EX 1002

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	ION1210-2
First Inventor	Keith Johnston et al.
Title	System and Method for Double-...
Express Mail Label No.	n/a

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. [✓] **Fee Transmittal Form** (e.g., PTO/SB/17)
2. [✓] **Applicant claims small entity status.** See 37 CFR 1.27.
3. [✓] **Specification** [*Total Pages* 15]
   Both the claims and abstract must start on a new page
   (For information on the preferred arrangement, see MPEP 608.01(a))
4. [✓] **Drawing(s)** (*35 U.S.C. 113*) [*Total Sheets* 2]
5. **Oath or Declaration** [*Total Sheets* 4]
   a. [ ] Newly executed (original or copy)
   b. [✓] A copy from a prior application (37 CFR 1.63(d))
   (for continuation/divisional with Box 18 completed)
   i. [ ] DELETION OF INVENTOR(S)
   Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. [✓] **Application Data Sheet.** See 37 CFR 1.76
7. [ ] **CD-ROM or CD-R** in duplicate, large table or Computer Program *(Appendix)*
   [ ] Landscape Table on CD
8. **Nucleotide and/or Amino Acid Sequence Submission**
   (if applicable, items a. – c. are required)
   a. [ ] Computer Readable Form (CRF)
   b. Specification Sequence Listing on:
      i. [ ] CD-ROM or CD-R (2 copies); or
      ii. [ ] Paper
   c. [ ] Statements verifying identity of above copies

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P.O. Box 1450
Alexandria VA 22313-1450

ACCOMPANYING APPLICATION PARTS

9. [ ] **Assignment Papers** (cover sheet & document(s))
   Name of Assignee _____
10. [ ] **37 CFR 3.73(b) Statement** *(when there is an assignee)* [✓] **Power of Attorney**
11. [ ] **English Translation Document** *(if applicable)*
12. [ ] **Information Disclosure Statement** (PTO/SB/08 or PTO-1449)
    [ ] Copies of citations attached
13. [ ] **Preliminary Amendment**
14. [ ] **Return Receipt Postcard** (MPEP 503)
    (*Should be specifically itemized)*
15. [ ] **Certified Copy of Priority Document(s)**
    (if foreign priority is claimed)
16. [ ] **Nonpublication Request** under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or equivalent.
17. [✓] Other: Certificate of Transmission

18. If a CONTINUING APPLICATION, *check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:*

[✓] Continuation [ ] Divisional [ ] Continuation-in-part (CIP) of prior application No.: 11/076,646

Prior application information: *Examiner* DINH, MINH *Art Unit:* 2432

19. CORRESPONDENCE ADDRESS

[✓] The address associated with Customer Number: 44654 ***OR*** [ ] Correspondence address below

Name					
Address					
City		State		Zip Code	
Country		Telephone		Email	

Signature	Katharina Schuster	Date	Nov. 16, 2009
Name (Print/Type)	Katharina W. Schuster	Registration No. (Attorney/Agent)	50000

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**
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PTO/SB/01

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)		
	Attorney Docket No.	ROCK1210-1
	First Named Inventor	Keith Johnston
	COMPLETE IF KNOWN	
	Application Number	Unknown
	Filing Date	March 10, 2005
[X] Declaration Submitted with Initial Filing [ ] Declaration Submitted after Initial Filing	*Group Art Unit*	Unknown
	Examiner Name	Unknown

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION

(Title of Invention)

the specification of which was filed on (MM/DD/YYYY)	Herewith
as United States Application Number or PCT International Application Number	Herewith
and was amended on (MM/DD/YYYY) *(if applicable)*	

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me which is material to the patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	NO

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below:

Application Number(s)	Filing Date (MM/DD/YYYY)	
60/551,705	03/10/2004	[ ] Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto
60/551,702	03/10/2004	[ ] Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto

DECLARATION -- Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States Application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number *(If applicable)*

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As a named inventor, I hereby appoint the registered practitioner(s) assigned to **Customer No. 44654** to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole/First Inventor:							
Given Name (first and middle [if any])			Family Name or Surname				
Keith			Johnston				
Inventor's Signature				Date	3/7/05		
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Residence Address	2110 W. Edmond Dr.						
Post Office Address	same as above						

Name of Additional Inventor:							
Given Name (first and middle [if any])			Family Name or Surname				
Eric			White				
Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
Residence Address							
Post Office Address							

Name of Additional Inventor:							
Given Name (first and middle [if any])			Family Name or Surname				
John			Martin				
Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
Residence Address							
Post Office Address							

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Country	U.S.A.	*Telephone*	512.637.9220	*Fax*	512.371.9088

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PTO/SB/01

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)	Attorney Docket No.	ROCK1210-1
	First Named Inventor	Keith Johnston
	COMPLETE IF KNOWN	
	Application Number	Unknown
	Filing Date	March 10, 2005
[X] Declaration Submitted with Initial Filing [ ] Declaration Submitted after Initial Filing	Group Art Unit	Unknown
	Examiner Name	Unknown

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION

(Title of Invention)

the specification of which was filed on (MM/DD/YYYY)	Herewith
as United States Application Number or PCT International Application Number	Herewith
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I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me which is material to the patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part.

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Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	NO

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I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below:

Application Number(s)	Filing Date (MM/DD/YYYY)	
60/551,705	03/10/2004	[ ] Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto
60/551,702	03/10/2004	[ ] Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto

DECLARATION – Utility or Design Patent Application

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U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number *(If applicable)*

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole/First Inventor:

Given Name (first and middle [if any])	Family Name or Surname
Keith	**Johnston**

Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
Residence Address							
Post Office Address							

Name of Additional Inventor:

Given Name (first and middle [if any])	Family Name or Surname
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Inventor's Signature				Date	9 March 2005		
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Name of Additional Inventor:

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John	**Martin**

Inventor's Signature				Date	MARCH 9, 2005		
Residence: City	AUSTIN	State	TX	Country	USA	Citizenship	USA
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Post Office Address	<SAME AS RESIDENCE>						

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City	Austin	*State*	TX	*Zip*	78705
Country	U.S.A.	*Telephone*	512.637.9220	*Fax*	512.371.9088

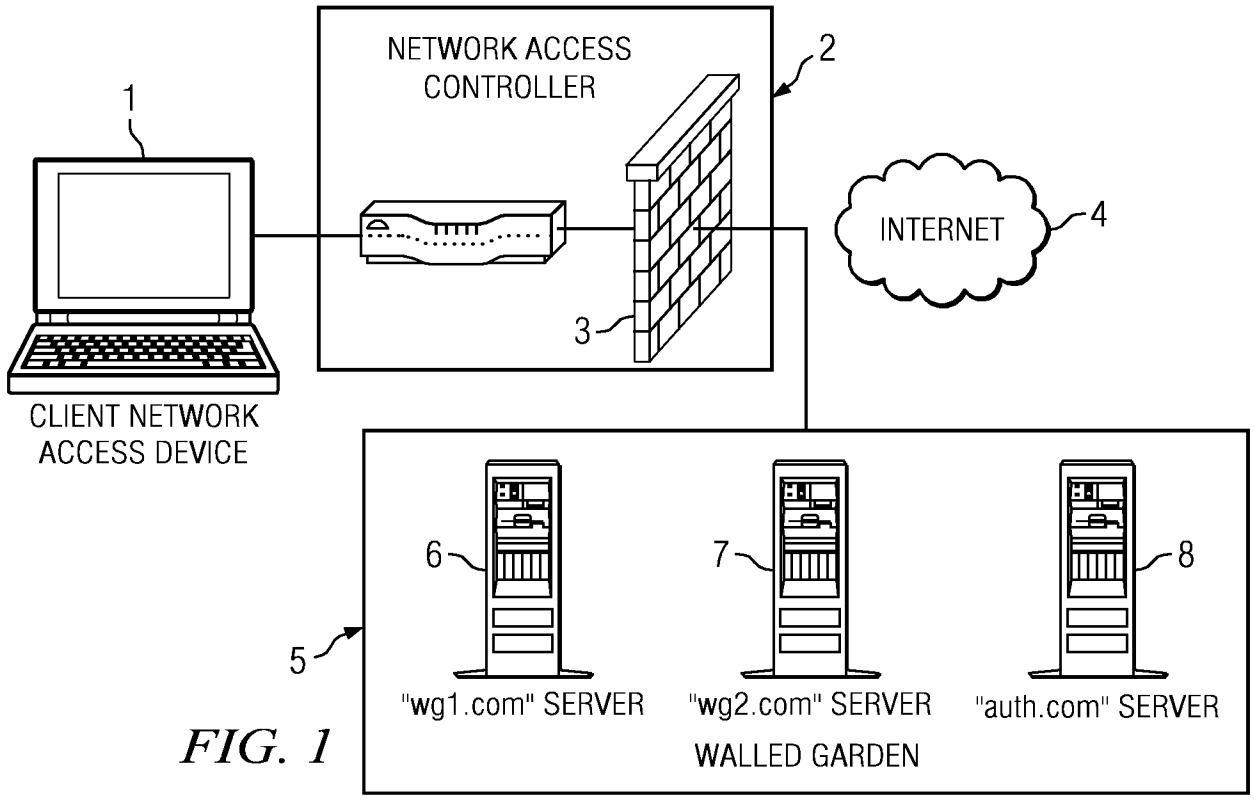

NETWORK ACCESS CONTROLLER
2
1
INTERNET
4
3
CLIENT NETWORK ACCESS DEVICE
6
7
8
5
"wg1.com" SERVER
"wg2.com" SERVER
"auth.com" SERVER
WALLED GARDEN

FIG. 1

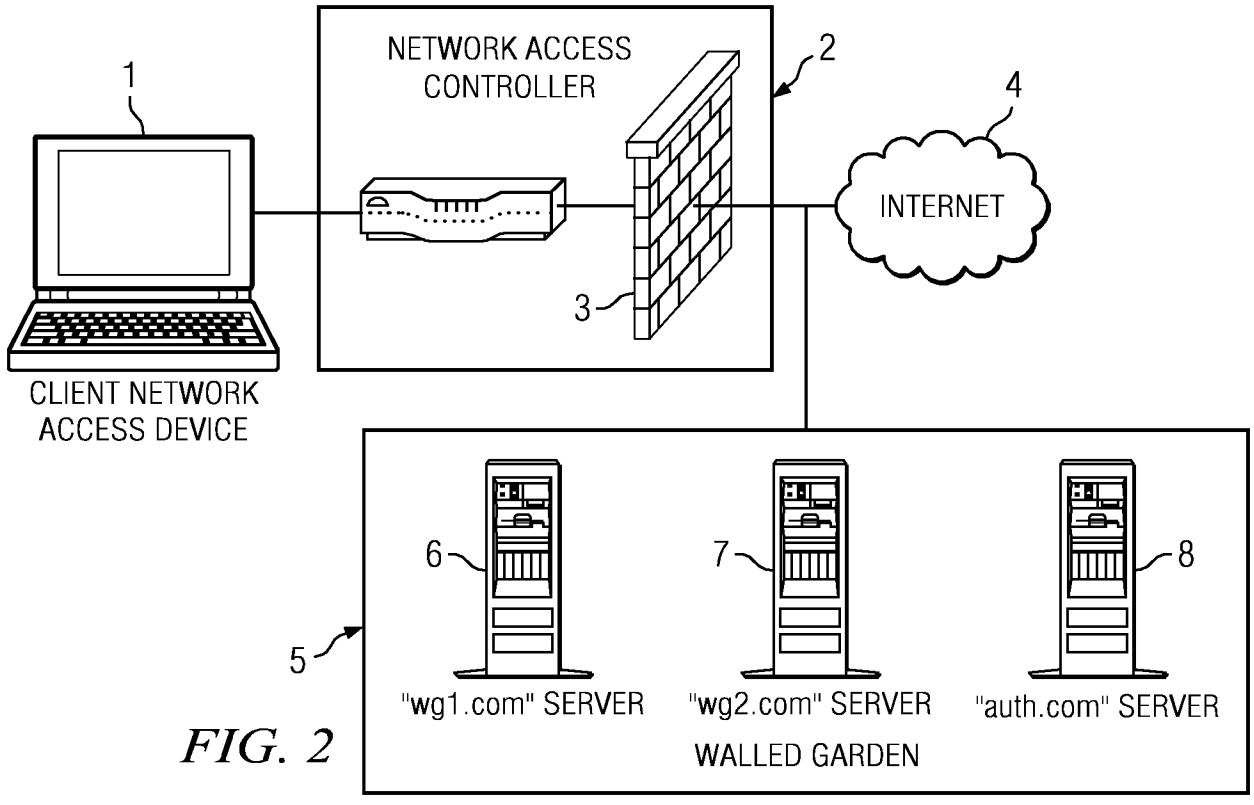

NETWORK ACCESS CONTROLLER
2
1
4
INTERNET
3
CLIENT NETWORK ACCESS DEVICE
6
7
8
5
"wg1.com" SERVER
"wg2.com" SERVER
"auth.com" SERVER
WALLED GARDEN

FIG. 2

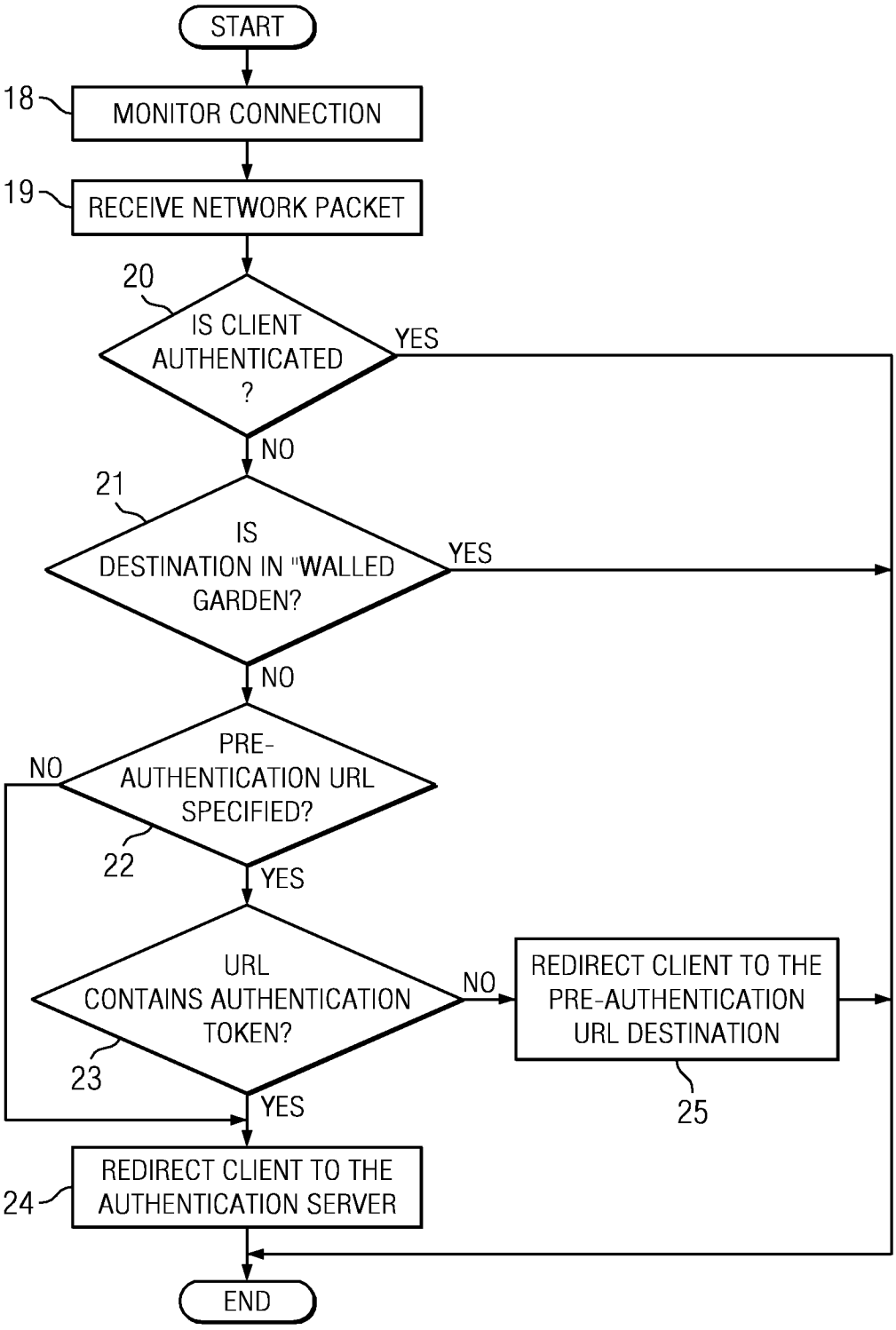

START
18
MONITOR CONNECTION
19
RECEIVE NETWORK PACKET
20
IS CLIENT AUTHENTICATED ?
YES
NO
21
IS DESTINATION IN "WALLED GARDEN?
YES
NO
PRE-AUTHENTICATION URL SPECIFIED?
NO
22
YES
URL CONTAINS AUTHENTICATION TOKEN?
NO
REDIRECT CLIENT TO THE PRE-AUTHENTICATION URL DESTINATION
25
23
YES
REDIRECT CLIENT TO THE AUTHENTICATION SERVER
24
END

FIG. 3

SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION

CROSS REFERENCE TO RELATED APPLICATIONS

[0001] This is a continuation of U.S. Patent Application No. 11/076,646, filed March 10, 2005 by inventors Keith Johnston, Eric White, and John Martin, entitled "SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION", which claims a benefit of priority under 35 U.S.C. § 119(e) to United States Provisional Applications No. 60/551,705, filed March 10, 2004, entitled "SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION," and No. 60/551,702, filed March 10, 2004, entitled "SYSTEM AND METHOD FOR ACCESS SCOPE CONTROL ("WALLED GARDENS") FOR CLIENTS OF A NETWORK ACCESS GATEWAY," both of which are hereby fully incorporated by reference herein.

TECHNICAL FIELD

[0002] Embodiments disclosed herein relate to network access control and security. More particularly, embodiments disclosed herein relate to a system, method, and computer program product for providing network access control for a shared network.

BACKGROUND

[0003] Network access providers utilizing Access Controlled Network Sharing technology to provide user-specific provisioned access to private/public networks may also have a need to allow controlled access for unauthenticated users to a restricted collection of network destinations.

[0004] For example, a shopping mall owner may want to provide any on-site customer possessing a wireless device (such as a laptop computer, PDA, etc.) with access to the

Web server for the mall, plus one or more other Web sites that are associated with the mall (e.g., the local Web server for a tenant in the mall, such as a department store or movie theater). In this case, the collection of Web servers that the mall owner will allow an anonymous user to visit is referred to as the "walled garden".

[0005] In addition, the mall owner may intend to provide unlimited web access to customers who agree to register with the mall owner, and identify themselves (by providing credentials - typically, in the form of a user identifier and a password that were assigned at the time they registered) whenever they wish to be granted full access to the Internet. The process of verifying a customer's credentials is referred to as "authentication".

[0006] Previous solutions would either 1) require that the network destination of the authentication service (i.e., the "captive" portal) be specified explicitly in a link on at least one of the web pages within the set of destinations that are members of the "walled garden", or 2) require that the end-user manually enter the web address of the authentication service in the address bar of their web browser.

SUMMARY OF THE DISCLOSURE

[0007] Embodiments disclosed herein provide a software-based system and method that enhances the function of a network access controller by introducing a "Pre-Authentication Capture Destination". In the standard Access Controlled Network Sharing solution, an unauthenticated client who attempts to access the network is re-directed to a challenge screen or "captive portal", where they must provide their network login credentials (e.g., user name and password).

[0008] If a Pre-Authentication Capture Destination is specified, then this behavior changes. Any attempt by an unauthenticated client to access a network resource that is not in a list of allowed destinations (i.e., the list of servers that make up the "walled garden") will result in the client being re-directed by the Network Access Controller to the Pre-Authentication Capture Destination. The unauthenticated client is then free to visit any of the servers that are included in the walled garden without authentication. Any attempt

to access a web site or location that is outside of the walled garden will cause the client to be re-directed back to the Pre-Authentication Capture Destination.

[0009] At some point, the unauthenticated client may want to use network access privileges that are only available to an authenticated user. Consequently, the client must then be re-directed to an authentication URL (or "captive" portal), where they may login (or register as a new user). To accomplish this re-direction, at least one of the web sites within the walled garden can include an HTML link that references a site that is not in the walled garden and includes a special token within the "query" portion of the link's target HTTP URL (as defined in the IETF RFC-2616 - "Hypertext Transfer Protocol – HTTP/1.1" and RFC-1738 "Uniform Resource Locators (URL)" specifications). This special token, referred to as the "Authentication Token", is a unique sequence of characters that are valid for use in the query portion of a URL. When the client attempts to access the referenced site, they will be captured by the Network Access Controller. However, because of the presence of the Authentication token within the HTTP URL, the Network Access Controller will re-direct the client to the authentication screen, rather than redirecting them back to the Pre-Authentication Capture Destination.

[0010] Note that there is no requirement for any web page in the walled garden to have prior knowledge of the actual authentication screen location. Consequently, the same collection of walled garden sites may be used to service multiple Network Access controllers, where each controller may utilize a different authentication portal.

[0011] Embodiments disclosed herein enable a public/private network service provider to automatically redirect anonymous clients to a pre-defined destination within a limited set of network destinations, and provides a mechanism for accessing the authentication service without requiring that the web servers in the "walled garden" know the actual authentication URL. The automatic redirection occurs whenever the anonymous client attempts to access a network destination outside of the allowed set. The anonymous client does not have to enter the network URL of a server in the "walled garden" - instead, they just have to attempt to access any well-known URL (such as www.yahoo.com), and they will be redirected to the pre-defined destination.

BRIEF DESCRIPTION OF THE DRAWINGS

[0012] A more complete understanding of the present invention and the advantages thereof may be acquired by referring to the following description, taken in conjunction with the accompanying drawings in which like reference numbers indicate like features and wherein:

[0013] FIGURE 1 is a diagrammatic representation of a system depicting the network access capability afforded to an unauthenticated client, according to one embodiment disclosed herein;

[0014] FIGURE 2 is a diagrammatic representation of a system depicting the network access capability afforded to an authenticated client, according to one embodiment disclosed herein; and

[0015] FIGURE 3 is a diagrammatic representation of the flow of control (decision diagram) for a network access sharing device implementing the double-capture, double-redirect method, according to one embodiment disclosed herein.

DETAILED DESCRIPTION

[0016] The following applications are hereby fully incorporated by reference herein in their entirety: United States Patent Application No. 10/683,317, pending, filed October 10, 2003 by Richard MacKinnon, Kelly Looney, and Eric White, entitled “SYSTEM AND METHOD FOR PROVIDING ACCESS CONTROL”; United States Provisional Application No. 60/551,698, filed March 10, 2004 by Patrick Turley, entitled “SYSTEM AND METHOD FOR BEHAVIOR-BASED FIREWALL MODELING,” which converted into United States Patent Application No. 11/076,719, filed March 10, 2005 by Richard MacKinnon, Kelly Looney, and Eric White, entitled “SYSTEM AND METHOD FOR BEHAVIOR-BASED FIREWALL MODELING,” issued as U.S. Patent No. 7,610,621; United States Provisional Application No. 60/551,754, filed March 10, 2004 by Keith Johnston, entitled “SYSTEM AND METHOD FOR COMPREHENSIVE CODE GENERATION FOR SYSTEM MANAGEMENT,” which converted into United States

Patent Application No. 11/078,223, filed March 10, 2005 by Keith Johnston, entitled "SYSTEM AND METHOD FOR COMPREHENSIVE CODE GENERATION FOR SYSTEM MANAGEMENT," issued as U.S. Patent No. 7,509,625; United States Provisional Application No. 60/551,703, filed March 10, 2004 by Patrick Turley and Keith Johnston, entitled "SYSTEM AND METHOD FOR PROVIDING A CENTRALIZED DESCRIPTION/CONFIGURATION OF CLIENT DEVICES ON A NETWORK ACCESS GATEWAY"; United States Provisional Application No. 60/551,702, filed March 10, 2004 by Patrick Turley, Keith Johnston, and Steven D. Tonnesen, entitled "SYSTEM AND METHOD FOR ACCESS SCOPE CONTROL ("WALLED GARDENS") FOR CLIENTS OF A NETWORK ACCESS GATEWAY," which converted into United States Patent Application No. 11/076,591, pending, filed March 10, 2005 by Patrick Turley, Keith Johnston, and Steven D. Tonnesen, entitled "METHOD AND SYSTEM FOR CONTROLLING NETWORK ACCESS"; United States Provisional Application No. 60/551,699, filed March 10, 2004 by Patrick Turley, et al., entitled "SYSTEM AND METHOD FOR DYNAMIC BANDWIDTH CONTROL"; United States Provisional Application No. 60/551,697, filed March 10, 2004 by Steven D. Tonnesen, entitled "SYSTEM AND METHOD FOR DETECTION OF ABERRANT NETWORK BEHAVIOR BY CLIENTS OF A NETWORK ACCESS GATEWAY," which converted into United States Patent Application No. 11/076,652, filed March 10, 2005 by Steven D. Tonnesen, entitled "SYSTEM AND METHOD FOR DETECTION OF ABERRANT NETWORK BEHAVIOR BY CLIENTS OF A NETWORK ACCESS GATEWAY," issued as U.S. Patent No. 7,590,728; United States Provisional Application No. 60/551,705, filed March 10, 2004 by Keith Johnston, et al., entitled "SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION," which converted into United States Patent Application No. 11/076,646, now allowed, filed March 10, 2005 by Keith Johnston, et al., entitled "SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION"; United States Provisional Application No. 60/551,704, filed March 10, 2004 by Keith Johnston and Mario Garcia, entitled "SYSTEM AND METHOD FOR NETWORK MANAGEMENT XML ARCHITECTURAL ABSTRACTION," which converted into United States Patent Application No. 11/076,672, pending, filed March 10, 2005 by Keith Johnston and Mario Garcia, entitled "SYSTEM AND METHOD FOR NETWORK MANAGEMENT XML ARCHITECTURAL ABSTRACTION"; and United States Provisional Application No.

60/660,408, filed March 10, 2005 by Patrick Turley, et al., entitled "SYSTEM AND METHOD FOR PROVIDING A CENTRALIZED DESCRIPTION/ CONFIGURATION OF CLIENT DEVICES ON A NETWORK ACCESS GATEWAY."

[0017] Preferred embodiments disclosed herein are illustrated in the accompanying drawings, with like numerals being used to refer to like and corresponding parts of the various drawings.

[0018] Network access providers utilizing Access Controlled Network Sharing technology to provide user-specific provisioned access to private/public networks may also have a need to allow controlled access for unauthenticated users to a restricted collection of network destinations. An example of Access Controlled Network Sharing technology is described in U.S. Patent Application No. 10/683,317, filed October 10, 2003 by Richard MacKinnon, Kelly Looney, and Eric White, entitled "SYSTEM AND METHOD FOR PROVIDING ACCESS CONTROL." An example of a restricted collection of network destinations is referred to as the "walled garden" in U.S. Provisional Application No. 60/551,702, filed March 10, 2004 by Patrick Turley, Keith Johnston and Steven D. Tonnesen, entitled "SYSTEM AND METHOD FOR ACCESS SCOPE CONTROL ("WALLED GARDENS") FOR CLIENTS OF A NETWORK ACCESS GATEWAY," which converted into U.S. Patent Application No. 11/076,591, filed March 10, 2005 by Patrick Turley, Keith Johnston and Steven D. Tonnesen, entitled "METHOD AND SYSTEM FOR CONTROLLING NETWORK ACCESS."

[0019] To illustrate by example, assume that the following configuration has been set in the Network Access Controller 2, as depicted in Figure 1:

[0020] Walled Garden 5 contains three servers:

wg1.com (Server 6)

wg2.com (Server 7)

auth.com (Server 8)

[0021] The Pre-Authentication Capture Destination for the embodiment of Figure 1 will be designated http://wg1.com/welcome.html.

[0022] The Authentication Capture Destination for the embodiment of Figure 1 will be designated https://auth.com/login.jsp.

[0023] As shown in the embodiment of Figure 1, assume that an anonymous user has access to one of the Client network access devices 1. This user launches a Web browser and enters “www.yahoo.com” (an “external” URL, i.e., a destination that is not in the Walled Garden 5) in the address bar, and presses <Return>. The Network Access Controller 2 uses its internal Firewall 3 function to recognize the attempt by an unknown user to access an “external” location (represented by the Internet cloud 4 in Figure 1), intercepts the request, and sends an HTTP redirect to the Client's browser, specifying the Pre-Authentication Capture URL “http://wg1.com/welcome.html”. The browser then automatically performs an HTTP Get to retrieve that Web page from the wg1.com server.

[0024] At this point, the anonymous user may access Web locations on any of the three servers that are in the Walled Garden 5. For this example, assume that the Web pages on the wg1.com Server 6 include links to Web pages on the wg2.com Server 7. The anonymous user would be able to click on any of these links and view the referenced pages hosted on Server 7. However, if one of these Web pages contained a link to an “external” web site, and the user clicked on that link, then the Network Access Controller would again redirect the Client’s browser back to the Pre-Authentication Capture URL http://wg1.com/welcome.html, hosted on Server 6.

[0025] Now, assume that the anonymous user decides that they want to be authenticated, so that they may be allowed access to an “external” network destination. To enable the user to login, an HTML link is included on the welcome page at “http://wg1.com/welcome.html”. The link’s reference URL identifies a server that is outside of the Walled Garden 5, and includes the special token string “proceedToAuthenticationURL=true” within the query portion. Note that the exact form of this special token need not be predefined; the special token is simply uniquely identifiable.

[0026] When the user clicks on this link, the Network Access Controller 2 intercepts the request. However, instead of redirecting the Client’s browser to the Pre-Authentication Capture

URL, the Network Access Controller 2 recognizes the presence of the token, and redirects the browser to the Authentication URL http://auth.com/login.jsp, hosted on the "auth.com" Server 8.

[0027] At this point, the user is presented with a Login page, and enters a username/password. Assuming that these credentials are valid, the "auth.com" Server 8 sends an "authorize" command to the Network Access Controller 2. This command instructs the Network Access Controller 2 to allow "external" access for the authenticated user.

[0028] As shown in Figure 2, the Client now has access to the Internet 4 ("External Network"). If the user now enters "www.yahoo.com" in the Web browser's address bar, the Network Access Controller 2 would no longer intercept this request, and the welcome page from the Yahoo web site would be displayed.

[0029] Note that, in one embodiment, this "external" access is only granted to the authenticated user. An anonymous user on a different Client would still be restricted to the Walled Garden 5.

[0030] One embodiment of the decision logic (and associated flow) that can be executed by the Network Access Controller 2 is depicted in Figure 3. The Network Access Controller continuously monitors for network access from network access client devices 1 on its first network interface at step 18.

[0031] When a network packet is received (step 19), the Network Access Controller 2 determines if the packet is being sent by an authenticated client (step 20).

[0032] If the packet is from an authenticated client, then the Network Access Controller 2 allows the packet to pass through and be routed to its target destination ("Yes" decision fork).

[0033] If the packet is from an unauthenticated client, then the Network Access Controller 2 determines if the destination of the packet is one of the servers within the Walled Garden 5 (step 21).

[0034] If the packet is from an unauthenticated client and the destination is a server in the Walled Garden 5, then the Network Access Controller 2 allows the packet to pass through and be routed to its target server ("Yes" decision fork).

[0035] If the packet is from an unauthenticated client and the destination is not a server in the Walled Garden 5, then the Network Access Controller 2 determines if a Pre-Authentication URL has been specified (step 22).

[0036] If the packet is from an unauthenticated client, the destination is not a server in the Walled Garden 5, and a Pre-Authentication URL has not been specified, then the Network Access Controller 2 directs the client to the Authentication URL (step 24).

[0037] If the packet is from an unauthenticated client, the destination is not a server in the Walled Garden 5, and a Pre-Authentication URL has been specified, then the Network Access Controller 2 determines if the packet contains the Authentication Token (step 23).

[0038] If the packet is from an unauthenticated client, the destination is not a server in the Walled Garden 5, a Pre-Authentication URL has been specified, and the packet does not contain the Authentication Token, then the Network Access Controller 2 directs the client to the Pre-Authentication URL (step 25).

[0039] If the packet is from an unauthenticated client, the destination is not a server in the Walled Garden 5, a Pre-Authentication URL has been specified, and the packet contains the Authentication Token, then the Network Access Controller 2 directs the client to the Authentication URL (step 24).

[0040] While the present disclosure has been described with reference to particular embodiments, it should be understood that the embodiments are illustrative and that the scope of the invention is not limited to these embodiments. Many variations, modifications, additions and improvements to the embodiments described above are possible. It is contemplated that these variations, modifications, additions and improvements fall within the scope of the invention as detailed in the following claims.

WHAT IS CLAIMED IS:

1. A method for automatic pre-authentication redirection of network traffic, comprising:

providing a network access controller in a shared network, wherein the shared network comprises a plurality of server computers and a set of network destinations hosted on the plurality of server computers;

intercepting at the network access controller a request to access a network resource from a browser application running on a client device associated with an anonymous user;

determining whether the network resource referenced in the request is in the set of network destinations hosted on the plurality of server computers in the shared network;

if the network resource is in the set of network destinations hosted on the plurality of server computers in the shared network, directing the browser application running on the client device associated with the anonymous user to the network resource; and

if the network resource is not in the set of network destinations hosted on the plurality of server computers in the shared network, redirecting the browser application running on the client device associated with the anonymous user to a pre-authentication capture destination hosted on a first server computer, wherein the first server computer is one of the plurality of server computers in the shared network and wherein from the pre-authentication capture destination the anonymous user is free to visit any of the set of network destinations hosted on the plurality of server computers in the shared network without authentication.

2. The method according to claim 1, wherein the network resource is at a network location external to the shared network.

3. The method according to claim 1, wherein the redirecting further comprises sending from the network access controller to the client device a response containing an identifier identifying the pre-authentication capture destination.

4. The method according to claim 1, further comprising:

redirecting the browser application running on the client device back to the pre-authentication capture destination when the anonymous user attempts to access any network resource that is not in the set of network destinations hosted on the plurality of server computers in the shared network.

5. The method according to claim 1, further comprising:

intercepting at the network access controller a second request from the browser application running on the client device associated with the anonymous user to access a second network resource that is not in the set of network destinations; and

determining whether the second request contains an authentication token.

6. The method according to claim 5, wherein the second request does not contain the authentication token, further comprising redirecting the browser application running on the client device associated with the anonymous user back to the pre-authentication capture destination hosted on the first server computer in the shared network.

7. The method according to claim 5, wherein the second request contains the authentication token, further comprising redirecting the browser application running on the client device associated with the anonymous user to an authentication page hosted on a second server computer in the shared network.

8. The method according to claim 7, wherein the anonymous user is authenticated by the second server computer for network access external to the shared network.

9. A computer program product comprising a computer readable storage medium storing computer instructions translatable by a processor to perform:

intercepting at a network access controller a request to access a network resource from a browser application running on a client device associated with an anonymous user;

determining whether the network resource referenced in the request is in a set of network destinations hosted on a plurality of server computers in a shared network;

if the network resource is in the set of network destinations hosted on the plurality of server computers in the shared network, directing the browser application running on the client device associated with the anonymous user to the network resource; and

if the network resource is not in the set of network destinations hosted on the plurality of server computers in the shared network, redirecting the browser application running on the client device associated with the anonymous user to a pre-authentication capture destination hosted on a first server computer, wherein the first server computer is one of the plurality of

server computers in the shared network and wherein from the pre-authentication capture destination the anonymous user is free to visit any of the set of network destinations hosted on the plurality of server computers in the shared network without authentication.

10. The computer program product of claim 9, wherein the redirecting further comprises sending from the network access controller to the client device a response containing an identifier identifying the pre-authentication capture destination.

11. The computer program product of claim 9, wherein the computer instructions are further translatable by the processor to perform:

redirecting the browser application running on the client device back to the pre-authentication capture destination when the anonymous user attempts to access any network resource that is not in the set of network destinations hosted on the plurality of server computers in the shared network.

12. The computer program product of claim 9, wherein the computer instructions are further translatable by the processor to perform:

intercepting at the network access controller a second request from the browser application running on the client device associated with the anonymous user to access a second network resource that is not in the set of network destinations; and

determining whether the second request contains an authentication token.

13. The computer program product of claim 12, wherein the second request does not contain the authentication token and wherein the computer instructions are further translatable by the processor to perform:

redirecting the browser application running on the client device associated with the anonymous user back to the pre-authentication capture destination hosted on the first server computer in the shared network.

14. The computer program product of claim 12, wherein the second request contains the authentication token and wherein the computer instructions are further translatable by the processor to perform:

redirecting the browser application running on the client device associated with the anonymous user to an authentication page hosted on a second server computer in the shared network.

15. The computer program product of claim 14, wherein the anonymous user is authenticated by the second server computer for network access external to the shared network and wherein the computer instructions are further translatable by the processor to perform:

allowing the client device access to the second network resource.

16. An apparatus for controlling network access, comprising:

a processor; and

a computer readable storage medium storing computer instructions translatable by the processor to perform:

intercepting a request to access a network resource from a browser application running on a client device coupled to the apparatus, wherein the client device is associated with an anonymous user;

determining whether the network resource referenced in the request is in a set of network destinations hosted on a plurality of server computers coupled to the apparatus, wherein the plurality of server computers resides on a shared network;

if the network resource is in the set of network destinations hosted on the plurality of server computers in the shared network, directing the browser application running on the client device associated with the anonymous user to the network resource; and

if the network resource is not in the set of network destinations hosted on the plurality of server computers in the shared network, redirecting the browser application running on the client device associated with the anonymous user to a pre-authentication capture destination hosted on a first server computer, wherein the first server computer is one of the plurality of server computers in the shared network and wherein from the pre-authentication capture destination the anonymous user is free to visit any of the set

of network destinations hosted on the plurality of server computers in the shared network without authentication.

17. The apparatus of claim 16, wherein the computer instructions are further translatable by the processor to redirect the browser application running on the client device back to the pre-authentication capture destination when the anonymous user attempts to access any network resource that is not in the set of network destinations hosted on the plurality of server computers in the shared network.

18. The apparatus of claim 16, further comprising:

a first network interface coupled to the processor, wherein the computer instructions are further translatable by the processor to continuously monitor for network access from client devices on the first network interface.

19. The apparatus of claim 18, wherein the computer instructions are further translatable by the processor to perform:

intercepting a second request from the browser application running on the client device associated with the anonymous user to access a second network resource that is not in the set of network destinations; and

determining whether the second request contains an authentication token.

20. The apparatus of claim 19, wherein the computer instructions are further translatable by the processor to perform:

if the second request does not contain the authentication token, redirecting the browser application running on the client device associated with the anonymous user back to the pre-authentication capture destination hosted on the first server computer in the shared network; and

if the second request contains the authentication token, redirecting the browser application running on the client device associated with the anonymous user to an authentication page hosted on a second server computer in the shared network.

SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION

ABSTRACT OF THE DISCLOSURE

Embodiments disclosed herein provide a system, method, and computer program product for providing network access control for a shared network. One embodiment of a network access controller may intercept a request to access a network resource from a browser application running on a client device associated with an anonymous user and determine whether the network resource is in a set of network destinations in the shared network. If the network resource is in the set of network destinations, the network access controller may direct the browser application to the network resource. If the network resource is not in the set of network destinations, the network access controller may redirect the browser application to a pre-authentication capture destination in the shared network. From the pre-authentication capture destination the anonymous user is free to visit any of the set of network destinations in the shared network without authentication.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
CERTIFICATE OF TRANSMISSION VIA EFS-WEB SYSTEM	Atty Docket No. **ION1210-2**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In the Application of: **KEITH JOHNSTON**
Date Filed: **Herewith**
Title: **SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION**

I hereby certify that the attached Utility Patent Application Transmittal Form (1 page), Application Data Sheet (4 pages), copy of executed Declaration and Power of Attorney from parent (4 pages), Utility Patent Application (15 pages), and Drawings (2 pages) are being deposited electronically using the United States Patent Office EFS-Web System on November 16, 2009.

Respectfully submitted,

SPRINKLE IP LAW GROUP

Delia Narvaiz
Signature

Delia Narvaiz
Printed Name

PTO/SB/14 (07-07)
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Application Data Sheet 37 CFR 1.76	Attorney Docket Number	ION1210-2
	Application Number	
Title of Invention	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION	

The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.
This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

Secrecy Order 37 CFR 5.2

☐ Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

Applicant Information:

Applicant 1

Applicant Authority ◉ Inventor ○ Legal Representative under 35 U.S.C. 117 ○ Party of Interest under 35 U.S.C. 118

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Citizenship under 37 CFR 1.41(b) US

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Citizenship under 37 CFR 1.41(b) US

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Postal Code	78704	Country	US

Applicant 3

Applicant Authority ◉ Inventor ○ Legal Representative under 35 U.S.C. 117 ○ Party of Interest under 35 U.S.C. 118

Prefix	Given Name	Middle Name	Family Name	Suffix
	John		Martin	

Residence Information (Select One) ◉ US Residency ○ Non US Residency ○ Active US Military Service

City	Austin	State/Province	TX	Country of Residence	US

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Application Data Sheet 37 CFR 1.76	Attorney Docket Number	ION1210-2
	Application Number	
Title of Invention	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION	

Citizenship under 37 CFR 1.41(b)	US		
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Address 2			
City	Austin	**State/Province**	TX
Postal Code	78737	**Country**	US

All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the **Add** button. Add

Correspondence Information:

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).

☐ **An Address is being provided for the correspondence Information of this application.**

Customer Number	44654		
Email Address		Add Email	Remove Email

Application Information:

Title of the Invention	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION		
Attorney Docket Number	ION1210-2	**Small Entity Status Claimed**	☒
Application Type	Nonprovisional		
Subject Matter	Utility		
Suggested Class (if any)		**Sub Class (if any)**	
Suggested Technology Center (if any)			
Total Number of Drawing Sheets (if any)	2	**Suggested Figure for Publication (if any)**	3

Publication Information:

☐ Request Early Publication (Fee required at time of Request 37 CFR 1.219)

☐ **Request Not to Publish.** I hereby request that the attached application not be published under 35 U.S. C. 122(b) and certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32).
Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing.

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Application Data Sheet 37 CFR 1.76	Attorney Docket Number	ION1210-2
	Application Number	
Title of Invention	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION	

Customer Number	44654

Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification.

Prior Application Status	Pending		Remove
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
	Continuation of	11076646	2005-03-10
Prior Application Status	Expired		Remove
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
11076646	non provisional of	60551705	2004-03-10
Prior Application Status	Expired		Remove
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
11076646	non provisional of	60551702	2004-03-10

Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the **Add** button.

Foreign Priority Information:

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

Remove

Application Number	Country[1]	Parent Filing Date (YYYY-MM-DD)	Priority Claimed
			○ Yes ◉ No

Additional Foreign Priority Data may be generated within this form by selecting the **Add** button.

Assignee Information:

Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office.

Assignee 1

If the Assignee is an Organization check here. ☐

Prefix	**Given Name**	Middle Name	**Family Name**	Suffix
	Eric		White	

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Application Data Sheet 37 CFR 1.76	Attorney Docket Number	ION1210-2
	Application Number	
Title of Invention	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION	

Mailing Address Information:			
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Address 2			
City	Austin	**State/Province**	TX
Country	US	Postal Code	78704
Phone Number		Fax Number	
Email Address			
Additional Assignee Data may be generated within this form by selecting the **Add** button.			

Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.					
Signature	Katharina Schuster			Date (YYYY-MM-DD)	2009-11-16
First Name	Katharina W.	Last Name	Schuster	Registration Number	50000

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Electronic Patent Application Fee Transmittal

Application Number:	
Filing Date:	
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Filer:	Katharina W. Schuster/Delia Narvaiz
Attorney Docket Number:	ION1210-2

Filed as Small Entity

Utility under 35 USC 111(a) Filing Fees

Description	Fee Code	Quantity	Amount	Sub-Total in USD($)
Basic Filing:				
Utility filing Fee (Electronic filing)	4011	1	82	82
Utility Search Fee	2111	1	270	270
Utility Examination Fee	2311	1	110	110
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD($)
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
			Total in USD ($)	**462**

Electronic Acknowledgement Receipt

EFS ID:	6464138
Application Number:	12619560
International Application Number:	
Confirmation Number:	8837
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Customer Number:	44654
Filer:	Katharina W. Schuster/Delia Narvaiz
Filer Authorized By:	Katharina W. Schuster
Attorney Docket Number:	ION1210-2
Receipt Date:	16-NOV-2009
Filing Date:	
Time Stamp:	19:22:05
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	$462
RAM confirmation Number	6551
Deposit Account	503183
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal of New Application	ION1210-2_Transmittal.pdf	67326 e4263c0b8223024beed5be1a99ff8232e99c2298	no	1
Warnings:					
Information:					
2	Oath or Declaration filed	ION1210-2_Declaration_Copy-from-Parent.pdf	4906180 4dc1ea3c6424096be51c5a4e16354997f279d29	no	4
Warnings:					
Information:					
3	Drawings-only black and white line drawings	ION1210-1_Formal_Drawings.pdf	78808 7dca1f71ad8ebfd83c4ac1dad8ddff7a6984e7af	no	2
Warnings:					
Information:					
4		ION1210-2_Specification.pdf	98316 60ce70ce5cde908b935dc11058ae8e9c08d17844	yes	15

Multipart Description/PDF files in .zip description

Document Description	Start	End
Specification	1	9
Claims	10	14
Abstract	15	15

Warnings:					
Information:					
5	Miscellaneous Incoming Letter	ION1210-2_Cert_Transmittal.pdf	20478 7edcb57d82b8a1b7eac69db27ac6b6d8bd3ed322	no	1
Warnings:					
Information:					
6	Application Data Sheet	ION1210-2_ADS.pdf	203295 46d4b27e757ccaf0665c91ca5f30ece3799aa917	no	4
Warnings:					

Information:					
This is not an USPTO supplied ADS fillable form					
7	Fee Worksheet (PTO-875)	fee-info.pdf	33215 1d57973763e087745b6a5c69ceb96d7c070e7e4c	no	2
Warnings:					
Information:					
			Total Files Size (in bytes):	5407618	

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/06 (12-04)

Filing Date: 11/16/09

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PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875	Application or Docket Number 12/619,560

APPLICATION AS FILED – PART I

FOR	(Column 1) NUMBER FILED	(Column 2) NUMBER EXTRA	SMALL ENTITY RATE ($)	SMALL ENTITY FEE ($)	OR	OTHER THAN SMALL ENTITY RATE ($)	OTHER THAN SMALL ENTITY FEE ($)
BASIC FEE (37 CFR 1.16(a), (b), or (c))	N/A	N/A	N/A	**82**		N/A	
SEARCH FEE (37 CFR 1.16(k), (i), or (m))	N/A	N/A	N/A	**270**		N/A	
EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))	N/A	N/A	N/A	**110**		N/A	
TOTAL CLAIMS (37 CFR 1.16(i))	**20** minus 20 =		x$26		OR	x$52	
INDEPENDENT CLAIMS (37 CFR 1.16(h))	**3** minus 3 =	*	x$110			x$220	
APPLICATION SIZE FEE (37 CFR 1.16(s))	If the specification and drawings exceed 100 sheets of paper, the application size fee due is $260 ($130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR						
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))			195			390	
* If the difference in column 1 is less than zero, enter "0" in column 2.			TOTAL	**462**		TOTAL	

APPLICATION AS AMENDED – PART II

		(Column 1) CLAIMS REMAINING AFTER AMENDMENT		(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA	SMALL ENTITY RATE ($)	SMALL ENTITY ADDITIONAL FEE ($)	OR	OTHER THAN SMALL ENTITY RATE ($)	OTHER THAN SMALL ENTITY ADDITIONAL FEE ($)
AMENDMENT A	Total (37 CFR 1.16(i))	*	Minus	**	=	x =		OR	x =	
	Independent (37 CFR 1.16(h))	*	Minus	***	=	x =		OR	x =	
	Application Size Fee (37 CFR 1.16(s))									
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))					N/A		OR	N/A	
						TOTAL ADD'T FEE		OR	TOTAL ADD'T FEE	

		(Column 1) CLAIMS REMAINING AFTER AMENDMENT		(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA	RATE ($)	ADDITIONAL FEE ($)	OR	RATE ($)	ADDITIONAL FEE ($)
AMENDMENT B	Total (37 CFR 1.16(i))	*	Minus	**	=	x =		OR	x =	
	Independent (37 CFR 1.16(h))	*	Minus	***	=	x =		OR	x =	
	Application Size Fee (37 CFR 1.16(s))									
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))					N/A		OR	N/A	
						TOTAL ADD'T FEE		OR	TOTAL ADD'T FEE	

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".
*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".
The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
12/619,560	11/16/2009	2431	462	ION1210-2	20	3

CONFIRMATION NO. 8837

44654
SPRINKLE IP LAW GROUP
1301 W. 25TH STREET
SUITE 408
AUSTIN, TX 78705

FILING RECEIPT



Date Mailed: 11/30/2009

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections**

Applicant(s)

Keith Johnston, Austin, TX;
Eric White, Austin, TX;
John Martin, Austin, TX;

Assignment For Published Patent Application

Eric White, Austin, TX

Power of Attorney: The patent practitioners associated with Customer Number 44654

Domestic Priority data as claimed by applicant

This application is a CON of 11/076,646 03/10/2005
which claims benefit of 60/551,705 03/10/2004
and claims benefit of 60/551,702 03/10/2004

Foreign Applications

If Required, Foreign Filing License Granted: 11/24/2009

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/619,560**

Projected Publication Date: 03/11/2010

Non-Publication Request: No

Early Publication Request: No
** **SMALL ENTITY** **

Title

SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION

Preliminary Class

726

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number	12/619,560
Filing Date	November 16, 2009
First Named Inventor	Keith Johnston
Group Art Unit	2431
Examiner Name	Not yet assigned
Attorney Docket	ION1210-2

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number - Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	A188	6199113 B1	03-06-2001	Alegre et al.	
	A189	6678733 B1	01-13-2004	Brown et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code – Number - Kind Code *(if known)*	Publication Date MM-DD-YYYY (Number 43)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear

Examiner Signature		Date Considered	

FORM PTO 1449 US Department of Commerce Patent and Trademark Office				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnson
				Group Art Unit	2431
				Examiner Name	Not yet assigned
Sheet	1	of	1	Atty Docket Number	ION1210-2

Examiner Initials	Cite No.	OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS	Date
	C70	CRANDELL ET AL., "A Secure and Transparent Firewall Web Proxy," October 2003, USENIX, Retrieved from the internet on 7/15/09: <URL: http://www.usenix.org/event/lisa03/tech/full_papers/crandell/crandell.pdf>	
	C71	SOMMERLAD, "Reverse Proxy Patterns," 2003 Retrieved from the Internet on 7/15/09, 27 pages: <URL: http://www.modsecurity.org/archive/ReverseProxy-book-1.pdf>	
	C75	WILLIAMSON, Matthew, "Throttling Viruses: Restricting Propagation to Defeat Malicious Mobile Code," Proceedings of the 18th Annual Computer Security Applications Conference, 2002 IEEE, 8 pages.	
	C76	WILLIAMSON, et al, "Virus Throttling," Virus Bulletin Research Feature 1, March 2003, 4 pages.	
Examiner Signature		Date Considered	

Electronic Acknowledgement Receipt

EFS ID:	6643526
Application Number:	12619560
International Application Number:	
Confirmation Number:	8837
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Customer Number:	44654
Filer:	Katharina W. Schuster/Betty Caldwell
Filer Authorized By:	Katharina W. Schuster
Attorney Docket Number:	ION1210-2
Receipt Date:	15-DEC-2009
Filing Date:	16-NOV-2009
Time Stamp:	19:01:53
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		ION1210-2_IDS_12-15-09.pdf	104980 90e95b6440bd3ab4ca5035f9237965ebb6209c92	yes	4

	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Transmittal Letter		1	2	
	Information Disclosure Statement (IDS) Filed (SB/08)		3	4	
Warnings:					
Information:					
2	NPL Documents	ION_Ref_C70.pdf	785136 f426bdbf94fc415b12fc08c70069a032f74f9b8a	no	8
Warnings:					
Information:					
3	NPL Documents	ION_Ref_C71.pdf	1051956 7bd9a724fe22583b8e7ca6efbb76f2370e5eb8ec	no	27
Warnings:					
Information:					
4	NPL Documents	ION_Ref_C75.pdf	155936 2201d6ed0aa777fb6752c05418a76276f7ce2143	no	8
Warnings:					
Information:					
5	NPL Documents	ION_Ref_C76.pdf	290962 240a305cccf40a46de480f38b1e05fc61c763838	no	4
Warnings:					
Information:					
		Total Files Size (in bytes):	2388970		

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket No. (Opt.) **ION1210-2**

Applicant **Keith Johnson**	
Application Number **12/619,560**	Filed **November 16, 2009**
For **SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION**	
Group Art Unit **2431**	Examiner **Not yet assigned**
Confirmation Number: **8837**	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certification of Transmission Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22312-1450 via the U.S. Patent and Trademark Office Electronic Filing System (EFS-Web) on DECEMBER 15 **2009**.

Betty Caldwell
Signature

BETTY CALDWELL
Printed Name

Dear Sir,

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the information listed on the attached SB08A/B form(s) be considered and cited in the examination of the above-identified application. A copy of U.S. Patent(s) and U.S. Patent Application Publication(s) listed on the attached SB08A form is not being submitted with this Information Disclosure Statement pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office. A copy of foreign patent documents as well as the information listed on the attached SB08B form is enclosed for the convenience of the Examiner.

☒ This Information Disclosure Statement is being submitted within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53(d).

☐ This Information Disclosure Statement is being submitted within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application;

☐ This Information Disclosure Statement is being submitted before the mailing of a first Office action on the merits; or

☐ This Information Disclosure Statement is being submitted before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(b) and before the mailing date of any of a final action under 37 C.F.R. § 1.113, a notice of allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution in the application, and is accompanied by one of:

- ☐ The statement specified in 37 C.F.R. § 1.97(e); or
- ☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(c) and on or before payment of the issue fee and is accompanied by:

- ☐ The statement specified in 37 C.F.R. § 1.97(e); and
- ☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

Furthermore, pursuant to 37 C.F.R. §§ 1.97(g) and (h), no representation is made that a search has been made or that this information is material to patentability of the present application.

Applicant respectfully submits that the claims of Applicant's above-referenced patent application are patentably distinguishable from the listed information.

Respectfully submitted,

Sprinkle IP Law Group
Attorneys for Applicant

/Katharina W. Schuster/
Katharina W. Schuster
Reg. No. 50,000

Dated: Dec. 15, 2009

1301 W. 25th Street, Suite 408
Austin, Texas 78705
Tel. (512) 637-9220
Fax. (512) 317-9088

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2431
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	ION1210-2

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	190	7624438	11-24-2009	White	
	191	7587512	09-08-2009	Ta et al.	
	192	7610621	10-27-2009	Turley et al.	
	193	7590728	09-15-2009	Tonnesen et al.	
	194	7454792	11-18-2008	Cantrell et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	**Foreign Patent Document** Country Code-Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY (Number 43)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear

Examiner Signature		Date Considered	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number	12/619,560
Filing Date	November 16, 2009
First Named Inventor	Keith Johnston
Group Art Unit	2431
Examiner Name	Not Yet Assigned
Atty Docket Number	ION1210-2

Sheet 1 of 1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T^2
	C77	Office Action issued in U.S. Patent Application No. 11/076,672, mailed 01/07/2010, 9 pgs.	

Examiner Signature		Date Considered	

Electronic Acknowledgement Receipt

EFS ID:	6927505
Application Number:	12619560
International Application Number:	
Confirmation Number:	8837
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Customer Number:	44654
Filer:	Katharina W. Schuster/Delia Narvaiz
Filer Authorized By:	Katharina W. Schuster
Attorney Docket Number:	ION1210-2
Receipt Date:	02-FEB-2010
Filing Date:	16-NOV-2009
Time Stamp:	10:25:04
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		ION1210-2_IDS_020210.pdf	139535 fdcd26eb2d193e03f94380f1f506f866c683d5a3	yes	4

	Multipart Description/PDF files in .zip description		
	Document Description	**Start**	**End**
	Transmittal Letter	1	2
	Information Disclosure Statement (IDS) Filed (SB/08)	3	4

Warnings:					
Information:					
2	NPL Documents	ION_Ref_C77.pdf	328449 5ad5cfa3963fdc93ed8139e1eaff445b3ba88e16	no	9
Warnings:					
Information:					
		Total Files Size (in bytes):	467984		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

<u>New Applications Under 35 U.S.C. 111</u>
If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

<u>National Stage of an International Application under 35 U.S.C. 371</u>
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<u>New International Application Filed with the USPTO as a Receiving Office</u>
If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket No. (Opt.) **ION1210-2**

Applicant **Keith Johnston**	
Application Number **12/619,560**	Filed **November 16, 2009**
For **SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE RE-DIRECT TO A DIFFERENT LOCATION**	
Group Art Unit **2431**	Examiner **Not Yet Assigned**
Confirmation Number: **8837**	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certification of Transmission Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22312-1450 via the U.S. Patent and Trademark Office Electronic Filing System (EFS-Web) on February 2, **2010**.

Delia Narvaiz
Signature

Delia Narvaiz
Printed Name

Dear Sir,

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the information listed on the attached SB08A/B form(s) be considered and cited in the examination of the above-identified application. A copy of U.S. Patent(s) and U.S. Patent Application Publication(s) listed on the attached SB08A form is not being submitted with this Information Disclosure Statement pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office. A copy of foreign patent documents as well as the information listed on the attached SB08B form is enclosed for the convenience of the Examiner.

☒ This Information Disclosure Statement is being submitted within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53(d).

☐ This Information Disclosure Statement is being submitted within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application;

☐ This Information Disclosure Statement is being submitted before the mailing of a first Office action on the merits; or

☐ This Information Disclosure Statement is being submitted before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(b) and before the mailing date of any of a final action under 37 C.F.R. § 1.113, a notice of allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution in the application, and is accompanied by one of:

- ☐ The statement specified in 37 C.F.R. § 1.97(e); or
- ☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(c) and on or before payment of the issue fee and is accompanied by:

- ☐ The statement specified in 37 C.F.R. § 1.97(e); and
- ☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

Furthermore, pursuant to 37 C.F.R. §§ 1.97(g) and (h), no representation is made that a search has been made or that this information is material to patentability of the present application.

Applicant respectfully submits that the claims of Applicant's above-referenced patent application are patentably distinguishable from the listed information.

Respectfully submitted,

Sprinkle IP Law Group
Attorneys for Applicant

Katharina W. Schuster
Reg. No. 50,000

Dated: Jan. 29, 2010

1301 W. 25th Street, Suite 408
Austin, Texas 78705
Tel. (512) 637-9220
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2431
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	ION1210-2

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	195	20020138631 A1	09-26-2002	Friedel et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	**Foreign Patent Document** Country Code-Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY (Number 43)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear

Examiner Signature		Date Considered	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number	12/619,560
Filing Date	November 16, 2009
First Named Inventor	Keith Johnston
Group Art Unit	2431
Examiner Name	Not Yet Assigned
Atty Docket Number	ION1210-2

Sheet 1 of 1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T^2
	C78	Office Action issued in U.S. Patent Application No. 11/076,591, mailed 02/02/2010, 34 pgs.	

Examiner Signature		Date Considered	

Electronic Acknowledgement Receipt

EFS ID:	7055904
Application Number:	12619560
International Application Number:	
Confirmation Number:	8837
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Customer Number:	44654
Filer:	Katharina W. Schuster/Delia Narvaiz
Filer Authorized By:	Katharina W. Schuster
Attorney Docket Number:	ION1210-2
Receipt Date:	22-FEB-2010
Filing Date:	16-NOV-2009
Time Stamp:	12:55:24
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		ION1210-2_IDS_022210.pdf	141455 6f4b2c16a5d879d36ac1e0eec210ea1c81382830	yes	4

	Multipart Description/PDF files in .zip description		
	Document Description	**Start**	**End**
	Transmittal Letter	1	2
	Information Disclosure Statement (IDS) Filed (SB/08)	3	4
Warnings:			
Information:			

2	NPL Documents	ION_Ref_C78.pdf	1280298 426d19bf4173ec0248a44ab56ae4db8ba5aa5360	no	34
Warnings:					
Information:					
		Total Files Size (in bytes):	1421753		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

<u>New Applications Under 35 U.S.C. 111</u>
If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

<u>National Stage of an International Application under 35 U.S.C. 371</u>
If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

<u>New International Application Filed with the USPTO as a Receiving Office</u>
If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket No. (Opt.) **ION1210-2**

Applicant **Keith Johnston**	
Application Number **12/619,560**	Filed **November 16, 2009**
For **SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE RE-DIRECT TO A DIFFERENT LOCATION**	
Group Art Unit **2431**	Examiner **Not Yet Assigned**
Confirmation Number: **8837**	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certification of Transmission Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22312-1450 via the U.S. Patent and Trademark Office Electronic Filing System (EFS-Web) on February 22, 2010.

Delia Narvaiz

Dear Sir,

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the information listed on the attached SB08A/B form(s) be considered and cited in the examination of the above-identified application. A copy of U.S. Patent(s) and U.S. Patent Application Publication(s) listed on the attached SB08A form is not being submitted with this Information Disclosure Statement pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office. A copy of foreign patent documents as well as the information listed on the attached SB08B form is enclosed for the convenience of the Examiner. Applicant further respectfully requests the Examiner consider information cited in parent application Serial No. 11/076,646, filed March 10, 2005, of which the present application is a continuation.

☐ This Information Disclosure Statement is being submitted within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53(d).

☐ This Information Disclosure Statement is being submitted within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application;

☒ This Information Disclosure Statement is being submitted before the mailing of a first Office action on the merits; or

☐ This Information Disclosure Statement is being submitted before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(b) and before the mailing date of any of a final action under 37 C.F.R. § 1.113, a notice of allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution in the application, and is accompanied by one of:

- ☐ The statement specified in 37 C.F.R. § 1.97(e); or
- ☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(c) and on or before payment of the issue fee and is accompanied by:

- ☐ The statement specified in 37 C.F.R. § 1.97(e); and
- ☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

Furthermore, pursuant to 37 C.F.R. §§ 1.97(g) and (h), no representation is made that a search has been made or that this information is material to patentability of the present application.

Applicant respectfully submits that the claims of Applicant's above-referenced patent application are patentably distinguishable from the listed information.

Respectfully submitted,

Sprinkle IP Law Group
Attorneys for Applicant

Katharina W. Schuster
Reg. No. 50,000

Dated: Feb. 19, 2010

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
12/619,560	11/16/2009	Keith Johnston	ION1210-2

CONFIRMATION NO. 8837

44654
SPRINKLE IP LAW GROUP
1301 W. 25TH STREET
SUITE 408
AUSTIN, TX 78705

PUBLICATION NOTICE

OC00000040547624

Title:SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION

Publication No.US-2010-0064356-A1
Publication Date:03/11/2010

NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently http://pair.uspto.gov/. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Office of Data Managment, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

Substitute Form SB08A

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2431
				Examiner Name	Not Yet Assigned
Sheet	1	of	2	Attorney Docket Number	ION1210-2

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	196	7665130	02-16-2010	Johnston et al.	
	197	6226752	05-01-2001	Gupta et al.	
	198	6631416	10-07-2003	Bendinelli et al.	
	199	6763468	07-13-2004	Gupta et al.	
	200	7085854	08-01-2006	Keane et al.	
	201	7143435	11-28-2006	Droms et al.	
	202	7181542	02-20-2007	Tuomenoksa et al.	
	203	7181766	02-20-2007	Bendinelli et al.	
	204	7194554	03-20-2007	Short et al.	
	205	7290288	10-30-2007	Gregg et al.	
	206	7316029	01-01-2008	Parker et al.	
	207	7324551	01-29-2008	Stammers	
	208	7448075	11-04-2008	Morand et al.	
	209	20020023210	02-21-2002	Tuomenoksa et al.	
	210	20020026503	02-28-2002	Bendinelli et al.	
	211	20020026531	02-28-2002	Keane et al.	
	212	20020029276	03-07-2002	Bendinelli et al.	
	213	20020053031	05-02-2002	Bendinelli et al.	
	214	20020056008	05-09-2002	Keane et al.	
	215	20020091859	07-11-2002	Tuomenoksa et al.	
	216	20020099829	07-25-2002	Richards et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY (Number 43)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear

Examiner Signature		Date Considered	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2431
				Examiner Name	Not Yet Assigned
Sheet	2	of	2	Attorney Docket Number	ION1210-2

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	217	20030115247	06-19-2003	Simpson et al.	
	218	20030182420	09-25-2003	Jones et al.	
	219	20040083295	04-29-2004	Amara et al.	
	220	20040215957	10-28-2004	Moineau et al.	
	221	20050021975	01-27-2005	Liu	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY (Number 43)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear

Examiner Signature		Date Considered	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2431
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Atty Docket Number	ION1210-2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T^2
	C80	Office Action issued in U.S. Patent Application No. 11/076,591, mailed 07/20/2010, 33 pgs.	
	C81	Office Action issued in U.S. Patent Application No. 10/683,317, mailed 07/23/2010, 9 pgs.	

Examiner Signature		Date Considered	

Electronic Acknowledgement Receipt

EFS ID:	8179124
Application Number:	12619560
International Application Number:	
Confirmation Number:	8837
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Customer Number:	44654
Filer:	Katharina W. Schuster/Delia Narvaiz
Filer Authorized By:	Katharina W. Schuster
Attorney Docket Number:	ION1210-2
Receipt Date:	09-AUG-2010
Filing Date:	16-NOV-2009
Time Stamp:	14:30:37
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		ION1210-2_IDS_080910.pdf	206597 099a50423e0c2913465064367 36c118a6ee6ff95	yes	5

	Multipart Description/PDF files in .zip description		
	Document Description	**Start**	**End**
	Transmittal Letter	1	2
	Information Disclosure Statement (IDS) Filed (SB/08)	3	5

Warnings:					
Information:					
2	NPL Documents	ION_Ref_C80.pdf	1317681 0e11ce5c3808b611b28014d309b5af2232679c92	no	33
Warnings:					
Information:					
3	NPL Documents	ION_Ref_C81.pdf	315256 306cb83561f785389d2f290e172a68623fb89c5e	no	9
Warnings:					
Information:					
		Total Files Size (in bytes):	1839534		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket No. (Opt.) **ION1210-2**

Applicant **Keith Johnston**	
Application Number **12/619,560**	Filing or 371 (c) Date: **November 16, 2009**
For **SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBE-REDIRECT TO A DIFFERENT LOCATION**	
Group Art Unit **2431**	Examiner **Not Yet Assigned**
Confirmation Number: **8837**	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certification of Transmission Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22312-1450 via the U.S. Patent and Trademark Office Electronic Filing System (EFS-Web) on August 9, 2010.

Delia Narvaiz

Dear Sir,

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the information listed on the attached SB08A/B form(s) be considered and cited in the examination of the above-identified application. A copy of U.S. Patent(s) and U.S. Patent Application Publication(s) listed on the attached SB08A form is not being submitted with this Information Disclosure Statement pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office. Where applicable, a copy of foreign patent document(s) as well as information listed on the attached SB08B form is enclosed for the convenience of the Examiner.

☐ This Information Disclosure Statement is being submitted within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53(d).

☐ This Information Disclosure Statement is being submitted within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application;

☒ This Information Disclosure Statement is being submitted before the mailing of a First Office Action on the merits; or

☐ This Information Disclosure Statement is being submitted before the mailing of a First Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(b) and before the mailing date of any of a Final Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- ☐ The statement specified in 37 C.F.R. § 1.97(e); or
- ☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(c) and on or before payment of the issue fee and is accompanied by:

- ☐ The statement specified in 37 C.F.R. § 1.97(e); and
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Applicant respectfully submits that the claims of Applicant's above-referenced patent application are patentably distinguishable from the listed information. Furthermore, pursuant to 37 C.F.R. §§ 1.97(g) and (h), no representation is made that a search has been made or that this information is material to patentability of the present application.

Applicant does not believe any fees are due and owing at this time. If Applicant is in error, the Director is hereby authorized to deduct any and all appropriate fees from Deposit Account 50-3183 of Sprinkle IP Law Group.

Respectfully submitted,

Sprinkle IP Law Group
Attorneys for Applicant

Katharina W. Schuster
Reg. No. 50,000

Dated: Aug. 9, 2010

1301 W. 25th Street, Suite 408
Austin, Texas 78705
Tel. (512) 637-9220
Fax. (512) 317-9088

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	DINH, Minh
Sheet	1	of	1	Attorney Docket Number	ION1210-2

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	222	7310613	12-18-2007	Briel et al.	
	223	20030110073	06-12-2003	Briel et al.	
	224	20100192213	07-29-2010	Ta et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	**Foreign Patent Document** Country Code-Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY (Number 43)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear

Examiner Signature		Date Considered	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number	12/619,560
Filing Date	November 16, 2009
First Named Inventor	Keith Johnston
Group Art Unit	2432
Examiner Name	DINH, Minh
Atty Docket Number	ION1210-2

Sheet 1 of 1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T^2
	C82	Office Action for U.S. Patent Application No. 12/506,140, mailed 09/01/2010, 11 pgs.	

Examiner Signature		Date Considered	

Electronic Acknowledgement Receipt

EFS ID:	8487296
Application Number:	12619560
International Application Number:	
Confirmation Number:	8837
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Customer Number:	44654
Filer:	Katharina W. Schuster/Delia Narvaiz
Filer Authorized By:	Katharina W. Schuster
Attorney Docket Number:	ION1210-2
Receipt Date:	23-SEP-2010
Filing Date:	16-NOV-2009
Time Stamp:	17:58:46
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		ION1210-2_IDS_092310.pdf	145599 c952377a65e91725660b61f581a4477e4d58108d	yes	4

	Multipart Description/PDF files in .zip description		
	Document Description	**Start**	**End**
	Transmittal Letter	1	2
	Information Disclosure Statement (IDS) Filed (SB/08)	3	4

Warnings:					
Information:					
2	NPL Documents	ION_Ref_C82.pdf	328854 ca46b69eec6d5ca785c4a1caa98d096d06a5194d	no	11
Warnings:					
Information:					
		Total Files Size (in bytes):	474453		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

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New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket No. (Opt.) **ION1210-2**

Applicant **Keith Johnston**	
Application Number **12/619,560**	Filing or 371 (c) Date: **November 16, 2009**
For **SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION**	
Group Art Unit **2432**	Examiner **DINH, Minh**
Confirmation Number: **8837**	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certification of Transmission Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22312-1450 via the U.S. Patent and Trademark Office Electronic Filing System (EFS-Web) on September 23, 2010.

Delia Narvaiz

Dear Sir,

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the information listed on the attached SB08A/B form(s) be considered and cited in the examination of the above-identified application. A copy of U.S. Patent(s) and U.S. Patent Application Publication(s) listed on the attached SB08A form is not being submitted with this Information Disclosure Statement pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office. Where applicable, a copy of foreign patent document(s) as well as information listed on the attached SB08B form is enclosed for the convenience of the Examiner.

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☐ This Information Disclosure Statement is being submitted within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application;

☒ This Information Disclosure Statement is being submitted before the mailing of a First Office Action on the merits; or

☐ This Information Disclosure Statement is being submitted before the mailing of a First Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(b) and before the mailing date of any of a Final Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- ☐ The statement specified in 37 C.F.R. § 1.97(e); or
- ☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(c) and on or before payment of the issue fee and is accompanied by:

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Applicant respectfully submits that the claims of Applicant's above-referenced patent application are patentably distinguishable from the listed information. Furthermore, pursuant to 37 C.F.R. §§ 1.97(g) and (h), no representation is made that a search has been made or that this information is material to patentability of the present application.

Applicant does not believe any fees are due and owing at this time. If Applicant is in error, the Director is hereby authorized to deduct any and all appropriate fees from Deposit Account 50-3183 of Sprinkle IP Law Group.

Respectfully submitted,

Sprinkle IP Law Group
Attorneys for Applicant

Katharina W. Schuster
Reg. No. 50,000

Dated: Sept. 23, 2010

1301 W. 25th Street, Suite 408
Austin, Texas 78705
Tel. (512) 637-9220
Fax. (512) 317-9088

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	DINH, Minh
Sheet	1	of	1	Attorney Docket Number	ION1210-2

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	225	US 20040268149	12-30-2004	Aaron	
	226	US 20030212900	11-13-2003	Liu et al.	
	227	US 20020133586	09-12-2002	Shanklin et al.	
	228	US 7386888	06-10-2008	Liang et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY (Number 43)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear

Examiner Signature		Date Considered	

(Substitute Form PTO/SB/08b)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	DINH, Minh
Sheet	1	of	1	Atty Docket Number	ION1210-2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T^2
	C83	Office Action for U.S. Patent Application No. 12/579,566, mailed 10/06/2010, 7 pgs.	

Examiner Signature		Date Considered	

Electronic Acknowledgement Receipt

EFS ID:	8675918
Application Number:	12619560
International Application Number:	
Confirmation Number:	8837
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Customer Number:	44654
Filer:	Katharina W. Schuster/Delia Narvaiz
Filer Authorized By:	Katharina W. Schuster
Attorney Docket Number:	ION1210-2
Receipt Date:	21-OCT-2010
Filing Date:	16-NOV-2009
Time Stamp:	16:39:15
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		ION1210-2_IDS_102110.pdf	145999 d4b5f531c2e4d08a377124e42df2eb70c67e674e	yes	4

	Multipart Description/PDF files in .zip description		
	Document Description	**Start**	**End**
	Transmittal Letter	1	2
	Information Disclosure Statement (IDS) Filed (SB/08)	3	4

Warnings:					
Information:					
2	NPL Documents	ION_Ref_C83.pdf	228147 dbe6e6b529f40b3e94157b5fcfa2a86947f5fd66	no	7
Warnings:					
Information:					
		Total Files Size (in bytes):	374146		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111
If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371
If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket No. (Opt.) **ION1210-2**

Applicant **Keith Johnston**	
Application Number **12/619,560**	Filing or 371 (c) Date: **November 16, 2009**
For **SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION**	
Group Art Unit **2432**	Examiner **DINH, Minh**
Confirmation Number: **8837**	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certification of Transmission Under 37 C.F.R. 1.8

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Delia Narvaiz

Dear Sir,

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the information listed on the attached SB08A/B form(s) be considered and cited in the examination of the above-identified application. A copy of U.S. Patent(s) and U.S. Patent Application Publication(s) listed on the attached SB08A form is not being submitted with this Information Disclosure Statement pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office. Where applicable, a copy of foreign patent document(s) as well as information listed on the attached SB08B form is enclosed for the convenience of the Examiner.

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☐ This Information Disclosure Statement is being submitted before the mailing of a First Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(b) and before the mailing date of any of a Final Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- ☐ The statement specified in 37 C.F.R. § 1.97(e); or
- ☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

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Respectfully submitted,

Sprinkle IP Law Group
Attorneys for Applicant

Katharina W. Schuster
Reg. No. 50,000

Dated: Oct. 20, 2010

1301 W. 25th Street, Suite 408
Austin, Texas 78705
Tel. (512) 637-9220
Fax. (512) 317-9088

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	DINH, Minh
Sheet	1	of	1	Attorney Docket Number	ION1210-2

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	229	US 6266774	07-24-2001	Sampath et al.	
	230	US 6502135	12-31-2002	Munger et al.	
	231	US 6839759	01-04-2005	Larson et al.	
	232	US 7188180	03-06-2007	Larson et al.	
	233	US 7418504	08-26-2008	Larson et al.	
	234	US 7490151	02-10-2009	Munger et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY (Number 43)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear

Examiner Signature		Date Considered	

(Substitute Form PTO/SB/08b)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	DINH, Minh
Sheet	1	of	1	Atty Docket Number	ION1210-2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T^2
	C90	Discussion of Conceptual Difference Between Cisco IOS Classic and Zone-Based Firewalls, October 2007, 4 pgs., Cisco Systems, Inc., San Jose, CA.	
	C91	Cisco IOS Firewall Zone-Based Policy Firewall, Release 12.4(6)T, Technical Discussion, February 2006, 77 pgs., Cisco Systems, Inc., San Jose, CA.	
	C92	Zone-Based Policy Firewall Design and Application Guide, Document ID: 98628, 09/13/2007, 49 pgs., Cisco Systems, Inc., San Jose, CA.	

Examiner Signature		Date Considered	

Electronic Acknowledgement Receipt

EFS ID:	9029789
Application Number:	12619560
International Application Number:	
Confirmation Number:	8837
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Customer Number:	44654
Filer:	Katharina W. Schuster/Delia Narvaiz
Filer Authorized By:	Katharina W. Schuster
Attorney Docket Number:	ION1210-2
Receipt Date:	14-DEC-2010
Filing Date:	16-NOV-2009
Time Stamp:	13:55:17
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		ION1210-2_IDS_121410.pdf	160751 4f0bd656f1ff6f8fc4d65866c5c8649a23b8a4f1	yes	4

	Multipart Description/PDF files in .zip description		
	Document Description	**Start**	**End**
	Transmittal Letter	1	2
	Information Disclosure Statement (IDS) Filed (SB/08)	3	4

Warnings:					
Information:					
2	NPL Documents	ION_Ref_C90.pdf	249991 d4750ec8729cb0fe30297cbd447743f26434f10e	no	4
Warnings:					
Information:					
3	NPL Documents	ION_Ref_C91.pdf	529579 afc463adec717bccf924c7f4edf6a1ce0e7036e3	no	77
Warnings:					
Information:					
4	NPL Documents	ION_Ref_C92.pdf	273393 f99a7b4b6b3859d59fe144276ef0c49802b54bcc	no	49
Warnings:					
Information:					
		Total Files Size (in bytes):	1213714		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

<u>New Applications Under 35 U.S.C. 111</u>
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<u>National Stage of an International Application under 35 U.S.C. 371</u>
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<u>New International Application Filed with the USPTO as a Receiving Office</u>
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket No. (Opt.) **ION1210-2**

Applicant **Keith Johnston**	
Application Number **12/619,560**	Filing or 371 (c) Date: **November 16, 2009**
For **SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION**	
Group Art Unit **2432**	Examiner **DINH, Minh**
Confirmation Number: **8837**	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certification of Transmission Under 37 C.F.R. 1.8

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Delia Narvaiz

Delia Narvaiz

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- ☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

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Respectfully submitted,

SPRINKLE IP LAW GROUP
Attorneys for Applicant

Katharina Schuster

Katharina W. Schuster
Reg. No. 50,000

Dated: Dec. 10, 2010

1301 W. 25th Street, Suite 408
Austin, Texas 78705
Tel. (512) 637-9220
Fax. (512) 317-9088

(Substitute Form PTO/SB/08b)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	DINH, Minh
Sheet	1	of	1	Atty Docket Number	ION1210-2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T^2
	C93	SP MAJ, W MAKAIRANONDH, D VEAL, "An Evaluation of Firewall Configuration Methods," IJSCSNS International Journal of Computer Science and Network Security, Vol. 10, No. 8, August 2010, 7 pgs.	
	C94	Using VPN with Zone-Based Policy Firewall, May 2009, Cisco Systems, Inc., San Jose, CA, 10 pgs.	
	C95	Cisco IOS Firewall Classic and Zone-Based Virtual Firewall Application Configuration Example, Document ID: 100595, February 12, 2008, 20 pgs., Cisco Systems, Inc., San Jose, CA.	
	C96	Class-Based Policy Provisioning: Introducing Class-Based Policy Language (CPL), August 2008, 36 pgs., Cisco Systems, Inc., San Jose, CA.	
	C97	Cisco IOS Zone Based Firewall Example, at http://www.linickx.com/archives/2945/cisco-ios-zon..., printed 12/07/2010, 6 pgs., LINICKX.com.	
	C98	Zone-Based Policy Firewall, Published 02/22/2006, Updated 06/19/2006, 46 pgs., Cisco Systems, Inc., San Jose, CA.	
	C99	Applying Zone-based Firewall Policies in Cisco Security Manager, Published March 2009, Revised September 2009, 64 pgs., Cisco Systems, Inc., San Jose, CA.	

Examiner Signature		Date Considered	

Electronic Acknowledgement Receipt

EFS ID:	9107705
Application Number:	12619560
International Application Number:	
Confirmation Number:	8837
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Customer Number:	44654
Filer:	Katharina W. Schuster/Delia Narvaiz
Filer Authorized By:	Katharina W. Schuster
Attorney Docket Number:	ION1210-2
Receipt Date:	23-DEC-2010
Filing Date:	16-NOV-2009
Time Stamp:	12:50:23
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		ION1210-2_IDS_122310.pdf	140633 ef0ccbfeeceec21ff26fe5cdbcd9455b45337c11	yes	3

	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Transmittal Letter		1	2	
	Information Disclosure Statement (IDS) Filed (SB/08)		3	3	
Warnings:					
Information:					
2	NPL Documents	ION_Ref_C93.pdf	472351 6691c9bd0b1552016f5bb661f4975d02f661a0b7	no	7
Warnings:					
Information:					
3	NPL Documents	ION_Ref_C94.pdf	123540 a1b006af31bd4fa9a35f8471498815ba4ad4241f	no	10
Warnings:					
Information:					
4	NPL Documents	ION_Ref_C95.pdf	104824 b83245baab8171001f132b9a941b99f001ea3343	no	20
Warnings:					
Information:					
5	NPL Documents	ION_Ref_C96.pdf	522001 c2185a7e8b1d724c6bf37912263a0e048df34637	no	36
Warnings:					
Information:					
6	NPL Documents	ION_Ref_C97.pdf	210299 a308d10e5af39d4f3b42bd1e5aa13e14aa533eff	no	6
Warnings:					
Information:					
7	NPL Documents	ION_Ref_C98.pdf	2492957 36959362e1eb55c6b9d4c484b3cb4d7e7c9df2e9	no	46
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Information:					
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Warnings:					
Information:					
		Total Files Size (in bytes):	5034633		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket No. (Opt.) **ION1210-2**

Applicant **Keith Johnston**	
Application Number **12/619,560**	Filing or 371 (c) Date: **November 16, 2009**
For **SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION**	
Group Art Unit **2432**	Examiner **DINH, Minh**
Confirmation Number: **8837**	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certification of Transmission Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22312-1450 via the U.S. Patent and Trademark Office Electronic Filing System (EFS-Web) on December 23 2010.

Delia Narvaiz

Dear Sir,

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the information listed on the attached SB08A/B form(s) be considered and cited in the examination of the above-identified application. A copy of U.S. Patent(s) and U.S. Patent Application Publication(s) listed on the attached SB08A form is not being submitted with this Information Disclosure Statement pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office. Where applicable, a copy of foreign patent document(s) as well as information listed on the attached SB08B form is enclosed for the convenience of the Examiner.

☐ This Information Disclosure Statement is being submitted within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53(d).

☐ This Information Disclosure Statement is being submitted within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application;

☒ This Information Disclosure Statement is being submitted before the mailing of a First Office Action on the merits; or

☐ This Information Disclosure Statement is being submitted before the mailing of a First Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(b) and before the mailing date of any of a Final Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- ☐ The statement specified in 37 C.F.R. § 1.97(e); or
- ☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(c) and on or before payment of the issue fee and is accompanied by:

- ☐ The statement specified in 37 C.F.R. § 1.97(e); and
- ☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

Applicant respectfully submits that the claims of Applicant's above-referenced patent application are patentably distinguishable from the listed information. Furthermore, pursuant to 37 C.F.R. §§ 1.97(g) and (h), no representation is made that a search has been made or that this information is material to patentability of the present application.

Applicant does not believe any fees are due and owing at this time. If Applicant is in error, the Director is hereby authorized to deduct any and all appropriate fees from Deposit Account 50-3183 of Sprinkle IP Law Group.

Respectfully submitted,

SPRINKLE IP LAW GROUP
Attorneys for Applicant

Katharina W. Schuster
Reg. No. 50,000

Dated: Dec. 22, 2010

1301 W. 25th Street, Suite 408
Austin, Texas 78705
Tel. (512) 637-9220
Fax. (512) 317-9088

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	DINH, Minh
Sheet	1	of	1	Attorney Docket Number	ION1210-2

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	235	US 20040064351	04-01-2004	Mikurak	
	236	US 20040064560	04-01-2004	Zhang et al.	
	237	US 6834341	12-21-2004	Bahl et al.	
	238	US 20050066200	03-24-2005	Bahl et al.	
	239	US 20050138358	06-23-2005	Bahl et al.	
	240	US 20060168454	07-27-2006	Venkatachary et al.	
	241	US 7092727	08-15-2006	Li et al.	
	242	US 7444669	10-28-2008	Bahl et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY (Number 43)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear

Examiner Signature		Date Considered	

(Substitute Form PTO/SB/08b)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	DINH, Minh
Sheet	1	of	1	Atty Docket Number	ION1210-2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T^2
	C100	"FreeBSD Handbook, Chapter 30 Firewalls," 2003, found at www.freebsd.org/doc/handbook/firewalls-ipfw.html, printed 12/27/2010, 13 pgs.	
	C101	WATTERS, Paul, "Solaris 8 Administrator's Guide. Chapter 4, Network Configuration," O'Reilly & Associates, Inc., January 2002, 17 pgs.	
	C102	SPITZNER, Lance, "Configuring network interface cards; getting your interfaces to talk," 03/23/2004, 4 pgs.	
	C103	GITE, Vivek, "Redhat/CentOS/Fedora Linux Open Port," 09/13/2007, found at www.cyberciti.biz/faq/howto-rhel-linux-open-port-using-iptables/ printed 01/03/2011, 7 pgs.	
	C105	Office Action for U.S. Patent Application No. 10/683,317, dated January 3, 2011, 12 pgs.	

Examiner Signature		Date Considered	

Electronic Acknowledgement Receipt

EFS ID:	9304794
Application Number:	12619560
International Application Number:	
Confirmation Number:	8837
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Customer Number:	44654
Filer:	Katharina W. Schuster/Delia Narvaiz
Filer Authorized By:	Katharina W. Schuster
Attorney Docket Number:	ION1210-2
Receipt Date:	25-JAN-2011
Filing Date:	16-NOV-2009
Time Stamp:	18:10:16
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		ION1210-2_IDS_012511.pdf	168751 d911e8b42758c75a9efece4cfdcc08344f875db6	yes	4

	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Transmittal Letter		1	2	
	Information Disclosure Statement (IDS) Filed (SB/08)		3	4	
Warnings:					
Information:					
2	NPL Documents	ION_Ref_C100.pdf	196543 5b429bfc400fee782a9d4f6a2f884f159672c063	no	13
Warnings:					
Information:					
3	NPL Documents	ION_Ref_C101.pdf	302075 4c03df0bb932a84eb551e6d3c6a0345cfcc575b2	no	17
Warnings:					
Information:					
4	NPL Documents	ION_Ref_C102.pdf	132572 4530c240f3c300b55ef7f91bd1939a999e6b559a	no	4
Warnings:					
Information:					
5	NPL Documents	ION_Ref_C103.pdf	303782 9fc67590438dd4af46a4836c8fc0511d47117de6	no	7
Warnings:					
Information:					
6	NPL Documents	ION_Ref_C105.pdf	410269 906df0b1307e89b5b9dbe15baf07acf7157ca4eb	no	12
Warnings:					
Information:					
		Total Files Size (in bytes):	1513992		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

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National Stage of an International Application under 35 U.S.C. 371

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket No. (Opt.) **ION1210-2**

Applicant **Keith Johnston**	
Application Number **12/619,560**	Filing or 371 (c) Date: **November 16, 2009**
For **SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION**	
Group Art Unit **2432**	Examiner **DINH, Minh**
Confirmation Number: **8837**	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certification of Transmission Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22312-1450 via the U.S. Patent and Trademark Office Electronic Filing System (EFS-Web) on January 25, 2011.

Delia Narvaiz

Delia Narvaiz

Dear Sir,

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the information listed on the attached SB08A/B form(s) be considered and cited in the examination of the above-identified application. A copy of U.S. Patent(s) and U.S. Patent Application Publication(s) listed on the attached SB08A form is not being submitted with this Information Disclosure Statement pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office. Where applicable, a copy of foreign patent document(s) as well as information listed on the attached SB08B form is enclosed for the convenience of the Examiner.

☐ This Information Disclosure Statement is being submitted within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53(d).

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☒ This Information Disclosure Statement is being submitted before the mailing of a First Office Action on the merits; or

☐ This Information Disclosure Statement is being submitted before the mailing of a First Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(b) and before the mailing date of any of a Final Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- ☐ The statement specified in 37 C.F.R. § 1.97(e); or
- ☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

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Applicant does not believe any fees are due and owing at this time. If Applicant is in error, the Director is hereby authorized to deduct any and all appropriate fees from Deposit Account 50-3183 of Sprinkle IP Law Group.

Respectfully submitted,

SPRINKLE IP LAW GROUP
Attorneys for Applicant

Katharina Schuster

Katharina W. Schuster
Reg. No. 50,000

Dated: Jan. 21, 2011

1301 W. 25th Street, Suite 408
Austin, Texas 78705
Tel. (512) 637-9220
Fax. (512) 317-9088

PTO/SB/81 (01-09)
Approved for use through 11/30/2011. OMB 0651-0035
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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POWER OF ATTORNEY OR REVOCATION OF POWER OF ATTORNEY WITH A NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS

Application Number	See Schedu
Filing Date	See Schedule A
First Named Inventor	See Schedule A
Title	See Schedule A
Art Unit	See Schedule A
Examiner Name	See Schedule A
Attorney Docket Number	See Schedule A

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith: 44654

OR

☐ I hereby appoint Practitioner(s) named below as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:

Practitioner(s) Name	Registration Number

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number.

OR

☐ The address associated with Customer Number:

OR

☐ Firm or Individual Name					
Address					
City		State		Zip	
Country					
Telephone		Email			

I am the:

☐ Applicant/Inventor.

OR

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) (Form PTO/SB/96) submitted herewith or filed on _____.

SIGNATURE of Applicant or Assignee of Record

Signature	Eric White	Date	11 Feb 2011
Name	Eric White	Telephone	
Title and Company	Managing Member of Rocksteady Technologies, LLC		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket No. (Opt.) **ION1210-2**

Applicant **Keith Johnston**	
Application Number **12/619,560**	Filing or 371 (c) Date: **November 16, 2009**
For **SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION**	
Group Art Unit **2432**	Examiner **DINH, Minh**
Confirmation Number: **8837**	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certification of Transmission Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22312-1450 via the U.S. Patent and Trademark Office Electronic Filing System (EFS-Web) on February 16, 2011.

Delia Narvaiz

Dear Sir,

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Respectfully submitted,

SPRINKLE IP LAW GROUP
Attorneys for Applicant

Katharina Schuster

Katharina W. Schuster
Reg. No. 50,000

Dated: Feb. 11, 2011

1301 W. 25th Street, Suite 408
Austin, Texas 78705
Tel. (512) 637-9220
Fax. (512) 317-9088

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	DINH, Minh
Sheet	1	of	1	Attorney Docket Number	ION1210-2

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	243	US 7185358	02-27-2007	Schreiber et al.	
	244	US 7085385	08-01-2006	Frantz et al.	
	245	US 6907530	06-14-2005	Wang	
	246	US 5623601	04-22-1997	Vu	
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FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY (Number 43)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear

Examiner Signature		Date Considered	

(Substitute Form PTO/SB/08b)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	DINH, Minh
Sheet	1	of	1	Atty Docket Number	ION1210-2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T^2
	C106	Office Action issued in U.S. Patent Application No. 12/617,211, dated 02/03/2011, 14 pgs.	
	C107	"Managing Firewall Services," User Guide for Cisco Security Manager 3.3.1, October 2009, Ch. 11, 90 pgs., Cisco Systems, Inc., San Jose, CA.	
	C108	"Cisco Common Classification Policy Language," Cisco Router and Security Device Manager 2.4 User's Guide, Ch. 34, 2008, 32 pgs., Cisco Systems, Inc., San Jose, CA.	
	C109	Guide to User Documentation for Cisco Security Manager 4.0, June 18, 2010, 6 pgs., Cisco Systems, Inc., San Jose, CA.	
	C110	Cisco Configuration Professional: Zone-Based Firewall Blocking Peer to Peer Traffic Configuration Example, Document ID: 112237, Updated 12/03/2010, 25 pgs., Cisco Systems, Inc., San Jose, CA.	
	C111	Tuning Cisco IOS Classic and Zone-Based Policy Firewall Denial-of-Service Protection, 2006, 10 pgs., Cisco Systems, Inc., San Jose, CA.	
	C112	HOLUSKA, Marty, Using Cisco IOS Firewalls to Implement a Network Security Policy, Fort Hays State University/INT 490, printed 12/06/2010, 5 pgs., at http://quasarint.com/Capstone/zb_policy.php	
	C113	Cisco Feature Navigator, Cisco Systems, Inc., San Jose, CA, at http://tools.cisco.com/ITDIT/CFN/Dispatch, printed on 12/02/2010, 4 pgs.	

Examiner Signature		Date Considered	

Electronic Acknowledgement Receipt

EFS ID:	9455870
Application Number:	12619560
International Application Number:	
Confirmation Number:	8837
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Customer Number:	44654
Filer:	Katharina W. Schuster/Delia Narvaiz
Filer Authorized By:	Katharina W. Schuster
Attorney Docket Number:	ION1210-2
Receipt Date:	16-FEB-2011
Filing Date:	16-NOV-2009
Time Stamp:	12:03:25
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		ION1210-2_Rev_POA.pdf	321494 e6190570c6994a1c509642aeb1314407dae76045	yes	5

	Multipart Description/PDF files in .zip description		
	Document Description	**Start**	**End**
	Miscellaneous Incoming Letter	1	1
	Assignee showing of ownership per 37 CFR 3.73(b).	2	4
	Power of Attorney	5	5

Warnings:					
Information:					
2		ION1210-2_IDS_021611.pdf	175727 1a9a883ddddf277bec4a45c27e877d1d0bd4485b	yes	4

	Multipart Description/PDF files in .zip description		
	Document Description	**Start**	**End**
	Transmittal Letter	1	2
	Information Disclosure Statement (IDS) Filed (SB/08)	3	4

Warnings:					
Information:					
3	NPL Documents	ION_Ref_C106.pdf	461440 767c30fa9943829e13089dabf38d7ad8a34fa271	no	14
Warnings:					
Information:					
4	NPL Documents	ION_Ref_C107.pdf	2161964 9444d8ae7510c152d9a4a5314a889fdaaf3c06ac	no	90
Warnings:					
Information:					
5	NPL Documents	ION_Ref_C108.pdf	544157 f3d9cb04765130793be2d6ff89984e900a48cafd	no	32
Warnings:					
Information:					
6	NPL Documents	ION_Ref_C109.pdf	138417 31eb1c3de4efdf14c2df605329476fe42a53f91e	no	6
Warnings:					
Information:					

7	NPL Documents	ION_Ref_C110.pdf	872938 82989cc08dc72e1abc62a32e23f1d8f0117c378d	no	25
Warnings:					
Information:					
8	NPL Documents	ION_Ref_C111.pdf	186904 f65cfff7bde1c52b6795249e78b2888416cd71c8	no	10
Warnings:					
Information:					
9	NPL Documents	ION_Ref_C112.pdf	133357 5678251f5f322e9a67a5500705e56c20f313aa50	no	5
Warnings:					
Information:					
10	NPL Documents	ION_Ref_C113.pdf	306015 ebecf18027cbc118a609826e8b97542456b5bee4	no	4
Warnings:					
Information:					
		Total Files Size (in bytes):	5302413		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
TRANSMITTAL FOR POWER OF ATTORNEY OF REVOCATION AND POWER OF ATTORNEY WITH A NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS	Atty. Docket No. (Opt.) **ION1210-2**

Applicant **Keith Johnston**	
Application Number **12/619,560**	Filing or 371(c) Date **November 16, 2009**
Title **SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION**	
Group Art Unit **2432**	Confirmation No. **8837**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certificate of Transmission Under 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited electronically with the U.S. Patent and Trademark Office using the United States Patent and Trademark Office's EFS-Web system **on** February 16, **2011**.

Delia Narvaiz

Dear Sir:

Enclosed please find the following documents:

1. Statement under 37 CFR 3.73(b), establishing right of assignee to take action,
2. Attachment A, listing assignment recordation reel and frame number for the above-identified patent application, and
3. Power of Attorney or Revocation and Power of Attorney with a New Power of Attorney and Change of Correspondence Address.

Applicant does not believe any fee is due or owing. If Applicant is in error, the Commissioner is hereby authorized to deduct the fees from Deposit Account No. 50-3183 of **SPRINKLE IP LAW GROUP**.

Respectfully submitted,

Sprinkle IP Law Group
Attorneys for Applicant

Katharina W. Schuster
Reg. No. 50,000

Date: Feb. 11, 2011

1301 W. 25th Street, Suite 408
Austin, TX 78705
Tel. (512) 637-9220
Fax. (512) 371-9088

PTO/SB/96 (07-09)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Rocksteady Technologies, LLC

Application No./Patent No.: See Schedule A Filed/Issue Date: See Schedule A

Titled:
See Schedule A

Rocksteady Technologies, LLC , a limited liability company
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;

2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or

3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy therefore is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet(s).

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (*i.e.*, a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Eric White — 11 Feb 2011
Signature — Date

Eric White — Managing Member
Printed or Typed Name — Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

SCHEDULE A

Appl. No.	Appl. Date	Patent No. and Date Issued	Title	Inventors	Chain of Title Reel/Frame Date	Attorney Docket No.
10/683,317	10-10-2003		System and Method for Providing Access Control	Looney Kelly, MacKinnon Richard, White Eric	016790/0787 07/21/2005 and 025648/0805 01/18/2011	ION1100-1
10/687,002	10-16-2003	7,587,512 09/08/2009	System and Method for Dynamic Bandwidth Provisioning	Ta Tuan, Turley Patrick, Clendinning Kerry, Looney Kelly	016786/0012 07/21/2005 and 016790/0787 07/21/2005	ION1120-1
12/506,140	07-20-2009		System and Method for Dynamic Bandwidth Provisioning	Ta Tuan, Turley Patrick, Clendinning Kerry, Looney Kelly	023250/0794 09/17/2009 and 023299/0765 09/29/2009 and 025648/0870 01/18/2011	ION1120-2
12/753,390	04-02-2010		System and Method for Dynamic Bandwidth Provisioning	Ta Tuan, Turley Patrick, Clendinning Kerry, Looney Kelly	024562/0825 06/18/2010 and 024562/0834 06/18/2010 and 023299/0129 09/29/2009	ION1120-4
10/922,041	08-19-2004	7,624,438 11/24/2009	System and Method for Providing a Secure Connection Between Networked Computers	White Eric, Turley Patrick	015753/0858 08/19/2004 and 016790/0787 07/21/2005	ION1130-1
12/617,211	11-12-2009		System and Method for Providing a Secure Connection Between Networked Computers	White Eric, Turley Patrick	023969/0490 02/22/2010 and 023987/0785 02/24/2010	ION1130-2
11/076,719	03-10-2005	7,610,621 10/27/2009	System and Method for Behavior-Based Firewall Modelling	Turley Patrick, White Eric	016175/0234 06/22/2005	ION1150-1
12/579,566	10-15-2009		Dynamically Adaptive Network Firewalls and Method, System and Computer Program Product Implementing Same	Turley Patrick, White Eric	025612/0504 01/05/2011	ION1150-2
11/078,223	03-10-2005	7,509,625 03/24/2009	System and Method for Comprehensive Code Generation for System Management	Johnston Keith, Garcia Mario L.	016175/0237 06/22/2005	ION1160-1
11/076,591	03-10-2005		Method and System for Controlling Network Access	Johnston Keith, Turley Patrick, Tonnesen Steven	016175/0246 06/22/2005	ION1180-1
11/076,652	03-10-2005	7,590,728 09/15/2009	System and Method for Detection of Aberrant Network Behavior by Clients of a Network Access Gateway	Tonnesen Steven D.	016175/0257 06/22/2005	ION1200-1

SCHEDULE A

12/536,700	08-06-2009		System and Method for Detection of Aberrant Network Behavior by Clients of a Network Access Gateway	Tonnesen Steven D.	024572/0313 06/21/2010	ION1200-2
12/752,564	04-01-2010		System and Method for Detection of Aberrant Network Behavior by Clients of a Network Access Gateway	Tonnesen Steven D.	024572/0310 06/21/2010	ION1200-3
11/076,646	03-10-2005	7,665,130 02/16/2010	System and Method for Double-Capture/Double-Redirect to a Different Location	White Eric, Martin John, Johnston Keith	016175/0240 06/22/2005	ION1210-1
12/619,560	11-16-2009		System and Method for Double-Capture/Double-Redirect to a Different Location	White Eric, Martin John, Johnston Keith	024062/0647 03/10/2010	ION1210-2

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number	12/619,560
Filing Date	November 16, 2009
First Named Inventor	Keith Johnston
Group Art Unit	2432
Examiner Name	DINH, Minh
Attorney Docket Number	ION1210-2

Sheet	1	of	1

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	228	US 20030123442	07-03-2003	Drucker et al.	
		US			
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FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY (Number 43)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear

Examiner Signature		Date Considered	

(Substitute Form PTO/SB/08b)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	DINH, Minh
Sheet	1	of	1	Atty Docket Number	ION1210-2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T[2]
	C108	"Cisco Common Classification Policy Language," Cisco Router and Security Device Manager 2.4 User's Guide, Ch. 34, 2007, 32 pgs., Cisco Systems, Inc., San Jose, CA.	
	C114	Office Action for U.S. Patent Application No. 12/506,140, mailed 02/18/2011, 13 pgs.	

Examiner Signature		Date Considered	

Electronic Acknowledgement Receipt

EFS ID:	9603403
Application Number:	12619560
International Application Number:	
Confirmation Number:	8837
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Customer Number:	44654
Filer:	Katharina W. Schuster/Delia Narvaiz
Filer Authorized By:	Katharina W. Schuster
Attorney Docket Number:	ION1210-2
Receipt Date:	07-MAR-2011
Filing Date:	16-NOV-2009
Time Stamp:	17:27:25
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		ION1210-2_IDS_030711.pdf	156383 857d9a03469f45aa53c72af298c54f76ef401e39	yes	4

	Multipart Description/PDF files in .zip description		
	Document Description	**Start**	**End**
	Transmittal Letter	1	2
	Information Disclosure Statement (IDS) Filed (SB/08)	3	4

Warnings:					
Information:					
2	NPL Documents	ION_Ref_C108.pdf	544157 f3d9cb04765130793be2d6ff89984e900a48cafd	no	32
Warnings:					
Information:					
3	NPL Documents	ION_Ref_C114.pdf	381533 2817372ef1d39545bc2ed4751862ce9072b7b0b3	no	13
Warnings:					
Information:					
		Total Files Size (in bytes):	1082073		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

<u>New Applications Under 35 U.S.C. 111</u>
If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

<u>National Stage of an International Application under 35 U.S.C. 371</u>
If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

<u>New International Application Filed with the USPTO as a Receiving Office</u>
If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket No. (Opt.) **ION1210-2**

Applicant **Keith Johnston**	
Application Number **12/619,560**	Filing or 371 (c) Date: **November 16, 2009**
For **SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION**	
Group Art Unit **2432**	Examiner **DINH, Minh**
Confirmation Number: **8837**	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certification of Transmission Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22312-1450 via the U.S. Patent and Trademark Office Electronic Filing System (EFS-Web) on March 7, 2011.

Delia Narvaiz

Delia Narvaiz

Dear Sir,

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the information listed on the attached SB08A/B form(s) be considered and cited in the examination of the above-identified application. A copy of U.S. Patent(s) and U.S. Patent Application Publication(s) listed on the attached SB08A form is not being submitted with this Information Disclosure Statement pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office. Where applicable, a copy of foreign patent document(s) as well as information listed on the attached SB08B form is enclosed for the convenience of the Examiner.

☐ This Information Disclosure Statement is being submitted within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53(d).

☐ This Information Disclosure Statement is being submitted within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application;

☒ This Information Disclosure Statement is being submitted before the mailing of a First Office Action on the merits; or

☐ This Information Disclosure Statement is being submitted before the mailing of a First Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(b) and before the mailing date of any of a Final Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- ☐ The statement specified in 37 C.F.R. § 1.97(e); or
- ☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(c) and on or before payment of the issue fee and is accompanied by:

- ☐ The statement specified in 37 C.F.R. § 1.97(e); and
- ☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

Applicant respectfully submits that the claims of Applicant's above-referenced patent application are patentably distinguishable from the listed information. Furthermore, pursuant to 37 C.F.R. §§ 1.97(g) and (h), no representation is made that a search has been made or that this information is material to patentability of the present application.

Applicant does not believe any fees are due and owing at this time. If Applicant is in error, the Director is hereby authorized to deduct any and all appropriate fees from Deposit Account 50-3183 of Sprinkle IP Law Group.

Respectfully submitted,

SPRINKLE IP LAW GROUP
Attorneys for Applicant

Katharina W. Schuster
Reg. No. 50,000

Dated: March 7, 2011

1301 W. 25th Street, Suite 408
Austin, Texas 78705
Tel. (512) 637-9220
Fax. (512) 317-9088

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	DINH, Minh
Sheet	1	of	1	Attorney Docket Number	ROCK1210-2

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	248	US 7216173	05-08-2007	Clayton et al.	
	249	US 20020165949	11-07-2002	Na et al.	
	250	US 20030069955	04-10-2003	Gieseke et al.	
	251	US 6643260	11-04-2003	Kloth et al.	
	252	US 6789118	09-07-2004	Rao	
	253	US 6798746	09-28-2004	Kloth et al.	
	254	US 6816903	11-09-2004	Rakoshitz et al.	
	255	US 6876668	04-05-2005	Chawla et al.	
	256	US 6976089	12-13-2005	Na et al.	
	257	US 7185073	02-27-2007	Gai et al.	
	258	US 7257833	08-14-2007	Parekh et al.	
		US			
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FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY (Number 43)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear

Examiner Signature		Date Considered	

(Substitute Form PTO/SB/08b)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number	12/619,560
Filing Date	November 16, 2009
First Named Inventor	Keith Johnston
Group Art Unit	2432
Examiner Name	DINH, Minh
Atty Docket Number	ROCK1210-2

Sheet 1 of 1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T^2
	C115	Notice of Allowance for U.S. Patent Application No. 12,579,566, mailed 03/23/2011, 12 pgs.	
	C116	Notice of Allowance for U.S. Patent Application No. 12,579,566, mailed 05/13/2011, 8 pgs.	
	C117	Office Action for U.S. Patent Application No. 10/683,317, mailed 06/08/2011, 15 pgs.	

Examiner Signature		Date Considered	

Electronic Acknowledgement Receipt

EFS ID:	10510174
Application Number:	12619560
International Application Number:	
Confirmation Number:	8837
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Customer Number:	44654
Filer:	Katharina W. Schuster/Delia Narvaiz
Filer Authorized By:	Katharina W. Schuster
Attorney Docket Number:	ROCK1210-2
Receipt Date:	13-JUL-2011
Filing Date:	16-NOV-2009
Time Stamp:	14:54:28
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		ROCK1210-2_IDS_071311.pdf	164335 4c36f31868ebfe164e5dbe2e416b004ae4742af7	yes	4

	Multipart Description/PDF files in .zip description		
	Document Description	**Start**	**End**
	Transmittal Letter	1	2
	Information Disclosure Statement (IDS) Form (SB08)	3	4

Warnings:					
Information:					
2	Non Patent Literature	ROCK_Ref_C115.pdf	661527 abfa5008ecd1804d304c1665fc2c6cd489d96f47	no	12
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3	Non Patent Literature	ROCK_Ref_C116.pdf	405088 d59310938272288fca0e57a0be4aba12e23bab48	no	8
Warnings:					
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4	Non Patent Literature	ROCK_Ref_C117.pdf	570455 859be8b5ab7d462ca0a0bb65502c23ac6adef8dd	no	15
Warnings:					
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		Total Files Size (in bytes):	1801405		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

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<u>National Stage of an International Application under 35 U.S.C. 371</u>
If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

<u>New International Application Filed with the USPTO as a Receiving Office</u>
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket No. (Opt.) **ROCK1210-2**

Applicant **Keith Johnston**	
Application Number **12/619,560**	Filing or 371(c) Date **November 16, 2009**
For **SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION**	
Group Art Unit **2432**	Examiner **DINH, Minh**
Confirmation Number: **8837**	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certification of Transmission Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 via the U.S. Patent and Trademark Office Electronic Filing System (EFS-Web) on July 13, 2011.

Delia Narvaiz

Dear Sir,

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the information listed on the attached SB08A/B form(s) be considered and cited in the examination of the above-identified application. A copy of U.S. Patent(s) and U.S. Patent Application Publication(s) listed on the attached SB08A form is not being submitted with this Information Disclosure Statement pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office. A copy of foreign patent documents as well as the information listed on the attached SB08B form is enclosed for the convenience of the Examiner.

☐ This Information Disclosure Statement is being submitted within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53(d).

☐ This Information Disclosure Statement is being submitted within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application;

☒ This Information Disclosure Statement is being submitted before the mailing of a first Office action on the merits; or

☐ This Information Disclosure Statement is being submitted before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

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- ☐ The statement specified in 37 C.F.R. § 1.97(e); or
- ☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

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Furthermore, pursuant to 37 C.F.R. §§ 1.97(g) and (h), no representation is made that a search has been made or that this information is material to patentability of the present application. Applicant respectfully submits that the claims of Applicant's above-referenced patent application are patentably distinguishable from the listed information.

Applicant does not believe any fees are due for filing this Information Disclosure Statement; however, if Applicant is in error, the Director is hereby authorized to deduct any and all appropriate fees from Deposit Account 50-3183 of Sprinkle IP Law Group.

Respectfully submitted,

SPRINKLE IP LAW GROUP
Attorneys for Applicant

Katharina W. Schuster
Reg. No. 50,000

Dated: July 11, 2011

1301 W. 25th Street, Suite 408
Austin, Texas 78705
Tel. (512) 637-9220
Fax. (512) 317-9088

(Substitute Form PTO/SB/08b)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	DINH, Minh
Sheet	1	of	1	Atty Docket Number	ROCK1210-2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T^2
	C118	Office Action for U.S. Patent Application No. 12/617,211, mailed 07/19/2011, 18 pgs.	

Examiner Signature		Date Considered	

Electronic Acknowledgement Receipt

EFS ID:	10657130
Application Number:	12619560
International Application Number:	
Confirmation Number:	8837
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Customer Number:	44654
Filer:	Katharina W. Schuster/Delia Narvaiz
Filer Authorized By:	Katharina W. Schuster
Attorney Docket Number:	ROCK1210-2
Receipt Date:	03-AUG-2011
Filing Date:	16-NOV-2009
Time Stamp:	13:42:01
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		ROCK1210-2_IDS_080311.pdf	120153 7c3f71450b36821e56eadd6efe40eb2a37e33298	yes	3

	Multipart Description/PDF files in .zip description		
	Document Description	**Start**	**End**
	Transmittal Letter	1	2
	Information Disclosure Statement (IDS) Form (SB08)	3	3

Warnings:					
Information:					
2	Non Patent Literature	ROCK_Ref_C118.pdf	619942 6e874da25ad8898557e25c29b118ade52a440aef	no	18
Warnings:					
Information:					
		Total Files Size (in bytes):	740095		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111
If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371
If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket No. (Opt.) **ROCK1210-2**

Applicant **Keith Johnston**	
Application Number **12/619,560**	Filing or 371(c) Date **November 16, 2009**
For **SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION**	
Group Art Unit **2432**	Examiner **DINH, Minh**
Confirmation Number: **8837**	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certification of Transmission Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 via the U.S. Patent and Trademark Office Electronic Filing System (EFS-Web) on August 3, 2011.

Delia Narvaiz

Dear Sir,

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the information listed on the attached SB08A/B form(s) be considered and cited in the examination of the above-identified application. A copy of U.S. Patent(s) and U.S. Patent Application Publication(s) listed on the attached SB08A form is not being submitted with this Information Disclosure Statement pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office. A copy of foreign patent documents as well as the information listed on the attached SB08B form is enclosed for the convenience of the Examiner.

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☐ This Information Disclosure Statement is being submitted before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(b) and before the mailing date of any of a final action under 37 C.F.R. § 1.113, a notice of allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution in the application, and is accompanied by one of:

- ☐ The statement specified in 37 C.F.R. § 1.97(e); or
- ☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

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Furthermore, pursuant to 37 C.F.R. §§ 1.97(g) and (h), no representation is made that a search has been made or that this information is material to patentability of the present application. Applicant respectfully submits that the claims of Applicant's above-referenced patent application are patentably distinguishable from the listed information.

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Respectfully submitted,

SPRINKLE IP LAW GROUP
Attorneys for Applicant

Katharina W. Schuster
Reg. No. 50,000

Dated: Aug. 2, 2011

1301 W. 25th Street, Suite 408
Austin, Texas 78705
Tel. (512) 637-9220
Fax. (512) 317-9088

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	DINH, Minh
Sheet	1	of	1	Attorney Docket Number	ROCK1210-2

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	259	US 6996625	02-07-2006	Kaplan et al.	
	260	US 20040107290	06-03-2004	Kaplan et al.	
		US			
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FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY (Number 43)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear

Examiner Signature		Date Considered	

(Substitute Form PTO/SB/08b)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	DINH, Minh
Sheet	1	of	1	Atty Docket Number	ROCK1210-2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T^2
	C119	Office Action for U.S. Patent Application No. 12/506,140, mailed 08/04/2011, 18 pgs.	

Examiner Signature		Date Considered	

Electronic Acknowledgement Receipt

EFS ID:	10758872
Application Number:	12619560
International Application Number:	
Confirmation Number:	8837
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Customer Number:	44654
Filer:	Kevin Gust/Delia Narvaiz
Filer Authorized By:	Kevin Gust
Attorney Docket Number:	ROCK1210-2
Receipt Date:	17-AUG-2011
Filing Date:	16-NOV-2009
Time Stamp:	16:49:04
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		ROCK1210-2_IDS_081711.pdf	152559 45640705d3bb753d06dbbb6cd09b7d5c11c1d994	yes	4

	Multipart Description/PDF files in .zip description				
	Document Description		**Start**	**End**	
	Transmittal Letter		1	2	
	Information Disclosure Statement (IDS) Form (SB08)		3	4	
Warnings:					
Information:					
2	Non Patent Literature	ROCK_Ref_C119.pdf	659639 80d36f82662702e0e23ea495d545f52eb052e08e	no	18
Warnings:					
Information:					
		Total Files Size (in bytes):	812198		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket No. (Opt.) **ROCK1210-2**

Applicant **Keith Johnston**	
Application Number **12/619,560**	Filing or 371(c) Date **November 16, 2009**
For **SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION**	
Group Art Unit **2432**	Examiner **DINH, Minh**
Confirmation Number: **8837**	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certification of Transmission Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 via the U.S. Patent and Trademark Office Electronic Filing System (EFS-Web) on August 17, 2011.

Delia Narvaiz

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Respectfully submitted,

SPRINKLE IP LAW GROUP
Attorneys for Applicant

Kevin A. Gust
Patent Agent
Reg. No. 51,032

Dated: August 17, 2011

1301 W. 25th Street, Suite 408
Austin, Texas 78705
Tel. (512) 637-9220
Fax. (512) 317-9088

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number	12/619,560
Filing Date	November 16, 2009
First Named Inventor	Keith Johnston
Group Art Unit	2432
Examiner Name	DINH, Minh
Attorney Docket Number	ROCK1210-2

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	261	US 8032933	10-04-2011	Turley et al.	
		US			
		US			
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FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY (Number 43)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear

Examiner Signature		Date Considered	

(Substitute Form PTO/SB/08b)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	DINH, Minh
Sheet	1	of	1	Atty Docket Number	ROCK1210-2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T^2
	C120	Notice of Allowance for U.S. Patent Application No. 12/579,566, mailed 08/26/2011, 9 pgs.	

Examiner Signature		Date Considered	

Electronic Acknowledgement Receipt

EFS ID:	11143973
Application Number:	12619560
International Application Number:	
Confirmation Number:	8837
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Customer Number:	44654
Filer:	Kevin Gust/Delia Narvaiz
Filer Authorized By:	Kevin Gust
Attorney Docket Number:	ROCK1210-2
Receipt Date:	07-OCT-2011
Filing Date:	16-NOV-2009
Time Stamp:	16:08:09
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		ROCK1210-2_IDS_100711.pdf	146831 f9634e1b0e7db1e7b23b052e50e1823f6270bd35	yes	4

	Multipart Description/PDF files in .zip description		
	Document Description	**Start**	**End**
	Transmittal Letter	1	2
	Information Disclosure Statement (IDS) Form (SB08)	3	4

Warnings:					
Information:					
2	Non Patent Literature	ROCK_Ref_C120.pdf	470927 a0db4dbe12ecb1f8470f55a912500a4e90a6da2f	no	9
Warnings:					
Information:					
		Total Files Size (in bytes):	617758		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket No. (Opt.) **ROCK1210-2**

Applicant **Keith Johnston**	
Application Number **12/619,560**	Filing or 371(c) Date **November 16, 2009**
For **SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION**	
Group Art Unit **2432**	Examiner **DINH, Minh**
Confirmation Number: **8837**	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certification of Transmission Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 via the U.S. Patent and Trademark Office Electronic Filing System (EFS-Web) on October 7, 2011.

Delia Narvaiz

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Respectfully submitted,

SPRINKLE IP LAW GROUP
Attorneys for Applicant

Kevin A. Gust

Kevin A. Gust
Patent Agent
Reg. No. 51,032

Dated: October 7, 2011
1301 W. 25th Street, Suite 408
Austin, Texas 78705
Tel. (512) 637-9220
Fax. (512) 317-9088

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing or 371 (c) Date:	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	Dinh, Minh
Sheet	1	of	1	Attorney Docket Number	ROCK1210-2

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	262	20080098464	04-24-2008	Mizrah	
	263	5835727	11-10-1998	Wong et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY (Number 43)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	B1				
	B2				

Examiner Signature		Date Considered	

INFORMATION DISCLOSURE STATEMENT				Application Number	12/619,560
				Filing or 371 (c) Date:	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	Dinh, Minh
Sheet	1	of	1	Atty Docket Number	ROCK1210-2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T^2
	121	ALSHAMSI, ABDELNASIR, et al., "A Technical Comparison of IPSec and SSL," Tokyo University of Technology, July 8, 2004, 10 pages.	
	122	FISHER, DENNIS, "NetScreen to Acquire Neoteris," IT Security & Network Security News, October 6,-2003, 1 page.	
	123	DEMARIA, MIKE, "Faster Than a Speeding VPN--Super Remote Access With Neoteris IVE," Network Computing, 09-09-2002, printed 11-09-2011 from http://www.networkcomputing.com/data-protection/2296249, 3 pages.	
	124	SNYDER, JOEL, "SSL VPN Gateways," Networkworld, 01-12-2004, printed 11-09-2011 from http://www.networkworld.com/reviews/2004/0112revmain.html, 10 pages.	
	125	"NetExtender for SSL-VPN," SonicWALL SSL-VPN NetExtender, April 27, 2006, 30 pages.	
	126	"IPSec vs. SSL VPN: Transition Criteria and Methodology," 2007 Sonicwall, 13 pages.	
	127	FISHER, DENNIS, "Symantec Acquires SSL VPN Vendor," IT Security & Network Security News, 10-20-2003, printed 11-09-2011 from http://www.eweek.com/index2.php?option=content& task=v..." 1 page.	
	128	Notice of Allowance issued in U.S. Patent Application No. 12/617,211, mailed November 10, 2011, 8 pages.	
	129	Notice of Allowance issued in U.S. Patent Application No. 10/683,317, mailed 11-28-2011, 11 pages.	

Examiner Signature		Date Considered	

Electronic Acknowledgement Receipt

EFS ID:	11571108
Application Number:	12619560
International Application Number:	
Confirmation Number:	8837
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Customer Number:	44654
Filer:	Katharina W. Schuster/Betty Caldwell
Filer Authorized By:	Katharina W. Schuster
Attorney Docket Number:	ROCK1210-2
Receipt Date:	08-DEC-2011
Filing Date:	16-NOV-2009
Time Stamp:	12:38:52
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		ROCK1210-2_IDS_12-08-11.pdf	154975 e31bd02c2d1132dff7b4b7757fcf362afe17e4d5	yes	4

	Multipart Description/PDF files in .zip description					
	Document Description		Start		End	
	Transmittal Letter		1		2	
	Information Disclosure Statement (IDS) Form (SB08)		3		4	
Warnings:						
Information:						
2	Non Patent Literature	ION_Ref_C121.pdf	254301 5bdce88fb53b1a841976fcd94c18115ff0f9a8c0	no	10	
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Information:						
3	Non Patent Literature	ION_Ref_C122.pdf	67247 8eef4f38e802437198a692aadea8c90d1dc80a21	no	1	
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Information:						
4	Non Patent Literature	ION_Ref_C123.pdf	146505 b4f32d56a9d652c7aa69b22bbd220722f397e935	no	3	
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Information:						
5	Non Patent Literature	ION_Ref_C124.pdf	435562 b99d6f617f833895981d46bd334eafabb9c4f805	no	10	
Warnings:						
Information:						
6	Non Patent Literature	ION_Ref_C125.pdf	2900387 3ed39f1141ff7af731bf6dea82b4f5cd8615d620	no	30	
Warnings:						
Information:						
7	Non Patent Literature	ION_Ref_C126.pdf	176554 ecf40c6e7549c227de46936fa52d6b390aaf6afc	no	13	
Warnings:						
Information:						
8	Non Patent Literature	ION_Ref_C127.pdf	69460 98c707aa6566c7f88bda954379edfb7671b94207	no	1	
Warnings:						
Information:						

9	Non Patent Literature	ROCK_Ref_C128.pdf	413006 d8de4a56acbdca6cd4b119b29ec04383f8693680	no	8
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Information:					
10	Non Patent Literature	ROCK_Ref_C129.pdf	500443 aabd1b4d3de9e4d4f951ca969b9adcea7cfddeee	no	11
Warnings:					
Information:					
			Total Files Size (in bytes):	5118440	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket No. (Opt.) **ROCK1210-2**

Applicant **Keith Johnston**	
Application Number **12/619,560**	Filing or 371 (c) Date: **November 16, 2009**
For **SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION**	
Group Art Unit **2432**	Examiner **Dinh, Minh**
Confirmation Number: **8837**	

Certification of Transmission Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 via the U.S. Patent and Trademark Office Electronic Filing System (EFS-Web) on Dec. 8 2011.

Betty Caldwell

Betty Caldwell

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir,

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the information listed on the attached SB08A/B form(s) be considered and cited in the examination of the above-identified application. A copy of U.S. Patent(s) and U.S. Patent Application Publication(s) listed on the attached SB08A form is not being submitted with this Information Disclosure Statement pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office. Where applicable, a copy of foreign patent document(s) as well as information listed on the attached SB08B form is enclosed for the convenience of the Examiner.

☐ This Information Disclosure Statement is being submitted within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53(d).

☐ This Information Disclosure Statement is being submitted within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application;

☒ This Information Disclosure Statement is being submitted before the mailing of a First Office Action on the merits; or

☐ This Information Disclosure Statement is being submitted before the mailing of a First Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(b) and before the mailing date of any of a Final Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ The statement specified in 37 C.F.R. § 1.97(e); or

☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

Applicant respectfully submits that the claims of Applicant's above-referenced patent application are patentably distinguishable from the listed information. Furthermore, pursuant to 37 C.F.R. §§ 1.97(g) and (h), no representation is made that a search has been made or that this information is material to patentability of the present application.

Applicant does not believe any fees are due and owing at this time. If Applicant is in error, the Director is hereby authorized to deduct any and all appropriate fees from Deposit Account 50-3183 of Sprinkle IP Law Group.

Respectfully submitted,

Sprinkle IP Law Group
Attorneys for Applicant

Katharina W. Schuster
Reg. No. 50,000

Dated: Dec. 7, 2011

1301 W. 25th Street, Suite 408
Austin, Texas 78705
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(Substitute Form PTO/SB/08b)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	DINH, Minh
Sheet	1	of	1	Atty Docket Number	ROCK1210-2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T^2
	C130	Office Action for U.S. Patent Application No. 12/753,390, mailed 12/08/2011, 19 pgs.	
	C131	Notice of Allowance for U.S. Patent Application No. 12/617,211, mailed 12/12/2011, 8 pgs.	

Examiner Signature		Date Considered	

Electronic Acknowledgement Receipt

EFS ID:	11744593
Application Number:	12619560
International Application Number:	
Confirmation Number:	8837
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Customer Number:	44654
Filer:	Kevin Gust/Delia Narvaiz
Filer Authorized By:	Kevin Gust
Attorney Docket Number:	ROCK1210-2
Receipt Date:	03-JAN-2012
Filing Date:	16-NOV-2009
Time Stamp:	12:40:47
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		ROCK1210-2_IDS_010312.pdf	128185 ac48e9294f3cc6ae159af4fe82f06d383237f138	yes	3

	Multipart Description/PDF files in .zip description		
	Document Description	**Start**	**End**
	Transmittal Letter	1	2
	Information Disclosure Statement (IDS) Form (SB08)	3	3

Warnings:					
Information:					
2	Non Patent Literature	ROCK_Ref_C130.pdf	600723 f54e9559558247b9b141a990f43051e505a115ac	no	19
Warnings:					
Information:					
3	Non Patent Literature	ROCK_Ref_C131.pdf	502919 27f31d6cb94b5237471ec14efab5dc449e125544	no	8
Warnings:					
Information:					
		Total Files Size (in bytes):	1231827		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

<u>New Applications Under 35 U.S.C. 111</u>
If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

<u>National Stage of an International Application under 35 U.S.C. 371</u>
If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

<u>New International Application Filed with the USPTO as a Receiving Office</u>
If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket No. (Opt.) **ROCK1210-2**

Applicant **Keith Johnston**	
Application Number **12/619,560**	Filing or 371(c) Date **November 16, 2009**
For **SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION**	
Group Art Unit **2432**	Examiner **DINH, Minh**
Confirmation Number: **8837**	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir,

Certification of Transmission Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 via the U.S. Patent and Trademark Office Electronic Filing System (EFS-Web) on January 3, 2012.

Delia Narvaiz

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the information listed on the attached SB08A/B form(s) be considered and cited in the examination of the above-identified application. A copy of U.S. Patent(s) and U.S. Patent Application Publication(s) listed on the attached SB08A form is not being submitted with this Information Disclosure Statement pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office. Where applicable, a copy of foreign patent document(s) as well as information listed on the attached SB08B form is enclosed for the convenience of the Examiner.

☐ This Information Disclosure Statement is being submitted within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53(d).

☐ This Information Disclosure Statement is being submitted within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application;

☒ This Information Disclosure Statement is being submitted before the mailing of a First Office Action on the merits; or

☐ This Information Disclosure Statement is being submitted before the mailing of a First Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(b) and before the mailing date of any of a Final Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- ☐ The statement specified in 37 C.F.R. § 1.97(e); or
- ☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(c) and on or before payment of the issue fee and is accompanied by:

- ☐ The statement specified in 37 C.F.R. § 1.97(e); and
- ☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

Applicant respectfully submits that the claims of Applicant's above-referenced patent application are patentably distinguishable from the listed information. Furthermore, pursuant to 37 C.F.R. §§ 1.97(g) and (h), no representation is made that a search has been made or that this information is material to patentability of the present application.

Applicant does not believe any fees are due and owing at this time. If Applicant is in error, the Director is hereby authorized to deduct any and all appropriate fees from Deposit Account 50-3183 of Sprinkle IP Law Group.

Respectfully submitted,

SPRINKLE IP LAW GROUP
Attorneys for Applicant

Kevin A. Gust

Kevin A. Gust
Patent Agent
Reg. No. 51,032

Dated: December 27, 2011

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Austin, Texas 78705
Tel. (512) 637-9220
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number	12/619,560
Filing Date	November 16, 2009
First Named Inventor	Keith Johnston
Group Art Unit	2432
Examiner Name	DINH, Minh
Attorney Docket Number	ROCK1210-2

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	264	US 8108915	01-31-2012	White et al.	
	265	US 8117639	02-14-2012	MacKinnon et al.	
	266	US 20090279567	11-12-2009	Ta et al.	
	267	US 20100192213	07-29-2010	Ta et al.	
	268	US 20110219444	09-08-2011	Turley et al.	
	269	US 20110258687	10-20-2011	White et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY (Number 43)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear

Examiner Signature		Date Considered	

Electronic Acknowledgement Receipt

EFS ID:	12246799
Application Number:	12619560
International Application Number:	
Confirmation Number:	8837
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Customer Number:	44654
Filer:	Kevin Gust/Delia Narvaiz
Filer Authorized By:	Kevin Gust
Attorney Docket Number:	ROCK1210-2
Receipt Date:	07-MAR-2012
Filing Date:	16-NOV-2009
Time Stamp:	15:36:02
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		ROCK1210-2_IDS_030712.pdf	128089 a2691ec4b3d3fdbe7b606eb5e36bf9d6c7fbfd20	yes	3

	Multipart Description/PDF files in .zip description		
	Document Description	**Start**	**End**
	Transmittal Letter	1	2
	Information Disclosure Statement (IDS) Form (SB08)	3	3
Warnings:			
Information:			
	Total Files Size (in bytes):	128089	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111
If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371
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New International Application Filed with the USPTO as a Receiving Office
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket No. (Opt.) **ROCK1210-2**

Applicant **Keith Johnston**	
Application Number **12/619,560**	Filing or 371 (c) Date: **November 16, 2009**
For **SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION**	
Group Art Unit **2432**	Examiner **DINH, Minh**
Confirmation Number: 8837	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certification of Transmission Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 via the U.S. Patent and Trademark Office Electronic Filing System (EFS-Web) on **March 7, 2012**.

Delia Narvaiz

Dear Sir,

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the information listed on the attached SB08A/B form(s) be considered and cited in the examination of the above-identified application. A copy of U.S. Patent(s) and U.S. Patent Application Publication(s) listed on the attached SB08A form is not being submitted with this Information Disclosure Statement pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office. Where applicable, a copy of foreign patent document(s) as well as information listed on the attached SB08B form is enclosed for the convenience of the Examiner.

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☒ This Information Disclosure Statement is being submitted before the mailing of a First Office Action on the merits; or

☐ This Information Disclosure Statement is being submitted before the mailing of a First Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(b) and before the mailing date of any of a Final Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- ☐ The statement specified in 37 C.F.R. § 1.97(e); or
- ☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(c) and on or before payment of the issue fee and is accompanied by:

- ☐ The statement specified in 37 C.F.R. § 1.97(e); and
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Respectfully submitted,

SPRINKLE IP LAW GROUP
Attorneys for Applicant

Kevin A. Gust
Reg. No. 51,032
Patent Agent

Dated: March 7, 2012

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Austin, Texas 78705
Tel. (512) 637-9220
Fax. (512) 317-9088

Substitute Form PTO/SB/08b

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number	12/619,560
Filing Date	November 16, 2009
First Named Inventor	Keith Johnston
Group Art Unit	2432
Examiner Name	DINH, Minh
Atty Docket Number	ROCK1210-2

Sheet 1 of 1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T^2
	C132	Notice of Allowance for U.S. Patent Application No. 12/753,390, mailed 03/16/2012, 5 pgs.	

Examiner Signature		Date Considered	

Electronic Acknowledgement Receipt

EFS ID:	12477549
Application Number:	12619560
International Application Number:	
Confirmation Number:	8837
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Customer Number:	44654
Filer:	Kevin Gust/Delia Narvaiz
Filer Authorized By:	Kevin Gust
Attorney Docket Number:	ROCK1210-2
Receipt Date:	05-APR-2012
Filing Date:	16-NOV-2009
Time Stamp:	14:15:20
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		ROCK1210-2_IDS_040512.pdf	124105 23066a9273b985471ba52cf0ad4a60ed5af3fa0b	yes	3

	Multipart Description/PDF files in .zip description				
	Document Description		**Start**	**End**	
	Transmittal Letter		1	2	
	Information Disclosure Statement (IDS) Form (SB08)		3	3	
Warnings:					
Information:					
2	Non Patent Literature	ROCK_Ref_C132.pdf	142861 f1d54bdb9ef93c91d71a455eabc4895eaba1b36f	no	5
Warnings:					
Information:					
		Total Files Size (in bytes):	266966		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket No. (Opt.) **ROCK1210-2**

Applicant **Keith Johnston**	
Application Number **12/619,560**	Filing or 371 (c) Date: **November 16, 2009**
For **System and Method for Double-Capture/Double-Redirect to a Different Location**	
Group Art Unit **2432**	Examiner **DINH, Minh**
Confirmation Number: **8837**	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir,

Certification of Transmission Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 via the U.S. Patent and Trademark Office Electronic Filing System (EFS-Web) on **April 5, 2012**.

Delia Narvaiz

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the information listed on the attached SB08A/B form(s) be considered and cited in the examination of the above-identified application. A copy of U.S. Patent(s) and U.S. Patent Application Publication(s) listed on the attached SB08A form is not being submitted with this Information Disclosure Statement pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office. Where applicable, a copy of foreign patent document(s) as well as information listed on the attached SB08B form is enclosed for the convenience of the Examiner.

☐ This Information Disclosure Statement is being submitted within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53(d).

☐ This Information Disclosure Statement is being submitted within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application;

☒ This Information Disclosure Statement is being submitted before the mailing of a First Office Action on the merits; or

☐ This Information Disclosure Statement is being submitted before the mailing of a First Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(b) and before the mailing date of any of a Final Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- ☐ The statement specified in 37 C.F.R. § 1.97(e); or
- ☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(c) and on or before payment of the issue fee and is accompanied by:

- ☐ The statement specified in 37 C.F.R. § 1.97(e); and
- ☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

Applicant respectfully submits that the claims of Applicant's above-referenced patent application are patentably distinguishable from the listed information. Furthermore, pursuant to 37 C.F.R. §§ 1.97(g) and (h), no representation is made that a search has been made or that this information is material to patentability of the present application.

Applicant does not believe any fees are due and owing at this time. If Applicant is in error, the Director is hereby authorized to deduct any and all appropriate fees from Deposit Account 50-3183 of Sprinkle IP Law Group.

Respectfully submitted,

SPRINKLE IP LAW GROUP
Attorneys for Applicant



Kevin A. Gust
Reg. No. 51,032
Patent Agent

Dated: April 5, 2012

1301 W. 25th Street, Suite 408
Austin, Texas 78705
Tel. (512) 637-9220
Fax. (512) 317-9088

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number	12/619,560
Filing Date	November 16, 2009
First Named Inventor	Keith Johnston
Group Art Unit	2432
Examiner Name	DINH, Minh
Attorney Docket Number	ROCK1210-2

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	270	US 20120096517	04-19-2012	White et al.	
		US			
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FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY (Number 43)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear

Examiner Signature		Date Considered	

Electronic Acknowledgement Receipt

EFS ID:	12630210
Application Number:	12619560
International Application Number:	
Confirmation Number:	8837
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Customer Number:	44654
Filer:	Kevin Gust/Delia Narvaiz
Filer Authorized By:	Kevin Gust
Attorney Docket Number:	ROCK1210-2
Receipt Date:	25-APR-2012
Filing Date:	16-NOV-2009
Time Stamp:	17:20:52
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		ROCK1210-2_IDS_042512.pdf	125273 a84ff426522072c4ea5cd38c2749241f9ad689f3	yes	3

	Multipart Description/PDF files in .zip description		
	Document Description	**Start**	**End**
	Transmittal Letter	1	2
	Information Disclosure Statement (IDS) Form (SB08)	3	3
Warnings:			
Information:			
	Total Files Size (in bytes):	125273	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket No. (Opt.) **ROCK1210-2**

Applicant **Keith Johnston**	
Application Number **12/619,560**	Filing or 371 (c) Date: **November 16, 2009**
For **System and Method for Double-Capture/Double-Redirect to a Different Location**	
Group Art Unit **2432**	Examiner **DINH, Minh**
Confirmation Number: **8837**	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certification of Transmission Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 via the U.S. Patent and Trademark Office Electronic Filing System (EFS-Web) on **April 25, 2012.**

Delia Narvaiz

Dear Sir,

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the information listed on the attached SB08A/B form(s) be considered and cited in the examination of the above-identified application. A copy of U.S. Patent(s) and U.S. Patent Application Publication(s) listed on the attached SB08A form is not being submitted with this Information Disclosure Statement pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office. Where applicable, a copy of foreign patent document(s) as well as information listed on the attached SB08B form is enclosed for the convenience of the Examiner.

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☐ This Information Disclosure Statement is being submitted within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application;

☒ This Information Disclosure Statement is being submitted before the mailing of a First Office Action on the merits; or

☐ This Information Disclosure Statement is being submitted before the mailing of a First Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(b) and before the mailing date of any of a Final Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- ☐ The statement specified in 37 C.F.R. § 1.97(e); or
- ☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

☐ This Information Disclosure Statement is being submitted after the period specified in 37 C.F.R. § 1.97(c) and on or before payment of the issue fee and is accompanied by:

- ☐ The statement specified in 37 C.F.R. § 1.97(e); and
- ☐ The fee set forth in 37 C.F.R. § 1.17(p). Applicant hereby authorizes the Commissioner to deduct the amount of $180 from Deposit Account No. 50-3183 of Sprinkle IP Law Group for the filing fee of this Information Disclosure Statement.

Applicant respectfully submits that the claims of Applicant's above-referenced patent application are patentably distinguishable from the listed information. Furthermore, pursuant to 37 C.F.R. §§ 1.97(g) and (h), no representation is made that a search has been made or that this information is material to patentability of the present application.

Applicant does not believe any fees are due and owing at this time. If Applicant is in error, the Director is hereby authorized to deduct any and all appropriate fees from Deposit Account 50-3183 of Sprinkle IP Law Group.

Respectfully submitted,

SPRINKLE IP LAW GROUP
Attorneys for Applicant

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Reg. No. 51,032
Patent Agent

Dated: April 25, 2012

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/619,560	11/16/2009	Keith Johnston	ROCK1210-2	8837

44654 7590 05/09/2012

Sprinkle IP Law Group
1301 W. 25th Street
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Austin, TX 78705

EXAMINER	
DINH, MINH	

ART UNIT	PAPER NUMBER
2432	

MAIL DATE	DELIVERY MODE
05/09/2012	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No.	Applicant(s)	
	12/619,560	JOHNSTON ET AL.	
	Examiner	Art Unit	
	MINH DINH	2432	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE *3* MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

1)☒ Responsive to communication(s) filed on *16 November 2009*.

2a)☐ This action is **FINAL**. 2b)☒ This action is non-final.

3)☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.

4)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

5)☒ Claim(s) *1-20* is/are pending in the application.

5a) Of the above claim(s) _____ is/are withdrawn from consideration.

6)☐ Claim(s) _____ is/are allowed.

7)☒ Claim(s) *1-6 and 9-19* is/are rejected.

8)☒ Claim(s) *7,8 and 20* is/are objected to.

9)☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

10)☐ The specification is objected to by the Examiner.

11)☒ The drawing(s) filed on *11/16/2009* is/are: a)☐ accepted or b)☐ objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

12)☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

13)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

a)☐ All b)☐ Some * c)☐ None of:

1.☐ Certified copies of the priority documents have been received.

2.☐ Certified copies of the priority documents have been received in Application No. _____.

3.☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

1) ☒ Notice of References Cited (PTO-892)
2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3) ☒ Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date _____.
4) ☐ Interview Summary (PTO-413) Paper No(s)/Mail Date. _____ .
5) ☐ Notice of Informal Patent Application
6) ☐ Other: _____.

DETAILED ACTION

1. Claims 1-20 have been examined.

Claim Rejections - 35 USC § 101

2. 35 U.S.C. 101 reads as follows:

> Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

3. Claims 9-15 are rejected under 35 U.S.C. 101 as not falling into a statutory category of invention. Claim 9 is directed to a "computer readable storage medium" whose ordinary meaning in the art of the medium includes signals. Therefore, the claim is drawn to a signal (signal per se) and is not statutory. Adding the term "non-transitory" to the claimed medium or replacing "medium" with "device" would overcome the rejection.

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

> (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

5. Claims 1-6, 9-13 and 16-19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Crandell et al. ("A Secure and Transparent Firewall Web Proxy") in view of Bauer ("Designing and Using DMZ Networks to Protect Internet Servers").

6. Regarding claims 1-4, 9-11 and 16-18, Crandell discloses a method for automatic pre-authentication redirection of network traffic, comprising: providing a network access

controller (Layer 4 Switching Router in Figure 3) in a shared network (DMZ, Figure 3); intercepting at the network access controller a request to access a network resource from a browser application running on a client device associated with an anonymous user; determining whether the network resource referenced in the request is at a network location external to shared network (Intranet in Figure 3); if the network resource is at a network location external to shared network, redirecting the browser application running on the client device associated with the anonymous user to a pre-authentication capture destination hosted on a first server computer (Firewall web proxy in Figure 3; Implementation) in the shared network (i.e., Incoming packets addressed to URLs behind the firewall are policy routed to the proxy server... As described earlier, using iptables redirect, all packets policy routed to the web proxy are redirected to the web proxy).

Crandell does not disclose: (i) the shared network (DMZ) comprises a plurality of server computers and a set of network destinations hosted on the plurality of server computers; (ii) determining whether the network resource referenced in the request is in the set of network destinations hosted on the plurality of server computers in the shared network; and (iii) if the network resource is in the set of network destinations hosted on the plurality of server computers in the shared network, directing the browser application running on the client device associated with the anonymous user to the network resource. Bauer discloses (i) a shared network (DMZ) comprises a plurality of server computers and a set of network destinations hosted on the plurality of server computers; (ii) determining whether the network resource referenced in the request is in

the set of network destinations hosted on the plurality of server computers in the shared network; and (iii) if the network resource is in the set of network destinations hosted on the plurality of server computers in the shared network, directing the browser application running on the client device associated with the anonymous user to the network resource (i.e., placing publicly accessible services on servers in DMZ) (first paragraph; Figure 2). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Crandell's method such that (i) the shared network (DMZ) comprises a plurality of server computers and a set of network destinations hosted on the plurality of server computers; (ii) determining whether the network resource referenced in the request is in the set of network destinations hosted on the plurality of server computers in the shared network; and (iii) if the network resource is in the set of network destinations hosted on the plurality of server computers in the shared network, directing the browser application running on the client device associated with the anonymous user to the network resource, as taught by Bauer. The motivation for doing so would have been that publicly accessible services placed in DMZ could be more closely watched and isolated from one's internal network.

7. Regarding claims 5-6, 12-13 and 19, Crandell further discloses intercepting at the network access controller a second request from the browser application running on the client device associated with the anonymous user to access a second network resource that is not in the set of network destinations; determining whether the second request contains an authentication token (i.e., determining whether the second request contains a cookie); and redirecting the browser application running on the client device

associated with the anonymous user back to the pre-authentication capture destination hosted on the first server computer in the shared network when the second request does not contain an authentication token (figure 4).

Allowable Subject Matter

8. Claims 7-8 and 20 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

9. Claims 14-15 would be allowable if rewritten to overcome the rejection(s) under 35 U.S.C. 101, set forth in this Office action and to include all of the limitations of the base claim and any intervening claims.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MINH DINH whose telephone number is (571)272-3802. The examiner can normally be reached on Mon-Fri: 09:00am-5:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gilberto Barron can be reached on 571-272-3799. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Minh Dinh/
Primary Examiner, Art Unit 2432

Notice of References Cited	Application/Control No. 12/619,560	Applicant(s)/Patent Under Reexamination JOHNSTON ET AL.	
	Examiner MINH DINH	Art Unit 2432	Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Crandell et al., A Secure and Transparent Firewall Web Proxy, USENIX, October 2003.
	V	Bauer, Designing and Using DMZ Networks to Protect Internet Servers, Linux Journal, March 2001.
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S56	7509	713/153-155,162;726/3-5,7-13,21;709/203,217-219,225-226,229.ccls. and (@ad<"20040310" and @rlad<"20040310")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/03/22 12:10
S57	23	S56 and ((dmz "demilitarized zone") with (public anonymous))	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/03/22 12:11
S58	0	S56 and ((dmz "demilitarized zone") same anonymous)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/03/22 12:25
S59	455620	network with access	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/03/22 12:26
S60	4	S59 and ((dmz "demilitarized zone") same anonymous)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/03/22 12:27
S61	0	S60 and (@ad<"20040310" and @rlad<"20040310")	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2012/03/22 12:27
S62	7509	713/153-155,162;726/3-5,7-13,21;709/203,217-219,225-226,229.ccls. and (@ad<"20040310" and @rlad<"20040310")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/03/22 13:41
S63	17	S62 and ((dmz "demilitarized zone" (perimeter adj network)) same ((web ftp) near2 (server service)) same public)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/03/22 13:54
S64	16	S63 and authenticat$3	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/03/22 13:55
S65	23	S62 and ((dmz "demilitarized zone" (perimeter adj network)) same (server service) same public)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/03/22 14:14

S66	355	S62 and ((proxy gateway firewall server) with authenticat$3 same (token cookie (session near2 (id key))))	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/03/22 15:21
S67	137	S66 and ((token cookie (session near2 (id key))) with (url address))	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/03/22 15:21
S68	14	S67 and (dmz "demilitarized zone" (perimeter adj network))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2012/03/22 15:22

EAST Search History (Interference)

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Receipt date: 01/03/2012

(Substitute Form PTO/SB/08b)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	DINH, Minh
Sheet	1	of	1	Atty Docket Number	ROCK1210-2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T^2
	C130	Office Action for U.S. Patent Application No. 12/753,390, mailed 12/08/2011, 19 pgs.	
	C131	Notice of Allowance for U.S. Patent Application No. 12/617,211, mailed 12/12/2011, 8 pgs.	

Examiner Signature	/Minh Dinh/	Date Considered	03/20/2012	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MD/

Receipt date: 01/25/2011

Substitute Form for PTO/SB/08a

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	DINH, Minh
Sheet	1	of	1	Attorney Docket Number	ION1210-2

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Examiner Initials	Cite No.	Document Number Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	235	US 20040064351	04-01-2004	Mikurak	
	236	US 20040064560	04-01-2004	Zhang et al.	
	237	US 6834341	12-21-2004	Bahl et al.	
	238	US 20050066200	03-24-2005	Bahl et al.	
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Examiner Signature	/Minh Dinh/	Date Considered	03/20/2012

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Receipt date: 01/25/2011

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	C100	"FreeBSD Handbook, Chapter 30 Firewalls," 2003, found at www.freebsd.org/doc/handbook/firewalls-ipfw.html, printed 12/27/2010, 13 pgs.	
	C101	WATTERS, Paul, "Solaris 8 Administrator's Guide. Chapter 4, Network Configuration," O'Reilly & Associates, Inc., January 2002, 17 pgs.	
	C102	SPITZNER, Lance, "Configuring network interface cards; getting your interfaces to talk," 03/23/2004, 4 pgs.	
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Examiner Signature	/Minh Dinh/	Date Considered	03/20/2012	

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				Group Art Unit	2431
				Examiner Name	Not Yet Assigned
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	190	7624438	11-24-2009	White	
	191	7587512	09-08-2009	Ta et al.	
	192	7610621	10-27-2009	Turley et al.	
	193	7590728	09-15-2009	Tonnesen et al.	
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	C77	Office Action issued in U.S. Patent Application No. 11/076,672, mailed 01/07/2010, 9 pgs.	

Examiner Signature	/Minh Dinh/	Date Considered	03/20/2012

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	228	US 20030123442	07-03-2003	Drucker et al.	
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	C108	"Cisco Common Classification Policy Language," Cisco Router and Security Device Manager 2.4 User's Guide, Ch. 34, 2007, 32 pgs., Cisco Systems, Inc., San Jose, CA.	
	C114	Office Action for U.S. Patent Application No. 12/506,140, mailed 02/18/2011, 13 pgs.	

Examiner Signature	/Minh Dinh/	Date Considered	03/20/2012	

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First Named Inventor	Keith Johnston
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Examiner Name	DINH, Minh
Attorney Docket Number	ROCK1210-2

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	264	US 8108915	01-31-2012	White et al.	
	265	US 8117639	02-14-2012	MacKinnon et al.	
	266	US 20090279567	11-12-2009	Ta et al.	
	267	US 20100192213	07-29-2010	Ta et al.	
	268	US 20110219444	09-08-2011	Turley et al.	
	269	US 20110258687	10-20-2011	White et al.	
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Substitute Form SB08A

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2431
				Examiner Name	Not Yet Assigned
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U.S. PATENT DOCUMENTS

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	196	7665130	02-16-2010	Johnston et al.	
	197	6226752	05-01-2001	Gupta et al.	
	198	6631416	10-07-2003	Bendinelli et al.	
	199	6763468	07-13-2004	Gupta et al.	
	200	7085854	08-01-2006	Keane et al.	
	201	7143435	11-28-2006	Droms et al.	
	202	7181542	02-20-2007	Tuomenoksa et al.	
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	204	7194554	03-20-2007	Short et al.	
	205	7290288	10-30-2007	Gregg et al.	
	206	7316029	01-01-2008	Parker et al.	
	207	7324551	01-29-2008	Stammers	
	208	7448075	11-04-2008	Morand et al.	
	209	20020023210	02-21-2002	Tuomenoksa et al.	
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				Group Art Unit	2431
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	217	20030115247	06-19-2003	Simpson et al.	
	218	20030182420	09-25-2003	Jones et al.	
	219	20040083295	04-29-2004	Amara et al.	
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Examiner Signature	/Minh Dinh/	Date Considered	03/20/2012

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Substitute Form SB08B

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number	12/619,560
Filing Date	November 16, 2009
First Named Inventor	Keith Johnston
Group Art Unit	2431
Examiner Name	Not Yet Assigned
Atty Docket Number	ION1210-2

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NON PATENT LITERATURE DOCUMENTS

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	C80	Office Action issued in U.S. Patent Application No. 11/076,591, mailed 07/20/2010, 33 pgs.	
	C81	Office Action issued in U.S. Patent Application No. 10/683,317, mailed 07/23/2010, 9 pgs.	

Examiner Signature	/Minh Dinh/	Date Considered	03/20/2012	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MD/

Receipt date: 10/21/2010

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	DINH, Minh
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Examiner Signature	/Minh Dinh/	Date Considered 03/20/2012	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MD/

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number	**12/619,560**
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First Named Inventor	**Keith Johnston**
Group Art Unit	**2431**
Examiner Name	**Not yet assigned**
Attorney Docket	**ION1210-2**

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	A188	6199113 B1	03-06-2001	Alegre et al.	
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PTO/SB/08B (08-00)

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				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnson
				Group Art Unit	2431
				Examiner Name	Not yet assigned
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	C70	CRANDELL ET AL., "A Secure and Transparent Firewall Web Proxy," October 2003, USENIX, Retrieved from the internet on 7/15/09: <URL: http://www.usenix.org/event/lisa03/tech/full_papers/crandell/crandell.pdf>	
	C71	SOMMERLAD, "Reverse Proxy Patterns," 2003 Retrieved from the Internet on 7/15/09, 27 pages: <URL: http://www.modsecurity.org/archive/ReverseProxy-book-1.pdf>	
	C75	WILLIAMSON, Matthew, "Throttling Viruses: Restricting Propagation to Defeat Malicious Mobile Code," Proceedings of the 18th Annual Computer Security Applications Conference, 2002 IEEE, 8 pages.	
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Examiner Signature		Date Considered 03/20/2012	

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Receipt date: 12/23/2010

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	C93	SP MAJ, W MAKAIRANONDH, D VEAL, "An Evaluation of Firewall Configuration Methods," IJSCSNS International Journal of Computer Science and Network Security, Vol. 10, No. 8, August 2010, 7 pgs.	
	C94	Using VPN with Zone-Based Policy Firewall, May 2009, Cisco Systems, Inc., San Jose, CA, 10 pgs.	
	C95	Cisco IOS Firewall Classic and Zone-Based Virtual Firewall Application Configuration Example, Document ID: 100595, February 12, 2008, 20 pgs., Cisco Systems, Inc., San Jose, CA.	
	C96	Class-Based Policy Provisioning: Introducing Class-Based Policy Language (CPL), August 2008, 36 pgs., Cisco Systems, Inc., San Jose, CA.	
	C97	Cisco IOS Zone Based Firewall Example, at http://www.linickx.com/archives/2945/cisco-ios-zon..., printed 12/07/2010, 6 pgs., LINICKX.com.	
	C98	Zone-Based Policy Firewall, Published 02/22/2006, Updated 06/19/2006, 46 pgs., Cisco Systems, Inc., San Jose, CA.	
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Receipt date: 08/17/2011

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	260	US 20040107290	06-03-2004	Kaplan et al.	
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	C119	Office Action for U.S. Patent Application No. 12/506,140, mailed 08/04/2011, 18 pgs.	

Examiner Signature	/Minh Dinh/	Date Considered	03/20/2012

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MD/

Receipt date: 09/23/2010

Substitute Form SB08A

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	DINH, Minh
Sheet	1	of	1	Attorney Docket Number	ION1210-2

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	222	7310613	12-18-2007	Briel et al.	
	223	20030110073	06-12-2003	Briel et al.	
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Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY (Number 43)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear

Examiner Signature	/Minh Dinh/	Date Considered	03/20/2012

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MD/

Receipt date: 09/23/2010

Substitute Form SB08B

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number	12/619,560
Filing Date	November 16, 2009
First Named Inventor	Keith Johnston
Group Art Unit	2432
Examiner Name	DINH, Minh
Atty Docket Number	ION1210-2

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NON PATENT LITERATURE DOCUMENTS

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	C82	Office Action for U.S. Patent Application No. 12/506,140, mailed 09/01/2010, 11 pgs.	

Examiner Signature	/Minh Dinh/	Date Considered	03/20/2012

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MD/

Receipt date: 10/07/2011

Substitute Form for PTO/SB/08a

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	DINH, Minh
Sheet	1	of	1	Attorney Docket Number	ROCK1210-2

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Examiner Initials	Cite No.	Document Number Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	261	US 8032933	10-04-2011	Turley et al.	
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Receipt date: 10/07/2011

(Substitute Form PTO/SB/08b)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	DINH, Minh
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	C120	Notice of Allowance for U.S. Patent Application No. 12/579,566, mailed 08/26/2011, 9 pgs.	

Examiner Signature	/Minh Dinh/	Date Considered	03/20/2012	

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BIB DATA SHEET

CONFIRMATION NO. 8837

SERIAL NUMBER	FILING or 371(c) DATE RULE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
12/619,560	11/16/2009	726	2432	ROCK1210-2

APPLICANTS

Keith Johnston, Austin, TX;
Eric White, Austin, TX;
John Martin, Austin, TX;

**** CONTINUING DATA ***************************

This application is a CON of 11/076,646 03/10/2005 PAT 7,665,130
which claims benefit of 60/551,705 03/10/2004
and claims benefit of 60/551,702 03/10/2004

**** FOREIGN APPLICATIONS ***************************

**** IF REQUIRED, FOREIGN FILING LICENSE GRANTED **** ** SMALL ENTITY **
11/24/2009

Foreign Priority claimed ☐ Yes ☑ No 35 USC 119(a-d) conditions met ☐ Yes ☐ No Verified and Acknowledged /MINH DINH/ Examiner's Signature	☐ Met after Allowance Initials	STATE OR COUNTRY	SHEETS DRAWINGS	TOTAL CLAIMS	INDEPENDENT CLAIMS
		TX	2	20	3

ADDRESS

Sprinkle IP Law Group
1301 W. 25th Street
Suite 408
Austin, TX 78705
UNITED STATES

TITLE

SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION

FILING FEE RECEIVED		
462	FEES: Authority has been given in Paper No._____ to charge/credit DEPOSIT ACCOUNT No._____ for following:	☐ All Fees ☐ 1.16 Fees (Filing) ☐ 1.17 Fees (Processing Ext. of time) ☐ 1.18 Fees (Issue) ☐ Other _____ ☐ Credit

Receipt date: 02/16/2011

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number	12/619,560
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First Named Inventor	Keith Johnston
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	243	US 7185358	02-27-2007	Schreiber et al.	
	244	US 7085385	08-01-2006	Frantz et al.	
	245	US 6907530	06-14-2005	Wang	
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Examiner Signature	/Minh Dinh/	Date Considered	03/20/2012

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Receipt date: 02/16/2011

(Substitute Form PTO/SB/08b)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
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				Group Art Unit	2432
				Examiner Name	DINH, Minh
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NON PATENT LITERATURE DOCUMENTS

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	C106	Office Action issued in U.S. Patent Application No. 12/617,211, dated 02/03/2011, 14 pgs.	
	C107	"Managing Firewall Services," User Guide for Cisco Security Manager 3.3.1, October 2009, Ch. 11, 90 pgs., Cisco Systems, Inc., San Jose, CA.	
	C108	"Cisco Common Classification Policy Language," Cisco Router and Security Device Manager 2.4 User's Guide, Ch. 34, 2008, 32 pgs., Cisco Systems, Inc., San Jose, CA.	
	C109	Guide to User Documentation for Cisco Security Manager 4.0, June 18, 2010, 6 pgs., Cisco Systems, Inc., San Jose, CA.	
	C110	Cisco Configuration Professional: Zone-Based Firewall Blocking Peer to Peer Traffic Configuration Example, Document ID: 112237, Updated 12/03/2010, 25 pgs., Cisco Systems, Inc., San Jose, CA.	
	C111	Tuning Cisco IOS Classic and Zone-Based Policy Firewall Denial-of-Service Protection, 2006, 10 pgs., Cisco Systems, Inc., San Jose, CA.	
	C112	HOLUSKA, Marty, Using Cisco IOS Firewalls to Implement a Network Security Policy, Fort Hays State University/INT 490, printed 12/06/2010, 5 pgs., at http://quasarint.com/Capstone/zb_policy.php	
	C113	Cisco Feature Navigator, Cisco Systems, Inc., San Jose, CA, at http://tools.cisco.com/ITDIT/CFN/Dispatch, printed on 12/02/2010, 4 pgs.	

Examiner Signature	/Minh Dinh/	Date Considered	03/20/2012	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MD/

Substitute Form PTO/SB/08b

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number	12/619,560
Filing Date	November 16, 2009
First Named Inventor	Keith Johnston
Group Art Unit	2432
Examiner Name	DINH, Minh
Atty Docket Number	ROCK1210-2

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/MD/	C132	Notice of Allowance for U.S. Patent Application No. 12/753,390, mailed 03/16/2012, 5 pgs.	

Examiner Signature	/Minh Dinh/	Date Considered 04/28/2012	

Receipt date: 04/25/2012

Substitute Form PTO/SB/08a

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number	12/619,560
Filing Date	November 16, 2009
First Named Inventor	Keith Johnston
Group Art Unit	2432
Examiner Name	DINH, Minh
Attorney Docket Number	ROCK1210-2

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
/MD/	270	US 20120096517	04-19-2012	White et al.	
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Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY (Number 43)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear

Examiner Signature	/Minh Dinh/	Date Considered	/Minh Dinh/

Receipt date: 07/13/2011

Substitute Form for PTO/SB/08a

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
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Examiner Initials	Cite No.	Document Number Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	248	US 7216173	05-08-2007	Clayton et al.	
	249	US 20020165949	11-07-2002	Na et al.	
	250	US 20030069955	04-10-2003	Gieseke et al.	
	251	US 6643260	11-04-2003	Kloth et al.	
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(Substitute Form PTO/SB/08b)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
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				Group Art Unit	2432
				Examiner Name	DINH, Minh
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	C115	Notice of Allowance for U.S. Patent Application No. 12,579,566, mailed 03/23/2011, 12 pgs.	
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Examiner Signature	/Minh Dinh/	Date Considered	03/20/2012	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MD/

Receipt date: 08/03/2011

(Substitute Form PTO/SB/08b)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
				Examiner Name	DINH, Minh
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/MD/	C118	Office Action for U.S. Patent Application No. 12/617,211, mailed 07/19/2011, 18 pgs.	

Examiner Signature	/Minh Dinh/	Date Considered	03/20/2012	

Search Notes	Application/Control No.	Applicant(s)/Patent Under Reexamination
	12619560	JOHNSTON ET AL.
	Examiner	Art Unit
	MINH DINH	2432

SEARCHED

Class	Subclass	Date	Examiner

SEARCH NOTES

Search Notes	Date	Examiner
713/153-155,162; 726/3-5,7-13,21; 709/203,217-219,225-226,229 (text search only for all - see search history printout)	03/22/12	MD
NPL (GOOGLE)	03/22/12	MD
Inventor Name Search	03/22/12	MD

INTERFERENCE SEARCH

Class	Subclass	Date	Examiner

Index of Claims	Application/Control No.	Applicant(s)/Patent Under Reexamination
	12619560	JOHNSTON ET AL.
	Examiner	**Art Unit**
	MINH DINH	2432

✓	Rejected	-	Cancelled	N	Non-Elected	A	Appeal
=	Allowed	÷	Restricted	I	Interference	O	Objected

☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47

CLAIM		DATE								
Final	Original	05/07/2012								
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Receipt date: 12/14/2010

Substitute Form for PTO/SB/08a

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
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U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
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	232	US 7188180	03-06-2007	Larson et al.	
	233	US 7418504	08-26-2008	Larson et al.	
	234	US 7490151	02-10-2009	Munger et al.	
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Examiner Signature	/Minh Dinh/	Date Considered	03/20/2012

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MD/

Receipt date: 12/14/2010

(Substitute Form PTO/SB/08b)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
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				Group Art Unit	2432
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Sheet	1	of	1	Atty Docket Number	ION1210-2

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	C90	Discussion of Conceptual Difference Between Cisco IOS Classic and Zone-Based Firewalls, October 2007, 4 pgs., Cisco Systems, Inc., San Jose, CA.	
	C91	Cisco IOS Firewall Zone-Based Policy Firewall, Release 12.4(6)T, Technical Discussion, February 2006, 77 pgs., Cisco Systems, Inc., San Jose, CA.	
	C92	Zone-Based Policy Firewall Design and Application Guide, Document ID: 98628, 09/13/2007, 49 pgs., Cisco Systems, Inc., San Jose, CA.	

Examiner Signature	/Minh Dinh/	Date Considered	03/20/2012	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MD/

EFS-Web 2.1.17 Page 205

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	12/619,560
				Filing Date	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2431
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	ION1210-2

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Examiner Initials	Cite No.	Document Number Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	195	20020138631 A1	09-26-2002	Friedel et al.	

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Examiner Signature	/Minh Dinh/	Date Considered	03/20/2012

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number	12/619,560
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	C78	Office Action issued in U.S. Patent Application No. 11/076,591, mailed 02/02/2010, 34 pgs.	

Examiner Signature	/Minh Dinh/	Date Considered 03/20/2012	

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Receipt date: 12/08/2011

Substitute for PTO/SB/08a

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				Filing or 371 (c) Date:	November 16, 2009
				First Named Inventor	Keith Johnston
				Group Art Unit	2432
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	B1				
	B2				

Examiner Signature	/Minh Dinh/	Date Considered	03/20/2012

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Receipt date: 12/08/2011

Substitute for PTO/SB/08b

INFORMATION DISCLOSURE STATEMENT				Application Number	12/619,560
				Filing or 371 (c) Date:	November 16, 2009
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				Group Art Unit	2432
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	122	FISHER, DENNIS, "NetScreen to Acquire Neoteris," IT Security & Network Security News, October 6,-2003, 1 page.	
	123	DEMARIA, MIKE, "Faster Than a Speeding VPN--Super Remote Access With Neoteris IVE," Network Computing, 09-09-2002, printed 11-09-2011 from http://www.networkcomputing.com/data-protection/2296249, 3 pages.	
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	125	"NetExtender for SSL-VPN," SonicWALL SSL-VPN NetExtender, April 27, 2006, 30 pages.	
	126	"IPSec vs. SSL VPN: Transition Criteria and Methodology," 2007 Sonicwall, 13 pages.	
	127	FISHER, DENNIS, "Symantec Acquires SSL VPN Vendor," IT Security & Network Security News, 10-20-2003, printed 11-09-2011 from http://www.eweek.com/index2.php?option=content& task=v..." 1 page.	
	128	Notice of Allowance issued in U.S. Patent Application No. 12/617,211, mailed November 10, 2011, 8 pages.	
	129	Notice of Allowance issued in U.S. Patent Application No. 10/683,317, mailed 11-28-2011, 11 pages.	

Examiner Signature	/Minh Dinh/	Date Considered	03/20/2012	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MD/

PTO/SB/81 (01-09)
Approved for use through 11/30/2011. OMB 0651-0035
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POWER OF ATTORNEY OR REVOCATION OF POWER OF ATTORNEY WITH A NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS

Application Number	12/619,560
Filing Date	2009-11-16
First Named Inventor	JOHNSTON, KEITH
Title	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE
Art Unit	2432
Examiner Name	DINH, MINH
Attorney Docket Number	RPXC-31412

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith: **25883**

OR

☐ I hereby appoint Practitioner(s) named below as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:

Practitioner(s) Name	Registration Number

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number.

OR

☐ The address associated with Customer Number:

OR

☐ Firm or Individual Name					
Address					
City		State		Zip	
Country					
Telephone		Email			

I am the:

☐ Applicant/Inventor.

OR

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) (Form PTO/SB/96) submitted herewith or filed on _____.

SIGNATURE of Applicant or Assignee of Record

Signature	Paul M. Saraceni	Date	8/14/12
Name	PAUL M. SARACENI	Telephone	(415) 728-9245
Title and Company	CHIEF IP OFFICER, RPX CORPORATION		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: RPX CORPORATION

Application No./Patent No.: 12/619,560 Filed/Issue Date: 2009-11-16

Titled: SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION

RPX CORPORATION , a CORPORATION
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;

2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or

3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy therefore is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Johnston, Keith; Martin, John To: White, Eric
The document was recorded in the United States Patent and Trademark Office at
Reel 024062, Frame 0647, or for which a copy thereof is attached.

2. From: White, Eric To: ROCKSTEADY TECHNOLOGIES, LLC
The document was recorded in the United States Patent and Trademark Office at
Reel 025715, Frame 0123, or for which a copy thereof is attached.

3. From: White, Eric To: ROCKSTEADY TECHNOLOGIES, LLC
The document was recorded in the United States Patent and Trademark Office at
Reel 028457, Frame 0012, or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet(s).

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (*i.e.*, a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

P M S — 8/14/12

Signature — Date

PAUL M. SARACENI — CHIEF IP OFFICER

Printed or Typed Name — Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

STATEMENT UNDER 37 CFR 3.73(b)

SUPPLEMENTAL SHEET

U.S. Patent App. No.: 12/619,560
Filed: November 16, 2009
Atty. Dkt. No.: RPXC-31412

4. From: JOHNSTON, KEITH

To: WHITE, ERIC

The document was recorded in the United States Patent and Trademark Office at Reel 028533, Frame 0893, or for which a copy thereof is attached.

5. From: MARTIN, JOHN H.

To: WHITE, ERIC

The document was recorded in the United States Patent and Trademark Office at Reel 028519, Frame 0299, or for which a copy thereof is attached.

6. From: ROCKSTEADY TECHNOLOGIES, LLC

To: RPX CORPORATION

The document was recorded in the United States Patent and Trademark Office at Reel 028774 Frame 0036, or for which a copy thereof is attached.

Electronic Acknowledgement Receipt

EFS ID:	13546178
Application Number:	12619560
International Application Number:	
Confirmation Number:	8837
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Customer Number:	44654
Filer:	Gregory Michael Howison
Filer Authorized By:	
Attorney Docket Number:	ROCK1210-2
Receipt Date:	21-AUG-2012
Filing Date:	16-NOV-2009
Time Stamp:	14:59:07
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Power of Attorney	rpx31412poa.pdf	168749 658b908f9412923bfc8129e1efe1337f7dab77d5	no	1

Warnings:	
Information:	

2	Assignee showing of ownership per 37 CFR 3.73(b).	rpx31412373.pdf	217984 52f8964a748acf9a567f106eb57f45f08d4a85be	no	2

Warnings:	
Information:	
Total Files Size (in bytes):	386733

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
12/619,560	11/16/2009	Keith Johnston	RPXC-31412

CONFIRMATION NO. 8837

25883
HOWISON & ARNOTT, L.L.P
P.O. BOX 741715
DALLAS, TX 75374-1715

POA ACCEPTANCE LETTER

OC000000056197128

Date Mailed: 08/29/2012

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 08/21/2012.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/vvan/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

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APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
12/619,560	11/16/2009	Keith Johnston	ROCK1210-2

CONFIRMATION NO. 8837

POWER OF ATTORNEY NOTICE

44654
Sprinkle IP Law Group
1301 W. 25th Street
Suite 408
Austin, TX 78705

OC00000056197034

Date Mailed: 08/29/2012

NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 08/21/2012.

- The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

/vvan/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	JOHNSTON, Keith
Serial No.:	12/619,560
Confirmation No.:	8837
Filed:	November 16, 2009
Group:	2432
Examiner:	DINH, Minh
For:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE REDIRECT TO A DIFFERENT LOCATION

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

AMENDMENT AND RESPONSE TO OFFICE ACTION

This communication is responsive to the Examiner's Office Action dated May 9, 2012. Reconsideration is respectfully requested.

Amendments to the Claims are reflected in the listing of claims beginning on page 2 of this paper.

Remarks/Arguments begin on page 7 of this paper.

In the Claims

This listing of claims will replace all prior versions, and listings, of claims in the application:

1. (Currently Amended) A method for automatic pre-authentication redirection of network traffic, comprising:

providing a network access controller in a shared network, wherein the shared network comprises a plurality of server computers and a set of network destinations hosted on the plurality of server computers;

intercepting at the network access controller a request to access a network resource from a browser application running on a client device within the shared network associated with an anonymous user;

determining whether the network resource referenced in the request is in the set of network destinations hosted on the plurality of server computers in the shared network;

if the network resource is in the set of network destinations hosted on the plurality of server computers in the shared network, directing the browser application running on the client device within the shared network associated with the anonymous user to the network resource; and

if the network resource is not in the set of network destinations hosted on the plurality of server computers in the shared network, redirecting the browser application running on the client device within the shared network associated with the anonymous user to a pre-authentication capture destination hosted on a first server computer, wherein the first server computer is one of the plurality of server computers in the shared network and wherein from the pre-authentication capture destination the anonymous user is free to visit any of the set of network destinations hosted on the plurality of server computers in the shared network without authentication.

2. (Original) The method according to claim 1, wherein the network resource is at a network location external to the shared network.

3. (Original) The method according to claim 1, wherein the redirecting further comprises

sending from the network access controller to the client device a response containing an identifier identifying the pre-authentication capture destination.

4. (Original) The method according to claim 1, further comprising:

redirecting the browser application running on the client device back to the preauthentication capture destination when the anonymous user attempts to access any network resource that is not in the set of network destinations hosted on the plurality of server computers in the shared network.

5. (Original) The method according to claim 1, further comprising:

intercepting at the network access controller a second request from the browser application running on the client device associated with the anonymous user to access a second network resource that is not in the set of network destinations; and

determining whether the second request contains an authentication token.

6. (Original) The method according to claim 5, wherein the second request does not contain the authentication token, further comprising redirecting the browser application running on the client device associated with the anonymous user back to the pre-authentication capture destination hosted on the first server computer in the shared network.

7. (Original) The method according to claim 5, wherein the second request contains the authentication token, further comprising redirecting the browser application running on the client device associated with the anonymous user to an authentication page hosted on a second server computer in the shared network.

8. (Original) The method according to claim 7, wherein the anonymous user is authenticated by the second server computer for network access external to the shared network.

9. (Currently Amended) A computer program product comprising a non-transitory computer readable storage medium storing computer instructions translatable by a processor to perform:

intercepting at a network access controller a request to access a network resource from a browser application running on a client device within the shared network associated with an anonymous user;

determining whether the network resource referenced in the request is in a set of network destinations hosted on a plurality of server computers in a shared network;

if the network resource is in the set of network destinations hosted on the plurality of server computers in the shared network, directing the browser application running on the client device within the shared network associated with the anonymous user to the network resource; and

if the network resource is not in the set of network destinations hosted on the plurality of server computers in the shared network, redirecting the browser application running on the client device within the shared network associated with the anonymous user to a pre-authentication capture destination hosted on a first server computer, wherein the first server computer is one of the plurality of server computers in the shared network and wherein from the pre-authentication capture destination the anonymous user is free to visit any of the set of network destinations hosted on the plurality of server computers in the shared network without authentication.

10. (Original) The computer program product of claim 9, wherein the redirecting further comprises sending from the network access controller to the client device a response containing an identifier identifying the pre-authentication capture destination.

11. (Original) The computer program product of claim 9, wherein the computer instructions are further translatable by the processor to perform:

redirecting the browser application running on the client device back to the preauthentication capture destination when the anonymous user attempts to access any network resource that is not in the set of network destinations hosted on the plurality of server computers in the shared network.

12. (Original) The computer program product of claim 9, wherein the computer instructions are further translatable by the processor to perform:

intercepting at the network access controller a second request from the browser application running on the client device associated with the anonymous user to access a second network resource that is not in the set of network destinations; and

determining whether the second request contains an authentication token.

13. (Original) The computer program product of claim 12, wherein the second request does not contain the authentication token and wherein the computer instructions are further translatable by

the processor to perform:

redirecting the browser application running on the client device associated with the anonymous user back to the pre-authentication capture destination hosted on the first server computer in the shared network.

14. (Original) The computer program product of claim 12, wherein the second request contains the authentication token and wherein the computer instructions are further translatable by the processor to perform:

redirecting the browser application running on the client device associated with the anonymous user to an authentication page hosted on a second server computer in the shared network.

15. (Original) The computer program product of claim 14, wherein the anonymous user is authenticated by the second server computer for network access external to the shared network and wherein the computer instructions are further translatable by the processor to perform:

allowing the client device access to the second network resource.

16. (Currently Amended) An apparatus for controlling network access, comprising:

a processor within a shared network; and

a computer readable storage medium storing computer instructions translatable by the processor to perform:

intercepting a request to access a network resource from a browser application running on a client device within the shared network coupled to the apparatus, wherein the client device is associated with an anonymous user;

determining whether the network resource referenced in the request is in a set of network destinations hosted on a plurality of server computers coupled to the apparatus, wherein the plurality of server computers resides on [[a]] the shared network;

if the network resource is in the set of network destinations hosted on the plurality of server computers in the shared network, directing the browser application running on the client device within the shared network associated with the anonymous user to the network resource; and

if the network resource is not in the set of network destinations hosted on the plurality of server computers in the shared network, redirecting the browser application running on the client device within the shared network associated with the anonymous

user to a pre-authentication capture destination hosted on a first server computer, wherein the first server computer is one of the plurality of server computers in the shared network and wherein from the pre-authentication capture destination the anonymous user is free to visit any of the set of network destinations hosted on the plurality of server computers in the shared network without authentication.

17. (Original) The apparatus of claim 16, wherein the computer instructions are further translatable by the processor to redirect the browser application running on the client device back to the preauthentication capture destination when the anonymous user attempts to access any network resource that is not in the set of network destinations hosted on the plurality of server computers in the shared network.

18. (Original) The apparatus of claim 16, further comprising:

a first network interface coupled to the processor, wherein the computer instructions are further translatable by the processor to continuously monitor for network access from client devices on the first network interface.

19. (Original) The apparatus of claim 18, wherein the computer instructions are further translatable by the processor to perform:

intercepting a second request from the browser application running on the client device associated with the anonymous user to access a second network resource that is not in the set of network destinations; and

determining whether the second request contains an authentication token.

20. (Original) The apparatus of claim 19, wherein the computer instructions are further translatable by the processor to perform:

if the second request does not contain the authentication token, redirecting the browser application running on the client device associated with the anonymous user back to the preauthentication capture destination hosted on the first server computer in the shared network; and

if the second request contains the authentication token, redirecting the browser application running on the client device associated with the anonymous user to an authentication page hosted on a second server computer in the shared network.

REMARKS

In response to the Office Action dated May 9, 2012, the Applicant has amended Claims 1, 9 and 16. Thus, Claims 1-20 remain pending in the application. Reconsideration of the claims, as amended, is respectfully requested.

Claims 9-15 were rejected under 35 U.S.C. § 101 as not falling into a non-statutory category of invention. Claim 9 has been amended to more particularly recite the term "non-transitory" as suggested in the Official Action. Withdrawal of the rejection is respectfully requested.

Claims 1-6, 9-13 and 16-19 were rejected under 35 U.S.C. § 103(a) as being unpatentable over *Crandell et al.* in view of *Bauer*. Applicant respectfully traverses.

Claim 1 recites the limitations of:

> intercepting at the network access controller a request to access a network resource from a browser application running on a client device within the shared network associated with an anonymous user;
>
> determining whether the network resource referenced in the request is in the set of network destinations hosted on the plurality of server computers in the shared network;
>
> if the network resource is in the set of network destinations hosted on the plurality of server computers in the shared network, directing the browser application running on the client device within the shared network associated with the anonymous user to the network resource; and
>
> if the network resource is not in the set of network destinations hosted on the plurality of server computers in the shared network, redirecting the browser application running on the client device within the shared network associated with the anonymous user to a pre-authentication capture destination hosted on a first server computer, wherein the first server computer is one of the plurality of server computers in the shared network and wherein from the pre-authentication capture destination the anonymous user is free to visit any of the set of network destinations hosted on the plurality of server computers in the shared network without authentication.

The Official Action has stated that the *Crandell* reference discloses the step of intercepting. Applicant respectfully submits that the discussion with respect to *Crandell* does not describe that the layer one switching router within the DMZ intercepts a request to access a network resource from a browser application running on a client device within the shared network. It is apparent from Fig. 3 and the discussion with respect thereto that messages may be provided from the firewalls on each side of the layer for switching router but Applicant upon reviewing the *Crandell* reference finds no discussion of the interception of a request from a browser application running on a client device within a shared network.

Additionally, the *Crandell* reference has been described with respect to the Internet in Fig. 3 as disclosing determining whether a network resource that is included within the request is at a network location that is external to the shared network. Applicant's see no discussion of a determination as to whether a network resource within a request is external to a shared network. In fact, as the Official Action has admitted within the Official Action, the *Crandell* reference does not comprise a shared network comprising a plurality of server computers and a set of network destinations hosted on the plurality of server computers. Therefore, the Applicant respectfully submits that as this shared network is not disclosed by *Crandell*, it cannot disclose a determination as to whether a request is made for a network resource that is external to the shared network.

Finally, the *Crandell* reference has been described as reciting redirecting the browser application running on the client device within the shared network to a preauthentication capture destination hosted on the first server computer if the network resource is not within the set of network destinations hosted on the plurality of server computers and the shared network. As discussed before, if the *Crandell* reference does not disclose a shared network as recited in Applicant's claim, it cannot disclose a redirection based upon a condition of a determination that a resource included within a request is not in a set of network destinations that are hosted by the shared network. Additionally, Applicant upon reviewing the firewall web proxy implementation with respect to Fig. 3 of the *Crandell* reference finds no discussion of the redirection of a browser application to a preauthentication capture destination based upon a determination that a network resource is not within a set of network destinations hosted by a shared network.

Therefore, the Applicant respectfully submits that the *Crandell* reference fails to disclose a number of important limitations of Applicant's Claim 1.

Additionally, with respect to the *Bauer* reference, *Bauer* is described as disclosing determining whether the network resource reference in the request is in the set of network destinations hosted on the plurality of server computers in the shared network and if the network resource is in the set of network destinations hosted on the plurality of server computers and the shared network directing the browser application on the client device to the network resource. Applicant has reviewed the discussion of the material with respect to Fig. 2 of the *Bauer* reference and sees no discussion of the direction of browsers based upon a determination of whether the requested network resource is within a set of network destinations hosted on the servers of a shared network. The discussion with respect to Fig. 2 merely describes a multi-host firewall with DMZ. The disclosure is merely describing the structure of this multi-host firewall with DMZ but provides no discussion of the direction of a particular request in accordance with the conditions that are established in Applicant's Claim 1. There is no discussion that they system described with respect to Fig. 2 operates in a manner similar to that described with respect to the method in Applicant's Claim 1. Therefore, the Applicant respectfully submits that Claim 1, and all claims dependent therefrom, are distinguishable from the combination of *Crandell* and *Bauer* and a Notice of Allowance for Claim 1, and all claims dependent therefrom, is respectfully requested.

Claims 9 and 16 include limitations similar to those of Claim 1. Therefore the Applicant respectfully submits that Claims 9 and 16, and all claims dependent therefrom, are distinguishable from the combination of *Crandell* and *Bauer* for reasons similar to those discussed with respect to Claim 1. A Notice of Allowance is respectfully requested.

Applicant thanks the Examiner for the indication of allowable subject matter with respect to Claims 7-8, 14-15 and 20.

In view of the foregoing amendments and comments, the Applicant respectfully submits that all pending claims are distinguishable from the art of record and a Notice of Allowance is respectfully requested.

Applicant has now made an earnest attempt in order to place this case in condition for allowance. For the reasons stated above, Applicant respectfully requests full allowance of the claims as amended. Please charge any additional fees or deficiencies in fees or credit any overpayment to Deposit Account No. 20-0780/RPXC-31412 of HOWISON & ARNOTT, L.L.P.

Respectfully submitted,
HOWISON & ARNOTT, L.L.P.
Attorneys for Applicant

/Brian D. Walker Reg. #37751/
Brian D. Walker
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BDW/mep

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September 7, 2012

PTO/SB/22 (09-11)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARMENT OF COMMERCE
Under the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)	Docket Number (Optional) RPXC-31412
Application Number 12/619,560	Filed 2009-11-16
For SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE REDIRECT TO A DIFFERENT LOCATION	
Art Unit 2432	Examiner DINH, Minh

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):

		Fee	Small Entity Fee	
☑	One month (37 CFR 1.17(a)(1))	$150	$75	$ 75
☐	Two months (37 CFR 1.17(a)(2))	$560	$280	$
☐	Three months (37 CFR 1.17(a)(3))	$1270	$635	$
☐	Four months (37 CFR 1.17(a)(4))	$1980	$990	$
☐	Five months (37 CFR 1.17(a)(5))	$2690	$1345	$

☐ Applicant claims small entity status. See 37 CFR 1.27.

☐ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Director has already been authorized to charge fees in this application to a Deposit Account.

☑ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 20-0780/RPXC-31412.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

I am the

☐ applicant/inventor.

☐ assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed (Form PTO/SB/96).

☑ attorney or agent of record. Registration Number 37751

☐ attorney or agent under 37 CFR 1.34.
Registration number if acting under 37 CFR 1.34 _____

/Brian D. Walker Reg. #37751/ — Signature

2012-09-07 — Date

BRIAN D. WALKER — Typed or printed name

972-479-0462 — Telephone Number

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☑ Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal

Application Number:	12619560
Filing Date:	16-Nov-2009
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Filer:	Brian D. Walker/beth pierotti
Attorney Docket Number:	RPXC-31412

Filed as Small Entity

Utility under 35 USC 111(a) Filing Fees

Description	Fee Code	Quantity	Amount	Sub-Total in USD($)
Basic Filing:				
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Extension - 1 month with $0 paid	2251	1	75	75

Description	Fee Code	Quantity	Amount	Sub-Total in USD($)
Miscellaneous:				
			Total in USD ($)	**75**

Electronic Acknowledgement Receipt

EFS ID:	13686848
Application Number:	12619560
International Application Number:	
Confirmation Number:	8837
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Customer Number:	25883
Filer:	Brian D. Walker
Filer Authorized By:	
Attorney Docket Number:	RPXC-31412
Receipt Date:	07-SEP-2012
Filing Date:	16-NOV-2009
Time Stamp:	18:17:40
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	$75
RAM confirmation Number	6119
Deposit Account	200780
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		RPX31412AMD1.pdf	99389 a0db496813d8761711962068d3272b9710de36d8	yes	10

Multipart Description/PDF files in .zip description

Document Description	Start	End
Amendment/Req. Reconsideration-After Non-Final Reject	1	1
Claims	2	6
Applicant Arguments/Remarks Made in an Amendment	7	10

Warnings:

Information:

2	Extension of Time	rpx31412extn.pdf	286421 1dfe2df65e6c544f59011f5ba5311f132750cbe7	no	2

Warnings:

Information:

3	Fee Worksheet (SB06)	fee-info.pdf	30515 4c7adb29b131c7dd45dd6ab434effc106c8bff05	no	2

Warnings:

Information:

Total Files Size (in bytes):	416325

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/06 (07-06)
Approved for use through 1/31/2007. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION FEE DETERMINATION RECORD

Substitute for Form PTO-875

Application or Docket Number	Filing Date	☐ To be Mailed
12/619,560	11/16/2009	

APPLICATION AS FILED – PART I

FOR	(Column 1) NUMBER FILED	(Column 2) NUMBER EXTRA	SMALL ENTITY ☒ RATE ($)	SMALL ENTITY ☒ FEE ($)	OR	OTHER THAN SMALL ENTITY RATE ($)	OTHER THAN SMALL ENTITY FEE ($)
☐ BASIC FEE (37 CFR 1.16(a), (b), or (c))	N/A	N/A	N/A			N/A	
☐ SEARCH FEE (37 CFR 1.16(k), (i), or (m))	N/A	N/A	N/A			N/A	
☐ EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))	N/A	N/A	N/A			N/A	
TOTAL CLAIMS (37 CFR 1.16(i))	minus 20 =	*	X $ =		OR	X $ =	
INDEPENDENT CLAIMS (37 CFR 1.16(h))	minus 3 =	*	X $ =			X $ =	
☐ APPLICATION SIZE FEE (37 CFR 1.16(s))	If the specification and drawings exceed 100 sheets of paper, the application size fee due is $250 ($125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).						
☐ MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))							
* If the difference in column 1 is less than zero, enter "0" in column 2.			TOTAL			TOTAL	

APPLICATION AS AMENDED – PART II

AMENDMENT	09/07/2012	(Column 1) CLAIMS REMAINING AFTER AMENDMENT		(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA	SMALL ENTITY RATE ($)	SMALL ENTITY ADDITIONAL FEE ($)	OR	OTHER THAN SMALL ENTITY RATE ($)	OTHER THAN SMALL ENTITY ADDITIONAL FEE ($)
	Total (37 CFR 1.16(i))	* 20	Minus	** 20	= 0	X $30 =	0	OR	X $ =	
	Independent (37 CFR 1.16(h))	* 3	Minus	***3	= 0	X $125 =	0	OR	X $ =	
	☐ Application Size Fee (37 CFR 1.16(s))									
	☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))							OR		
						TOTAL ADD'L FEE	0	OR	TOTAL ADD'L FEE	

AMENDMENT		(Column 1) CLAIMS REMAINING AFTER AMENDMENT		(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA	RATE ($)	ADDITIONAL FEE ($)	OR	RATE ($)	ADDITIONAL FEE ($)
	Total (37 CFR 1.16(i))	*	Minus	**	=	X $ =		OR	X $ =	
	Independent (37 CFR 1.16(h))	*	Minus	***	=	X $ =		OR	X $ =	
	☐ Application Size Fee (37 CFR 1.16(s))									
	☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))							OR		
						TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

Legal Instrument Examiner:
/DEBORAH PORTER/

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

25883 7590 11/30/2012
HOWISON & ARNOTT, L.L.P
P.O. BOX 741715
DALLAS, TX 75374-1715

EXAMINER	
DINH, MINH	
ART UNIT	PAPER NUMBER
2432	

DATE MAILED: 11/30/2012

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/619,560	11/16/2009	Keith Johnston	RPXC-31412	8837

TITLE OF INVENTION: SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	$885	$300	$0	$1185	02/28/2013

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail **Mail Stop ISSUE FEE**
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

25883 7590 11/30/2012
HOWISON & ARNOTT, L.L.P
P.O. BOX 741715
DALLAS, TX 75374-1715

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission
I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/619,560	11/16/2009	Keith Johnston	RPXC-31412	8837

TITLE OF INVENTION: SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	$885	$300	$0	$1185	02/28/2013

EXAMINER	ART UNIT	CLASS-SUBCLASS
DINH, MINH	2432	726-003000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).
- ❑ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ❑ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list
(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.
1 _____
2 _____
3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent) : ❑ Individual ❑ Corporation or other private group entity ❑ Government

4a. The following fee(s) are submitted:
- ❑ Issue Fee
- ❑ Publication Fee (No small entity discount permitted)
- ❑ Advance Order - # of Copies _____

4b. Payment of Fee(s): **(Please first reapply any previously paid issue fee shown above)**
- ❑ A check is enclosed.
- ❑ Payment by credit card. Form PTO-2038 is attached.
- ❑ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number _____ (enclose an extra copy of this form).

5. **Change in Entity Status** (from status indicated above)
- ❑ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ❑ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature _____ Date _____

Typed or printed name _____ Registration No. _____

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/619,560	11/16/2009	Keith Johnston	RPXC-31412	8837

25883 7590 11/30/2012

HOWISON & ARNOTT, L.L.P
P.O. BOX 741715
DALLAS, TX 75374-1715

EXAMINER	
DINH, MINH	

ART UNIT	PAPER NUMBER
2432	

DATE MAILED: 11/30/2012

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 450 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 450 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Notice of Allowability	Application No.	Applicant(s)	
	12/619,560	JOHNSTON ET AL.	
	Examiner	Art Unit	
	MINH DINH	2432	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to *the amendment filed on 09/007/2012*.

2. ☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.

3. ☒ The allowed claim(s) is/are *1-20*. As a result of the allowed claim(s), you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov .

4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
   a) ☐ All b) ☐ Some* c) ☐ None of the:
      1. ☐ Certified copies of the priority documents have been received.
      2. ☐ Certified copies of the priority documents have been received in Application No. _____ .
      3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

   * Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.

   ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.

   Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

1. ☒ Notice of References Cited (PTO-892)
2. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date _____
3. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
4. ☐ Interview Summary (PTO-413), Paper No./Mail Date _____ .
5. ☐ Examiner's Amendment/Comment
6. ☒ Examiner's Statement of Reasons for Allowance
7. ☐ Other _____.

/Minh Dinh/
Primary Examiner, Art Unit 2432

DETAILED ACTION

Response to Amendment

1. This office action is in response to the amendment filed on 09/07/2012. Claims 1, 9 and 16 have been amended.

Allowable Subject Matter

2. Claims 1-20 are allowed.

3. The following is an examiner's statement of reasons for allowance. The closest prior art, MacKinnon et al. (US 2004/0177276), also discloses redirecting a client's request to a pre-authentication capture destination; however, MacKinnon does not disclose that the pre-authentication capture destination is hosted on a first server in the shared network.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MINH DINH whose telephone number is (571)272-3802. The examiner can normally be reached on Mon-Fri: 09:00am-5:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gilberto Barron can be reached on 571-272-3799. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Minh Dinh/
Primary Examiner, Art Unit 2432

Notice of References Cited	Application/Control No. 12/619,560	Applicant(s)/Patent Under Reexamination JOHNSTON ET AL.	
	Examiner MINH DINH	Art Unit 2432	Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-2004/0177276	09-2004	MacKinnon et al.	713/201
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S71	7576	713/153-155,162;726/3-5,7-13,21;709/203,217-219,225-226,229.ccls. and (@ad<"20040310" and @rlad<"20040310")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/11/19 11:25
S72	44	S71 and (((internal corporate company) near2 (user employee)) with ((access$3 connect$3 request$3) near3 (internet ((public external) adj network))))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/11/19 11:29
S73	8	S72 and ((firewall gateway controller) with authenticat$3)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/11/19 11:31

EAST Search History (Interference)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S74	1	((access adj controller) and (shared adj network) and intercept$3 and request and resource and browser and client and (anonymous adj user) and redirect$3 and authenticat$3).clm.	US-PGPUB; UPAD	OR	ON	2012/11/26 12:03

11/26/2012 12:05:40 PM
C:\Users\mdinh\Documents\EAST\Workspaces\12619560 Anonymous User Request.wsp

Issue Classification

Application/Control No.	Applicant(s)/Patent Under Reexamination
12619560	JOHNSTON ET AL.
Examiner	**Art Unit**
MINH DINH	2432

ORIGINAL		INTERNATIONAL CLASSIFICATION	
CLASS	SUBCLASS	CLAIMED	NON-CLAIMED
726	3	H 0 4 L 29 / 06 (2006.01.01)	

CROSS REFERENCE(S)

CLASS	SUBCLASS (ONE SUBCLASS PER BLOCK)				
726	12				

☒ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47

Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original

NONE		**Total Claims Allowed:**	
(Assistant Examiner)	(Date)	20	
/MINH DINH/ Primary Examiner.Art Unit 2432	11/26/2012	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	3

Search Notes	Application/Control No.	Applicant(s)/Patent Under Reexamination
	12619560	JOHNSTON ET AL.
	Examiner	Art Unit
	MINH DINH	2432

SEARCHED

Class	Subclass	Date	Examiner

SEARCH NOTES

Search Notes	Date	Examiner
713/153-155,162; 726/3-5,7-13,21; 709/203,217-219,225-226,229 (text search only for all - see search history printout)	03/22/12	MD
NPL (GOOGLE)	03/22/12	MD
Inventor Name Search	03/22/12	MD
713/153-155,162; 726/3-5,7-13,21; 709/203,217-219,225-226,229 (text search only for all - see search history printout)	11/19/12	MD
Inventor Name Search	11/26/12	MD

INTERFERENCE SEARCH

Class	Subclass	Date	Examiner
	PG-PUB and UPAD text search, see interference search printout	11/26/12	MD

Index of Claims	Application/Control No.	Applicant(s)/Patent Under Reexamination
	12619560	JOHNSTON ET AL.
	Examiner	Art Unit
	MINH DINH	2432

✓	Rejected	-	Cancelled	N	Non-Elected	A	Appeal
=	Allowed	÷	Restricted	I	Interference	O	Objected

☒ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47

CLAIM		DATE								
Final	Original	05/07/2012	11/26/2012							
	1	✓	=							
	2	✓	=							
	3	✓	=							
	4	✓	=							
	5	✓	=							
	6	✓	=							
	7	O	=							
	8	O	=							
	9	✓	=							
	10	✓	=							
	11	✓	=							
	12	✓	=							
	13	✓	=							
	14	✓	=							
	15	✓	=							
	16	✓	=							
	17	✓	=							
	18	✓	=							
	19	✓	=							
	20	O	=							

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail **Mail Stop ISSUE FEE**
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

25883 7590 11/30/2012
HOWISON & ARNOTT, L.L.P
P.O. BOX 741715
DALLAS, TX 75374-1715

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission
I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/619,560	11/16/2009	Keith Johnston	RPXC-31412	8837

TITLE OF INVENTION: SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	$885	$300	$0	$1185	02/28/2013

EXAMINER	ART UNIT	CLASS-SUBCLASS
DINH, MINH	2432	726-003000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).
- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list
(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 HOWISON & ARNOTT,
2 L.L.P
3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE: RPX CORPORATION

(B) RESIDENCE: (CITY and STATE OR COUNTRY): SAN FRANCISCO, CALIFORNIA

Please check the appropriate assignee category or categories (will not be printed on the patent) : ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:
- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies _____

4b. Payment of Fee(s): **(Please first reapply any previously paid issue fee shown above)**
- ☐ A check is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 200780 (enclose an extra copy of this form).

5. **Change in Entity Status** (from status indicated above)
- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☒ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature /Brian D. Walker Reg. #37751/ Date December 3, 2012

Typed or printed name BRIAN D. WALKER Registration No. 37,751

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	JOHNSTON, Keith et al.
Serial No.:	12/619,560
Filed:	November 16, 2009
Confirmation No.	8837
Notice of Allowance Mailed:	November 30, 2012
Group:	2432
Examiner:	DINH, Minh
For:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

AMENDMENT UNDER RULE 312

The above-identified application has been carefully reviewed prior to the payment of the Issue Fee. It is requested that the following amendments be entered under the provisions of Rule 312.

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims beginning on page 3 of this paper.

Remarks begin on page 9 of this paper.

In the Specification

Please replace paragraph [0001] with the following amended paragraph:

[0001] This application is a continuation of U.S. patent application Ser. No. 11/076,646, filed Mar. 10, 2005 by inventors Keith Johnston, Eric White, and John Martin, entitled "SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION", now U.S. Patent No. 7,665,130, issued on February 16, 2010, which claims a benefit of priority under 35 U.S.C. §119(e) to U.S. Provisional Applications No. 60/551,705, filed Mar. 10, 2004, entitled "SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION," and No. 60/551,702, filed Mar. 10, 2004, entitled "SYSTEM AND METHOD FOR ACCESS SCOPE CONTROL ("WALLED GARDENS") FOR CLIENTS OF A NETWORK ACCESS GATEWAY," both of which are hereby fully incorporated by reference herein.

In the Claims

This listing of claims will replace all prior versions, and listings, of claims in the application:

1. (Previously Presented) A method for automatic pre-authentication redirection of network traffic, comprising:

providing a network access controller in a shared network, wherein the shared network comprises a plurality of server computers and a set of network destinations hosted on the plurality of server computers;

intercepting at the network access controller a request to access a network resource from a browser application running on a client device within the shared network associated with an anonymous user;

determining whether the network resource referenced in the request is in the set of network destinations hosted on the plurality of server computers in the shared network;

if the network resource is in the set of network destinations hosted on the plurality of server computers in the shared network, directing the browser application running on the client device within the shared network associated with the anonymous user to the network resource; and

if the network resource is not in the set of network destinations hosted on the plurality of server computers in the shared network, redirecting the browser application running on the client device within the shared network associated with the anonymous user to a pre-authentication capture destination hosted on a first server computer, wherein the first server computer is one of the plurality of server computers in the shared network and wherein from the pre-authentication capture destination the anonymous user is free to visit any of the set of network destinations hosted on the plurality of server computers in the shared network without authentication.

2. (Original) The method according to claim 1, wherein the network resource is at a network location external to the shared network.

3. (Original) The method according to claim 1, wherein the redirecting further comprises sending from the network access controller to the client device a response containing an identifier identifying the pre-authentication capture destination.

4. (Original) The method according to claim 1, further comprising:

redirecting the browser application running on the client device back to the preauthentication capture destination when the anonymous user attempts to access any network resource that is not in the set of network destinations hosted on the plurality of server computers in the shared network.

5. (Original) The method according to claim 1, further comprising:

intercepting at the network access controller a second request from the browser application running on the client device associated with the anonymous user to access a second network resource that is not in the set of network destinations; and

determining whether the second request contains an authentication token.

6. (Original) The method according to claim 5, wherein the second request does not contain the authentication token, further comprising redirecting the browser application running on the client device associated with the anonymous user back to the pre-authentication capture destination hosted on the first server computer in the shared network.

7. (Original) The method according to claim 5, wherein the second request contains the authentication token, further comprising redirecting the browser application running on the client device associated with the anonymous user to an authentication page hosted on a second server computer in the shared network.

8. (Original) The method according to claim 7, wherein the anonymous user is authenticated by the second server computer for network access external to the shared network.

9. (Previously Presented) A computer program product comprising a non-transitory computer readable storage medium storing computer instructions translatable by a processor to perform:

intercepting at a network access controller a request to access a network resource from a browser application running on a client device within the shared network associated with an anonymous user;

determining whether the network resource referenced in the request is in a set of network destinations hosted on a plurality of server computers in a shared network;

if the network resource is in the set of network destinations hosted on the plurality of server computers in the shared network, directing the browser application running on the client device within the shared network associated with the anonymous user to the network resource; and

if the network resource is not in the set of network destinations hosted on the plurality of server computers in the shared network, redirecting the browser application running on the client device within the shared network associated with the anonymous user to a pre-authentication capture destination hosted on a first server computer, wherein the first server computer is one of the plurality of server computers in the shared network and wherein from the pre-authentication capture destination the anonymous user is free to visit any of the set of network destinations hosted on the plurality of server computers in the shared network without authentication.

10. (Original) The computer program product of claim 9, wherein the redirecting further comprises sending from the network access controller to the client device a response containing an identifier identifying the pre-authentication capture destination.

11. (Original) The computer program product of claim 9, wherein the computer instructions are further translatable by the processor to perform:

redirecting the browser application running on the client device back to the preauthentication capture destination when the anonymous user attempts to access any network resource that is not in the set of network destinations hosted on the plurality of server computers in the shared network.

12. (Original) The computer program product of claim 9, wherein the computer instructions are further translatable by the processor to perform:

intercepting at the network access controller a second request from the browser application running on the client device associated with the anonymous user to access a second network resource that is not in the set of network destinations; and

determining whether the second request contains an authentication token.

13. (Original) The computer program product of claim 12, wherein the second request does not contain the authentication token and wherein the computer instructions are further translatable by the processor to perform:

redirecting the browser application running on the client device associated with the anonymous user back to the pre-authentication capture destination hosted on the first server computer in the shared network.

14. (Original) The computer program product of claim 12, wherein the second request contains the authentication token and wherein the computer instructions are further translatable by the processor to perform:

redirecting the browser application running on the client device associated with the anonymous user to an authentication page hosted on a second server computer in the shared network.

15. (Original) The computer program product of claim 14, wherein the anonymous user is authenticated by the second server computer for network access external to the shared network and wherein the computer instructions are further translatable by the processor to perform:

allowing the client device access to the second network resource.

16. (Previously Presented) An apparatus for controlling network access, comprising:

a processor within a shared network; and

a computer readable storage medium storing computer instructions translatable by the processor to perform:

intercepting a request to access a network resource from a browser application running on a client device within the shared network coupled to the apparatus, wherein the client device is associated with an anonymous user;

determining whether the network resource referenced in the request is in a set of network destinations hosted on a plurality of server computers coupled to the apparatus, wherein the plurality of server computers resides on the shared network;

if the network resource is in the set of network destinations hosted on the plurality of server computers in the shared network, directing the browser application running on the client device within the shared network associated with the anonymous user to the network resource; and

if the network resource is not in the set of network destinations hosted on the plurality of server computers in the shared network, redirecting the browser application running on the client device within the shared network associated with the anonymous user to a pre-authentication capture destination hosted on a first server computer, wherein the first server computer is one of the plurality of server computers in the shared network and wherein from the pre-authentication capture destination the anonymous user is free to visit any of the set of network destinations hosted on the plurality of server computers in the shared network without authentication.

17. (Original) The apparatus of claim 16, wherein the computer instructions are further translatable by the processor to redirect the browser application running on the client device back to the preauthentication capture destination when the anonymous user attempts to access any network resource that is not in the set of network destinations hosted on the plurality of server computers in the shared network.

18. (Original) The apparatus of claim 16, further comprising:

a first network interface coupled to the processor, wherein the computer instructions are further translatable by the processor to continuously monitor for network access from client devices on the first network interface.

19. (Original) The apparatus of claim 18, wherein the computer instructions are further translatable by the processor to perform:

intercepting a second request from the browser application running on the client device associated with the anonymous user to access a second network resource that is not in the set of network destinations; and

determining whether the second request contains an authentication token.

20. (Original) The apparatus of claim 19, wherein the computer instructions are further translatable by the processor to perform:

if the second request does not contain the authentication token, redirecting the browser application running on the client device associated with the anonymous user back to the preauthentication capture destination hosted on the first server computer in the shared network; and

if the second request contains the authentication token, redirecting the browser application running on the client device associated with the anonymous user to an authentication page hosted on a second server computer in the shared network.

Remarks

Subsequent to the receipt of a Notice of Allowance, this application was carefully reviewed prior to payment of the Issue Fee. The errors noted during this review have been corrected by this Amendment Under Rule 312. It is respectfully submitted that the foregoing amendments do not affect the merits of the application and are proper subject matter for an Amendment Under Rule 312.

Entry of this Amendment is respectfully requested.

Respectfully submitted,
HOWISON & ARNOTT, L.L.P.
Attorneys for Applicant(s)

/Brian D. Walker Reg. #37751/
Brian D. Walker
Registration No. 37,751

BDW/mep
P.O. Box 741715
Dallas, Texas 75374-1715
Tel: 972-479-0462
Fax: 972-479-0464
December 3, 2012

Electronic Patent Application Fee Transmittal

Application Number:	12619560
Filing Date:	16-Nov-2009
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Filer:	Brian D. Walker/BETH PIEROTTI
Attorney Docket Number:	RPXC-31412

Filed as Large Entity

Utility under 35 USC 111(a) Filing Fees

Description	Fee Code	Quantity	Amount	Sub-Total in USD($)
Basic Filing:				
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Utility Appl issue fee	1501	1	1770	1770
Publ. Fee- early, voluntary, or normal	1504	1	300	300

Description	Fee Code	Quantity	Amount	Sub-Total in USD($)
Extension-of-Time:				
Miscellaneous:				
			Total in USD ($)	**2070**

Electronic Acknowledgement Receipt

EFS ID:	14365934
Application Number:	12619560
International Application Number:	
Confirmation Number:	8837
Title of Invention:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION
First Named Inventor/Applicant Name:	Keith Johnston
Customer Number:	25883
Filer:	Brian D. Walker
Filer Authorized By:	
Attorney Docket Number:	RPXC-31412
Receipt Date:	03-DEC-2012
Filing Date:	16-NOV-2009
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Application Type:	Utility under 35 USC 111(a)

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The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Issue Fee Payment (PTO-85B)	rpx31412issfee.pdf	1075322 5b9732e54c72b889180c2e9eab16726458eaabf2	no	1
Warnings:					
Information:					
2		rpx31412AMD312.pdf	88437 dfa72bf30f1c71399953db9e1797e5d50397e72f	yes	9

Multipart Description/PDF files in .zip description

Document Description	Start	End
Amendment after Notice of Allowance (Rule 312)	1	1
Specification	2	2
Claims	3	8
Applicant Arguments/Remarks Made in an Amendment	9	9

Warnings:					
Information:					
3	Fee Worksheet (SB06)	fee-info.pdf	32123 f132bce7986ae9fe9f900ea58ec35c24a868f96a	no	2
Warnings:					
Information:					
		Total Files Size (in bytes):	1195882		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

OK TO ENTER: /MD/

12/09/2012

RPXC-31412 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	JOHNSTON, Keith et al.
Serial No.:	12/619,560
Filed:	November 16, 2009
Confirmation No.	8837
Notice of Allowance Mailed:	November 30, 2012
Group:	2432
Examiner:	DINH, Minh
For:	SYSTEM AND METHOD FOR DOUBLE-CAPTURE/DOUBLE-REDIRECT TO A DIFFERENT LOCATION

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

AMENDMENT UNDER RULE 312

The above-identified application has been carefully reviewed prior to the payment of the Issue Fee. It is requested that the following amendments be entered under the provisions of Rule 312.

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims beginning on page 3 of this paper.

Remarks begin on page 9 of this paper.

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/619,560	11/16/2009	Keith Johnston	RPXC-31412	8837

25883 7590 12/17/2012

HOWISON & ARNOTT, L.L.P
P.O. BOX 741715
DALLAS, TX 75374-1715

EXAMINER	
DINH, MINH	

ART UNIT	PAPER NUMBER
2432	

NOTIFICATION DATE	DELIVERY MODE
12/17/2012	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patents@dalpat.com

Response to Rule 312 Communication	Application No.	Applicant(s)
	12/619,560	JOHNSTON ET AL.
	Examiner	Art Unit
	MINH DINH	2432

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address –

1. ☒ The amendment filed on *03 December 2012* under 37 CFR 1.312 has been considered, and has been:

   a) ☒ entered.

   b) ☐ entered as directed to matters of form not affecting the scope of the invention.

   c) ☐ disapproved because the amendment was filed after the payment of the issue fee.

   Any amendment filed after the date the issue fee is paid must be accompanied by a petition under 37 CFR 1.313(c)(1) and the required fee to withdraw the application from issue.

   d) ☐ disapproved. See explanation below.

   e) ☐ entered in part. See explanation below.

Applicant states that amendments to the claims are reflected in the listing of claims; however, the listing of the claims filed on 12/03/2012 does not show any amendment. Therefore, it is assumed that there is no amendments to the claims. The amendment to the Specification has been entered.

/Minh Dinh/
Primary Examiner, Art Unit 2432

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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Alexandria, Virginia 22313-1450
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APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/619,560	01/15/2013	8356336	RPXC-31412	8837

25883 7590 12/22/2012

HOWISON & ARNOTT, L.L.P
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DALLAS, TX 75374-1715

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)
(application filed on or after May 29, 2000)

The Patent Term Adjustment is 495 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Keith Johnston, Austin, TX;
Eric White, Austin, TX;
John Martin, Austin, TX;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit SelectUSA.gov.