

S161	15	petkovsek\$2.xa,xp. and (fiber\$4 with ("AWG" or (array\$3 near3 grating\$3)))	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2019/05/06 13:47
S160	127	coupl\$4 same ("AWG" (array\$2 near2 waveguid\$3 near2 grating\$4)) same (optic\$4 near9 fiber\$4) same connector\$4	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2019/05/06 13:47
S159	1	("6715936").PN.	US-PGPUB; USPAT; USOCR; JPO	OR	OFF	2019/05/06 13:47
S158	1	("20160349451").PN.	US-PGPUB; USPAT; USOCR; JPO	OR	OFF	2019/05/06 13:47
S157	13	(US-20010055444-\$ or US-20150029572-\$ or US-20160149662-\$ or US-20040022490-\$ or US-20020181890-\$ or US-20170168252-\$ or US-20160349451-\$ or US-20150311669-\$ or US-20040161186-\$).did. or (US-6945708-\$ or US-9341786-\$).did. or (US-20170168252-\$ or US-20160349451-\$).did.	US-PGPUB; USPAT; DERWENT	OR	ON	2019/05/06 13:47
S156	3	(luo\$2 jiang\$2 nie\$2 ding\$2).in. and (parallel\$4 with receiv\$4 with optic\$4) and ("AWG" (array\$3 near2 waveguid\$3 near3 grating\$3))	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2019/05/06 13:47
S155	107	S154 and (("AWG" (array\$3 near2 waveguid\$3 near3 grating\$4)) with (reflect\$4 mirror\$4) with (detect\$4 diod\$4 photo-detect\$4 photodetect\$4 photo-diod\$4 photodiod\$4 receiv\$4))	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2019/05/06 13:47
S154	17634	G02B6/32.cpc. or G02B6/12019.cpc. or G02B6/4214.cpc. or G02B6/4249.cpc.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2019/05/06 13:47
S153	1	(10/368195).APP.	USPAT; USOCR	OR	ON	2019/05/06 13:47
S152	5	("2004/0161186").URPN.	USPAT	OR	ON	2019/05/06 13:47
S151	2	("2017/0168252").URPN.	USPAT	OR	ON	2019/05/06 13:47
S150	1	("20170168252").PN.	US-PGPUB; USPAT; USOCR; JPO	OR	OFF	2019/05/06 13:47

S149	1	("20160149662").PN.	US-PGPUB; USPAT; USOCR; JPO	OR	OFF	2019/05/06 13:47
S148	1	("2016/0349451").URPN.	USPAT	OR	ON	2019/05/06 13:47
S147	0	(15/162623).APP.	USPAT; USOCR	OR	ON	2019/05/06 13:47
S146	0	(15/373991).APP.	USPAT; USOCR	OR	ON	2019/05/06 13:47
S145	2	("2017/0168252").URPN.	USPAT	OR	ON	2019/05/06 13:47
S144	54	G02B6/4214.cpc. and G02B6/12019.cpc.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2019/05/06 13:47
S143	5	("2016/0149662").URPN.	USPAT	OR	ON	2019/05/06 13:47
S142	1	(14/621273).APP.	USPAT; USOCR	OR	ON	2019/05/06 13:47
S141	12	("20010055444" "6490395").PN. OR ("6760521").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2019/05/06 13:47
S140	15	petkovsek\$2.xa,xp. and (fiber\$4 with ("AWG" or (array\$3 near3 grating\$3)))	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2019/05/06 13:47
S139	127	coupl\$4 same ("AWG" (array\$2 near2 waveguid\$3 near2 grating\$4)) same (optic\$4 near9 fiber\$4) same connector\$4	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2019/05/06 13:47
S138	1	("6715936").PN.	US-PGPUB; USPAT; USOCR; JPO	OR	OFF	2019/05/06 13:47

EAST Search History (I nterference)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L3	0	((array\$4 near3 waveguid\$3 near3 grating\$4) with carrier\$4 with top\$3 with (mid-point\$3 midpoint\$4)).clm.	US-PGPUB; USPAT	OR	ON	2019/05/07 12:40

5/ 7/ 2019 12:41:05 PM

C:\Users\dpetkovsek\Documents\EAST\Workspaces\15432242.wsp

To: kinsella@ao-inc.com,docketing@gtpp.com,kcarroll@gtpp.com
From: PAIR_eOfficeAction@uspto.gov
Cc: PAIR_eOfficeAction@uspto.gov
Subject: Private PAIR Correspondence Notification for Customer Number 27543

May 13, 2019 03:23:24 AM

Dear PAIR Customer:

APPLIED OPTOELECTRONICS, INC.
13115 JESS PIRTLE BLVD.
SUGAR LAND, TX 77478
UNITED STATES

The following USPTO patent application(s) associated with your Customer Number, 27543 , have new outgoing correspondence. This correspondence is now available for viewing in Private PAIR.

The official date of notification of the outgoing correspondence will be indicated on the form PTOL-90 accompanying the correspondence.

Disclaimer:

The list of documents shown below is provided as a courtesy and is not part of the official file wrapper. The content of the images shown in PAIR is the official record.

Application	Document	Mailroom Date	Attorney Docket No.
15432242	NOA	05/13/2019	AOIC006
	1449	05/13/2019	AOIC006

To view your correspondence online or update your email addresses, please visit us anytime at <https://sportal.uspto.gov/secure/myportal/privatepair>.

If you have any questions, please email the Electronic Business Center (EBC) at EBC@uspto.gov with 'e-Office Action' on the subject line or call 1-866-217-9197 during the following hours:

Monday - Friday 6:00 a.m. to 12:00 a.m.

Thank you for prompt attention to this notice,

UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT APPLICATION INFORMATION RETRIEVAL SYSTEM

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), by mail or fax, or via EFS-Web.

By mail, send to: Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

By fax, send to: (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

27543 7590 05/13/2019
APPLIED OPTOELECTRONICS, INC.
13115 JESS PIRTLE BLVD.
SUGAR LAND, TX 77478

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being transmitted to the USPTO via EFS-Web or by facsimile to (571) 273-2885, on the date below.

April Davis	(Typed or printed name)
/April Davis/	(Signature)
June 21, 2019	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/432,242	02/14/2017	Jian-Hong LUO	AOIC006	2079

TITLE OF INVENTION: MULTI-CHANNEL PARALLEL OPTICAL RECEIVING DEVICE

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1000	\$0.00	\$0.00	\$1000	08/13/2019

EXAMINER	ART UNIT	CLASS-SUBCLASS
PETKOVSEK, DANIEL	2874	385-014000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-09 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) The names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Grossman Tucker Perreault

1 _____

2 & Pfleger, PLLC

3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document must have been previously recorded, or filed for recordation, as set forth in 37 CFR 3.11 and 37 CFR 3.81(a). Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Applied Optoelectronics, Inc.

Sugar Land, Texas

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. Fees submitted: ☒ Issue Fee ☐ Publication Fee (if required) ☐ Advance Order - # of Copies _____

4b. Method of Payment: (Please first reapply any previously paid fee shown above)

☒ Electronic Payment via EFS-Web ☐ Enclosed check ☐ Non-electronic payment by credit card (Attach form PTO-2038)

☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account No. 50-1705

5. Change in Entity Status (from status indicated above)

☐ Applicant certifying micro entity status. See 37 CFR 1.29

☐ Applicant asserting small entity status. See 37 CFR 1.27

☐ Applicant changing to regular undiscounted fee status.

NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.

NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.

NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

Authorized Signature /Kevin J. Carroll/

Date June 21, 2019

Typed or printed name Kevin J. Carroll

Registration No. 36,384

Electronic Patent Application Fee Transmittal				
Application Number:		15432242		
Filing Date:		14-Feb-2017		
Title of Invention:		MULTI-CHANNEL PARALLEL OPTICAL RECEIVING DEVICE		
First Named Inventor/Applicant Name:		Jian-Hong LUO		
Filer:		Kevin J. Carroll/April Davis		
Attorney Docket Number:		AOIC006		
Filed as Large Entity				
Filing Fees for Utility under 35 USC 111(a)				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
UTILITY APPL ISSUE FEE	1501	1	1000	1000

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
Total in USD (\$)				1000

Electronic Acknowledgement Receipt

EFS ID:	36399806
Application Number:	15432242
International Application Number:	
Confirmation Number:	2079
Title of Invention:	MULTI-CHANNEL PARALLEL OPTICAL RECEIVING DEVICE
First Named Inventor/Applicant Name:	Jian-Hong LUO
Customer Number:	27543
Filer:	Kevin J. Carroll/April Davis
Filer Authorized By:	Kevin J. Carroll
Attorney Docket Number:	AOIC006
Receipt Date:	25-JUN-2019
Filing Date:	14-FEB-2017
Time Stamp:	11:31:10
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$ 1000
RAM confirmation Number	062519INTEFSW11314900
Deposit Account	501705
Authorized User	April Davis

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

37 CFR 1.16 (National application filing, search, and examination fees)

37 CFR 1.17 (Patent application and reexamination processing fees)

37 CFR 1.19 (Document supply fees)
37 CFR 1.20 (Post Issuance fees)
37 CFR 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Issue Fee Payment (PTO-85B)	AOIC006_IssueFee_Transmittal.pdf	179333 b058c7baf77e9bb72382c2810b41d557d7d01a76	no	1

Warnings:

Information:

2	Fee Worksheet (SB06)	fee-info.pdf	30124 b7062a4163167bdc0c6ff9b685a007a023903ab0	no	2
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Warnings:

Information:

Total Files Size (in bytes):	209457
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/432,242	08/13/2019	10379301	AOIC006	2079

27543 7590 07/24/2019
APPLIED OPTOELECTRONICS, INC.
13115 JESS PIRTLE BLVD.
SUGAR LAND, TX 77478

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site <http://pair.uspto.gov> for additional applicants):

Jian-Hong LUO, Ningbo, CHINA;
Global Technology Inc., Ningbo, CHINA;
Dong-Biao JIANG, Ningbo, CHINA;
Peng NIE, Ningbo, CHINA;
Xiao-Liang DING, Ningbo, CHINA;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit SelectUSA.gov.

To: kinsella@ao-inc.com,docketing@gtp.com,kcarroll@gtp.com
From: PAIR_eOfficeAction@uspto.gov
Cc: PAIR_eOfficeAction@uspto.gov
Subject: Private PAIR Correspondence Notification for Customer Number 27543

Jul 25, 2019 08:08:55 AM

Dear PAIR Customer:

APPLIED OPTOELECTRONICS, INC.
13115 JESS PIRTLE BLVD.
SUGAR LAND, TX 77478
UNITED STATES

The following USPTO patent application(s) associated with your Customer Number, 27543 , have new outgoing correspondence. This correspondence is now available for viewing in Private PAIR.

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Application	Document	Mailroom Date	Attorney Docket No.
15432242	ISSUE.NTF	07/24/2019	AOIC006

To view your correspondence online or update your email addresses, please visit us anytime at <https://sportal.uspto.gov/secure/myportal/privatepair>.

If you have any questions, please email the Electronic Business Center (EBC) at EBC@uspto.gov with 'e-Office Action' on the subject line or call 1-866-217-9197 during the following hours:

Monday - Friday 6:00 a.m. to 12:00 a.m.

Thank you for prompt attention to this notice,

UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT APPLICATION INFORMATION RETRIEVAL SYSTEM

IN UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.: 10,379,301B2

Docket No: AOIC006

Issue Date: August 13, 2019

Title: MULTI-CHANNEL PARALLEL OPTICAL RECEIVING DEVICE

REQUEST FOR CERTIFICATION OF CORRECTION

Attn: Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

It is requested that a Certificate of Correction be issued correcting printing errors appearing in the above-identified United States patent. A copy of the text of the Certificate in the suggested form is enclosed.

Issuance of the Certificate of Correction would neither expand nor contract the scope of the claims as properly allowed, and re-examination is not required.

Authorization for credit card payment of the certificate of correction fee is being filed via EFS. Please charge Deposit Account No. 50-2121 for any required fee, or for any deficiency in the enclosed fee.

Respectfully submitted,

/Kevin J. Carroll/

Kevin J. Carroll
Reg. No. 36,384

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : 10,379,301B2

Page 1 of 1

APPLICATION NO : 15/432,242

ISSUE DATE : August 13, 2019

INVENTOR(S) : LUO et al

It is certified that errors appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On face page, field 73, in column 1, under "Assignee", delete "Applied Optoelectronics, Inc., Sugar Land, TX (US)" and insert - - Global Technology Inc., Ningbo (CN) - -, therefor.

Privacy Act Statement

PATENT NO 10,379,301B2

No. of additional copies

➡ _____

Atty Docket No: AOIC006

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PATENT NO 10,379,301B2

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Atty Docket No: AOIC006

Electronic Patent Application Fee Transmittal				
Application Number:		15432242		
Filing Date:		14-Feb-2017		
Title of Invention:		MULTI-CHANNEL PARALLEL OPTICAL RECEIVING DEVICE		
First Named Inventor/Applicant Name:		Jian-Hong LUO		
Filer:		Kevin J. Carroll/April Davis		
Attorney Docket Number:		AOIC006		
Filed as Large Entity				
Filing Fees for Utility under 35 USC 111(a)				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
CERTIFICATE OF CORRECTION	1811	1	160	160

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
Total in USD (\$)				160

Electronic Acknowledgement Receipt

EFS ID:	44397445
Application Number:	15432242
International Application Number:	
Confirmation Number:	2079
Title of Invention:	MULTI-CHANNEL PARALLEL OPTICAL RECEIVING DEVICE
First Named Inventor/Applicant Name:	Jian-Hong LUO
Customer Number:	27543
Filer:	Kevin J. Carroll/April Davis
Filer Authorized By:	Kevin J. Carroll
Attorney Docket Number:	AOIC006
Receipt Date:	30-NOV-2021
Filing Date:	14-FEB-2017
Time Stamp:	16:07:51
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$ 160
RAM confirmation Number	E2021ATG08304969
Deposit Account	502121
Authorized User	April Davis

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

37 CFR 1.16 (National application filing, search, and examination fees)

37 CFR 1.17 (Patent application and reexamination processing fees)

37 CFR 1.19 (Document supply fees)
 37 CFR 1.20 (Post Issuance fees)
 37 CFR 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Certificate of Correction	AOIC006_Request_Certif_Correction_Letter.pdf	16244 45ccc98d35291ee7b875096e219a2ea229b bfa47	no	1
Warnings:					
Information:					
2	Request for Certificate of Correction	AOIC006_Certificate_Correction_Form.pdf	27669 be287766d1b8857698cfbe0808fb4646db3 25302	no	2
Warnings:					
Information:					
3	Fee Worksheet (SB06)	fee-info.pdf	37769 7e8cfc974bd4f26430b43510a53e5c6be06e fa29	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			81682		

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New Applications Under 35 U.S.C. 111

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National Stage of an International Application under 35 U.S.C. 371

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New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/432,242	02/14/2017	Jian-Hong LUO	AOIC006	2079
27543	7590	01/20/2022		
APPLIED OPTOELECTRONICS, INC.			EXAMINER	
13115 JESS PIRTLE BLVD.			PETKOVSEK, DANIEL	
SUGAR LAND, TX 77478				
			ART UNIT	PAPER NUMBER
			2874	
			NOTIFICATION DATE	DELIVERY MODE
			01/20/2022	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

Youngun_Kim@ao-inc.com
adavis@gtp.com
docketing@gtp.com

Response to the Request for Certificate of Correction	Patent No. 10379301	Applicant(s) LUO et al.
	Issue Date 08/13/2019	Docket No. AOIC006

This is in response to the request for a Certificate of Correction filed 30 November 2021

☒ **Request Denied** - Consideration has been given to your request for the issuance of a Certificate of Correction under the provisions of 37 CFR 1.322 and/or 37 CFR 1.323. The Request is improper and denied for the reason(s) below:

1. ☒ Assignees' names and addresses (assignment data) printed in a patent, are based solely on information supplied in the appropriate space for identifying the assignment data on the Issue Fee Transmittal Form (PTOL-85b). Any request for a patent to be corrected to state the name of the assignee, must state that the assignment was submitted for recordation as set forth in 37 CFR 3.11 before issuance of the patent. Petition under 3.81 is to be filed for consideration of correction to assignee. The petition fee set forth in 37 CFR 1.17(i)(1) (currently \$140, \$70, \$35 for large, small and micro entities, respectively).
2. ☐ The alleged error in _____, is in fact an Amendment and/or Change made by the examiner and considered to be in accordance with the permissible amendments enumerated in the Manual of Patent Examining Procedure (MPEP) Section 1302.04. Applicant did not file objection or amendment under 37 CFR 1.312 prior to payment of the issue fee.
3. ☐ A petition under CFR 1.182 is required to correct the alleged errors in spelling or order of inventor's names, since inventor's names are printed solely in accordance with the type-written names, and in the order of the type-written names on the Application Data Sheet (ADS). The required fee currently under rule 1.17(f) (small entity \$200, large entity \$400, micro entity fee \$100).
4. ☐ With respect to the alleged error in changing the inventor name on the patent due to clerical error in ADS/OATH of related patents. The inventors name is printed in accordance with the OATH/ADS submitted at the time of filing the application. However, your attention is directed to C.F.R. 1.324, wherein a request is being made to change, add or delete inventor(s), after issuance of the patent.
5. ☐ With respect to the alleged error in _____, comparison of the printed patent with the corresponding location in the application file reveals that there is no discrepancy.
6. ☐ With respect to 37 CFR 1.72, the title should be brief but technically accurate and descriptive and should contain fewer than 500 characters. Inasmuch as the words "new," "improved," "improvement of," and "improvement in" are not considered as part of the title of an invention, these words should not be included at the beginning of the title of the invention and will be deleted when the Office enters the title into the Offices computer records, and when any patent issues.
7. ☐ The fee for correction under 37 CFR 1.323 is set forth in 37 CFR 1.20(a). ☐ Partial fee ☐ No fee was received with your request. Full fee payment is required before further action is taken on this request.
8. ☐ With respect to the request for corrected Letters Patent (Grant), corrections to the original Letters Patent are made under the provisions of Rule 1.322(b), not Rule 1.323, unless a petition is granted.
9. ☐ Other Comments: _____

Further correspondence concerning this matter should be filed and directed to the Certificates of Correction Branch.

Legal Instrument Examiner: /YOLANDA A MIDDLETON/ Phone: (703)756-1282
 LIE, ODM
 Certificates of Correction Branch email: CustomerServiceCoC@uspto.gov CoC Central Phone Number: (703)756-1814

If applicable, information regarding a petition under 37 CFR 1.183 should be directed to the attention of the Commissioner for Patents using the FAX number (571) 273-8300

To: docketing@gtpp.com,adavis@gtpp.com,Youngun_Kim@ao-inc.com
From: PAIR_eOfficeAction@uspto.gov
Cc: PAIR_eOfficeAction@uspto.gov
Subject: Private PAIR Correspondence Notification for Customer Number 27543

Jan 20, 2022 04:34:16 AM

Dear PAIR Customer:

APPLIED OPTOELECTRONICS, INC.
13115 JESS PIRTLE BLVD.
SUGAR LAND, TX 77478
UNITED STATES

The following USPTO patent application(s) associated with your Customer Number, 27543 , have new outgoing correspondence. This correspondence is now available for viewing in Private PAIR.

The official date of notification of the outgoing correspondence will be indicated on the form PTOL-90 accompanying the correspondence.

Disclaimer:

The list of documents shown below is provided as a courtesy and is not part of the official file wrapper. The content of the images shown in PAIR is the official record.

Application	Document	Mailroom Date	Attorney Docket No.
15432242	CDEN	01/20/2022	AOIC006

To view your correspondence online or update your email addresses, please visit us anytime at <https://sportal.uspto.gov/secure/myportal/privatepair>.

If you have any questions, please email the Electronic Business Center (EBC) at EBC@uspto.gov with 'e-Office Action' on the subject line or call 1-866-217-9197 during the following hours:

Monday - Friday 6:00 a.m. to 12:00 a.m.

Thank you for prompt attention to this notice,

UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT APPLICATION INFORMATION RETRIEVAL SYSTEM

IN UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.: 10,379,301B2

Docket No: AOIC006

Issue Date: August 13, 2019

Title: MULTI-CHANNEL PARALLEL OPTICAL RECEIVING DEVICE

Attn: Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**PETITION UNDER 37 CFR 3.81 TO IDENTIFY CORRECT ASSIGNEE AND
REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 CFR 1.323**

This Petition is being filed in response to the “Response to the Request for Certificate of Correction” mailed on January 20, 2022. Applicant’s previous Request for Certificate of Correction filed on November 30, 2021 was denied because the request must state that the assignment was submitted for recordation as set forth in 37 CFR 3.11.

Applicants now hereby petition the Commissioner of Patents under 37 CFR 3.81 to identify the correct Assignee on the issued U.S. patent. An Assignment was submitted for recordation as set forth in 37 CFR 3.11 prior to issuance of the patent. A copy of the Notice of Recordation of Assignment is included herewith. The Assignment was recorded on February 14, 2017 at Reel 041252, Frame 0582.

Accordingly, it is requested herein that the name of the Assignee on the issued patent be changed from Applied Optoelectronics, Inc. to Global Technology Inc.

The petition fee set forth in 37 CFR 1.17(i)(1) is being paid with the filing of this submission. Authorization for credit card payment of the certificate of correction fee was previously paid on November 30, 2021. Copies of the previously filed Request for Certification of Correction and Certificate of Correction Form PTO/SB/44 are again included herewith. Please

charge Deposit Account No. 50-2121 for any required fee, or for any deficiency in the enclosed fee.

Respectfully submitted,

/Kevin J. Carroll/

Kevin J. Carroll
Reg. No. 36,384



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEBRUARY 15, 2017

PTAS

KEVIN J. CARROLL
55 SOUTH COMMERCIAL STREET
GROSSMAN TUCKER PERREAULT & PFLEGER
MANCHESTER, NH 03101

504227275

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT RECORDATION BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE ASSIGNMENT RECORDATION BRANCH AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT RECORDATION BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 02/14/2017

REEL/FRAME: 041252/0582
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: AOIC006

ASSIGNOR:
LUO, JIAN-HONG

DOC DATE: 12/24/2016

ASSIGNOR:
JIANG, DONG-BIAO

DOC DATE: 12/24/2016

ASSIGNOR:
NIE, PENG

DOC DATE: 12/24/2016

ASSIGNEE:
GLOBAL TECHNOLOGY INC.
NO. 88, QIUSHI RD., WANGCHUN
INDUSTRIAL PARK
NINGBO, CHINA 315176

APPLICATION NUMBER: 15432242

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: MULTI-CHANNEL PARALLEL OPTICAL RECEIVING DEVICE

ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

IN UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.: 10,379,301B2

Docket No: AOIC006

Issue Date: August 13, 2019

Title: MULTI-CHANNEL PARALLEL OPTICAL RECEIVING DEVICE

REQUEST FOR CERTIFICATION OF CORRECTION

Attn: Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

It is requested that a Certificate of Correction be issued correcting printing errors appearing in the above-identified United States patent. A copy of the text of the Certificate in the suggested form is enclosed.

Issuance of the Certificate of Correction would neither expand nor contract the scope of the claims as properly allowed, and re-examination is not required.

Authorization for credit card payment of the certificate of correction fee is being filed via EFS. Please charge Deposit Account No. 50-2121 for any required fee, or for any deficiency in the enclosed fee.

Respectfully submitted,

/Kevin J. Carroll/

Kevin J. Carroll
Reg. No. 36,384

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

PATENT NO : 10,379,301B2

Page 1 of 1

APPLICATION NO : 15/432,242

ISSUE DATE : August 13, 2019

INVENTOR(S) : LUO et al

It is certified that errors appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On face page, field 73, in column 1, under "Assignee", delete "Applied Optoelectronics, Inc., Sugar Land, TX (US)" and insert - - Global Technology Inc., Ningbo (CN) - -, therefor.

Privacy Act Statement

PATENT NO 10,379,301B2

No. of additional copies

 _____

Atty Docket No: AOIC006

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PATENT NO 10,379,301B2

No. of additional copies



Atty Docket No: AOIC006

Electronic Patent Application Fee Transmittal				
Application Number:		15432242		
Filing Date:		14-Feb-2017		
Title of Invention:		MULTI-CHANNEL PARALLEL OPTICAL RECEIVING DEVICE		
First Named Inventor/Applicant Name:		Jian-Hong LUO		
Filer:		Kevin J. Carroll/April Davis		
Attorney Docket Number:		AOIC006		
Filed as Large Entity				
Filing Fees for Utility under 35 USC 111(a)				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
Pages:				
Claims:				
Miscellaneous-Filing:				
PROCESSING FEE, EXCEPT PROV. APPLS.	1830	1	140	140
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
Total in USD (\$)				140

Electronic Acknowledgement Receipt

EFS ID:	45700180
Application Number:	15432242
International Application Number:	
Confirmation Number:	2079
Title of Invention:	MULTI-CHANNEL PARALLEL OPTICAL RECEIVING DEVICE
First Named Inventor/Applicant Name:	Jian-Hong LUO
Customer Number:	27543
Filer:	Kevin J. Carroll/April Davis
Filer Authorized By:	Kevin J. Carroll
Attorney Docket Number:	AOIC006
Receipt Date:	12-MAY-2022
Filing Date:	14-FEB-2017
Time Stamp:	16:06:05
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$ 140
RAM confirmation Number	E20225BG06353151
Deposit Account	502121
Authorized User	April Davis

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

37 CFR 1.16 (National application filing, search, and examination fees)

37 CFR 1.17 (Patent application and reexamination processing fees)

37 CFR 1.19 (Document supply fees)
37 CFR 1.20 (Post Issuance fees)
37 CFR 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Petition for review by the Office of Petitions	AOIC006_Petition_Correction_Assignee_signed_051222.pdf	19487	no	2
			73b1917a5760bd2183b2605ab58e2ef25935f566		
Warnings:					
Information:					
2	Miscellaneous Incoming Letter	Notice_Recordation.pdf	66368	no	2
			34a110e1bcc7d5b6af2db00fae5eb7a7bd695ad3		
Warnings:					
Information:					
3	Request for Certificate of Correction	AOIC006_Request_Certif_Correction_Letter.pdf	16244	no	1
			45ccc98d35291ee7b875096e219a2ea229bbfa47		
Warnings:					
Information:					
4	Request for Certificate of Correction	AOIC006_Certificate_Correction_Form.pdf	27669	no	2
			bc287766d1b8857698cfbe0808fb4646db325302		
Warnings:					
Information:					
5	Fee Worksheet (SB06)	fee-info.pdf	37947	no	2
			af47f5f6694d33980d81fc15ead1d4d342e24175		
Warnings:					
Information:					
Total Files Size (in bytes):			167715		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/432,242	02/14/2017	Jian-Hong LUO	AOIC006	2079
27543	7590	10/03/2022		
APPLIED OPTOELECTRONICS, INC.			EXAMINER	
13115 JESS PIRTLE BLVD.			PETKOVSEK, DANIEL	
SUGAR LAND, TX 77478				
			ART UNIT	PAPER NUMBER
			2874	
			NOTIFICATION DATE	DELIVERY MODE
			10/03/2022	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

Youngun_Kim@ao-inc.com
adavis@gtp.com
docketing@gtp.com



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

In re Patent No. 10,379,301
Issue Date: August 13, 2019
Application No. 15/432,242
Filing or 371(c) Date: 14 Feb 2017
Attorney Docket No. AOIC006

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DECISION ON PETITION

This is a decision on the request filed May 12, 2022, under 37 CFR 3.81(b)¹ to correct the name of the assignee on the front page of the above-identified patent by way of a Certificate of Correction.

The request complies with the requirements of 37 CFR 3.81(b), in that the processing fee of \$140, the Certificate of Correction fee of \$160, the Certificate of Correction Form No. PTO/SB/44 and a statement that the assignment was submitted for recordation prior to issuance of the patent have been received. Accordingly, the present request is **GRANTED**.

The Certificates of Correction Branch will be notified of this decision granting the petition under 37 CFR 3.81(b) and directing issuance of the requested Certificate of Correction.

Telephone inquiries concerning this decision may be directed Kimberly Inabinet at (571) 272-4618. Inquiries regarding the issuance of a Certificate of Correction should be directed to the Certificates of Correction Branch at (703) 756-1814.

/KIMBERLY A INABINET/
Paralegal Specialist, OPET

¹ See MPEP 1309, subsection II; and Official Gazette of June 22, 2004.

To: docketing@gtpp.com,adavis@gtpp.com,Youngun_Kim@ao-inc.com
From: PAIR_eOfficeAction@uspto.gov
Cc: PAIR_eOfficeAction@uspto.gov
Subject: Private PAIR Correspondence Notification for Customer Number 27543

Oct 04, 2022 03:22:20 AM

Dear PAIR Customer:

APPLIED OPTOELECTRONICS, INC.
13115 JESS PIRTLE BLVD.
SUGAR LAND, TX 77478
UNITED STATES

The following USPTO patent application(s) associated with your Customer Number, 27543 , have new outgoing correspondence. This correspondence is now available for viewing in Private PAIR.

The official date of notification of the outgoing correspondence will be indicated on the form PTOL-90 accompanying the correspondence.

Disclaimer:

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Application	Document	Mailroom Date	Attorney Docket No.
15432242	PET.DEC.COCI	10/03/2022	AOIC006

To view your correspondence online or update your email addresses, please visit us anytime at <https://sportal.uspto.gov/secure/myportal/privatepair>.

If you have any questions, please email the Electronic Business Center (EBC) at EBC@uspto.gov with 'e-Office Action' on the subject line or call 1-866-217-9197 during the following hours:

Monday - Friday 6:00 a.m. to 12:00 a.m.

Thank you for prompt attention to this notice,

UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT APPLICATION INFORMATION RETRIEVAL SYSTEM

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

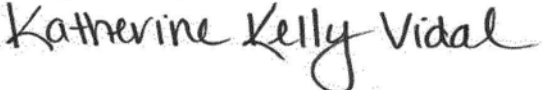
PATENT NO. : 10,379,301 B2
APPLICATION NO. : 15/432242
DATED : August 13, 2019
INVENTOR(S) : Luo et al.

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the Title Page

Item (73), in Column 1, under "Assignee", delete "Applied Optoelectronics, Inc., Sugar Land, TX (US)" and insert -- Global Technology Inc., Ningbo (CN) --, therefor.

Signed and Sealed this
Eighth Day of November, 2022


Katherine Kelly Vidal
Director of the United States Patent and Trademark Office