Conference Calls*

The parties are directed to contact the Board within a month of this Order if there is a need to discuss proposed changes to this Scheduling Order or proposed motions that have not been authorized in this Order or other prior Order or Notice. *See* Trial Practice Guide (“TPG”)² §§ I.A.2, II.E. A request for an initial conference call shall include a list of proposed motions, if any, to be discussed during the call.

The parties may request additional conference calls as needed. Any email requesting a conference call with the Board should (a) copy all parties, (b) indicate generally the relief being requested or the subject matter of the conference call, (c) include multiple times when all parties are available, (d) state whether the opposing party opposes any relief requested, and (e) if opposed, either certify that the parties have met and conferred telephonically or in person to attempt to reach agreement, or explain why such meet and confer did not occur. The email may not include attachments (unless authorized), but it may contain, for each party, **one or two sentences** of substantive argument in support of or opposing the requested relief. TPG § I.A.2.

2. *Related Matters Updates*

The parties must keep the Board apprised of developments regarding adjudication of validity or patentability of the challenged patent claims, or substantially similar patent claims, such as claim construction, findings of fact, or conclusions of law, in “any other judicial or administrative matter

² Available at www.uspto.gov/patents/ptab/trial-practice-guide.

that would affect, or be affected by, a decision in the proceeding” and is identified as a related matter under 37 C.F.R. § 42.8(b)(2). The parties must alert the Board to any such developments within five business days, by sending an email to Trials@uspto.gov, and file in the docket of this proceeding relevant materials from the related matter(s).

3. *Protective Order*

No protective order shall apply to these proceedings until the Board enters one. If either party files a motion to seal before entry of a protective order, a jointly proposed protective order shall be filed as an exhibit with the motion. It is the responsibility of the party whose confidential information is at issue, not necessarily the proffering party, to file the motion to seal.³ The Board encourages the parties to adopt the Board’s default protective order if they conclude that a protective order is necessary. *See* TPG, Appendix B (Protective Order Guidelines and Default Protective Order). If the parties choose to propose a protective order deviating from the default protective order, they must submit the proposed protective order jointly, along with a marked-up comparison of the proposed and default protective orders showing the differences between the two and explain why good cause exists to deviate from the default protective order.

The Board has a strong interest in the public availability of trial proceedings. Redactions to documents filed in these proceedings should be limited to the minimum amount necessary to protect confidential information, and the thrust of the underlying argument or evidence must be

³ If the entity whose confidential information is at issue is not a party to these proceedings, please contact the Board.

clearly discernible from the redacted versions. We also advise the parties that information subject to a protective order may become public if identified in final written decisions in these proceedings, and that a motion to expunge the information will not necessarily prevail over the public interest in maintaining a complete and understandable file history. *See* TPG § I.E.6.

4. *Discovery Disputes*

The Board encourages parties to resolve disputes relating to discovery on their own. To the extent that a dispute arises between the parties relating to discovery, the parties must meet and confer to resolve such a dispute before contacting the Board. If attempts to resolve the dispute fail, a party may request a conference call with the Board.

5. *Testimony*

The parties are reminded that the Testimony Guidelines appended to the Consolidated Trial Practice Guide, Appendix D (Testimony Guidelines) apply to these proceedings. The Board may impose an appropriate sanction for failure to adhere to the Testimony Guidelines. 37 C.F.R. § 42.12. For example, reasonable expenses and attorneys' fees incurred by any party may be levied on a person who impedes, delays, or frustrates the fair examination of a witness.

6. *Cross-Examination*

Except as the parties might otherwise agree, for each due date: (1) cross-examination ordinarily takes place after any supplemental evidence is due, and (2) cross-examination ordinarily ends no later than a week before the filing date for any paper in which the cross-examination testimony is expected to be used. 37 C.F.R. § 42.53(d)(2).

7. *Motion to Amend*

Patent Owner may file a motion to amend without prior authorization from the Board. Nevertheless, Patent Owner must confer with the Board before filing such a motion. 37 C.F.R. § 42.121(a). To satisfy this requirement, Patent Owner should request a conference call with the Board no later than two weeks prior to DUE DATE 1. *See* Section B below (providing “DUE DATES”).

Any motion to amend and briefing related to such a motion shall comply with the rules pertaining to motions to amend (37 C.F.R. § 42.121) and the practices and procedures described in *Lectrosonics, Inc. v. Zaxcom, Inc.*, IPR2018-01129, Paper 15 (PTAB Feb. 25, 2019) (precedential).

Patent Owner has the option to receive preliminary guidance from the Board on its motion to amend. *See* 37 C.F.R. § 42.121(e). If Patent Owner elects to request preliminary guidance from the Board on its motion, it must do so in its motion to amend filed on DUE DATE 1. *Id.* at § 42.121(a)(1)(ii).

At DUE DATE 3, Patent Owner may file a reply to the opposition to the motion to amend and/or the preliminary guidance. 37 C.F.R. § 42.121(e)(3). In lieu of a reply, Patent Owner has the option to file a revised motion to amend that addresses the issues raised in the preliminary guidance or in petitioner’s opposition to the motion to amend. *Id.* at § 42.121(f)(1)–(2). Patent Owner may elect to file a revised motion to amend even if Patent Owner did not request to receive preliminary guidance on its motion to amend. A revised motion to amend must include one or more new proposed substitute claims in place of the previously presented

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substitute claims, where each new proposed substitute claim presents a new claim amendment. *Id.*

If Patent Owner files a revised motion to amend, the Board may determine whether to request the Chief Administrative Patent Judge to extend the final written decision deadline more than one year from the date a trial is instituted in accordance with § 42.100(c) and whether to extend any remaining deadlines under § 42.5(c)(2). 37 C.F.R. § 42.121(f)(1). Typically, the Board will enter a revised scheduling order setting the briefing schedule for that revised motion and adjusting other due dates as needed.

At DUE DATE 5, Petitioner may file a sur-reply that is limited to responding to the preliminary guidance and/or arguments made in the patent owner's reply brief. *Id.* at § 42.121(e)(3). The sur-reply may not be accompanied by new evidence, but may comment on any new evidence filed with the reply and/or point to cross-examination testimony of a reply witness, if relevant to the arguments made in the reply brief. *Id.*

If the Board issues preliminary guidance on the motion to amend, and Patent Owner files neither a reply to the opposition to the motion to amend nor a revised motion to amend at DUE DATE 3, Petitioner may file a reply to the Board's preliminary guidance, no later than three weeks after DUE DATE 3 or at any other DUE DATE that the Board specifies in a revised scheduling order. The reply may only respond to the preliminary guidance and may not be accompanied by new evidence. 37 C.F.R. § 42.121(e)(4). Patent Owner may file a sur-reply in response to Petitioner's reply to the Board's preliminary guidance. *Id.* The sur-reply may only respond to petitioner's reply and may not be accompanied by new evidence. *Id.* The

sur-reply must be filed no later than three weeks after Petitioner's reply or at any other DUE DATE that the Board specifies in a revised scheduling order.

In the event the Board requests examination assistance pursuant to 37 C.F.R. § 42.121(d)(3)(ii), the parties will be notified of the request and may adjust the scheduling order as needed.

8. *Pre-hearing Conference*

The Board will hold a pre-hearing conference call with both parties. The purpose of the pre-hearing conference is for the Board panel to provide guidance as to particular issues that the panel would like the parties to address at the oral hearing, for example, claim construction issues, reason to combine prior art teachings, or objective indicia of nonobviousness. The pre-hearing conference also provides the parties the opportunity to identify (but not argue) any issues the parties would like to address at the oral hearing. The parties may also discuss with the Board any pending motions, request an early decision on the admissibility of a limited number of exhibits subject to a motion to exclude, and discuss any unresolved issues with demonstrative exhibits.

9. *Oral Hearing*

Requests for an oral hearing must comply with 37 C.F.R. § 42.70(a). To permit the Board sufficient time to schedule the oral hearing, the parties may not stipulate to an extension of the request for oral hearing beyond the date provided in the Due Date Appendix.

All oral hearings will be held in person, and both parties are expected to be physically present, absent a showing of good cause. Good cause will generally be limited to circumstances such as financial hardship, medical emergencies, or other comparable obstacles to in-person attendance.

Approval for virtual appearance is determined on a party-by-party basis. An approved request for one party does not constitute good cause for others; each party must independently demonstrate good cause.

The parties may request that the oral hearing be held at the USPTO headquarters in Alexandria, Virginia. The parties should state in the request for oral hearing, DUE DATE 4, whether good cause exists for a party to appear virtually.

Note that the Board may not be able to honor the parties' preferences due to, among other things, the availability of hearing room resources, the needs of the panel, and USPTO policy at the time of the hearing. The Board will consider the parties' request and notify the parties of how and where the hearing will be conducted.

For in-person hearings, seating in the Board's hearing rooms may be limited, and will be available on a first-come, first-served basis. If either party anticipates that more than five individuals will attend the argument on its behalf, the party should notify the Board as soon as possible, and no later than the request for oral hearing. Parties should note that the earlier a request for accommodation is made, the more likely the Board will be able to accommodate additional individuals.

Parties are encouraged to participate in the Board's Legal Experience and Advancement Program (LEAP).⁴ Either party may request that a qualifying LEAP practitioner participate in the program and conduct at least a portion of the party's oral argument. The Board will grant up to

⁴ Information about the LEAP program and the combined LEAP Practitioner Request for Oral Hearing Participation and Verification Form can be found at www.uspto.gov/leap.

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15 minutes of additional argument time to that party. A party should submit the LEAP Practitioner Verification Form and Request for Hearing Participation at least five business days before the oral hearing, by email to the Board at PTABHearings@uspto.gov.

B. DUE DATES

This Order sets due dates for the parties to take action after institution of these proceedings. The parties may stipulate different dates for DUE DATES 1, 5, and 6, as well as the portion of DUE DATE 2 related to Petitioner's reply (earlier or later, but no later than DUE DATE 3 for Patent Owner's sur-reply) and the portion of DUE DATE 3 related to Patent Owner's sur-reply (earlier or later, but no later than DUE DATE 7). The parties may not stipulate to a different date for the portion of DUE DATE 2 related to Petitioner's opposition to a motion to amend, or for the portion of DUE DATE 3 related to Patent Owner's reply to an opposition to a motion to amend (or Patent Owner's revised motion to amend) without prior authorization from the Board. In stipulating to move any due dates in the scheduling order, the parties must be cognizant that the Board requires four weeks after the filing of an opposition to the motion to amend (or the due date for the opposition, if none is filed) for the Board to issue its preliminary guidance, if requested by Patent Owner. A notice of the stipulation, specifically identifying the changed due dates, must be promptly filed. The parties may not stipulate an extension of DUE DATES 4, 7, and 8.

In stipulating different dates, the parties should consider the effect of the stipulation on times to object to evidence (37 C.F.R. § 42.64(b)(1)), to supplement evidence (§ 42.64(b)(2)), to conduct cross-examination

(§ 42.53(d)(2)), and to draft papers depending on the evidence and cross-examination testimony.

1. *DUE DATE 1*

Patent Owner may file—

- a. A response to the petition (37 C.F.R. § 42.120). If Patent Owner elects not to file a response, Patent Owner must arrange a conference call with the parties and the Board. **Patent Owner is cautioned that any arguments not raised and fully briefed in the response may be deemed forfeited.**
- b. A motion to amend the patent (37 C.F.R. § 42.121) after conferring with the Board under 37 C.F.R. § 42.121(a).

2. *DUE DATE 2*

Petitioner may file a reply to the Patent Owner's response.

Petitioner may file an opposition to the motion to amend.

3. *DUE DATE 3*

Patent Owner may file a sur-reply to Petitioner's reply.

Patent Owner may also file *either*:

- a. a reply to the opposition to the motion to amend and/or preliminary guidance (if provided); or
- b. a revised motion to amend.

NOTE: If Patent Owner files neither of the above papers (a reply to the opposition or a revised motion to amend), and the Board has issued preliminary guidance, Petitioner may file a reply to the preliminary guidance, no later than three weeks after DUE DATE 3. Patent Owner may file a sur-reply to Petitioner's reply to the preliminary guidance no later than three weeks after Petitioner's reply.

4. *DUE DATE 4*

Either party may file a request for an oral hearing (may not be extended by stipulation).

A party seeking to appear virtually at the oral hearing must submit a request and include a showing of good cause. Approval for one party to appear virtually does not establish good cause for other parties; each party must make a separate showing. The Board may consider untimely requests where the circumstances giving rise to the request could not have been reasonably anticipated.

5. *DUE DATE 5*

Petitioner may file a sur-reply to Patent Owner's reply to the opposition to the motion to amend.

Either party may file a motion to exclude evidence (37 C.F.R. § 42.64(c)).

6. *DUE DATE 6*

The parties will have a pre-hearing conference with the Board panel.

7. *DUE DATE 7*

Either party may file an opposition to a motion to exclude evidence.

Either party may request that the Board hold a pre-hearing conference.

8. *DUE DATE 8*

Either party may file a reply to an opposition to a motion to exclude evidence.

9. *DUE DATE 9*

The oral hearing (if requested by either party) shall be held on this date. Approximately one month prior to the argument, the Board will issue

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an order setting the start time of the hearing and the procedures that will govern the parties' arguments.

DUE DATE APPENDIX

DUE DATE 1.....	November 10, 2026
Patent Owner's response to the petition	
Patent Owner's motion to amend the patent	
DUE DATE 2.....	January 26, 2027
Petitioner's reply in support of the petition	
Petitioner's opposition to Patent Owner's motion to amend	
DUE DATE 3.....	March 2, 2027
Patent Owner's sur-reply to Petitioner's reply	
Patent Owner's reply in support of the motion to amend (or Patent Owner's revised motion to amend) ⁵	
DUE DATE 4.....	March 16, 2027
Request for an oral hearing (may not be extended by stipulation)	
DUE DATE 5.....	April 6, 2027
Petitioner's sur-reply in opposition to the motion to amend	
Motion to exclude evidence	
DUE DATE 6.....	April 12, 2027
Pre-hearing conference with the Board panel	
DUE DATE 7.....	April 13, 2027
Opposition to motion to exclude	
Request for prehearing conference	
DUE DATE 8.....	April 20, 2027
Reply in support of motion to exclude	
DUE DATE 9.....	April 27, 2027
Oral hearing (if requested)	

⁵ If Patent Owner files neither a reply in support of the motion to amend nor a revised motion to amend, the parties are directed to Section B(3) above.

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