

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

SAMSUNG ELECTRONICS CO., LTD.,

Petitioner,

v.

NETLIST, INC.,

Patent Owner

IPR2026-00018
Patent 12,373,366

PETITIONER'S UPDATED EXHIBIT LIST

EXHIBIT LIST

Exhibit #	Description
1001	U.S. Patent No. 12,373,366
1002	File History of U.S. Patent No. 12,373,366 (Application No. 17/582,797), available at < https://patentcenter.uspto.gov/applications/17582797/ifw/docs?application= >
1003	Declaration of Dr. Andrew Wolfe
1004	Curriculum Vitae of Dr. Andrew Wolfe
1005	File History of U.S. Provisional Application No. 60/941,586
1006	File History of U.S. Patent Application No. 12/131,873
1007	File History of U.S. Patent Application No. 12/240,916
1008	File History of U.S. Provisional Application No. 61/512,871
1009	File History of U.S. Patent Application No. 13/559,476
1010	File History of U.S. Patent Application No. 14/489,269
1011	File History of U.S. Patent Application No. 14/840,865
1012	File History of U.S. Patent Application No. 15/934,416
1013	File History of U.S. Patent Application No. 17/138,766
1014	<i>SanDisk Corp. v. Netlist, Inc.</i> , IPR2014-00994, Paper No. 1 (PTAB June 20, 2014) (833 Patent IPR Petition)
1015	<i>SanDisk Corp. v. Netlist, Inc.</i> , IPR2014-00994, Paper No. 8 (PTAB December 16, 2014) (833 Patent Institution Decision)
1016	<i>Smart Modular Techs. Inc. v. Netlist, Inc.</i> , IPR2014-01370, Paper No. 8 (PTAB September 22, 2014) (833 Patent IPR Corrected Petition)
1017	<i>Smart Modular Techs. Inc. v. Netlist, Inc.</i> , IPR2014-01370, Paper No. 13 (PTAB March 13, 2015) (833 Patent Institution Decision)
1018	<i>SK hynix Inc. et al. v. Netlist, Inc.</i> , IPR2017-00649, Paper No. 1 (PTAB January 13, 2017) (833 Patent IPR Petition)
1019	<i>SK hynix Inc. et al. v. Netlist, Inc.</i> , IPR2017-00649, Paper No. 7 (PTAB July 24, 2017) (833 Patent Institution Decision)
1020	<i>SK hynix Inc. et al. v. Netlist, Inc.</i> , IPR2017-00692, Paper No. 1 (PTAB January 17, 2017) (831 Patent IPR Petition)

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1021	<i>SK hynix Inc. et al. v. Netlist, Inc.</i> , IPR2017-00692, Paper No. 25 (PTAB July 5, 2018) (831 Patent Final Written Decision)
1022	<i>Micron Tech., Inc. et al. v. Netlist, Inc.</i> , IPR2022-00418, Paper No. 2 (PTAB January 14, 2022) (833 Patent IPR Petition)
1023	U.S. Patent Application Publication No. 2006/0174140 to Harris <i>et al.</i>
1024	U.S. Patent No. 7,724,604 to Amidi <i>et al.</i>
1025	U.S. Patent Application Publication No. 2006/0080515 to Spiers <i>et al.</i>
1026	JEDEC Standard, DDR2 SDRAM Specification, JESD79-2B (January 2005) (“JESD79-2B”)
1027	JEDEC Standard, FBDIMM: Advanced Memory Buffer (AMB), JESD82-20 (March 2007) (“JESD82-20”)
1028	JEDEC Standard, FBDIMM Specification: DDR2 SDRAM Fully Buffered DIMM (FBDIMM) Design Specification, JESD205 (March 2007) (“JESD205”)
1029	Declaration of Julie Carlson for JESD82-20 and JESD205
1030	U.S. Patent No. 7,719,866 to Boldo
1031	PCI Local Bus Specification Revision 2.2 (1998)
1032	Mohan <i>et al.</i> , Power Electronics: Converters, Applications, and Design (2d Ed. 1995)
1033	U.S. Patent No. 7,721,130 to Prete <i>et al.</i>
1034	U.S. Patent No. 6,798,709 to Sim <i>et al.</i>
1035	U.S. Patent No. 7,363,422 to Perego <i>et al.</i>
1036	U.S. Patent No. 7,155,627 to Matsui
1037	U.S. Patent Application Publication No. 2008/0238536 to Hayashi <i>et al.</i>
1038	U.S. Patent No. 6,856,556 to Hajeck
1039	U.S. Patent Application Publication No. 2010/0257304 to Rajan <i>et al.</i>
1040	Texas Instruments, TPS51020 Datasheet (December 2003)
1041	Fairchild Semiconductor, FAN5026 Datasheet (October 2005)

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1042	Murata Power Supply Reference Guide for Xilinx FPGAs (September 2006)
1043	Murata Power Supply Reference Guide for Altera FPGAs (February 2008)
1044	U.S. Patent Application Publication No. 2010/0205470 to Moshayedi <i>et al.</i>
1045	JEDEC Standard, Double Data Rate (DDR) SDRAM Specification, JESD79 (June 2000) (“JESD79”)
1046	JEDEC Standard, DDR3 SDRAM, JESD79-3A (September 2007) (“JESD79-3A”)
1047	U.S. Patent No. 7,023,187 to Shearon <i>et al.</i>
1048	Murata, DC-DC Converter Specification (DRAFT), MPD4S014S Datasheet (January 21, 2008)
1049	Micron, NAND Flash Memory Datasheet (January 2006)
1050	U.S. Patent No. 7,692,938 to Petter
1051	U.S. Patent Application Publication No. 2003/0090879 to Doblar <i>et al.</i>
1052	File History of U.S. Patent No. 11,232,054 (EX1002 in IPR2022-00999)
1053	U.S. Patent No. 11,232,054 (EX1001 in IPR2022-00999)
1054	U.S. Patent No. 11,016,918
1055	U.S. Patent Application Publication No. 2008/0101147 to Amidi
1056	U.S. Patent No. 5,563,839 to Herdt <i>et al.</i>
1057	U.S. Patent No. 6,693,840 to Shimada <i>et al.</i>
1058	Lenk, John D., <i>Simplified Design of Switching Power Supplies</i> (1995)
1059	U.S. Patent No. 7,061,214 to Mayega <i>et al.</i>
1060	U.S. Patent No. 5,630,096 to Zuravleff <i>et al.</i>
1061	Analog Devices, ADM1066 Datasheet (2006)
1062	Alan Moloney, <i>Power-Supply Management—Principles, Problems, and Parts</i> , Analog Dialogue (May 2006)

Exhibit #	Description
1063	National Semiconductor, LMC6953 PCI Local Bus Power Supervisor Datasheet (October 1996)
1064	U.S. Patent Application Publication No. 2007/0136523 to Bonella <i>et al.</i>
1065	U.S. Patent Application Publication No. 2009/0034354 to Resnick
1066	U.S. Patent No. 10,672,458 to Shaeffer <i>et al.</i>
1067	LatticeXP Family Data Sheet (March 2006)
1068	Declaration of Dr. Andrew Wolfe in IPR2022-00996 (EX1003 in IPR2022-00996)
1069	Declaration of Dr. Andrew Wolfe in IPR2022-00999 (EX1003 in IPR2022-00999)
1070	Final Written Decision, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2022-00996, Paper 49 (PTAB Dec. 6, 2023) (U.S. Patent No. 11,016,918)
1071	Final Written Decision, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2022-00999, Paper 51 (PTAB Dec. 5, 2023) (U.S. Patent No. 11,232,054)
1072	Order Denying Director Review, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2022-00996 and -00999 (PTAB Mar. 18, 2024)
1073	Notice of Docketing, <i>Netlist, Inc. v. Samsung Electronics Co., Ltd.</i> , No. 2024-1859 (Fed. Cir. May 23, 2024) (appeal from IPR2022-00996 of U.S. Patent No. 11,016,918)
1074	Notice of Docketing, <i>Netlist, Inc. v. Samsung Electronics Co., Ltd.</i> , No. 2024-1863 (Fed. Cir. May 23, 2024) (appeal from IPR2022-00999 of U.S. Patent No. 11,232,054)
1075	Transcript of deposition of William Mangione-Smith, Ph.D. in IPR2022-00996 and -00999 (June 12, 2023)
1076	Transcript of deposition of Andrew Wolfe, Ph.D. (March 16–17, 2023) (EX2030 in IPR2022-00996 and EX2060 in IPR2022-00999)
1077	Netlist’s Technology Tutorial
1078	Datasheet for ADP1821 Step-Down DC-to-DC Controller
1079	Petitioner’s Demonstratives for Oral Argument on September 11, 2023 in IPR2022-00996 and IPR2022-00999

Exhibit #	Description
1080	<p>Romo, Joaquin, <i>DDR Memories: Comparison and overview</i>, NXP technical note, downloaded from https://www.nxp.com/docs/en/supporting-information/BeyondBits2article17.pdf (last access 09/08/2022). As downloaded the file shows the following meta data:</p> <p>Created: 6/8/2007 9:56:44 AM</p> <p>Modified: 8/2/2007 2:56:29 PM (EX2012 in IPR2022-00996 and IPR2022-00999)</p>
1081	<p>EURESYS, PCI Bus Variation Technical Note (2006), downloaded from https://documentation.euresys.com/Products/MULTICAM/MULTICAM/Content/MultiCam_6_7_HTML_Documentation/PCI_Bus_Variation.pdf (last accessed 09/08/2022) (EX2016 in IPR2022-00996 and IPR2022-00999)</p>
1082	<p>PCI Technology Overview (Feb. 2003) downloaded from https://web.archive.org/web/20040721012143/http://www.cs.unc.edu/Research/stc/FAQs/pci-overview.pdf (Wayback Machine (archive.org)) (last visited 09/08/2022) (EX2029 in IPR2022-00996 and IPR2022-00999)</p>
1083	<p>Claim Construction Order, <i>Netlist, Inc. v. Samsung Electronics Co., Ltd. et al.</i>, No. 2:21-cv-00463, ECF No. 114 (E.D. Tex. Dec. 14, 2022) (EX2032 in IPR2022-00996 and IPR2022-00999)</p>
1084	<p>Netlist Presentation (excerpt) (EX2035 in IPR2022-00996 and IPR2022-00999)</p>
1085	<p>Intel, ATX12V, Power Supply Design Guide, Version 2.2 (March 2005), downloaded from https://web.archive.org/web/20070403181612/http://www.formfactors.org/developer/specs/ATX12V_PSDG_2_2_public_br2.pdf (EX2038 in IPR2022-00996 and IPR2022-00999)</p>
1086	<p>Micron DDR5: Key Module Features, downloaded from https://www.micron.com/content/dam/micron/global/secure/products/technical-marketing-brief/ddr5-key-module-features-tech-brief.pdf (EX2057 in IPR2022-00996 and IPR2022-00999)</p>

Exhibit #	Description
1087	Wolfe Deposition exhibit, FB-DIMM Design Considerations (Feb. 18, 2004) (EX2101 in IPR2022-00996 and IPR2022-00999)
1088	Final Written Decision, <i>Micron Technology, Inc. et al. v. Netlist, Inc.</i> , IPR2022-00418, Paper 30 (PTAB Aug. 28, 2023) (U.S. Patent No. 8,301,833)
1089	U.S. Patent No. 8,301,833
1090	Final Written Decision, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2023-00454, Paper 45 (PTAB July 30, 2024) (U.S. Patent No. 11,093,417)
1091	Final Written Decision, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2023-00455, Paper 45 (PTAB July 30, 2024) (U.S. Patent No. 9,858,215)
1092	Order Denying Director Review, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2023-00454 and -00455 (PTAB Oct. 8, 2024)
1093	Notice of Docketing, <i>Netlist, Inc. v. Samsung Electronics Co., Ltd.</i> , No. 2025-1286 (Fed. Cir. Dec. 16, 2024) (appeal from IPR2023-00455 of U.S. Patent No. 9,858,215)
1094	Notice of Docketing, <i>Netlist, Inc. v. Samsung Electronics Co., Ltd.</i> , No. 2025-1296 (Fed. Cir. Dec. 17, 2024) (appeal from IPR2023-00454 of U.S. Patent No. 11,093,417)
1095	Samsung's Principal Brief, <i>Netlist, Inc. v. Samsung Electronics Co., Ltd. et al.</i> , No. 2024-2203 (Fed. Cir. Dec. 16, 2024)
1096	Netlist's Responsive Brief, <i>Netlist, Inc. v. Samsung Electronics Co., Ltd. et al.</i> , No. 2024-2203 (Fed. Cir. Mar. 28, 2025)
1097	Samsung's Reply Brief, <i>Netlist, Inc. v. Samsung Electronics Co., Ltd. et al.</i> , No. 2024-2203 (Fed. Cir. May 19, 2025)
1098	Proposed Amended Complaint in <i>Samsung Electronics Co., Ltd. et al. v. Netlist, Inc.</i> , No. 1:25-cv-00626 (D. Del. filed Aug. 1, 2025) (U.S. Patent No. 12,373,366)
1099	Complaint in <i>Micron Technology, Inc. et al. v. Netlist, Inc.</i> , No. 1:25-cv-00942 (D. Del. Filed July 29, 2025) (U.S. Patent No. 12,373,366)
1100	Complaint in <i>Netlist, Inc. v. Samsung Electronics Co., Ltd. et al.</i> , No. 2:25-cv-00748 (E.D. Tex. July 28, 2025) (U.S. Patent No. 12,373,366)

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1101	Complaint in <i>Netlist, Inc. v. Micron Technology, Inc. et al.</i> , No. 2:25-cv-00749 (E.D. Tex. July 28, 2025) (U.S. Patent No. 12,373,366)
1102	[omitted]
1103	[omitted]
1104	JEDEC Standard 21-C, DDR SDRAM Registered DIMM Design Specification (January 2002)
1105	Declaration of Julie Carlson re: JEDEC Standard 21-C, DDR SDRAM Registered DIMM Design Specification (January 2002)
1106	JEDEC JESD21-C design specification for DDR2 SDRAM Registered DIMM, Rev. 3.2 (Oct. 4, 2005), <i>available at</i> http://web.archive.org/web/20060912004633/http://www.jedec.org:80/Catalog/display.cfm >, http://web.archive.org/web/20061002003035/http://www.jedec.org/download/search/4_20_10R15.pdf >, http://web.archive.org/web/20061002003035if_/http://www.jedec.org/download/search/4_20_10R15.pdf >
1107	Bruce Jacob, <i>Synchronous DRAM Architectures, Organizations, and Alternative Technologies</i> (2002)
1108	U.S. Patent. No. 5,513,135 to Dell <i>et al.</i>
1109	Advanced Configuration and Power Interface (ACPI) Specification, Revision 2.0 (2000), <i>available at</i> https://web.archive.org/web/20030921001001fw_/http://www.o3one.org/hwdocs_index.html >, https://web.archive.org/web/20030921001001fw_/http://www.o3one.org/hwdocs/acpi/ACPIspec20.pdf >, https://uefi.org/sites/default/files/resources/ACPI_2.pdf >
1110	Microchip 25LC256 EEPROM datasheet (2003), <i>available at</i> https://web.archive.org/web/20041130175640/http://www.microchip.com/stellent/idcplg?IdcService=SS_GET_PAGE&nodeId=1335&dDocName=en010846 >, https://web.archive.org/web/20040805021246/http://ww1.microchip.com/downloads/en/DeviceDoc/21822c.pdf >, https://web.archive.org/web/20040805021246if_/http://ww1.microchip.com:80/downloads/en/DeviceDoc/21822c.pdf >
1111	[omitted]

Exhibit #	Description
1112	Complaint, <i>In the Matter of Certain Dynamic Random Access Memory (DRAM) Devices, Products Containing the Same, and Components Thereof</i> , Inv. No. 337-TA-3854 (USITC filed Sept. 30, 2025) (U.S. Patent No. 12,373,366)
1113	Invalidity claim chart by Dr. Andrew Wolfe
1114	Netlist Form 10-K (Annual Report) for 2023, <i>available at</i> < https://investors.netlist.com/filings-financials/sec-filings >
1115	Netlist Form 10-K (Annual Report) for 2024, <i>available at</i> < https://investors.netlist.com/filings-financials/sec-filings >.
1116	Netlist Form 10-Q (Quarterly Report) through September 2025, <i>available at</i> < https://investors.netlist.com/filings-financials/sec-filings >.
1117	Final Written Decision, <i>SK hynix Inc. et al. v. Netlist, Inc.</i> , IPR2018-00362, Paper 29 (PTAB June 27, 2019) (U.S. Patent No. 9,606,907)
1118	Final Written Decision, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2022-00639, Paper 45 (PTAB Oct. 18, 2023) (U.S. Patent No. 10,949,339)
1119	Decision Denying Patent Owner's Request on Rehearing of Final Written Decision, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2022-00639, Paper 48 (PTAB Feb. 9, 2024) (U.S. Patent No. 10,949,339)
1120	Final Written Decision, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2022-00615, Paper 96 (PTAB Apr. 17, 2024) (U.S. Patent No. 7,619,912)
1121	Decision Denying Patent Owner's Request for Director Review of Final Written Decision, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2022-00615, Paper 103 (PTAB July 10, 2024) (U.S. Patent No. 7,619,912)
1122	Final Written Decision, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2022-01428, Paper 48 (PTAB Apr. 1, 2024) (U.S. Patent No. 8,787,060)
1123	Final Written Decision, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2022-01427, Paper 48 (PTAB Apr. 1, 2024) (U.S. Patent No. 9,318,160)

Exhibit #	Description
1124	Order Denying Director Review, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2022-01427 and -01428, Paper 52 (PTAB June 17, 2024)
1125	Order Granting Motion to Stay, <i>Samsung Electronics Co., Ltd. et al. v. Netlist, Inc.</i> , No. 1:21-cv-01453 (D. Del. Dec. 1, 2023) (U.S. Patent No. 10,217,523)
1126	Order Granting Request for <i>Ex Parte</i> Reexamination, No. 90/015,449 (Nov. 21, 2025) (U.S. Patent No. 10,268,608)
1127	Complaint, <i>Netlist, Inc. v. Samsung Electronics Co., Ltd. et al.</i> , No. 2:21-cv-00463 (E.D. Tex. filed Dec. 20, 2021)
1128	Final Written Decision, <i>Samsung Electronics Co., Ltd. et al. v. Netlist, Inc.</i> , IPR2022-00711, Paper 42 (PTAB Oct. 17, 2023) (U.S. Patent No. 10,860,506)
1129	Denying Patent Owner's Request on Rehearing of Final Written Decision, <i>Samsung Electronics Co., Ltd. et al. v. Netlist, Inc.</i> , IPR2022-00711, Paper 44 (PTAB Dec. 20, 2023) (U.S. Patent No. 10,860,506)
1130	Judgment Affirming Final Written Decision in IPR2022-00711, <i>Netlist, Inc. v. Samsung Electronics Co., Ltd. et al.</i> , No. 2024-1521 (Fed. Cir. Dec. 9, 2025) (U.S. Patent No. 10,860,506)
1131	Notice of Institution of Investigation No. 337-TA-1472, 91 Fed. Reg. 155 (Jan. 2, 2026), <i>available at</i> https://www.federalregister.gov/documents/2026/01/02/2025-24146/certain-dynamic-random-access-memory-dram-devices-products-containing-the-same-and-components >
1132	Order No. 11: Initial Determination Amending the Target Date, <i>In the Matter of Certain Dynamic Random Access Memory (DRAM) Devices, Products Containing the Same, and Components Thereof</i> , Inv. No. 337-TA-1472 (USITC Jan. 27, 2026), <i>available at</i> https://edis.usitc.gov/external/search/advanced.html?invId=8817 >
1133	Memorandum re: Director Institution of AIA Trial Proceedings (Oct. 17, 2025), <i>available at</i> https://www.uspto.gov/subscription-center/2025/director-institution-aia-trial-proceedings >

Exhibit #	Description
1134	Memorandum re: Interim Processes for PTAB Workload Management (Mar. 26, 2025), <i>available at</i> https://www.uspto.gov/subscription-center/2025/uspto-issues-new-interim-process-concerning-institution-aia-proceedings
1135	Memorandum re: Final Written Decision Procedures for AIA Trial Proceedings (July 29, 2025), <i>available at</i> https://www.uspto.gov/subscription-center/2025/ptab-issued-memorandum-aia-trials
1136	Memorandum re: Guidance on USPTO’s recission of “Interim Procedure for Discretionary Denials in AIA Post-Grant Proceedings with Parallel District Court Litigation” (Mar. 24, 2025), <i>available at</i> https://www.uspto.gov/patents/ptab/resources
1137	Samsung Electronics America, Inc. (“SEA”)’s Motion to Dismiss for Improper Venue, <i>Netlist, Inc. v. Samsung Electronics Co., Ltd. et al.</i> , No. 2:25-cv-00557 (E.D. Tex. Nov. 24, 2025)
1138	Declaration of James Kiczek (SEA), <i>Netlist, Inc. v. Samsung Electronics Co., Ltd. et al.</i> , No. 2:25-cv-00557 (E.D. Tex. Sept. 11, 2025)
1139	Declaration of Danny Kim (SSI), <i>Netlist, Inc. v. Samsung Electronics Co., Ltd. et al.</i> , No. 2:25-cv-00557 (E.D. Tex. Sept. 11, 2025)
1140	Order determining Google is not a Real Party-in-Interest or Privy of Samsung, <i>Samsung Electronics Co., Ltd. v. Netlist, Inc.</i> , IPR2022-00615, Paper 64 (PTAB July 13, 2023)
1141	Complaint for Declaratory Judgment, <i>Samsung Electronics Co., Ltd. and Samsung Semiconductor, Inc. v. Netlist, Inc.</i> , No. 1:25-cv-01453 (D. Del. filed Oct. 15, 2021)
1142	Samsung Semiconductor, About Us, <i>available at</i> https://semiconductor.samsung.com/about-us/locations/us/
1143	Samsung Semiconductor, Inc., Articles of Incorporation in California (July 13, 1992), <i>available at</i> https://bizfileonline.sos.ca.gov/search/business
1144	Samsung Semiconductor, Inc., California Statement of Information (Apr. 15, 2025), <i>available at</i> https://bizfileonline.sos.ca.gov/search/business

Exhibit #	Description
1145	Samsung investments in the United States, <i>available at</i> <https://semiconductor.samsung.com/sas/company/taylor/>
1146	U.S. Patent No. 10,025,731
1147	Netlist's Notice of Patent Priority Dates, <i>In the Matter of Certain Dynamic Random Access Memory (DRAM) Devices, Products Containing the Same, and Components Thereof</i> , Inv. No. 337-TA-1472 (USITC Feb. 4, 2026), <i>available at</i> <https://edis.usitc.gov/external/search/advanced.html?invId=8817>
1148	Amended Complaint, <i>Netlist, Inc. v. Samsung Electronics Co., Ltd. et al.</i> , No. 2:21-cv-00463 (E.D. Tex. filed May 3, 2022)
1149 [NEW]	Email from counsel for Samsung to counsel for Patent Owner re: stipulation not to pursue certain invalidity defenses if an IPR proceeding is instituted

Dated: March 4, 2026

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CERTIFICATE OF SERVICE

Pursuant to 37 C.F.R. § 42.6(e), I certify that on this 4th day of March, 2026,

Petitioner's Updated Exhibit List and **Exhibit 1149** were served by email on the following counsel for Patent Owner:

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