

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

AMAZON.COM SERVICES LLC and AMAZON WEB SERVICES, INC.,

Petitioners,

v.

HEADWATER RESEARCH LLC,
Patent Owner.

IPR2026-00088

U.S. Patent No. 9,615,192

IPR2026-00106

U.S. Patent No. 10,321,320

DECLARATION OF FRIEDHELM RODERMUND
IN SUPPORT OF PETITIONS FOR *INTER PARTES* REVIEW OF
U.S. PATENT NOS. 9,615,192 AND 10,321,320

TABLE OF CONTENTS

	<u>Page</u>
I. INTRODUCTION AND ENGAGEMENT	1
II. BACKGROUND AND QUALIFICATIONS.....	3
III. SUMMARY OF MY OPINIONS	7
IV. PUBLICATION OF 3GPP SPECIFICATIONS AND RELATED DOCUMENTS	8
A. General Practices.....	8
B. TS 23.140 V6.9.0	18
a) Authentication	18
b) Publication by 3GPP and ETSI	18
c) Conclusion.....	35
V. PUBLICATION OF OMA SPECIFICATIONS AND RELATED DOCUMENTS	36
A. General Practices.....	36
B. OMA-ERELE-MMS-V1_2-20030923-C.....	40
C. OMA-WAP-MMS-ARCH-V1_1-20040715-A	43
VI. AVAILABILITY FOR CROSS-EXAMINATION	46
A. Right To Supplement	47
B. Signature.....	47

Declaration of Friedhelm Rodermund

I, Friedhelm Rodermund, do hereby declare as follows:

I. INTRODUCTION AND ENGAGEMENT

1. I have been retained in this matter by the Petitioners to provide testimony regarding the standard business practices of the 3rd Generation Partnership Project (“3GPP”) and the Open Mobile Alliance (“OMA”) for record keeping and publishing technical specifications, change request proposals, reports, and other documents developed during the course of standards activities carried out by 3GPP and the European Telecommunications Standards Institute (“ETSI”), and OMA.

2. I have been asked to provide my opinions regarding the authenticity and dates of public accessibility of the following 3GPP document:

- 3GPP TS 23.140 V6.9.0, which represents a 3GPP document with the title “Technical Specification Group Terminals; Multimedia Messaging Service (MMS); Functional description; Stage 2 (Release 6)” (hereinafter “TS 23.140 V6.9.0”) (Ex-1004)

3. Further, I have been asked to provide my opinions regarding the authenticity and dates of public accessibility of the following OMA documents:

- OMA-ERELD-MMS-V1_2-20030923-C, which represents an OMA document with the title “Enabler Release Definition for MMS Version 1.2 Candidate Version 23-September-2003.” (Ex-1011)

Declaration of Friedhelm Rodermund

- OMA-WAP-MMS-ARCH-V1_1-20040715-A, which represents an OMA document with the title “Multimedia Messaging Service Architecture Overview Approved Version 1.1 – 15 Jul 2004.” (Ex-1010)

4. As an ETSI Project Manager and Secretary, from June 1998 to December 2004, I have personal knowledge of 3GPP’s standard business and records keeping practices. I continued following 3GPP’s work ever since. Thus, based on my experience, personal knowledge, and review of 3GPP’s business records, I am able to testify regarding the authenticity of any document published by 3GPP and the timing of their publication.

5. I am also knowledgeable about document management practices of OMA. This is due to the fact that I have been attending OMA meetings since 2004 and continued to follow OMA’s work ever since. Thus, I’m able to testify regarding the availability and authenticity of any OMA document.

6. I am being compensated for my time spent on this matter at my usual rate of €500 per hour. My fee is not contingent on the outcome of this or any matter, or on the content of any of the testimony I give in this declaration. I have no financial interest in the Petitioners.

7. I have been informed that Headwater Research LLC (“Headwater” or “Patent Owner”) is the current assignee of U.S. Patent Nos. 9,615,192 (“the ’192 Patent”) and 10,321,320 (“the ’320 Patent”) (Exs-1001 in IPR2026-

00088 and IPR2026-00106, respectively). I have no financial interest in the Patent Owner, the Petitioners, the '192 Patent, or the '320 Patent.

II. BACKGROUND AND QUALIFICATIONS

8. I have more than 25 years of experience working with standards development organizations including 3GPP, ETSI, and OMA. I have particular experience with the development of standards related to cellular telecommunications, including the standards for the Universal Mobile Telecommunications System (“UMTS”), Long Term Evolution (“LTE”), and 5G, which are all standards developed by the 3GPP.

9. I attended the University of Technology Aachen in Aachen, Germany, where I performed graduate studies in Electrical Engineering with a focus on telecommunications technologies (“Dipl.-Ing. TH” degree). I also attended the University of Technology Trondheim in Trondheim, Norway, and completed my Diploma thesis, “Design of a dual processor computer for digital signal processing in power electronics,” in 1993.

10. From December 1993 to June 1998, I worked at Mannesmann Mobilfunk as a System Engineer and Project Manager in Quality Assurance and Technical Standards. One of my responsibilities was to ensure by managing and performing related test activities that cellular network equipment was compatible with the Global System for Mobile Communications (“GSM”) standard developed

Declaration of Friedhelm Rodermund

by ETSI. During that time, I also started working as a standards delegate. I attended my first ETSI meeting in 1996 (although I was already following ETSI developments from 1992 during my studies).

11. From June 1998 to December 2004, I worked at ETSI as a project manager for various ETSI Special Mobile Group (“SMG”) and 3GPP working groups. First, I served as a secretary of SMG4 “Data Services” and SMG8 “Base Stations Testing.” Then, as a project manager with the ETSI Mobile Competence Center (“MCC”), I supported establishing 3GPP as the new international standards development organization for cellular telecommunications. One of my roles was acting as Secretary for 3GPP’s Technical Specifications Group Terminals, Working Group (“T2”), the group which played a leading role in the creation of standards for Multimedia such as the Multimedia Messaging Service (“MMS”). Amongst other responsibilities, I was editor of TS 23.140 which is one of the documents addressed in this declaration.

12. In 2003, in addition to my roles in 3GPP, I was a member of the GSMA MMS Task Force where I was supporting the creation of interoperability guidelines for the introduction of MMS in cellular networks and devices.

13. During my time at ETSI, I acted also as the secretary of the highest-level Technical Specifications Group Terminals which was, besides other things, responsible for the development of test specifications including tests for the

radio interface.

14. I edited all technical specifications produced by my working groups and presented results to the parent body for approval. I attended all meetings (apart from some sub-working group meetings) and was also responsible for compiling meeting reports, for handling all the meeting documents, and managing the work plan. It was also my role to guide the groups and to advise the chairmen regarding 3GPP working methods and procedures including document handling, and to make sure delegates were aware of their company's obligations under the 3GPP Intellectual Property Rights policy.

15. As part of my responsibilities at ETSI, I acted as a 3GPP custodian of records by personally managing 3GPP's public File Transfer Protocol (ftp) folders, which I used to make publicly accessible various 3GPP documents, including versions of 3GPP specifications, technical reports, liaison statements, change requests, contributions, agendas, meeting reports, and other 3GPP documents from my working groups. I am also knowledgeable about document management practices used in other working groups and within 3GPP in general with regard to making documents publicly accessible through the same public FTP server of 3GPP.

16. Since I left ETSI as a staff member in 2005, I have been continuously involved in standardization activities, including with OMA, ETSI, and 3GPP. Since 2017, I also have been attending the ETSI Intellectual Property Rights ("IPR")

Declaration of Friedhelm Rodermund

Special Committee, which is responsible for the maintenance of the ETSI IPR Policy.

17. After I left ETSI, I worked from January 2005 to October 2014 at Vodafone, first as a Project Manager for Mobile Broadcast Standards, and then as Vice Chairman of the Device Management working group of the OMA, and then as a Senior Standards Strategist, all with responsibilities as described on my curriculum vitae. At Vodafone, I was deeply involved in standards work with ETSI, 3GPP and OMA, including as a delegate to 3GPP SA1 “Services.” As part of my responsibilities, I attended 3GPP and OMA meetings, submitted documents to 3GPP and OMA, used 3GPP and OMA resources (including 3GPP’s and OMA’s document servers) extensively, and remained knowledgeable about 3GPP and OMA policies and procedures with regard to document management and public accessibility. At Vodafone, I was also involved in the creation of patents, defense activities related to patent litigations, and patent evaluation, mostly in the context of standards development.

18. Since leaving Vodafone in 2014, I have performed consulting work regarding Internet of Things (IoT) and Machine to Machine (M2M) technology and standards, first at Friedhelm Rodermund Consulting and then as the Founder and Director of IOTECC GmbH. In connection with my work, I regularly deal with standards such as OMA’s Lightweight M2M (LwM2M) and 3GPP’s LTE,

Declaration of Friedhelm Rodermund

Narrowband IoT (NB-IoT) and 5G standards. And I have extensively used 3GPP resources and have remained knowledgeable about 3GPP policies and procedures with regard to document management and public accessibility.

19. I also provide consulting services related to patents, in particular around 3GPP Standard Essential Patents (“SEPs”), and I have worked as an expert witness on a number of occasions. I continue to closely follow the maintenance of the ETSI IPR Policy as a delegate to the ETSI IPR Special Committee. Furthermore, I am conducting a seminar on SEPs and the Internet of Things at the Technical University of Ilmenau, Germany.

20. At the time of writing this declaration, I am following – including attending selected meetings – the following standards committees: ETSI oneM2M, ETSI IPR Special Committee, OMA, and 3GPP.

21. A copy of my curriculum vitae, which includes a detailed description of my experience and education, is attached as Appendix A. A list of litigation matters on which I have worked over the last five years is also included in my curriculum vitae.

III. SUMMARY OF MY OPINIONS

22. It is my opinion that Ex-1004 is an authentic copy of 3GPP specification TS 23.140 V6.9.0 that was uploaded and made publicly available via the 3GPP FTP server by April 4, 2005.

23. It is my opinion that Ex-1011 is an authentic copy of OMA document OMA-ERELED-MMS-V1_2-20030923-C that was made available for download from the OMA website by June 12, 2004, at the latest.

24. It is my opinion that Ex-1010 is an authentic copy of OMA specification OMA-WAP-MMS-ARCH-V1_1-20040715-A that was made available for download from the OMA website by September 29, 2004, at the latest.

IV. PUBLICATION OF 3GPP SPECIFICATIONS AND RELATED DOCUMENTS

A. General Practices

25. Unless otherwise noted, the foregoing and following is an accurate description of 3GPP general practices from 1998 to the present, including in 2005, regardless of whether I use the present or past tense to describe those practices.

26. 3GPP was established in 1998 by a group of telecommunications standard development organizations from Japan, Korea, China, Europe, and the United States to jointly develop worldwide standards for mobile telecommunications. Today, 3GPP consists of seven partners: Association of Radio Industries and Businesses, Japan (“ARIB”), Alliance for Telecommunications Industry Solutions, USA (“ATIS”), China Communications Standards Association (“CCSA”), European Telecommunications Standards Institute (“ETSI”), Telecommunications Standards Development Society, India (“TSDSI”), Telecommunications Technology Association, Korea (“TTA”), and

Declaration of Friedhelm Rodermund

Telecommunication Technology Committee, Japan (“TTC”). In addition to being one of the founding partners, ETSI hosts the Mobile Competence Centre (“MCC”), which provides administrative and technical support for the day-to-day work of 3GPP. Furthermore, ETSI manages 3GPP’s IT services such as the 3GPP website, FTP server, and email exploders.

27. 3GPP is the world’s leading organization for developing and maintaining cellular telecommunications standards, which it has done since it was founded in 1998. As noted above and in my curriculum vitae, I began working for 3GPP, as part of my work at ETSI, the European-based organizational partner of 3GPP.

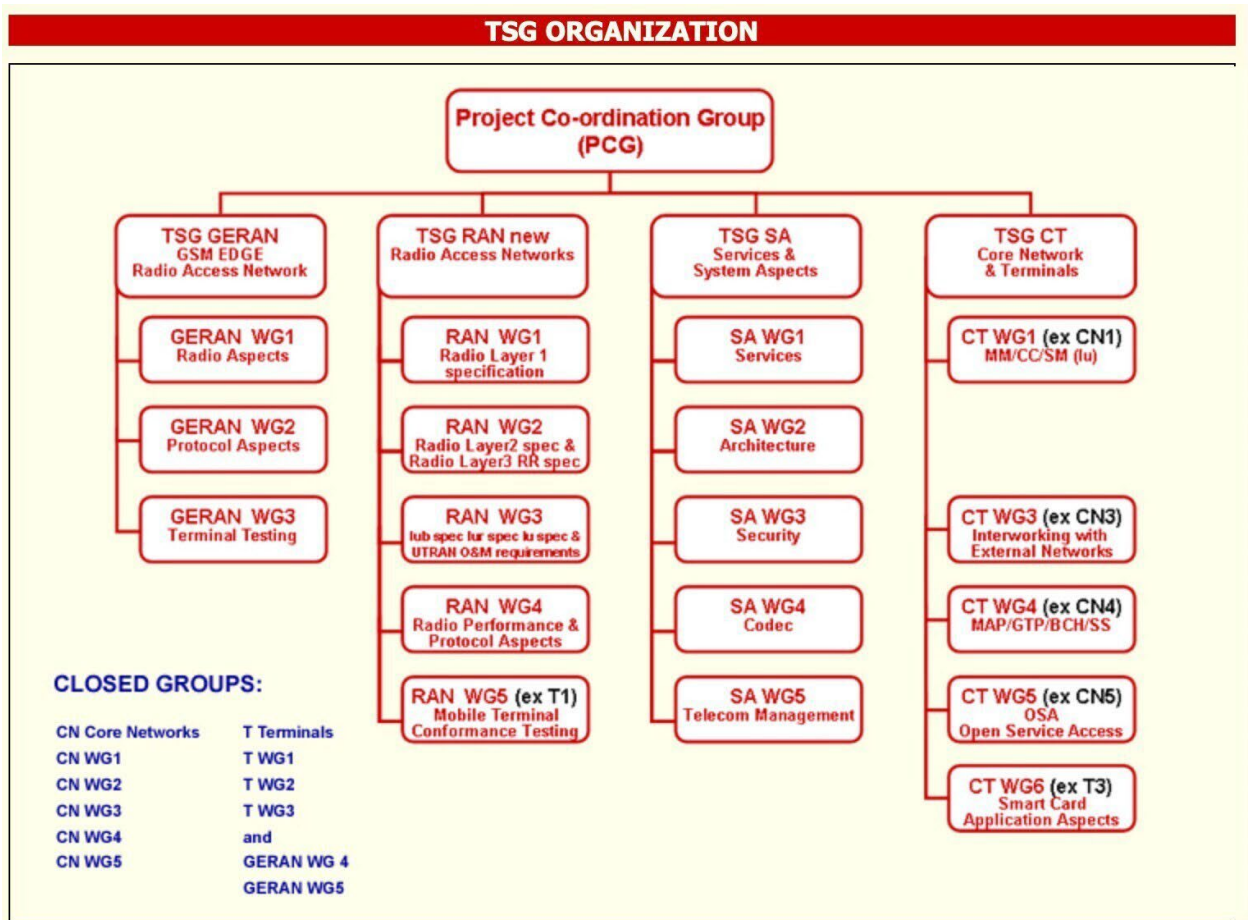
28. In the ordinary course of its regularly conducted business activities, and pursuant to its standard business practices, 3GPP publishes technical specifications, proposals, reports, and other documents related to the development of cellular telecommunications standards. Such documents are published for the purposes of discussion and establishment of industry standards for cellular telecommunications. This has been 3GPP’s ordinary course of business since I began working at ETSI in 1998.

29. In the ordinary course of 3GPP’s regularly conducted business activities, and pursuant to its standard business practices, all draft technical specifications, proposals, reports, and other temporary documents to be discussed or

Declaration of Friedhelm Rodermund

considered in relation to 3GPP's telecommunications standards activities were, and continue to be, assigned a temporary document number and made publicly available, including on the FTP server associated with the 3GPP website, currently residing at ftp.3gpp.org. Such documents are referred to as "T-docs." Final versions of the technical specifications also were, and continue to be, publicly available on that same FTP server.

30. The names and the structure of 3GPP working groups, as of 2005, can be found at <https://web.archive.org/web/20050805030338/http://www.3gpp.org:80/tb/home.htm> (reproduced below and as Appendix B):



Declaration of Friedhelm Rodermund

31. Each Technical Specification Group (TSG) or Working Group adopts a structured numbering system for the documents associated with their meetings, and those systems typically follow a consistent numbering system as shown in the following example: xminnzzzz. The numbering system normally comprises five logical elements: (1) x: a single letter corresponding to the TSG; wherein in 2007/2008 x was one of R (Radio Access Network), C (Core and Terminals), S (Service and System Aspects), or G (GSM/EDGE Radio Access Network); (2) m: a single character corresponding to the Working Group identity (typically 1, 2, 3, etc.) or, in the case of the TSG itself, the letter “P”; (3) i: normally the hyphen character “-”; (4) nn: the calendar year of the meeting to which the document was submitted; and (5) zzzz: a running number.

32. In the ordinary course of 3GPP’s regularly conducted business activities, and pursuant to its standard business practices, from December 1998 onwards, 3GPP published all of its T-docs and all final versions of its technical specifications on its FTP server, which has always been easily and publicly accessible from its website and currently resides at [ftp.3gpp.org](ftp://ftp.3gpp.org).

33. As early as December 1998, including in 2005, 3GPP’s FTP server was freely accessible to the general public with no login, password, or membership requirement.

34. By 1999, at least 100 companies were members of 3GPP (852

Declaration of Friedhelm Rodermund

companies by August 2025), ranging from Bosch to Ericsson to Nokia to Samsung and generally including those interested in the discussion, creation, and adoption of cellular telecommunications standards, including UMTS. Each of these companies typically delegated multiple individuals to regularly participate in 3GPP meetings. Further, pursuant to 3GPP's standard business practices, 3GPP working groups sent emails notifying these individuals as soon as new or additional documents had been uploaded to 3GPP's FTP server. Thus, not only did the general public have access to the documents on the FTP server, but some of the interested members of the public—those working to develop standards for cellular telecommunication or working to implement the standards—were personally informed of their availability by email. Based on my experience with 3GPP and the telecommunications industry, I would expect any person implementing a cellular network or device, e.g., an UMTS or LTE or 5G network or device - including any services defined by 3GPP such as MMS - to consult the corresponding specifications on the 3GPP FTP server, as well as other related documents. The purpose of 3GPP creating and making these documents available was so that engineers and other individuals would have ready access to them when developing and implementing cellular networks and devices.

35. By June 1999, 3GPP's FTP server was well-known to persons in the cellular telecommunications industry as a source of public information regarding industry standards and technological advances.

36. 3GPP specifications bear a specification number consisting of four or five digits, e.g., 09.02 or 29.002. The first two digits define the specification series which are defined to group the different aspects of the 3GPP system into, e.g., requirements, service aspects, radio aspects codecs, security aspects, and test specifications. The series digits are followed by two additional digits for the 01 to 13 series or three further digits for the 21 to 55 series. The subjects of the individual specification series are explained on 3GPP's website at <https://www.3gpp.org/specifications-technologies/specifications-by-series>, and are reproduced below¹.

¹ Full website available as Appendix C.

Declaration of Friedhelm Rodermund

Subject of specification series	3G and beyond / GSM (R99 and later)	GSM only (Rel-4 and later)	GSM only (before Rel-4)
Requirements	21 series	41 series	01 series
Service aspects ("stage 1")	22 series	42 series	02 series
Technical realization ("stage 2")	23 series	43 series	03 series
Signalling protocols ("stage 3") - user equipment to network	24 series	44 series	04 series
Radio aspects	25 series	45 series	05 series
CODECs	26 series	46 series	06 series
Data	27 series	47 series (none exists)	07 series
Signalling protocols ("stage 3") - (RSS-CN) and OAM&P and Charging (overflow from 32- range)	28 series	48 series	08 series
Signalling protocols ("stage 3") - intra-fixed- network	29 series	49 series	09 series
Programme management	30 series	50 series	10 series
Subscriber Identity Module (SIM / USIM), IC Cards. Test specs.	31 series	51 series	11 series
OAM&P and Charging	32 series	52 series	12 series
Access requirements and test specifications		13 series ⁽¹⁾	13 series ⁽¹⁾
Security aspects	33 series	(2)	(2)
UE and (U)SIM test specifications	34 series	(2)	11 series
Security algorithms ⁽³⁾	35 series	55 series	(4)
LTE (Evolved UTRA), LTE-Advanced, LTE- Advanced Pro radio technology	36 series		
Multiple radio access technology aspects	37 series		
Radio technology beyond LTE	38 series		

Declaration of Friedhelm Rodermund

37. Following a link for a series (e.g., “23 series” link) leads to a 3GPP web page providing access to all specifications in a series, as shown by the screenshot below²:

The screenshot displays the 3GPP Portal website. At the top, there is a navigation bar with links for Home, Directory, Browse FTP, and Help. Below this, a message states: "This site is 3GPP working area. Log in to access full features. For general information go to the public site www.3gpp.org".

The main content area features a search form with the following fields and options:

- Title/Specification number: Search form (Series(1), Release(20))
- Series: 23 Technical realization
- Type: ☐ Technical Specification (TS), ☐ Technical Report (TR)
- Release: All Releases
- Publication: ☐ Internal, ☐ For Publication
- Technology: ☐ 2G, ☐ 3G, ☐ 4G, ☐ 5G
- Status: ☐ Draft, ☐ Under change control, ☐ Withdrawn before change control, ☐ Withdrawn under change control

Below the search form, a table lists 491 specifications found, displaying 1 to 50. The table has the following columns:

- Specification Number
- Type
- Title
- Status
- Primary Responsible Group
- Icons for various actions (e.g., draft, under change control, withdrawn)

The table lists specifications from 23.002 to 23.072, covering topics such as Network architecture, Numbering, addressing and identification, Restriction procedures, Organization of subscriber data, Handover procedures, GSM Public Land Mobile Network (PLMN) Connection Types, Technical realization of Supplementary Services, Location management procedures, Support of Dual Tone Multi-Frequency (DTMF) signaling, Technical realization of Operator Determined Barring (ODB), Subscriber data management, Stage 2, Basic call handling, Technical realization, General UMTS architecture, Functions related to Mobile Station (MS) in idle mode and group receive mode, 3G Security, Fraud Information Gathering System (FIGS), Technical realization, Stage 2, Universal Geographical Area Description (GAD), High Speed Circuit Switched Data (HSCSD), Stage 2, Inmarsat Service Termination (ST), Stage 2, Alphabets and language-specific information, Interface Protocol for the connection of Short Message Service Centers (SMSCs) to Short Message Entities (SMEs), Technical realization of the Short Message Service (SMS), Technical realization of Cell Broadcast Service (CBS), Compression algorithm for text messaging services, Support of Videotex, Support of Textex, Technical Realization of Facsimile Group 3 Service - transparent, Technical realization of Facsimile Group 3 Service - non-transparent, Security mechanisms for the UGSM application toolkit, Stage 2, Tandem Free Operation (TFO), Service description, Stage 2, Shared Interworking Functions (SIWF), Stage 2, Mobile Execution Environment (MEE), Functional description, Stage 2, UMTS Network principles, General Packet Radio Service (GPRS), Service description, Stage 2, Support of Mobile Number Portability (NMP), Technical realization, Stage 2, Enhanced Multi-Level Precedence and Preemption Service (MLPP), Stage 2, Voice Broadcast service (VBS), Stage 2, Routing of calls to/from Public Data Networks (PDN) and the GSM Public Land Mobile Network (PLMN), Location Services (LCS), Functional description, Stage 2, Call Deflection (CD) supplementary service, Stage 2.

38. In the ordinary course of 3GPP’s regularly conducted business activities, and pursuant to its standard business practices, 3GPP published and continues to publish all proposals, technical reports, technical specifications and other documents related to the development of cellular telecommunications standards to the 3GPP’s publicly available, unrestricted, online FTP server: <http://www.3gpp.org/ftp/>. Draft proposals, technical reports, technical

² Full website available as Appendix D.

Declaration of Friedhelm Rodermund

specifications, change requests, and other documents (“Tdocs”) were assigned a document number (“Tdoc number”) and uploaded to 3GPP’s public FTP server before, during, and after meetings, making the documents publicly available. T- docs are usually uploaded to 3GPP’s FTP server and website before the meeting where they are to be discussed. Documents created or revised during the course of a meeting are normally uploaded at the latest during the week following the meeting (e.g., the meeting report of the meeting is usually published for review during the week following the meeting and for approval at the next working group meeting).

39. In the ordinary course of 3GPP’s regularly conducted business activities, and pursuant to its standard business practices, 3GPP maintains archives that include different versions of the specifications, as well as email communications to its membership, including emails announcing the uploading of new or additional documents to 3GPP’s FTP server. These archives are created at the time the emails are initially sent.

40. The timestamp on 3GPP’s FTP server shows the date when the document was uploaded the last time. Thus, the timestamp shows the latest possible date the document became publicly available and accessible on 3GPP’s FTP server. The given document might have been available earlier and the original timestamp might have been overwritten because the document was uploaded again. According to my experience, this is something which happened occasionally. Thus, the ftp timestamp

Declaration of Friedhelm Rodermund

is reliable as the latest possible upload date but one cannot determine whether it represents the first upload of a document to the FTP server.

41. 3GPP's working practice to store their documents on its FTP server, as described above, has not changed over time. Starting from the first 3GPP meetings in 1998 until present, all WGs and plenary meetings are represented by dedicated meeting folders on the FTP server. These meeting folders include the documents discussed at the meetings. Both the folders and the documents are accessible to the public. Almost every week, a new meeting folder with the respective documents is added. In addition to the plenary and WG meeting folders, and some other folders, there is also the "Specs" folder, which holds all 3GPP specifications including the aforementioned "snapshot" and archive folders. Since the early days of 3GPP, a new folder is added inside the "Specs" folder after each TSG plenary meeting, to hold the latest versions of specifications approved at those TSG plenary meetings. This is still 3GPP's working practice today; thus, this practice has not changed over time.

42. 3GPP maintains a set of publicly accessible documents³ providing further details on its way of working:

- 3GPP Working Procedures
- Vocabulary for 3GPP Specifications 3GPP TR 21.905

³ All available from <https://www.3gpp.org/delegates-corner/3gpp-working-procedures>

- Working Methods 3GPP TR 21.900
- Specification Drafting Rules 3GPP TR 21.801

B. TS 23.140 V6.9.0

a) Authentication

43. Ex-1004 is a true and correct copy of 3GPP TS 23.140 V6.9.0, titled “Technical Specification Group Terminals; Multimedia Messaging Service (MMS); Functional description; Stage 2 (Release 6),” except that each page includes a page number in the bottom center. I obtained Ex-1004 from 3GPP’s FTP server at the following location: https://www.3gpp.org/ftp/Specs/archive/23_series/23.140⁴.

b) Publication by 3GPP and ETSI

44. Ex-1004 shows on its cover page “2005-03” as the year (2005) and month (March) during which the document was released by 3GPP.

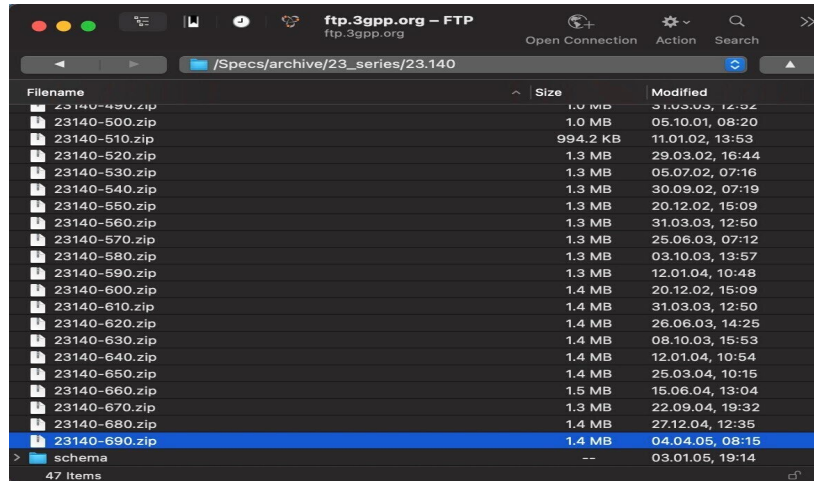
45. Ex-1004 was uploaded to the 3GPP FTP server on April 4, 2005, based on the upload date stamp shown on the historic 3GPP FTP server for the corresponding downloadable file (“23140-690.zip”), as maintained by the Internet Archive at https://web.archive.org/web/20050511003100/http://www.3gpp.org:80/ftp/Specs/Archive/23_series/23.140⁵. This information is also shown on the date stamp for the present-day listing of the same document on the 3GPP FTP server at

⁴ Website available as Appendix E

⁵ Website available as Appendix Q.

Declaration of Friedhelm Rodermund

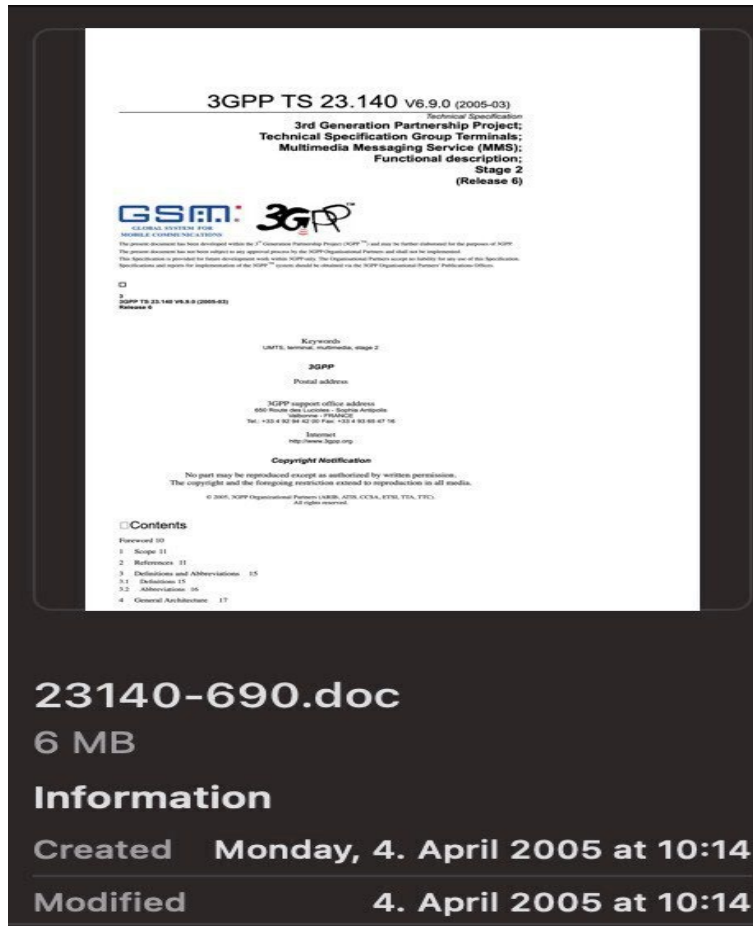
https://www.3gpp.org/ftp/Specs/archive/23_series/23.140 as shown in the screenshot below (listing date as “04.04.05”, or April 4, 2005):



46. Experts in the field of determining the public availability of 3GPP documents would reasonably rely upon the FTP server upload date on the current 3GPP FTP server as well as an archived version of the directory structure maintained by the Internet Archive to establish a date that a 3GPP document became available to the public via the 3GPP FTP server.

47. In addition, metadata information for the downloaded and extracted T-doc file states a last Modified date of “4. April 2005,” as shown in the screenshot below:

Declaration of Friedhelm Rodermund



48. This last modified date is consistent with the April 4, 2005, upload date of the document and the typical practice among 3GPP staff to upload technical specifications shortly after creating them.

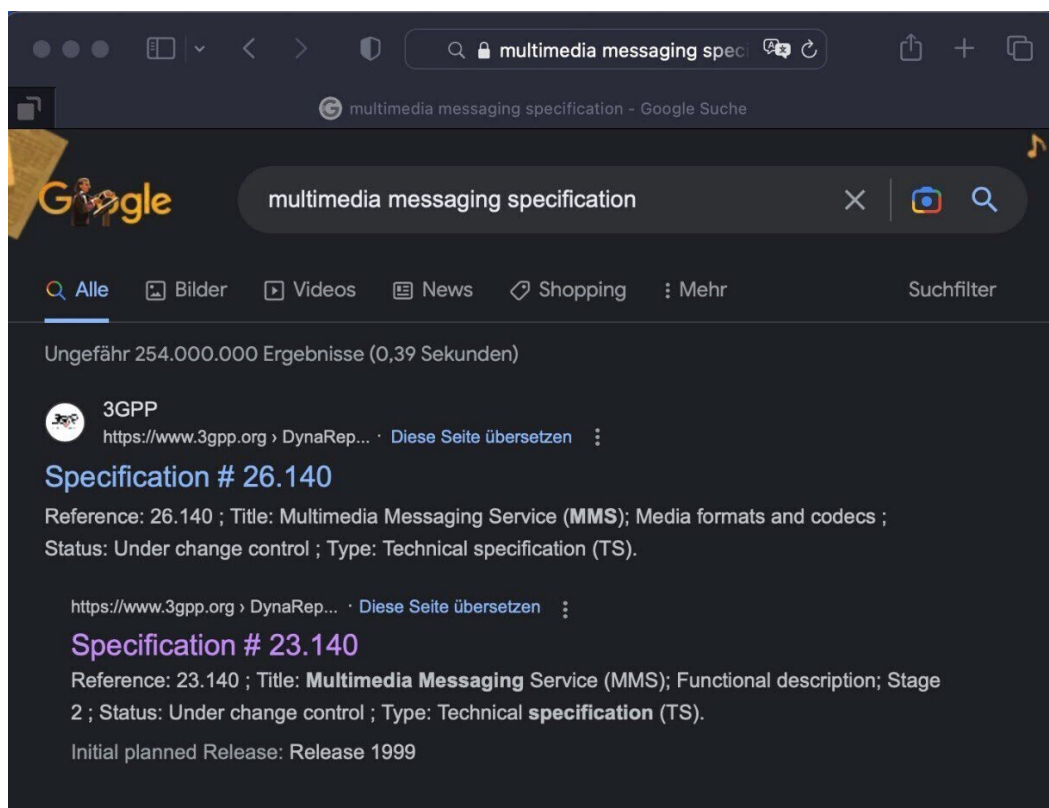
49. This publication date is further confirmed by the publication history of TS 23.140 in the ETSI copy of TS 23.140 named “ETSI TS 123 140,” which states that V6.9.0 was published in March 2005.⁶ March 2005 reflects the month the document was released by 3GPP and the upload to the ETSI website took place on

⁶ https://www.etsi.org/deliver/etsi_ts/123100_123199/123140/06.09.00_60/ts_123140v060900p.pdf

Declaration of Friedhelm Rodermund

April 27, 2005.⁷ This is a few weeks after the upload to the 3GPP FTP server as it is common practice that the ETSI versions of 3GPP specifications are uploaded a few weeks after the 3GPP specifications are uploaded.

50. I believe that a person without prior knowledge of 3GPP and/or the technical specification (TS) number would have been able to also easily find the TS for download via internet search. For instance, a Google search for “multimedia messaging specification” provides the TS number “23.140” as one of the top results as can be seen in the screenshot below:



51. Following the provided search result link “Specification # 23.140”

⁷ https://www.etsi.org/deliver/etsi_ts/123100_123199/123140/06.09.00_60/

Declaration of Friedhelm Rodermund

leads to a 3GPP web page offering under the tab “Versions” download links to all versions of TS 23.140 including version 6.9.0, as shown by the screenshot below⁸:

The screenshot shows the 3GPP Portal website for Specification # 23.140. The 'Versions' tab is selected, and a table lists the history of versions. The version 6.9.0 is highlighted with a red box. The table includes columns for Meetings, Version, Upload date, and Comment. Each version entry also has icons for ETSI, TDDoc, and CR.

Meetings	Version	Upload date	Comment
CT#43	6.16.0	2009-03-25	
CT#39	6.15.0	2008-03-25	
CT#33	6.14.0	2006-11-06	
CT#32	6.13.0	2006-06-13	
CT#31	6.12.0	2006-03-30	
CT#30	6.11.1	2006-01-04	CR198 is ex CP-050183 not CP-...
CT#30	6.11.0	2005-12-23	
CT#28	6.10.0	2005-06-21	
T#27	6.9.0	2005-04-04	
T#26	6.8.0	2005-01-03	
T#25	6.7.0	2004-09-27	
T#24	6.6.0	2004-06-17	
T#23	6.5.0	2004-03-26	
T#22	6.4.0	2004-01-12	
T#21	6.3.0	2003-10-08	
T#20	6.2.0	2003-06-26	
T#19	6.1.0	2003-03-31	

52. The above example search illustrates that it is very easy for an

⁸ Full website available as Appendix F.

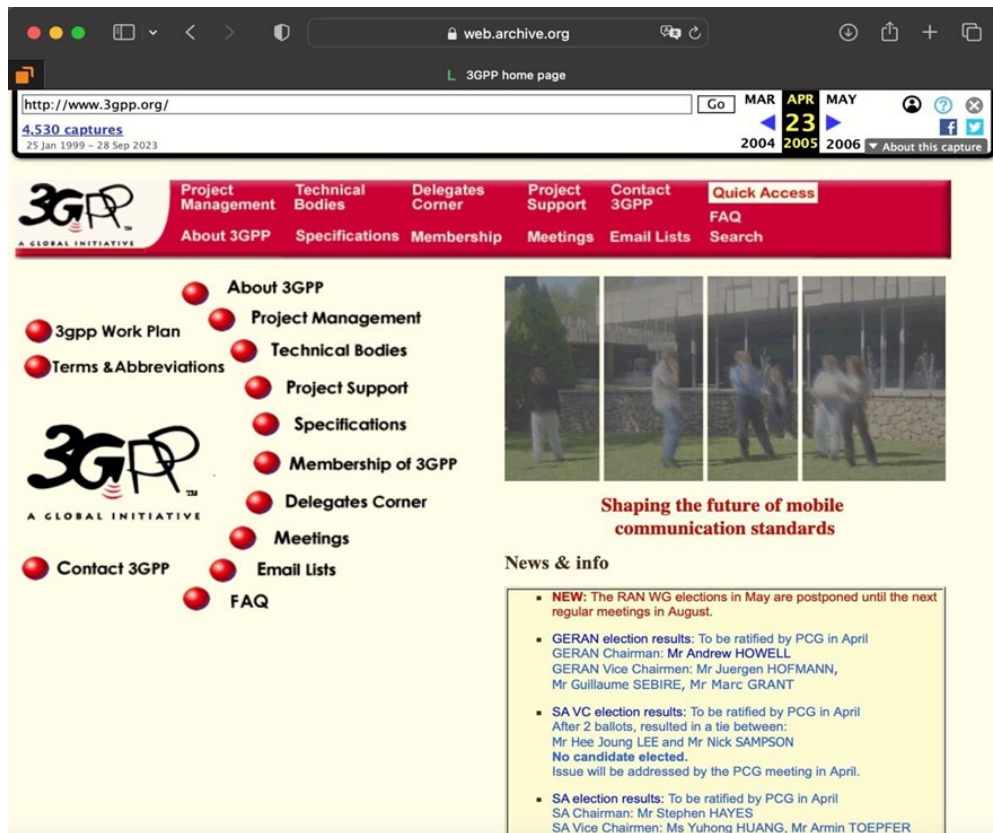
Declaration of Friedhelm Rodermund

interested member of the public without prior knowledge of the TS number and even without prior knowledge of 3GPP to locate any version of TS 23.140, including version 6.9.0, for download.

53. The above search was performed at the time of writing a declaration I submitted in 2023 regarding TS 23.140. According to my personal experience, similar searches done in 2005 would have similarly provided a path to download version 6.9.0 of TS 23.140 although the layout of the webpages was slightly different.

54. A similar search performed in 2005 could have looked like described in the following paragraphs. The 3GPP homepage from 2005 shown below included a link to “Specifications”⁹:

⁹ Full website available as Appendix G.



55. Clicking on the “Specifications” link provided a specifications webpage with various paths for locating specific specifications, such as specification snapshots, e.g., “2005-03”, a link to the “specifications file server area”, or a link to the specifications series page (“To find a particular spec quickly, go here and click on the links in the spec-series in the table or use the links in the ‘further information’ section.”), as shown below¹⁰:

¹⁰ Full website available as Appendix H.

Declaration of Friedhelm Rodermund

3GPP Specifications Home Page

Spec download | Titles and spec numbers | Current version | Releases | Numbering scheme | Change Requests
Published specifications | Historical information | Work plan | TSG Working methods | Drafting rules | Delegates corner | ASN.1

Quick link to the **specifications file server area** (http) for those who know where they are going!

To find a particular spec quickly, go here and click on the links in the spec-series in the table or use the links in the "further information" section.

The term "3GPP specification" covers all GSM (including GPRS and EDGE) and W-CDMA specifications. The following terms are also used to describe networks using the 3G specifications: UTRAN, UMTS (in Europe) and FOMA (in Japan). Revised versions of many of these specifications are produced up to four times a year following the quarterly TSG plenary meetings. (TSG GERAN meets five times a year.) See the table below which gives links to lists of specifications arising from each plenary TSG meeting since the freezing of Release 1999. The month of the meeting and the meeting number are shown in each case. Note that the tables show only those specifications newly approved or modified at the meeting concerned; they do not contain a complete list of all specifications current following the meeting. For such a list, consult the "status list" - see below.

Following each TSG SA plenary meeting, a complete set of specifications is produced. This set includes not only the new specifications generated at that meeting, but also the latest versions of each specification that was not changed at that meeting, i.e. each directory holds a complete set of specifications. Each set has an associated status list as detailed in the table below. Each set (and corresponding status list) includes the specs arising from the TSG GERAN meetings held since the preceding SA meeting. (GERAN meets asynchronously from the other TSGs.)

The **Status List** (ZIPped RTF or Word format) summarizes the current version number for every release of every 3GPP specification following each TSG plenary meeting. Also listed for each specifications are:

- the 3GPP working group and rapporteur responsible for the specification
- the Project Manager in MCC (Mobile Competence Centre) responsible for the specification
- the meeting at which it was, or is expected to be, "frozen" (i.e. the point after which only corrections are allowed)

Full details of the Specifications, their history and current status can be found in the 3GPP Specifications Status database.

year-month	remark	latest status	specs directory	TSG CN meeting number	TSG RAN meeting number	TSG T meeting number	TSG SA meeting number	TSG GERAN meeting number	TSG CT meeting number
2005-06					28		28		28
2005-04			ftp://ftp.3gpp.org/specs/2005-04-geran-mtg-24-delta/					24	
2005-03	RAN closed and (new) RAN opened. CN and T closed. CT opened.	StatusList	ftp://ftp.3gpp.org/specs/2005-03	27	27bis 27	27	27		27

56. The subjects of the individual specification series for the 3GPP UTMS and LTE standards were explained on 3GPP's website by clicking on the "To find a particular spec quickly, go here ..." link on the specifications webpage identified above. This link directed to the 3GPP specification numbering webpage shown below¹¹:

¹¹ Full website available as Appendix I.

Declaration of Friedhelm Rodermund

3GPP Specifications - Numbering scheme

Spec download | Titles and spec numbers | Current version | Releases | Numbering scheme | Change Requests
Published specifications | Historical information | Work plan | TSG Working methods | Drafting rules | Delegates corner | ASN.1

All 3G and GSM specifications have a 3GPP specification number consisting of 4 or 5 digits. (e.g. 09.02 or 29.002). The first two digits define the series as listed in the table below. They are followed by 2 further digits for the 01 to 13 series or 3 further digits for the 21 to 55 series. The term "3G" means a 3GPP system using a UTRAN radio access network; the term "GSM" means a 3GPP system using a GERAN radio access network. (Thus "GSM" includes GPRS and EDGE features.)

The full title, specification number and latest version number for every specification can be found in the [current status list](#) [warning: large file!] (see also [main specs page](#) for status lists pertaining to each TSG SA meeting) and more information about terms such as R99 and Rel-4 can be found on the [Releases and phases](#) page.

A specification in the 21 to 35 series may apply either to 3G only or to GSM and 3G. A clue lies in the third digit, where a "0" indicates that it applies to both systems. For example, 29.002 applies to 3G and GSM systems whereas 25.101 and 25.201 apply only to 3G. Most specs in all other series apply only to GSM systems. However, as the spec numbering space has been used up, this guide is more frequently broken, and it is necessary to examine the information page for each spec (see the table below) or to check the lists in 01.01 / 41.101 (GSM) and 21.101 (3G) for the definitive specification sets for each system and each Release.

Subject of specification series	3G/GSM R99 and later	GSM only (Rel-4 and later)	GSM only (before Rel-4)
General information (<i>long defunct</i>)			00 series
Requirements	21 series	41 series	01 series
Service aspects ("stage 1")	22 series	42 series	02 series
Technical realization ("stage 2")	23 series	43 series	03 series
Signalling protocols (stage 3) - user equipment to network	24 series	44 series	04 series
Radio aspects	25 series	45 series	05 series
CODECs	26 series	46 series	06 series
Data	27 series	47 series (none exists)	07 series
Signalling protocols ("stage 3") - (RSS-CN)	28 series	48 series	08 series
Signalling protocols ("stage 3") - intra-fixed-network	29 series	49 series	09 series
Programme management	30 series	50 series	10 series
User Identity Module (SIM / USIM)	31 series	51 series	11 series
O&M	32 series	52 series	12 series
Access requirements and test specifications		13 series (1)	13 series (1)
Security aspects	33 series	(2)	(2)
SIM and test specifications	34 series	(2)	11 series
Security algorithms (3)	35 series	55 series	(4)

57. Following the link for the 23 series would have led to a 3GPP webpage providing access to all specifications in a series and providing a subject matter index to the list of specifications, as shown by an excerpt of the webpage below¹²:

¹² Full website available as Appendix J.

Declaration of Friedhelm Rodermund

The screenshot shows a web browser window with the address bar displaying 'http://www.3gpp.org/ftp/Specs/html-info/23-series.htm'. The page title is '3GPP specification series: 23series'. The browser's address bar shows the URL and a 'Go' button. The page content is a table of 3GPP specifications. The table has three columns: TS number, description, and status. The row for TS 23.140 is highlighted with a red border. The table lists specifications from TS 23.101 to TS 23.209. Some specifications are marked as 'SPECIFICATION WITHDRAWN'.

TS	Description	Status
TS 23.101	General UMTS Architecture	
TS 23.107	Quality of Service (QoS) concept and architecture	
TS 23.108	Mobile radio interface layer 3 specification core network protocols; Stage 2 (structured procedures)	
TS 23.10U	UMTS Access Stratum; Services and Functions	SPECIFICATION WITHDRAWN
TS 23.110	UMTS Access Stratum Services and Functions	
TS 23.116	Super-Charger technical realization; Stage 2	
TS 23.119	Gateway Location Register (GLR); Stage2	
TS 23.121	Architectural requirements for Release 1999	
TS 23.122	Non-Access-Stratum functions related to Mobile Station (MS) in idle mode	
TS 23.125	Overall high level functionality and architecture impacts of flow based charging; Stage 2	
TS 23.127	Virtual Home Environment (VHE) / Open Service Access (OSA)	
TS 23.135	Multicall supplementary service; Stage 2	
TS 23.140	Multimedia Messaging Service (MMS); Functional description; Stage 2	
TS 23.141	Presence service; Architecture and functional description; Stage 2	
TS 23.146	Technical realization of facsimile Group 3 service - non-transparent	
TS 23.153	Out of Band Transcoder Control; Stage 2	
TS 23.167	(reserved for: Internet Protocol (IP) based IP Multimedia Subsystem (IMS) emergency sessions; Stage 2)	
TS 23.171	Location Services (LCS); Functional description; Stage 2 (UMTS)	
TS 23.172	Technical realization of Circuit Switched (CS) multimedia service; UDI/RDI fallback and service modification; Stage 2	
TS 23.174	Push service; stage 2	
TS 23.178	customized Applications for Mobile network Enhanced Logic (CAMEL) - IP Multimedia System (IMS) interworking; Stage 2	SPECIFICATION WITHDRAWN
TS 23.195	Provision of User Equipment Specific Behaviour Information (UESBI) to network entities	
TS 23.198	Open Service Access (OSA); Stage 2	
TS 23.202	Circuit switched data bearer services	
TS 23.205	Bearer-independent circuit-switched core network; Stage 2	
TS 23.207	End-to-end Quality of Service (QoS) concept and architecture	
TS 23.209	Policy control over Gq interface	SPECIFICATION WITHDRAWN

58. Following the link for TS 23.140 would have led to a 3GPP webpage providing access to all versions of TS 23.140 including V6.9.0 for download, as shown by annotated excerpts of the webpage below¹³:

¹³ Full website available as Appendix K.

Declaration of Friedhelm Rodermund

web.archive.org

3GPP specification: 23.140

<http://www.3gpp.org/ftp/Specs/html-info/23140.htm> Go

92 captures
24 Jun 2003 – 1 Oct 2022

MAR JUL NOV
28
2004 2005 2006 About this capture

3GPP Specification detail

[Back to numbering scheme page](#)

[Back to series index](#)

3GPP TS 23.140 (click spec number to see fileserver directory for this spec)

Multimedia Messaging Service (MMS); Functional description; Stage 2

TSG / WG responsible: **CP** (click TSG/WG to see its home page)

2003-12-03: Note that this spec also contains stage 3. CP-27: Moved to current group on closure of T2; see TP-050062.

Rapporteur: **ROEBKE, Matthias**

Specification required for: GERAN-based systems and UTRAN-based systems

In the table below ...

... click meeting number for meeting details;

... click spec version number to download that version;

... click SDO publication reference to download SDO transposed document.

Release	Freeze meeting	Freeze date	remarks	SDO publications
R99	SP-07	2000-03-17		ETSI
	event	version	available	remarks
	TP-03	0.0.0	1999-04-23	
	TP-05	0.1.0	1999-10-08	
	TP-06	1.0.0	1999-12-17	
	TP-07	2.0.0	2000-03-15	TP-000028
	Editorial	3.0.1	2000-03-15	
	TP-16	3.1.0	2002-06-24	
				DTS/TSGT-0223140U
				RTS/TSGT-0223140v310

web.archive.org

3GPP specification: 23.140

<http://www.3gpp.org/ftp/Specs/html-info/23140.htm> Go

92 captures
24 Jun 2003 – 1 Oct 2022

MAR JUL NOV
28
2004 2005 2006 About this capture

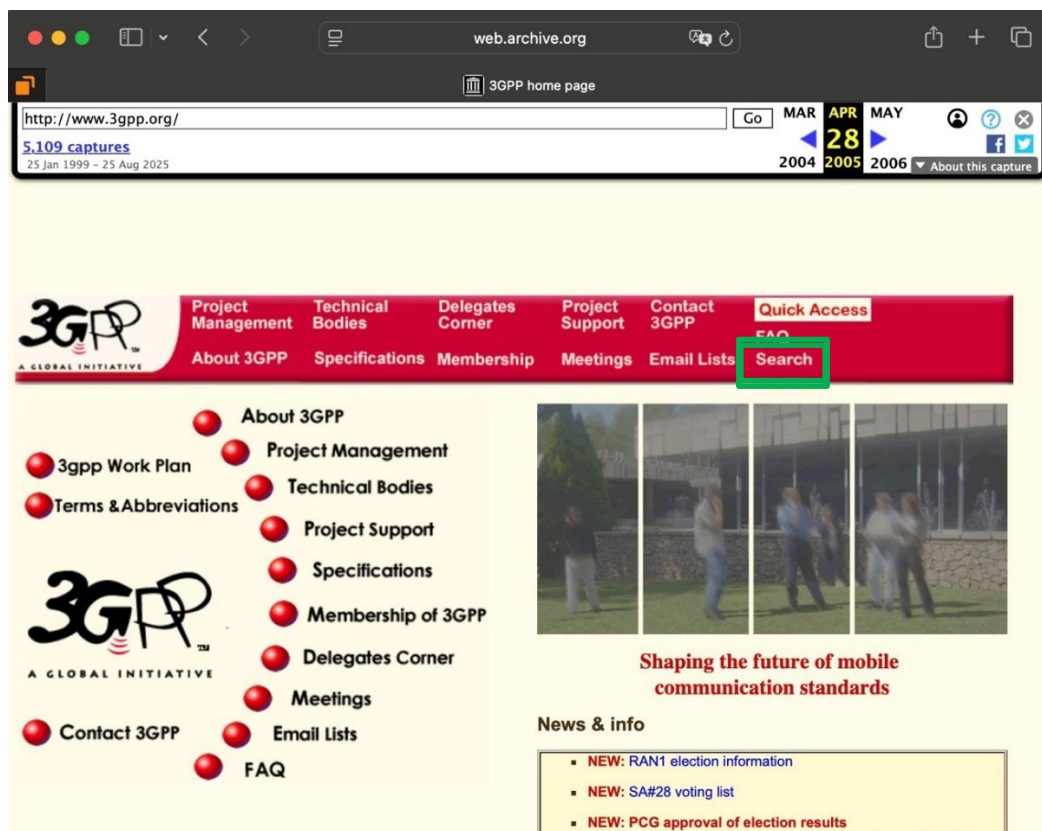
	TP-20	5.7.0	2003-06-25		RTS/TSGT-0223140v570
	TP-21	5.8.0	2003-10-03		RTS/TSGT-0223140v580
	TP-22	5.9.0	2004-01-12		RTS/TSGT-0223140v590
	TP-23	5.10.0	2004-03-25		RTS/TSGT-0223140v5a0
	TP-24	5.11.0	2004-06-15	XML schema unchanged	RTS/TSGT-0223140v5b0
Rel-6	TP-26		2004-12-10	TP-22: Discussed whether this work would be transferred to OMA for future Releases (i.e. beyond Rel-6). But there are IPR problems. TP-27: IPR probs solved, further Releases will be done in OMA.	ETSI
	event	version	available	remarks	click ref to download
	TP-18	6.0.0	2002-12-20		
	TP-19	6.1.0	2003-03-31		
	TP-20	6.2.0	2003-06-26		
	TP-21	6.3.0	2003-10-08		RTS/TSGT-0223140v630
	TP-22	6.4.0	2004-01-12		RTS/TSGT-0223140v640
	TP-23	6.5.0	2004-03-26		
	TP-24	6.6.0	2004-06-17		
	TP-25	6.7.0	2004-09-27		
	TP-26	6.8.0	2005-01-03		RTS/TSGT-0223140v680
	TP-27	6.9.0	2005-04-04		RTS/TSGC-0023140v690
	CP-28	6.10.0	2005-06-21		RTS/TSGC-0023140v6a0

Change Requests for this spec: [click here](#).

Declaration of Friedhelm Rodermund

59. In summary, there are - and there were in 2005 - several paths to locate and download a specific 3GPP specification. Thus, it is - and it has been already in 2005 - straightforward for any interested person including members of the public to access 3GPP specifications such as TS 23.140 V6.9.0.

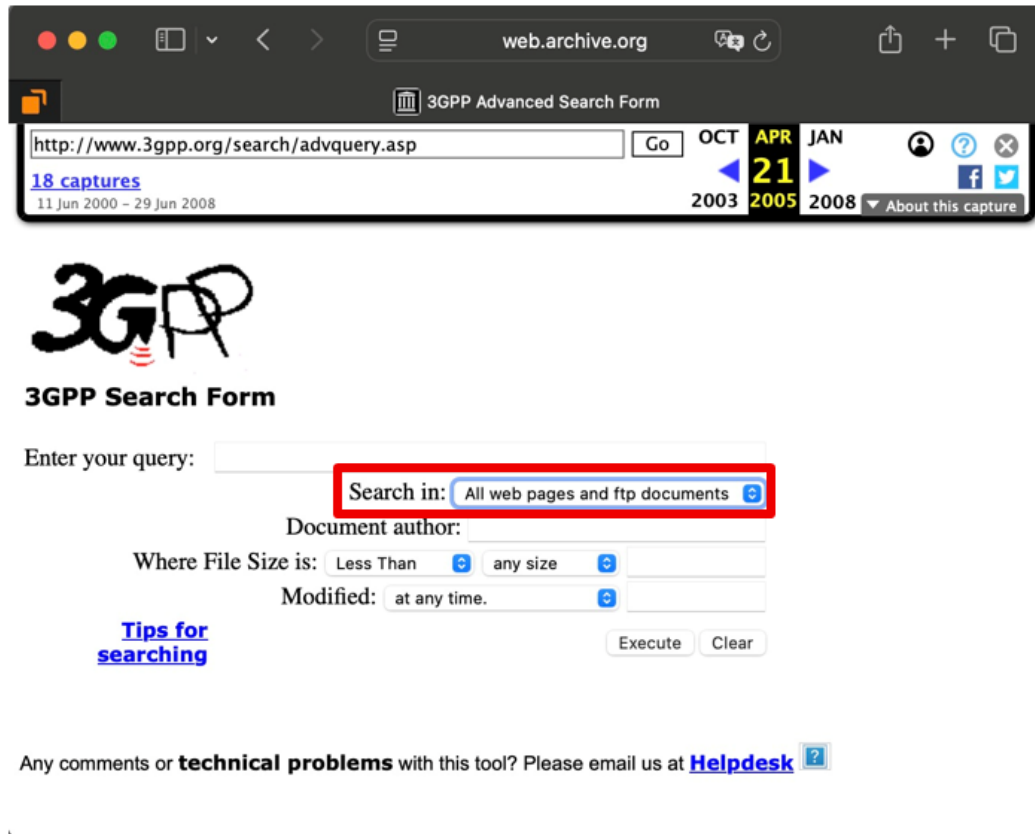
60. Furthermore, the 3GPP webpage included a search function already in 2005 as can be seen by the annotated screenshot from the Internet Archive below (<https://web.archive.org/web/20050428075227/http://www.3gpp.org/>):



61. The 3GPP search form allowed to search “All web pages and ftp documents” as can be seen by the annotated screenshot from the Internet Archive below

Declaration of Friedhelm Rodermund

(<https://web.archive.org/web/20050421234921/http://www.3gpp.org/search/advquery.asp>):

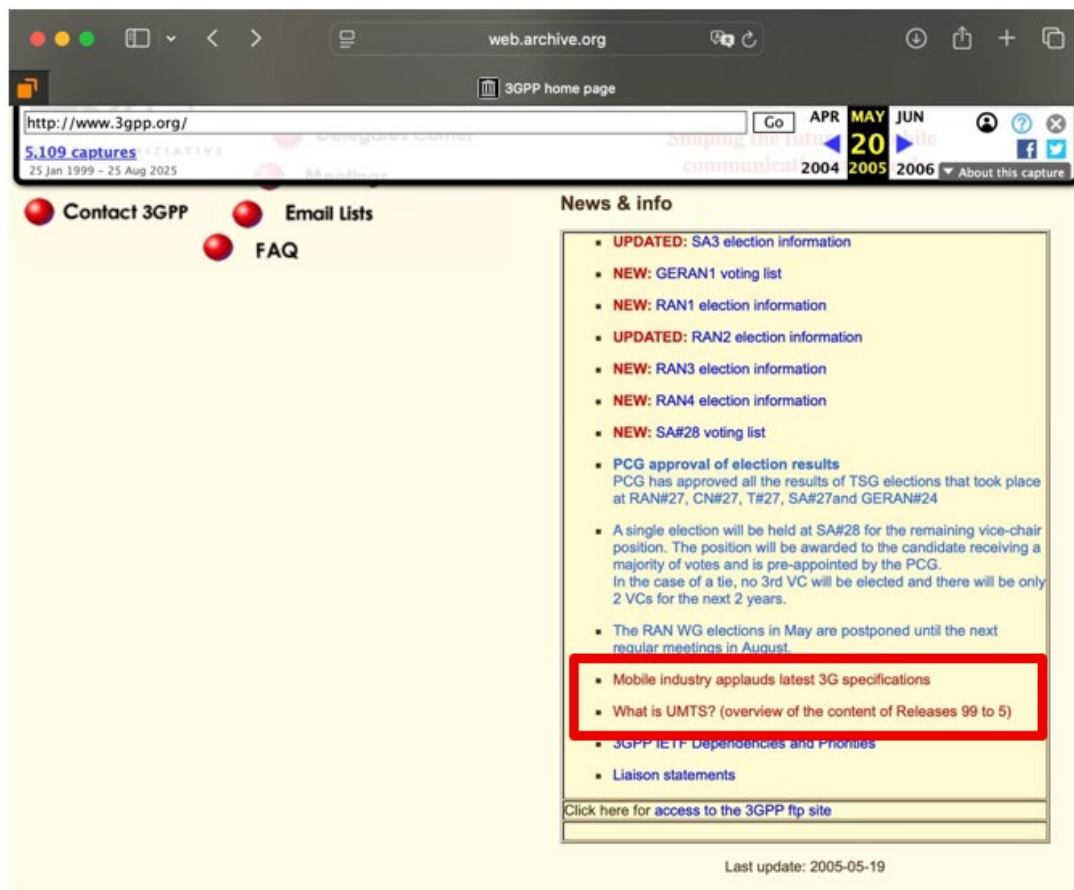


62. Thus, in addition to the paths for downloading a specific Technical Specification (TS) described further above, it would have been possible to use the 3GPP web site's search function to find information and specific documents including technical specifications.

63. Furthermore, new and updated TS versions become available after each 3GPP plenary meeting which usually take place four times a year. The meeting dates are (and were in 2005) publicly available via the ETSI and 3GPP websites, and the new and updated TS versions become publicly available usually shortly after a

Declaration of Friedhelm Rodermund

plenary meeting. The 3GPP plenary meeting dates and results (summarized in official meeting reports) including the approval of new versions of TSs are shared via the public 3GPP email reflectors. Furthermore, the 3GPP website included already in 2005 a kind of news feed announcing e.g. the release of specifications. Furthermore, 3GPP officers created for each 3GPP Release a content overview which included e.g. reference to TS 23.140 and which was also announced via the 3GPP home page of which an annotated screenshot from the Internet Archive can be found below (<https://web.archive.org/web/20050520030952/http://www.3gpp.org/>):



Declaration of Friedhelm Rodermund

64. Additionally, citations to the TS 23.140 specification by contemporaries shortly after the time of its public release further shows that those skilled in the art were aware of the specification and were readily able to locate it. For instance, a google scholar search for “TS 23.140 V6.9.0” returns G. Lu, H. Ladas, G. Peersman, P. Griffiths and H. Spear, “Heading for Multimedia Message Service in 3G,” published as a part of the 2005 6th IEE International Conference on 3G and Beyond, hosted in Washington, D.C., USA, on November 7-9, 2005, as seen below. The paper was published as a part of the 2005 6th IEE International Conference on 3G and Beyond, hosted in Washington, DC, USA, on November 7-9, 2005. That paper is Ex-1024.



65. On the final page of the aforementioned paper from Lu, et al., partially reproduced and annotated below, a link to the 3GPP FTP site is a part of the citation for TS 23.140 V6.9.0. The authors of this paper were able to cite to the 3GPP FTP site, further displaying the widespread use of the FTP site among those with an

Declaration of Friedhelm Rodermund

interest in the field and skill in the art.

messaging system, it also provides a comprehensive reporting and tracking approach to users.

3.2 Inbound Service

When the incoming MMS arrives at the inbound interface, it is decoded and routed to M.A.P for further processing. The MMS reception can be done by M.A.P by setting up an Inbound MMS Campaign. All the contents (includes SMIL presentation) can be viewed and managed in this campaign. When the inbound message has been properly handled by M.A.P, a SMS message is sent back to the MMS originating handset to indicate the successful inbound transaction. With the features of M.A.P, the messages can also be forwarded to a Third Party Application for further processing. Figure 3 illustrates the inbound service.

MMS Submission
Customers create pictures, audio, video clips and compose multimedia messages in MMS clients (mobile handsets)

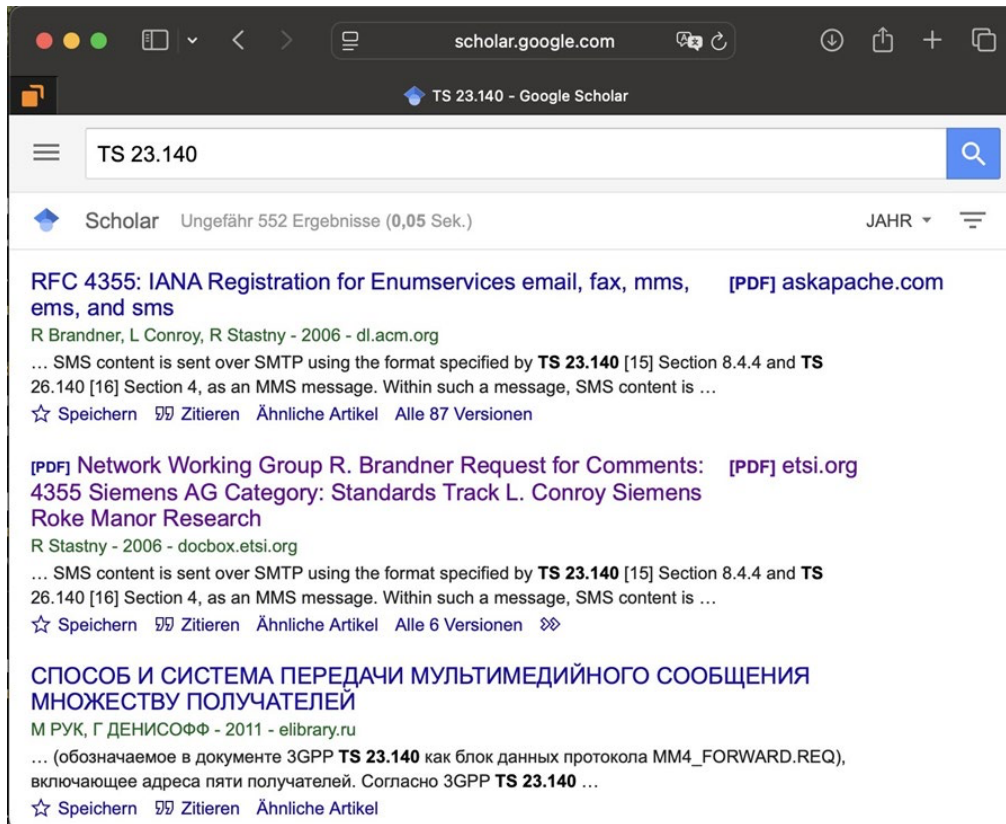
MMS Forwarding
The MMS messages are forwarded by the ISP MMSC to Dialogue MMS Gateway by MM5, EMM5, etc.

implementation of mobile data and internet messaging solutions. As one of the developers, the author of this paper would like to say thank for the sponsorship from Dialogue Communications Ltd and for the support from all the colleagues.

References

- [1]. G.Le Bodic, "Mobile Messaging, SMS, EMS and MMS", IEEE Vehicular Technology Society News, November 2002
- [2]. S.Coulombe and G.Grassel, "Adaptation for the Multimedia Messaging Service", IEEE Communications Magazine, July 2004.
- [3]. 3GPP TS 23.140 V6.9.0, "Multimedia Messaging Service (MMS); Functional Description, Stage 2 (Release 6)", Mar 2005, <http://www.3gpp.org/ftp/Specs>
- [4]. <http://www.jboss.org>
- [5]. <http://map.dialogue.net>

66. Alternatively, a search for just "TS 23.140" returns many more results, with one of the first returns being R. Stastny, et al., as seen below (Ex-1025). The document was published in January 2006 as indicated in the header of the document where it says "January 2006."



67. In the case of both of these papers, they show that interested members of the public were familiar enough with 3GPP practices to be able to find the recently published versions of the TS 23.140 specification and use it as a reference to further add to the state of the art.

68. Around 2002-2004, while working on 3GPP standards development at ETSI, I wrote several articles myself about development of the standard and introduction of MMS. One of my articles was published in “Business Briefing: Wireless Technology 2003” (Ex-1026). Of course, I was referring to 3GPP and OMA as standard development organizations behind the creation of the MMS standard, as can be seen by the annotated screenshot below:

Declaration of Friedhelm Rodermund

As a logical extension of SMS and EMS, the MMS standard was developed by the 3GPP and the WAP Forum, now part of the Open Mobile Alliance (OMA). As far as possible, existing protocols (e.g. WAP, Simple Mail Transfer Protocol (SMTP)) and existing message formats (e.g. Synchronised Multimedia Integration Language (SMIL) and Multipurpose Internet Mail Extensions (MIME)) have been used for the realisation of MMS. It is envisaged that later MMS versions will also support non-WAP, standard Internet protocols for communication between terminals and Multimedia Message Service Centres (MMSCs), such as http over Transmission Control Protocol/Internet Protocol (TCP/IP).

Unlike the situation with EMS, operators will have to invest in new infrastructure to offer MMS to their

As always, whenever a new technical standard is introduced, special attention has to be given to interoperability issues. To ensure interoperability between MMSCs and handsets from different manufacturers and to ensure the smooth introduction of MMS in the market, an MMS Interoperability Group (MMS-IOP) has been founded by the main industry players and has recently become part of OMA.

Vast Opportunities for Content Providers

Unlike SMS, where user-to-user messaging represents the overwhelming majority of use, MMS will involve a substantial amount of material created by value-added service providers (VASPs). Especially in the initial phase, user-to-user messaging will not

BUSINESS BRIEFING: WIRELESS TECHNOLOGY 2003

69. Many more publications on MMS were made around that time given that MMS was a hot topic as a potential new revenue stream for operators and vendors – several years before WhatsApp started (in 2009). Many of the publications – as the examples mentioned above – were referring to 3GPP and OMA as the SDOs from which the standard (the specifications) were available. Thus, anybody working in the field of mobile communications would have been aware of MMS around 2005, and would have known, or, easily discovered where to find the technical specifications.

c) Conclusion

70. Thus, based on my personal knowledge and experience with ETSI's and 3GPP's standard business practices, this information tells me that TS 23.140 V6.9.0 was uploaded and made available via the 3GPP FTP server to 3GPP delegates and interested members of the public who would have been able to locate the

reference exercising reasonable diligence by April 4, 2005.

V. PUBLICATION OF OMA SPECIFICATIONS AND RELATED DOCUMENTS

A. General Practices

71. Unless otherwise noted, the foregoing and following is an accurate description of OMA general practices from 2002 to the present, including in 2004, regardless of whether I use the present or past tense to describe those practices.

72. OMA was established in June 2002 consolidating several industry forums working on application protocols and enablers applicable to the mobile phone industry. Thus, OMA was created as a merger of the following industry forums and Standard Development Organizations (SDOs): WAP Forum (focused on browsing and device provisioning protocols), the Wireless Village (focused on instant messaging and presence), the SyncML Initiative (focused on data synchronization), the Location Interoperability Forum, the Mobile Games Interoperability Forum, and the Mobile Wireless Internet Forum.

73. The OMA developed several application enablers such as OMA DRM (Digital Rights Management), OMA DM (Device Management), OMA PoC (Push to talk over cellular), SUPL (Secure User Plan Location Protocol), and many more. The OMA was also involved in the creation of the MMS (Multimedia Messaging Service) standard which was a joint effort between 3GPP and OMA.

74. In March 2018, the OMA merged with the IPSO (Internet Protocol

Declaration of Friedhelm Rodermund

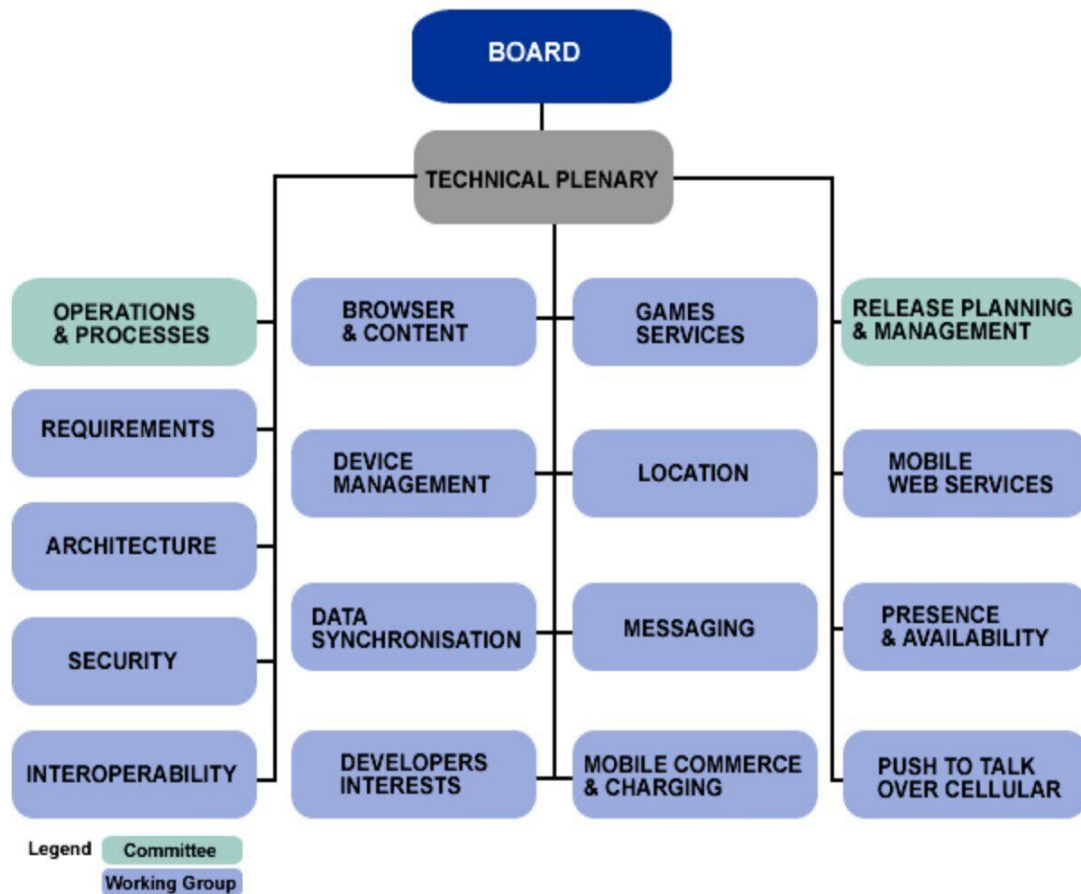
Smart Object) Alliance into OMASpecWorks. Today, the main focus area is to further the development of the LwM2M (Lightweight Machine to Machine) protocol and related LwM2M Objects.

75. OMASpecWorks members include companies from the wireless industry but also companies from other industries applying IoT (Internet of Things) related solutions developed by OMASpecWorks, in particular LwM2M.

76. I joined the OMA as a delegate for Vodafone in 2004 and have been active in the OMA ever since. My company IOTECC used to be a member of OMASpecWorks.

77. In the ordinary course of its regularly conducted business activities, and pursuant to its standard business practices, OMA publishes technical specifications, proposals, and other documents related to the development of application enabler standards for the mobile industry. Such documents are published for the purposes of discussion and establishment of application enabler standards. This has been OMA's ordinary course of business since I began attending OMA in 2004.

78. The names and the structure of OMA working groups, as of 2004, can be found at https://web.archive.org/web/20040804121754/http://www.openmobilealliance.org/about_OMA/orgchart.html (reproduced below and as Appendix L):



79. The “Messaging” working group was responsible for the development of the OMA MMS specifications.

80. In the ordinary course of OMA’s regularly conducted business activities, and pursuant to its standard business practices, from 2002 onwards, OMA published all of its contributions and all final versions of its technical specifications on its website www.openmobilealliance.org. The OMA website also included a member area where certain non-public material was stored. However, final specifications have been made accessible to the public.

81. By June 2004, around 300 companies were members of OMA, ranging

Declaration of Friedhelm Rodermund

from Bosch to Ericsson to Nokia to Samsung and generally including those interested in the discussion, creation, and adoption of application enabler standards for cellular telecommunications, including MMS¹⁴. Each of these companies typically delegated one or multiple individuals to regularly participate in OMA meetings. Based on my experience with OMA and the telecommunications industry, I would expect any person implementing a cellular device, or infrastructure for cellular applications, such as MMS, to consult the corresponding specifications on the OMA website, as well as other related documents. The purpose of OMA creating and making these documents available was so that engineers and other individuals would have ready access to them when developing and implementing cellular networks, application servers and devices.

82. As early as 2004, OMA's website was well-known to persons in the cellular telecommunications industry as a source of public information regarding industry standards and technological advances.

83. OMA specifications bear the enabler name in the file name e.g. "MMS" which is usually followed by the version number e.g. "V1_2". Furthermore, the filename includes the date when the document was approved by OMA e.g. "20040715".

¹⁴ *See*

<https://web.archive.org/web/20040616060054/http://www.openmobilealliance.org/membership/currentmembers.html>

84. The timestamp on OMA's website shows the date when the document was uploaded the last time. Thus, the timestamp shows the latest possible date the document became publicly available and accessible on OMA's website. The given document might have been available earlier and the original timestamp might have been overwritten because the document was re-uploaded, or, because the document storage area of OMA's website was restructured. According to my experience, this is something which happened a few times. Thus, the timestamp is reliable as the latest possible upload date but one cannot determine whether it represents the first upload of a document to the website.

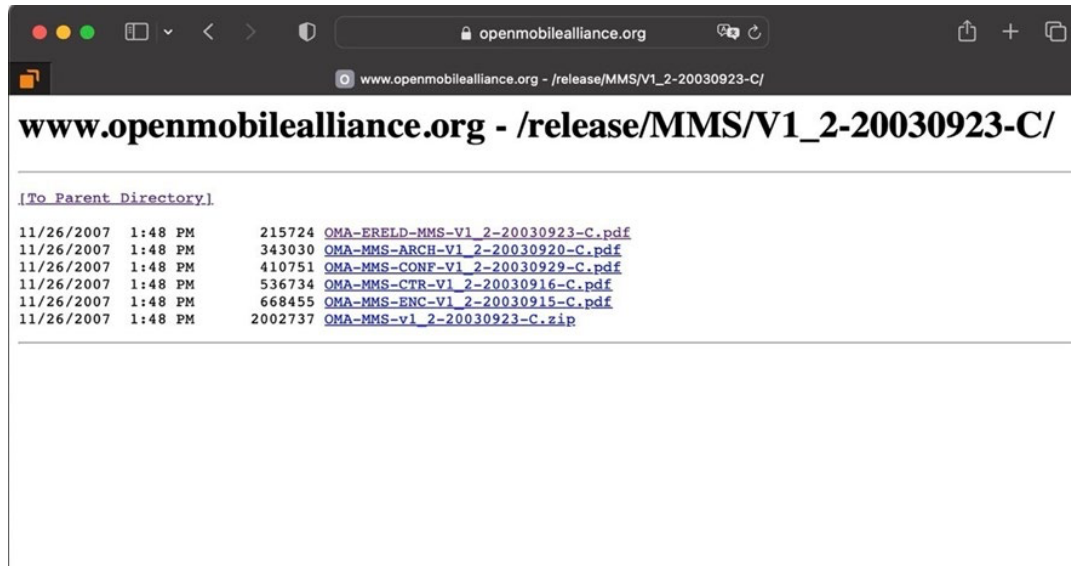
B. OMA-ERELD-MMS-V1 2-20030923-C

85. Based on my personal knowledge and my review of OMA's business records, I recognize Ex-1011 as a true and correct copy of an OMA document with the title "Enabler Release Definition for MMS Version 1.2 Candidate Version 23-September-2003." The document provides a basic overview of the MMS service and architecture, and lists all OMA specifications related to MMS.

86. On its face, "23-September-2003" indicates the date the document was released by OMA. This date is also aligned with the Change History on the last page of the document which has "23 Sep 2003" as the latest entry. According to my knowledge and experience, OMA specifications were made publicly available shortly after approval by OMA.

Declaration of Friedhelm Rodermund

87. As of today, the document can be located under the following URL:
https://www.openmobilealliance.org/release/MMS/V1_2-20030923-C/OMA-ERELD-MMS-V1_2-20030923-C.pdf. The website shows the upload date as 11/26/2007 as shown by the screenshot below¹⁵:



88. This later upload date does not reflect the earliest upload date. As explained in paragraph 84, the earliest upload date can be changed by overwriting the document, or, by restructuring of the document storage on the website. This is what happened to this document because publication more than 4 years after approval of the document would not make sense. According to my knowledge and experience, the document was published shortly after approval which happened September 23, 2003.

89. The earliest record of the internet archive showing the availability of

¹⁵ Website available as Appendix M.

Declaration of Friedhelm Rodermund

the document for download is from June 12, 2004. This website is available as

Appendix N and an excerpt is reprinted below:

Specifications		OMA-WAP-MMS-V1_1-20021030-C.pdf	
OMA Multimedia Messaging Service		Back to top	
V1.2	Candidate	2003.09.23	OMA-MMS-v1_2-20030923-C.zip
ERELD		OMA-ERELD-MMS-V1_2-20030923-C.pdf	
Arch Doc		OMA-MMS-ARCH-V1_2-20030920-C.pdf	
Specifications		OMA-MMS-ENC-V1_2-20030915-C.pdf	
		OMA-MMS-CTR-V1_2-20030916-C.pdf	
		OMA-MMS-CONF-V1_2-20030929-C.pdf	
V1.1	Candidate	2002.11.04	OMA-MMS-V1_1-20021104-C.zip [549 KB]
ERELD		OMA-ERELD-MMS-V1_1-20021104-C.pdf	
Arch Doc		OMA-WAP-MMS-ARCH-V1_1-20021101-C.pdf	
Specifications		OMA-WAP-MMS-ENC-V1_1-20021030-C.pdf	
		OMA-WAP-MMS-CTR-V1_1-20021031-C.pdf	
		OMA-IOP-MMSCONF-V2_0_0-20020206-C.pdf	

90. In addition, metadata information for the downloaded and extracted T-doc file states a last modified date of “07.11.03,” as shown in the screenshot below. Given the document was already approved on September 23, 2003, it is possible that subsequent editorial changes were made which led to the later last Modified date.

Declaration of Friedhelm Rodermund

The screenshot shows a 'PDF Properties' dialog box with two tabs: 'Description' and 'Advanced'. The 'Description' tab is active, showing fields for File, Title, Author, Subject, and Keywords. The 'Advanced' tab is also visible, showing PDF Producer, PDF Version, Location, File Size, Page Size, Number of Pages, Tagged PDF, and Fast Web View.

Description	
File:	OMA-ERELED-MMS-V1_2-20030923-C.pdf
Title:	Microsoft Word - OMA-ERELED-MMS-V1_2-20030923-C.doc
Author:	kiacz
Subject:	
Keywords:	
Created:	07.11.03, 15:00:49
Modified:	07.11.03, 15:00:49
Application:	PScript5.dll Version 5.2

Advanced	
PDF Producer:	Acrobat Distiller 5.0.5 (Windows)
PDF Version:	1.3 (Acrobat 4.x)
Location:	/Users/friedhelmrodermund/Documents/--- MAIN MacBook Pro ---/NEW JOB...
File Size:	210,67 KB (215.724 Bytes)
Page Size:	8,50 x 11,00 in
Number of Pages:	16
Tagged PDF:	No
Fast Web View:	Yes

Buttons: Cancel, OK

91. Thus, based on my personal knowledge and experience with OMA's standard business practices, this information tells me that this document was available to the general public via the OMA website shortly after its approval on September 23, 2003, and by June 12, 2004, at the latest, as shown by the Internet Archive record.

C. OMA-WAP-MMS-ARCH-V1 1-20040715-A

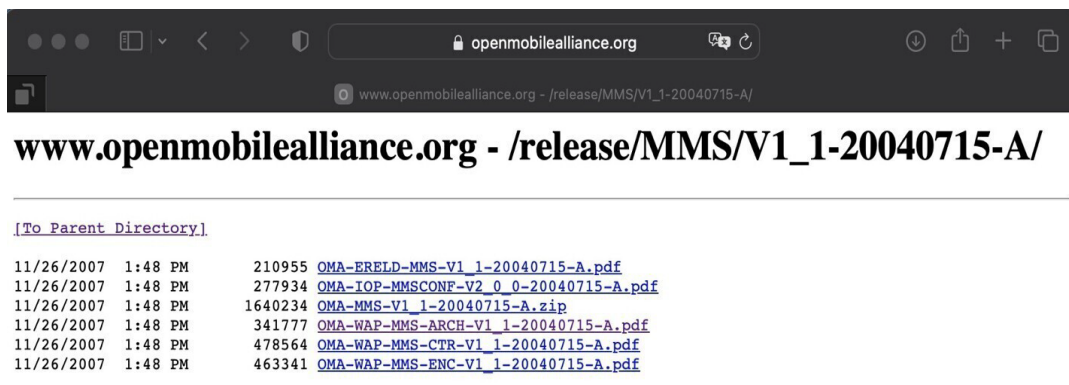
92. Based on my personal knowledge and my review of OMA's business records, I recognize Ex-1010 as a true and correct copy of an OMA document with the title "Multimedia Messaging Service Architecture Overview Approved Version

Declaration of Friedhelm Rodermund

1.1 – 15 Jul 2004.” The document describes the MMS services and architecture, and various technical considerations.

93. On its face, “15 Jul 2004” indicates the date the document was released by OMA. This date is also aligned with the Change History on the last page of the document which has “15 Jul 2004” as the latest entry. According to my knowledge and experience, OMA specifications were made publicly available shortly after approval by OMA.

94. As of today, the document can be located under the following URL: https://www.openmobilealliance.org/release/MMS/V1_1-20040715-A/OMA-WAP-MMS-ARCH-V1_1-20040715-A.pdf. The website shows the upload date as 11/26/2007 as shown by the screenshot below¹⁶:



95. This later upload date does not reflect the earliest upload date. As explained in paragraph 84, the earliest upload date can be changed by overwriting

¹⁶ Website available as Appendix O.

Declaration of Friedhelm Rodermund

the document, or, by restructuring of the document storage on the website. This is what happened to this document because publication more than 3 years after approval of the document does not make sense. According to my knowledge and experience, the document was published shortly after approval which happened July 14, 2004.

96. The earliest record of the internet archive showing the availability of the document for download is from September 29, 2004¹⁷. This website is available as Appendix P and an excerpt is reprinted below:

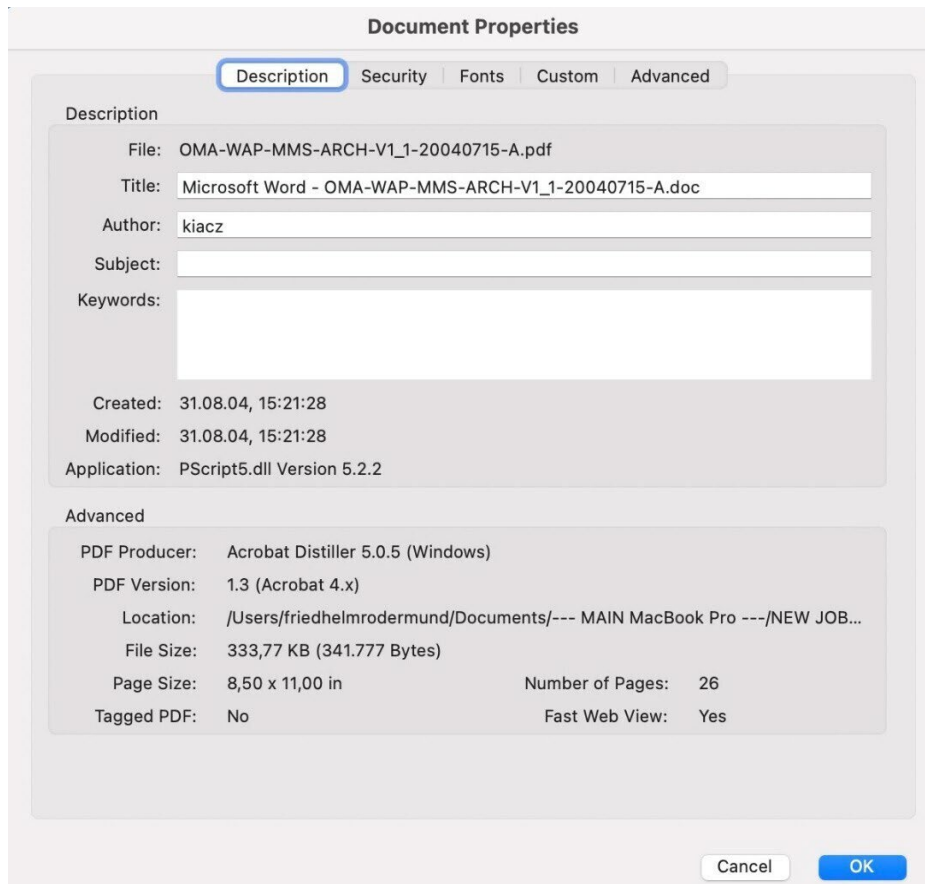
OMA Multimedia Messaging Service			
V1.1	Approved	2004.07.15	OMA-MMS-V1_1-20040715-A.zip [1.56 MB] New
ERELD			OMA-ERELD-MMS-V1_1-20040715-A.pdf
Arch Doc			OMA-WAP-MMS-ARCH-V1_1-20040715-A.pdf
Specifications			OMA-IOP-MMSCONF-V2_0_0-20040715-A.pdf
			OMA-IOP-MMSCONF-V2_0_0-20040715-A.pdf
			OMA-WAP-MMS-ENC-V1_1-20040715-A.pdf

97. In addition, metadata information for the downloaded and extracted T-doc file states a last modified date of “31.08.04,” as shown in the screenshot below. Given the document was already approved on July 14, 2004, it is possible that subsequent editorial changes were made which led to the later last Modified date.

17

https://web.archive.org/web/20040929033628/http://www.openmobilealliance.org:80/release_program/enabler_releases.html

Declaration of Friedhelm Rodermund



98. Thus, based on my personal knowledge and experience with OMA's standard business practices, this information tells me that this document was available to the general public via the OMA website shortly after its approval on July 14, 2004, and by September 29, 2004, at the latest, as shown by the Internet Archive record.

VI. AVAILABILITY FOR CROSS-EXAMINATION

99. In signing this declaration, I recognize that the declaration may be filed as evidence in a contested case before the Patent Trial and Appeal Board of the United States Patent and Trademark Office. I also recognize that I may be subject to cross examination in the case and that cross examination will take place within the

Declaration of Friedhelm Rodermund

United States. If cross examination is required of me, I will cooperate to the best of my ability to appear for cross examination within the United States during the time allotted for cross examination.

A. Right To Supplement

100. I reserve the right to supplement my opinions in the future to respond to any arguments that the Patent Owner raises and to take into account new information as it becomes available to me.

B. Signature

101. I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code.

102. I declare under penalty of perjury that the foregoing is true and correct.

Dated: November 1, 2025



Friedhelm Rodermund

APPENDIX A

CURRICULUM VITAE

I. PERSONAL DATA

Name: **Friedhelm RODERMUND**

Mailing address: Am Steiner Graben 18
56077 Koblenz, Germany

Phone: +49 172 2606489

Email: fred@iotecc.com

II. PROFESSIONAL EXPERIENCE

Summary

Senior expert in telecommunications, Internet of Things (IoT) technology and related standard essential patents (SEPs). More than 30 years of experience within the mobile communications industry, and several years in the IoT domain in various roles such as project management, technology innovation and evolution, standards development, technology strategy, patent creation and patent litigations, and development/introduction of new services.

Recognized standards expert who was actively involved in leading roles in the development of key standards for mobile telephony/data and service enablers across standards development organizations such as 3GPP, ETSI, GSMA, IETF, OMA, and oneM2M. Currently focussing on standards for the Internet of Things including ETSI and 3GPP standards such as 5G and 6G, and OMA Lightweight M2M (LwM2M).

Founder and director of IOTECC GmbH which provides consulting services around technologies and standards enabling the Internet of Things, and consulting and expert services related to patents for mobile telecommunications and IoT.

01/2015 – present IOTECC GmbH

Koblenz, Germany

Founder and CEO

- Mobile telecommunications, Internet of Things (IoT) technology and standards consulting
- Telecommunications and IoT patent consulting
 - Consulting services around telecommunications and IoT patents in particular related to 3GPP, ETSI and OMA standards
 - State of the art/prior art research services related to patent filing e.g. for 5G and 6G
 - Prior art research, essentiality assessment, patent infringement analysis related to litigations and validity actions
 - Advising on IP strategy
 - Advising on Standards Development Organisations (SDO) e.g. ETSI and 3GPP working processes and IPR policy
 - Conducting seminar on Standards Essential Patents (SEPs) for the Internet of Things at the Technical University of Ilmenau, Germany
 - Experienced expert witness (please see section III for a list of supported actions)

11/2014 – 12/2014 Friedhelm Rodermund Consulting

Koblenz, Germany

Internet of Things (IoT) Consultant

- IoT standards development and introduction of new IoT services

CURRICULUM VITAE

- 01/2011 – 10/2014** **Vodafone Germany / Vodafone Group R&D** **Düsseldorf, Germany**
- Senior Standards Strategist
- Representing Vodafone in various standardisation bodies
 - Creating technical standards for the Internet of Things
 - Work item lead, technical editor and key contributor of Open Mobile Alliance (OMA) “Lightweight M2M (LwM2M)” – the new standard for the Internet of Things
 - Advising and supporting various IoT/M2M projects related to e.g. smart metering
 - Advising on the introduction of new IoT/M2M technologies and services
 - Leading Proof of Concepts of emerging technologies
 - Involved in innovation projects
 - Supporting the creation and protection of Intellectual Property
- 01/2009 – 12/2010** **Vodafone Germany** **Düsseldorf, Germany**
- Vice Chairman Open Mobile Alliance (OMA) Device Management (DM)
- Responsible for Vodafone’s Device Management standardisation
 - As OMA DM Vice Chairman, co-leading the group, chairing committee meetings and web conferences, steering the technical direction, management of the different work items
 - Editor of several specifications, rapporteur of various work items
 - Support of projects for the introduction of device management
 - Delegate to 3GPP SA1 with responsibility for the introduction of MTC (machine type communications) related service/network requirements
- 01/2005 – 12/2008** **Vodafone Germany** **Düsseldorf, Germany**
- Project Manager Mobile Broadcast Standards
- Responsible for Mobile Broadcast standardisation across different broadcast systems/standards bodies and across all Vodafone local operations
 - Responsible for Mobile Broadcast standardisation strategy development and implementation
 - Delegation Lead for the Open Mobile Alliance (OMA) BCAST working group
 - Initiated and managed the BCAST device profile development in the BMCO Forum
 - Leading the “Service Protection” (pay-TV) stream of the German DVB-H Consortium
 - Filed several patents
 - Supporting patent litigations and patent portfolio evaluation (various technical areas)
- 04/2003 – 12/2003** **GSM Association** **London, United Kingdom**
- Member of the MMS Task Force
- Verification of the MMS operator interworking framework
 - Supporting the definition and specification of the MMS functional evolution
 - Acting as a “link” between 3GPP and GSMA in the area of MMS
- 06/1998 – 12/2004** **European Telecommunications Standards Institute (ETSI)**
Sophia Antipolis, France
- 01/2002 – 12/2004: Secretary 3GPP Technical Specifications Group “Terminals” and Terminals Working Group 2 “Terminal Services and Capabilities”

CURRICULUM VITAE

01/1999 – 12/2001: Secretary 3GPP Terminals Working Group 2 “Terminal Services and Capabilities” and GERAN 3 “Base Station Testing”

06/1998 – 03/1999: Secretary ETSI SMG4 “Data Services” and SMG8 “Base Station Testing”

- Supported the establishment of 3GPP (3rd Generation Partnership Project) as the leading standards organization for mobile telecommunications
- Project manager and secretary of TSG “Terminals” responsible for Terminal Conformance Testing, Terminal Services and Capabilities, Universal Subscriber Identity Module (USIM)
- Project manager and secretary of Terminals Working Group 2 “Terminal Services and Capabilities” that was responsible for Terminal Execution Environments, Messaging including Short Message Service (SMS), Cell Broadcast Service (CBS), Enhanced Messaging Service (EMS), Multimedia Messaging Service (MMS), Terminal Interfaces incl. AT-commands, Generic User Profile, Data Synchronization and others
- Establishment and management of the Work Plan and follow-up and report on the progress of the related work items
- Advising the chairmen and the standards groups on technical, procedural and political issues
- Editorship of various GSM and UMTS technical specifications
- Responsible for presenting the technical results of the working groups to the parent body
- Responsible for the communication with other standards bodies inside and outside 3GPP
- PR activities (articles, interviews)

12/1993 – 06/1998 Mannesmann Mobilfunk GmbH

Düsseldorf, Germany

System Engineer and Project Manager in Quality Assurance and Technical Standards

- Leadership and management of acceptance test projects in the area of GSM Base Station Controller (BSC) and GSM Base Station (BTS) hardware and software.
- Leading project teams of around 15 people
- Responsible for the clearance of releasing new software/hardware into the network
- Supported Request for Quotations, supplier evaluation and pre-selection, project manager of System Verification as a central part of the supplier selection process.
- Representation of Mannesmann Mobilfunk to the ETSI standardization group “Standardization Technical Committee SMG3 System Architecture”
- Conduction of product and hardware development quality audits
- Representative of Mannesmann Mobilfunk in A-interface interoperability testing activities
- Member of BSS product planning group which was defining operator requirements for future BSS releases
- Development of process improvements for type acceptance

CURRICULUM VITAE

III. LIST OF SUPPORTED PATENT LITIGATIONS AND VALIDITY ACTIONS

- 2025
Hannibal IP LLC v. Samsung Electronics Co. Ltd., Samsung Electronics America Inc.
Inter Partes Review matters
On behalf of Samsung
Counsel: Finnegan L.L.P.
Role: Expert witness and consulting services
- 2025
Pantech Corp. v. OnePlus Technology Co., Ltd.
Inter Partes Review matters
On behalf of OnePlus
Counsel: Bayes PLLC
Role: Expert witness and consulting services
- 2025
Pantech Corp. v. OnePlus Technology Co., Ltd.
Inter Partes Review matters
On behalf of OnePlus
Counsel: Leydig, Voit & Mayer, Ltd.
Role: Expert witness and consulting services
- 2025
Apex Beam Technologies LLC v. Apple Inc.
Inter Partes Review matters
On behalf of Apple
Counsel: Fish&Richardson P.C.
Role: Expert witness and consulting services
- 2024
Pantech Corporation v. Oppo Mobile Telecommunications Corp., Ltd.
Inter Partes Review matters
On behalf of Oppo
Counsel: Bayes PLLC
Role: Expert witness and consulting services
- 2024
Pantech Corp. et al. v. LG Electronics Inc. et al.
Civil Action No. 5:22-cv-00113-RWS-JBB (E.D.Tx.)
On behalf of LG Electronics
Counsel: Ropes & Gray LLP
Role: Expert witness and consulting services
- 2024
Ericsson v. Lenovo
Inter Partes Review matters
On behalf of Lenovo
Counsel: Kirkland & Ellis LLP
Role: Expert witness and consulting services
- 2024
Pantech Corp. et al. v. OnePlus Technology Co., Ltd.
Civil Action No. 5:22-cv-00069-RWS (E.D.Tx.)
On behalf of OnePlus
Counsel: Leydig, Voit & Mayer, Ltd.
Role: Expert witness and consulting services
- 2024
G+ Communications, LLC, v. Samsung Electronics Co., Ltd.

CURRICULUM VITAE

Civil Action No. 2:22-CV-78 (E.D.Tx.)
On behalf of Samsung
Counsel: Fish&Richardson P.C.
Role: Expert witness and consulting services

2023

Unified Patents, LLC v. Helios Streaming LLC
Inter Partes Review matters
On behalf of Unified Patents
Counsel: Akin
Role: Expert witness and consulting services

2023

Unified Patents, LLC v. SLS Manager Technologies
Inter Partes Review matters
On behalf of Unified Patents
Counsel: Unified Patents
Role: Expert witness and consulting services

2023

Headwater Research LLC v. Samsung Electronics Co., Ltd.
Inter Partes Review matters
On behalf of Samsung
Counsel: Fish&Richardson P.C.
Role: Expert witness and consulting services

2023

Pantech Corp. et al. v. LG Electronics Inc. et al.
Inter Partes Review matters
On behalf of LG Electronics
Counsel: Ropes & Gray LLP
Role: Expert witness and consulting services

2023

Apple Computer Trading (Shanghai) Co., Ltd. Apple Electronics Products Commerce (Beijing) Co., Ltd. v. Optis Cellular Technology LLC
Shenzhen, China, Intermediate People's Court
On behalf of Apple
Counsel: WilmerHale
Role: Expert witness and consulting services

2023

Huawei Technologies Co., Ltd. v. MediaTek Inc.
Inter Partes Review matters
On behalf of MediaTek
Counsel: Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P.
Role: Expert witness and consulting service

2023

Apex Beam Technologies LLC v. Samsung
Inter Partes Review matters
On behalf of Samsung
Counsel: Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P.
Role: Expert witness and consulting services

2022

Constellation Designs LLC v. LG Electronics, Inc.
Inter Partes Review matters
On behalf of LG Electronics
Counsel: Fish&Richardson P.C.
Role: Expert witness and consulting services

CURRICULUM VITAE

2022

Unified Patents, LLC v. Togail Technologies LTD.

Inter Partes Review matters

On behalf of Unified Patents

Counsel: Duane Morris LLP

Role: Expert witness and consulting services

2022

Ericsson v. Apple

Certain Mobile Telephones, Tablet Computers With Cellular Connectivity, And Smart Watches With Cellular Connectivity, Components Thereof, And Products Containing Same, Inv. No. 337-TA-1299 (U.S.I.T.C.)

On behalf of Apple

Counsel: WilmerHale

Role: Expert and consulting services

2022

Ericsson v. Apple

Civil Action No. 2:21-cv-376 (E.D.Tex.)

On behalf of Apple

Counsel: WilmerHale

Role: Expert and consulting services

2022

Intellectual Ventures II LLC v. Toyota Motor Cooperation.

Inter Partes Review matters

On behalf of Toyota

Counsel: Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P.

Role: Expert witness and consulting services

2022

Ericsson v. Apple

2nd Business Court, Rio de Janeiro, Brazil

On behalf of Apple

Counsel: WilmerHale

Role: Expert and consulting services

2022

Ericsson v. Apple

Civil Court, Bogotá, Columbia

On behalf of Apple

Counsel: WilmerHale

Role: Expert and consulting services

2022

Proven Networks LLC. v. NetApp Inc.

Inter Partes Review matters

On behalf of NetApp

Counsel: Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P., Ropes & Gray LLP

Role: Expert witness and consulting services

2022

Ericsson v. Apple

Inter Partes Review matters

On behalf of Apple

Counsel: Fish&Richardson, Erise IP

Role: Expert witness and consulting services

2021

AEGIS 11 LLC v. Hisense Company, Ltd. and Hisense USA Corporation

Inter Partes Review matters

On behalf of Hisense

CURRICULUM VITAE

Counsel: Orrik Herrington & Sutcliffe LLP
Role: Expert witness and consulting services

2021

Nokia v. Lenovo
Certain Electronic Devices, Including Computers, Tablet Computers, and Components and Modules Thereof, Inv. No. 337-TA-1208 (U.S.I.T.C.)
On behalf of Lenovo
Counsel: WilmerHale
Role: Expert witness and consulting services

2021

Optis Cellular Technology LLC et al. v. Apple
Claim No. HP-2019-000006 (High Court of Justice, Business and Property Courts of England and Wales)
On behalf of Apple
Counsel: WilmerHale
Role: Expert witness and consulting services

2021

Ericsson v. Samsung
Inter Partes Review matters
On behalf of Samsung
Counsel: Kirkland&Ellis LLP, Fish&Richardson
Role: Expert witness and consulting services

2020

Panoptis Patent Management LLC et al. v. Apple Inc.
Civil Action No. 2:19-cv-66 (E.D. Tex.)
On behalf of Apple
Counsel: WilmerHale
Role: Expert witness at bench trial

2020

Sol IP, LLC v. AT&T Mobility, LLC et al.
Civil Action No. 2:18-cv-526 (E.D. Tex.)
On behalf of AT&T, Verizon, Sprint
Counsel: Gibson Dunn
Role: Expert witness and consulting services

2020

Bell Northern Research LLC v. LG Electronics Inc. et al.
Civil Action No. 18-CV-2864-CAB-BLM (S.D. Cal.)
On behalf of LG Electronics Inc.
Counsel: Fish&Richardson
Role: Expert witness and consulting services

2019

Conversant Wireless Licensing S.a.r.l. v. LG Electronics Deutschland GmbH
Civil Action No. 7 O 3277/18 (Landgericht Munich, Germany)
On behalf of LG Electronics Deutschland GmbH
Counsel: Wildanger Kehrwald Graf von Schwerin & Partner mbB
Role: Expert witness and consulting services

2019

Bell Northern Research, LLC v. Huawei Device Co., Ltd, et al.
Civil Action No. 3:18-cv-01784-CAB-BLM
On behalf of Huawei
Counsel: Fish&Richardson
Role: Expert witness and consulting services

2019

CURRICULUM VITAE

Uniloc USA, Inc., et al. v. Samsung Electronics America, Inc. and Samsung Electronics Co. Ltd.

Civil Action Nos. 2:18-cv-00040-JRG, 2:18-cv-00041-JRG, 2:18-cv-00042-JRG and 2:18-cv-00044-JRG (United States District Court for the Eastern District of Texas)

On behalf of Samsung

Counsel: Greenberg Traurig

Role: Expert witness and consulting services

2019

Uniloc USA, Inc., et al. v. Huawei Device USA, Inc. et al.

Civil Action No. 2:18-cv- 00072-JRG-RSP (E.D. Tex.)

On behalf of Huawei

Counsel: McGuireWoods

Role: Expert witness and consulting services

2019

Microsoft Corporation v. Uniloc 2017 LLC

Inter Partes Review of U.S. Pat. No. 7,167,487

Inter Partes Review of U.S. Pat. No. 7,075,917

On behalf of Microsoft and on behalf of Apple as joinder petitioner

Counsel: Klarquist Sparkman (Microsoft), Erise IP (Apple)

Role: Expert witness and consulting services

2019

Qualcomm v. KFTC

South Korean Case, Seoul High Court

On behalf of intervenor Apple supporting the KFTC

Counsel: Boies Schiller Flexner

Role: Expert witness

2018/19

Evolved Wireless, LLC v. Apple, Inc.

Civil Action No. 1:15-cv-00542-JFB-SRF

On behalf of Apple

Counsel: DLA Piper

Role: Expert witness and consulting services

2018/19

Cisco Systems Inc. v. Traxcell Technologies

Inter Partes Review of Traxcell Technologies patents

On behalf of Cisco

Counsel: King&Spalding

Role: Expert witness and consulting services

2018/19

Qualcomm Inc. v. Apple Inc.

Civil Action No. 3:17- cv-02398-DMS-MDD (United States District Court for the Southern District of California)

Civil Action No. 3:17-cv-02402-WQH-MDD

Certain Mobile Electronic Devices and Radio Frequency and Processing Components Thereof (II), Inv. No. 337-TA-1093

Inter Partes Review of U.S. PATENT NO. 9,154,356

Cases IPR2019-00047, IPR2019-00048, IPR2019-00049, IPR2019-00128, IPR2019-00129

On behalf of Apple and Intel

Counsel: WilmerHale

Role: Expert witness and consulting services

2018/19

Apple Inc. v. Qualcomm Inc.

Civil Action No. 3:17-CV-00108-GPC-MDD (United States District Court for the Southern District of California)

On behalf of Apple

CURRICULUM VITAE

Counsel: Fish&Richardson, Boies Schiller Flexner
Role: Expert witness and consulting services

2018

3G Licensing, S.A. et al. v. LG Electronics Inc. et al
Inter Partes Review of U.S. Patent No. 7,995,091
On behalf of LG Electronics
Counsel: Fish&Richardson
Role: Expert witness

2017

Huawei Technologies Co. LTD. v. T-Mobile US, Inc. & T-Mobile USA, Inc.
E.D. Tex. Case Nos. 2:16-cv-00052-JRG-RSP; 2:16-cv-00055-JRG-RSP; 2:16-cv-00056-JRG-RSP; and 2:16-cv-00057-JRG-RSP
On behalf of T-Mobile
Counsel: Gibson Dunn
Role: Expert witness and consulting services

2016

Koninklijke KPN N.V. v. Samsung Electronics America, Inc. et al.
Civil Action No. 14-cv-1165
On behalf of Samsung Electronics
Counsel: Baker Botts
Role: Expert witness and consulting services

2016

SSH v. Sony
OLG Düsseldorf, Germany
On behalf of SSH
Counsel: Cohausz&Florack
Role: Technical expert support

2015/16

LG Electronics v. Core Wireless Licensing S.A.R.L.
Inter Partes Review of U.S. Patent No. 8,165,049
On behalf of LG Electronics
Counsel: Greenberg Traurig
Role: Expert witness

2015/16

Core Wireless Licensing S.A.R.L. v. LG Electronics Inc. and LG Electronics MobileComm U.S.A., Inc
Civil Action No. 2:14-cv-911 (lead case) and Civil Action No. 2:14-cv-912 (consolidated)
On behalf of LG Electronics
Counsel: Greenberg Traurig, Sidley Austin
Role: Expert witness

2015

Intellectual Ventures I LLC v. T-Mobile USA, Inc. & T-Mobile US, Inc.
D. Del. Case No. 1:13-cv-01632
Intellectual Ventures II LLC v. T-Mobile USA, Inc. & T-Mobile US, Inc.
D. Del. Case No. 1:13-cv-01633
On behalf of T-Mobile
Counsel: Gibson Dunn
Role: Technical expert support

IV. EDUCATION

10/1984 – 10/1993 **University of Technology Aachen**

Aachen, Germany

CURRICULUM VITAE

Graduate of Electrical Engineering with a focus on telecommunications technologies (Dipl.-Ing. TH)

10/1992 – 04/1993 University of Technology Trondheim Trondheim, Norway
Diploma Thesis "Design of a dual processor computer for digital signal processing in power electronics"

V. LANGUAGES

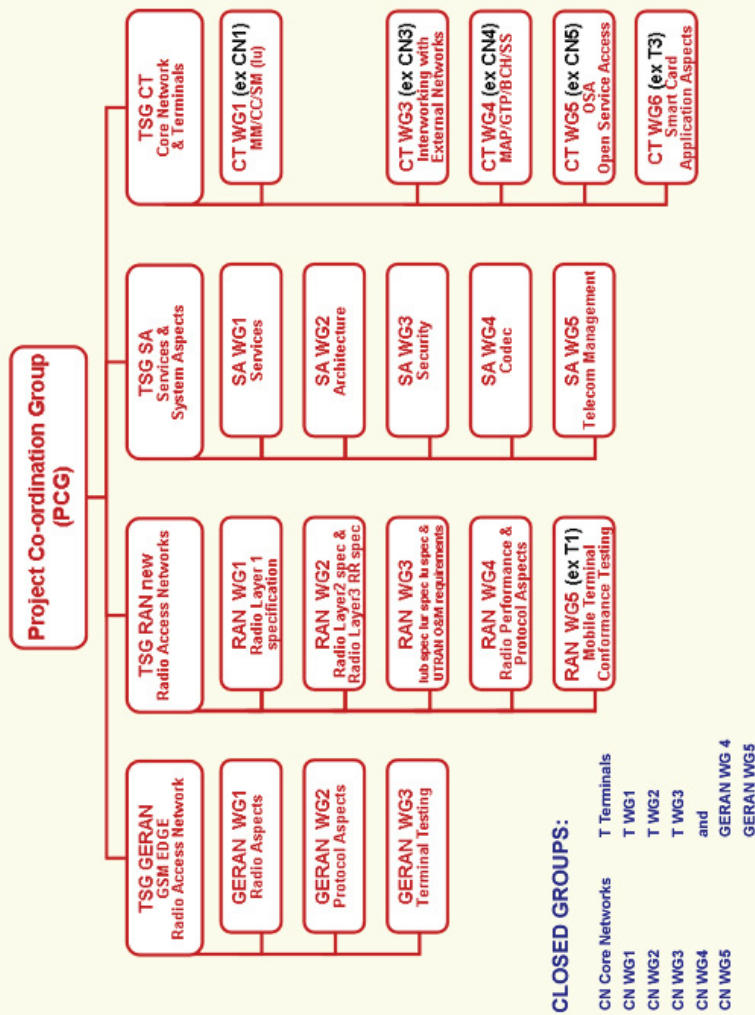
German, English, French

VI. PUBLICATIONS (selection)

- "Standardessentielle Patente (SEPs) für das Internet der Dinge (IoT)", PATINFO 2025 Proceedings, May 2025
- "The need for standardisation in the M2M services layer", Global Telecoms Business, February 2014
- Co-authored white paper "Lightweight M2M: Enabling device management and applications for the internet of things", Open Mobile Alliance, March 2014
- "Objects are a new way to create M2M applications", Global Telecoms Business, April 2014
- "The need for standardisation in the M2M services layer", M2M Now, July 2015
- "Objects are a new way to create M2M applications", Global Telecoms Business, April 2014
- Co-authored white paper "Lightweight M2M: Enabling device management and applications for the internet of things", Open Mobile Alliance, March 2014
- "The need for standardisation in the M2M services layer", Global Telecoms Business, February 2014
- "Unlocking the internet of things and driving the need for interoperability", Global Telecoms Business, December 2013

APPENDIX B

TSG ORGANIZATION



MCC Task Forces

last updated:
2005-06-22: new org chart removing closed working groups from main body of chart
2005-03-14: Complete update
2005-01-31: New TSG structure.

APPENDIX C

3GPP

3GPP Groups

Specifications & Technologies

Registration Center

Get involved

News & Events

Specifications & Technologies

Specifications by Series

Specifications by Series

Radio aspects

25 series

CODECS

26 series

Data

27 series

All 3GPP specifications have a specification number consisting of 5 digits, in a notation agreed by the pre-1998 GSM series of specification numbering - with two digits in both cases, the first two digits define the series number.

These series are commonly used to describe the work. An example would be the '26 series' can be used in the project to describe radio-related specifications for GSM.

The links in the table below will take you to listings of the specifications and reports in each series.

Subject of specification series	25 and 26 series (see 25 and 26)	27 series only (see 27 and 28)	29 series only (see 29 and 30)
Requirements	25 series	45 series	27 series
Service aspects (Range 1)	22 series	42 series	22 series
Technical realization (Range 2)	22 series	42 series	22 series
Signalling protocols (Range 3) - user equipment to network	24 series	44 series	24 series
Radio aspects	25 series	45 series	25 series
CODECS	26 series	46 series	26 series
Data	27 series	47 series (some series)	27 series
Signalling protocols (Range 3) - RNS-CN and CN-MP and Charging (Interface from 3G - core)	28 series	48 series	28 series
Signalling protocols (Range 3) - core fixed network	29 series	49 series	29 series
Programme management	30 series	50 series	30 series
Subscriber identity module (SIM / USIM), IC Cards, Test reports	31 series	51 series	31 series
Call Setup and Charging	32 series	52 series	32 series
Access requirements and test specifications	33 series ¹⁾	53 series ¹⁾	33 series ¹⁾
Security aspects	33 series	53 series	33 series
UE and E-UTRAN test specifications	34 series	54 series	34 series
Security algorithms (R)	35 series	55 series	35 series
LTE (Evolved UTRAN), LTE-Advanced, LTE-Advanced Pro radio technology	36 series		
Multiple radio access technology aspects	37 series		
Radio technology beyond LTE	38 series		

Note 1) The 33 series GSM specifications under full European Union specific regulatory standards. On the release of 3GPP TS 33.040, responsibility for the specification was transferred to 3GPP TS 33.040 (3GPP Specification 33.040) and they do not appear in the 3GPP list series.

Note 2) The specifications within the scope of the 3GPP specification series are.

Note 3) Algorithms may be subject to export licensing conditions. For the current 3GPP page, see also the current 3GPP page.

Note 4) The original GSM algorithms are not published and are controlled by the GSM Association.

The links in the table above take you to the 3GPP Portal 'Specifications' section. From there you can download the specification and see full details of the history and ownership of the spec (see the knowledge page 2).

Numbering Technical Reports (two classes)	
3GPP Transposition	Not intended for publication
This intended to be transposed and issued by the Organizational Partners as their own publication.	3GPP internal, documenting planning and scheduling of work, interim results of feasibility studies.
Numbers of the form: x/y	Numbers of the form: x/y/z (feasibility study reports end in, more series, 30 series, 30 series, planning and scheduling). If for some specification series, the stock of x/y/z has been exhausted, in these cases, further internal T/Rs are allocated as 'for numbers'.

Latest versions

- The latest versions of the approved specifications replaced in the 'latest' directory of the 3GPP series.
<https://www.3gpp.org/ftp/Specs/latest>.
- The latest version of draft specifications (in those not yet under change control) are in the 'latest-drafts' directory.
<https://www.3gpp.org/ftp/Specs/latest-drafts>.

Old (archived) specifications

All older versions of specifications (where available) are stored in the archive subdirectory. All entries of all releases of a given specification are placed directly under the name of the specification.

Specs related to a particular working group

Each 3GPP TSG Working Group has a home page, with a link to the list of specifications under its responsibility. See the 3GPP Group section of our website and navigate the WG or TSG of interest.

Official 3GPP Publications

The 3GPP Technical Specifications (TS) and Technical Reports (TR) become 'official' when transposed into corresponding publications of the 3GPP Organizational Partner or the body acting as publisher for the Partner. Once a document has been defined in TS 22.000, 3GPP specifications are officially transposed and published by the Organizational Partners, as a part of their standards series.

More information about specifications

3GPP Specification Status Report	https://www.3gpp.org/DynPage/Status-reports.htm
Meeting Special following the most recent round of TSG meetings	https://www.3gpp.org/DynPage/MeetingSpec.htm
Specifications Per TSG (Planning Board)	https://www.3gpp.org/specifications/technology/specifications-by-technology-specifications-per-tsg-round
Issuing new TS/TR numbers	https://www.3gpp.org/specifications/technology/specifications-by-technology-specifications-new-to-and-to-numbers
Search for references to a given specification or references in a specification	https://portal.3gpp.org/Specifications/SearchReferences.aspx
TS or TR proposed for withdrawal	https://www.3gpp.org/specifications/technology/specifications-by-technology-specifications-proposed-for-withdrawal
Version numbering scheme	https://www.3gpp.org/specifications/technology/specifications-by-technology-specifications-version-numbering-scheme
Specification and TR file name conventions	https://www.3gpp.org/specifications/technology/specifications-by-technology-specifications-file-name-conventions
Global Specifications - a short history	https://www.3gpp.org/specifications/technology/specifications-by-technology-specifications-global-specifications
ASN.1 Object identifiers	https://www.3gpp.org/specifications/technology/specifications-by-technology-specifications-1-object-identifiers
Confidentiality Algorithms	https://www.3gpp.org/specifications/technology/specifications-by-technology-specifications-confidentiality-algorithms
ETSI publications relating to core functions of the fixed IP Multimedia Subsystem (IMS)	https://www.3gpp.org/ftp/standards/TS/IMS/series/TS/IMS/26_26.pdf

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64

APPENDIX D



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Items per page 50

Search form (Series(1), Releases(26))

Title/Specification number

Series

Type

Release

Publication

Technology

All Releases

Internal

For Publication

2G

3G

LTE

5G

Status

Draft

Under change control

Withdrawn before change control

Withdrawn under change control

23. Technical realization

Technical Specification (TS)

Technical Report (TR)

Search

Data pager

476 specifications found, displaying 1 to 50

1 2 3 4 5 6 7 8 9 10

Specification Number	Type	Title	Status	Primary Responsible Group
23.002	TS	Network architecture	Under change control	S2
23.003	TS	Numbering, addressing and identification	Under change control	C4
23.007	TS	Restoration procedures	Under change control	C4
23.008	TS	Organization of subscriber data	Under change control	C4
23.009	TS	Handover procedures	Under change control	C4
23.010	TS	GSM Public Land Mobile Network (PLMN) Connection Types	Withdrawn under change control	-
23.011	TS	Technical realization of Supplementary Services	Under change control	C4
23.012	TS	Location management procedures	Under change control	C4
23.014	TS	Support of Dual Tone Multi-Frequency (DTMF) signalling	Under change control	C1

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Search form (Series(1), Releases(26)) Items per page 50

Title/Specification number

Series

Type

Release

Publication

Technology

All Releases

☐ Internal

☐ For Publication

☐ 2G ☐ 3G ☐ LTE ☐ 5G

Status

☐ Draft

☐ Under change control

☐ Withdrawn before change control

☐ Withdrawn under change control

Data pager									
476 specifications found, displaying 51 to 100									
1	2	3	4	5	6	7	8	9	10
Specification Number	Type	Title		Status	Primary Responsible Group				
23.090	TS	Unstructured Supplementary Service Data (USSD); Stage 2		Under change control	C4				
23.091	TS	Explicit Call Transfer (ECT) supplementary service; Stage 2		Under change control	C4				
23.093	TS	Technical realization of Completion of Calls to Busy Subscriber (CCBS); Stage 2		Under change control	C4				
23.094	TS	Follow-Me (FM); Stage 2		Under change control	C4				
23.096	TS	Name identification supplementary services; Stage 2		Under change control	C4				
23.097	TS	Multiple Subscriber Profile (MSP) (Phase 2); Stage 2		Under change control	C4				
23.101	TS	General Universal Mobile Telecommunications System (UMTS) architecture		Under change control	S2				
23.107	TS	Quality of Service (QoS) concept and architecture		Under change control	S2				
23.108	TS	Mobile radio interface layer 3 specification, core network protocols; Stage 2		Under change control	C1				

23.10U	TS	UMTS Access Stratum; Services and Functions	Withdrawn under change control	  2g 3g 4g 5g CR
23.110	TS	Universal Mobile Telecommunications System (UMTS) access stratum; Services and functions	Under change control	  2g 3g 4g 5g CR
23.116	TS	Super-Charger technical realization; Stage 2	Under change control	  2g 3g 4g 5g CR
23.119	TS	Gateway Location Register (GLR); Stage 2	Under change control	  2g 3g 4g 5g CR
23.121	TS	Architectural requirements for Release 1999	Under change control	  2g 3g 4g 5g CR
23.122	TS	Non-Access-Stratum (NAS) functions related to Mobile Station (MS) in idle mode	Under change control	  2g 3g 4g 5g CR
23.125	TS	Overall high level functionality and architecture impacts of flow based charging; Stage 2	Under change control	  2g 3g 4g 5g CR
23.127	TS	Virtual Home Environment (VHE) / Open Service Access (OSA)	Under change control	  2g 3g 4g 5g CR
23.135	TS	Multicall supplementary service; Stage 2	Under change control	  2g 3g 4g 5g CR
23.139	TS	3GPP system - fixed broadband access network interworking; Stage 2	Under change control	  2g 3g 4g 5g CR
23.140	TS	Multimedia Messaging Service (MMS); Functional description; Stage 2	Under change control	  2g 3g 4g 5g CR
23.141	TS	Presence service; Architecture and functional description	Under change control	  2g 3g 4g 5g CR
23.142	TS	Value-added Services for SMS (VAS4SMS); Interface and signalling flow	Under change control	  2g 3g 4g 5g CR
23.146	TS	Technical realization of facsimile Group 3 non-transparent	Under change control	  2g 3g 4g 5g CR
23.153	TS	Out of band transcoder control; Stage 2	Under change control	  2g 3g 4g 5g CR
23.161	TS	Network-Based IP Flow Mobility (NBIFOM); Stage 2	Under change control	  2g 3g 4g 5g CR
23.167	TS	IP Multimedia Subsystem (IMS) emergency sessions	Under change control	  2g 3g 4g 5g CR
23.168	TS	reerved for: Earthquake and Tsunami Warning System Specification (ETWS)	Withdrawn before change control	  2g 3g 4g 5g CR
23.171	TS	Location Services (LCS): Functional description - Stage 2 (UMTS)	Under change control	  2g 3g 4g 5g CR

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APPENDIX E

sort by name/desc	sort by date/desc	sort by size/desc
 schema	2005/01/03 19:14	
 23140-010.zip	1999/10/10 9:36	192,7 KB
 23140-100.zip	1999/12/12 19:38	292,3 KB
 23140-200.zip	2010/03/17 14:14	573,1 KB
 23140-301.zip	2000/03/27 15:57	578,9 KB
 23140-310.zip	2002/06/24 8:46	580 KB
 23140-400.zip	2000/10/06 9:18	581,3 KB
 23140-410.zip	2000/12/20 15:49	734,5 KB
 23140-420.zip	2001/03/29 12:43	959,5 KB
 23140-430.zip	2001/07/03 13:02	962,7 KB
 23140-440.zip	2001/10/05 9:16	983,2 KB
 23140-450.zip	2002/01/11 13:48	976,6 KB
 23140-460.zip	2002/03/21 8:59	977,5 KB
 23140-470.zip	2002/06/25 8:04	977,8 KB
 23140-480.zip	2002/09/23 13:21	983,6 KB
 23140-490.zip	2003/03/31 12:52	986,5 KB
 23140-4a0.zip	2003/06/24 7:19	987,8 KB
 23140-500.zip	2001/10/05 8:20	1022,3 KB
 23140-510.zip	2002/01/11 13:53	970,8 KB
 23140-520.zip	2002/03/29 16:44	1240,4 KB
 23140-530.zip	2002/07/05 7:16	1307,3 KB
 23140-540.zip	2002/09/30 7:19	1307,2 KB
 23140-550.zip	2002/12/20 15:09	1315,8 KB
 23140-560.zip	2003/03/31 12:50	1316,4 KB
 23140-570.zip	2003/06/25 7:12	1317,7 KB
 23140-580.zip	2003/10/03 13:57	1316,2 KB
 23140-590.zip	2004/01/12 10:48	1312,4 KB
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 23140-5b0.zip	2004/06/15 8:28	1346,5 KB
 23140-600.zip	2002/12/20 15:09	1321,1 KB
 23140-610.zip	2003/03/31 12:50	1319,1 KB
 23140-620.zip	2003/06/26 14:25	1322 KB
 23140-630.zip	2003/10/08 15:53	1339,2 KB
 23140-640.zip	2004/01/12 10:54	1343,8 KB
 23140-650.zip	2004/03/25 10:15	1360,3 KB
 23140-660.zip	2004/06/15 13:04	1428,6 KB
 23140-670.zip	2004/09/22 19:32	1302,9 KB
 23140-680.zip	2004/12/27 12:35	1415 KB
 23140-690.zip	2005/04/04 8:15	1411 KB
 23140-6a0.zip	2005/06/21 8:40	1380 KB
 23140-6b0.zip	2005/12/23 8:15	1411,7 KB
 23140-6b1.zip	2006/01/04 10:33	1380,5 KB
 23140-6c0.zip	2006/03/30 9:10	1385,6 KB
 23140-6d0.zip	2006/06/07 16:32	1418,1 KB
 23140-6e0.zip	2006/11/06 17:10	687,2 KB
 23140-6f0.zip	2008/03/20 13:41	677,9 KB
 23140-6g0.zip	2009/03/25 15:10	679 KB

APPENDIX F

Release 6(Spec is UCC for this Release) Latest Remark: (2015-01-22) TP-22: Transfer to OMA for future Releases (I.e. ...

Meetings	Version	Upload date	Comment
CT#43	6.16.0	2009-03-25	 ETSI TDoc CR
CT#39	6.15.0	2008-03-25	 ETSI TDoc CR
CT#33	6.14.0	2006-11-06	 ETSI TDoc CR
CT#32	6.13.0	2006-06-13	 ETSI TDoc CR
CT#31	6.12.0	2006-03-30	 ETSI TDoc CR
CT#30	6.11.1	2006-01-04	CR198 is ex CP-050183 not CP-...  ETSI TDoc CR
CT#30	6.11.0	2005-12-23	 ETSI TDoc CR
CT#28	6.10.0	2005-06-21	 ETSI TDoc CR
T#27	6.9.0	2005-04-04	 ETSI TDoc CR
T#26	6.8.0	2005-01-03	 ETSI TDoc CR
T#25	6.7.0	2004-09-27	 ETSI TDoc CR
T#24	6.6.0	2004-06-17	 ETSI TDoc CR
T#23	6.5.0	2004-03-26	 ETSI TDoc CR
T#22	6.4.0	2004-01-12	 ETSI TDoc CR
T#21	6.3.0	2003-10-08	 ETSI TDoc CR
T#20	6.2.0	2003-06-26	 ETSI TDoc CR
T#19	6.1.0	2003-03-21	 ETSI TDoc CR

Exit

APPENDIX G



Project Management

Technical Bodies

Delegates Corner

Project Support

Contact 3GPP

Quick Access

About 3GPP

Specifications

Membership

Meetings

Email Lists

FAQ

Search

About 3GPP

Project Management

Technical Bodies

Project Support

Specifications

Membership of 3GPP

Delegates Corner

Meetings

Email Lists

FAQ



Contact 3GPP



Shaping the future of mobile communication standards

News & info

- **NEW:** The RAN WG elections in May are postponed until the next regular meetings in August.
- GERAN election results: To be ratified by PCG in April
GERAN Chairman: Mr Andrew HOWELL
GERAN Vice Chairmen: Mr Juergen HOFMANN, Mr Guillaume SEBIRE, Mr Marc GRANT
- SA VC election results: To be ratified by PCG in April
After 2 ballots, resulted in a tie between:
Mr Hee Joung LEE and Mr Nick SAMPSON
No candidate elected.
Issue will be addressed by the PCG meeting in April.
- SA election results: To be ratified by PCG in April
SA Chairman: Mr Stephen HAYES
SA Vice Chairmen: Ms Yuhong HUANG, Mr Armin TOEPFER
- CT election results: To be ratified by PCG in April
CT Chairman: Mr Hannu HIETALAHTI
CT Vice Chairmen: Mr Akishige NODA, Mr Gary JONES, Mr Steve MECROW
- RAN election results: To be ratified by PCG in April
RAN Chairman: Mr Francois COURAU
RAN Vice Chairmen: Mr Don ZELMER, Mr Hyeon Woo LEE, Mr Takehiro NAKAMURA
- Mobile industry applauds latest 3G specifications
- What is UMTS? (overview of the content of Releases 99 to 5)
- 3GPP IETF Dependencies and Priorities
- Liaison statements

Click here for [access to the 3GPP ftp site](#)

Last update: 2005-04-22

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APPENDIX H

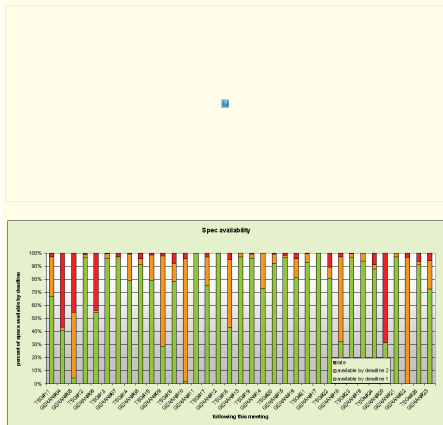
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3GPP Specifications Home Page									
Quick link to the specifications file server area (http) for those who know where they are going!									
To find a particular spec quickly, go here and click on the links in the spec-series in the table or use the links in the "Further information" section.									
The term "3GPP specification" covers all GSM (including GPRS and EDGE), and W-CDMA specifications. The following terms are also used to describe networks using the 3G specifications: UTRAN, UMTS and GERAN. 3GPP Internet Resources are provided at the 3GPP website, including the quarterly TSG plenary meetings. TSG plenary meetings take place every year. See the table below which gives links to lists of specifications arising from each plenary TSG meeting since the founding of 3GPP. The month of the meeting and the meeting number are shown in each case. Note that the table shows only those specifications newly approved or modified at the meeting concerned. They do not comprise a complete list of specifications current at following the meeting. For each list, consult the "Status list" - see below. Following each TSG plenary meeting, a complete set of specifications is produced. This set includes not only the new specifications generated at that meeting, but also the latest versions of each specification that was not changed at that meeting - i.e. each directory holds a complete set of specifications. Each set has an associated status list as detailed in the table below. Each set (and corresponding status list) includes the specs arising from the TSG GERAN meetings held since the preceding 3G meeting. GERAN meets asynchronously from the other TSGs. The Status List (ZIPed RTF or Word format) summarises the current version number for every release of every 3GPP specification following each TSG plenary meeting. Also listed for each specification are: - the 3GPP working group and rapporteur responsible for the specification - the Project Manager in MCC (Mobile Competence Centre) responsible for the specification - the meeting at which it was, or is expected to be, "frozen" (i.e. the point after which only corrections are allowed) Categories of the Specifications, their history and current status can be found in the 3GPP Specifications Status database.									
year-month	remarks	latest status	spec directory	TSG SA meeting number	TSG SA meeting number	TSG SA meeting number	TSG SA meeting number	TSG SA meeting number	TSG SA meeting number
2000-06				26	26	26	26	26	26
2000-04			http://ftp.3gpp.org/specs/2000-04-gsm-rtp-26-detail						24
2000-03	ISAN closed and moved into GSM TSC and T (closed, CT opened)	Status:ok	http://ftp.3gpp.org/specs/2000-03	27	27	27	27	27	27
2000-01			http://ftp.3gpp.org/specs/2000-01-gsm-rtp-25-detail						23
2000-12		Status:ok	http://ftp.3gpp.org/specs/2000-12	26	26	26	26	26	
2000-11			http://ftp.3gpp.org/specs/2000-11-gsm-rtp-25-detail						22
2000-09		Status:ok	http://ftp.3gpp.org/specs/2000-09	25	25	25	25	25	
2000-08			http://ftp.3gpp.org/specs/2000-08-gsm-rtp-24-detail						21
2000-06			http://ftp.3gpp.org/specs/2000-06-gsm-rtp-23-detail						20
2000-05		Status:ok	http://ftp.3gpp.org/specs/2000-05	24	24	24	24	24	
2000-04			http://ftp.3gpp.org/specs/2000-04-gsm-rtp-19-detail						19
2000-03		Status:ok	http://ftp.3gpp.org/specs/2000-03	23	23	23	23	23	
2000-02			http://ftp.3gpp.org/specs/2000-02-gsm-rtp-18-detail						18
2000-12		Status:ok	http://ftp.3gpp.org/specs/2000-12	22	22	22	22	22	
2000-11			http://ftp.3gpp.org/specs/2000-11-gsm-rtp-17-detail						17
2000-09		Status:ok	http://ftp.3gpp.org/specs/2000-09	21	21	21	21	21	
2000-08			http://ftp.3gpp.org/specs/2000-08-gsm-rtp-15-detail						16
2000-05			http://ftp.3gpp.org/specs/2000-05-gsm-rtp-15-detail						15
2000-04		Status:ok	http://ftp.3gpp.org/specs/2000-04	20	20	20	20	20	
2000-03			http://ftp.3gpp.org/specs/2000-03-gsm-rtp-14-detail						14
2000-01		Status:ok	http://ftp.3gpp.org/specs/2000-01	19	19	19	19	19	
2000-03			http://ftp.3gpp.org/specs/2000-03-gsm-rtp-13-detail						13
2000-12		Status:ok	http://ftp.3gpp.org/specs/2000-12	18	18	18	18	18	
2000-03			http://ftp.3gpp.org/specs/2000-03	17	17	17	17	17	
2000-08	Rel-5 GERAN features content functionality frozen		http://ftp.3gpp.org/specs/2000-08-gsm-rtp-16-detail						10
2000-06	Rel-5 features content functionality frozen (parameter - non-GERAN)	Status:ok	http://ftp.3gpp.org/specs/2000-06	16	16	16	16	16	
2000-04			http://ftp.3gpp.org/specs/2000-04-gsm-rtp-15-detail						9
2000-03	Rel-5 features content functionality frozen (para)	Status:ok	http://ftp.3gpp.org/specs/2000-03	15	15	15	15	15	
2000-03									8
2000-12		Status:ok	http://ftp.3gpp.org/specs/2000-12	14	14	14	14	14	
2000-11									7
2000-08		Status:ok	http://ftp.3gpp.org/specs/2000-08	13	13	13	13	13	
2000-08									6
2000-05		Status:ok	http://ftp.3gpp.org/specs/2000-05	12	12	12	12	12	
2000-05	Rel-4 GERAN features content functionality frozen								4
2000-03	Rel-4 non-GERAN features content functionality frozen	Status:ok	http://ftp.3gpp.org/specs/2000-03	11	11	11	11	11	
2000-01									3
2000-03		Status:ok	http://ftp.3gpp.org/specs/2000-12	10	10	10	10	10	
2000-11									2
2000-05		Status:ok	http://ftp.3gpp.org/specs/2000-05	9	9	9	9	9	
2000-05		Status:ok	http://ftp.3gpp.org/specs/2000-04	8	8	8	8	8	
2000-01									1
1999-12	TSG features content functionality frozen								
1999-10									
1999-08									
1999-05									
1999-04									
1999-03									
1998-12									

If you plan to download a large number of specifications and are using MS Explorer 4.0 or earlier, it may be worthwhile acquiring an FTP client software tool such as:

- [Lwfetch VFS_FTP ProSM](#). This general purpose file transfer program simplifies the process of downloading large numbers of files from an FTP site.

[FTPSyncSM](#). This tool allows the user to specify a directory on their local disk and synchronise it with a directory on an FTP site.

For additional information relating to 3GPP Specifications, their creation and maintenance, etc, go [here](#).



This page maintained by Specifications Manager.

last updated: 2005-04-21: GP-24 directory included
2005-04-19: hyperlinks for GP-24 list added.
2005-04-04: All TSG links changed as per point to main pages.
2005-04-01: Link to TSG-WF changed to point to specification page automatically generated from status database rather than manually maintained pages.
2005-03-26: New 124 Working items added: GERANW3 and other TSG-WF entries added (no hyperlinks), column for TSG CT added
2005-03-21: TSG-WF added, charts updated
2005-02-01: GERANW2 added
2005-01-26: broken link to chart fixed
2005-01-16: charts updated
2004-12-01: TSG-WF added
2004-11-01: GERANW2 added
2004-10-01: charts updated
2004-09-28: TSG-WF added, link to 2004-08 status list corrected.
2004-09-01: GERANW2 added
2004-08-16: TSG-WF added
2004-08-07: GP-18 added, clarifications to introductory text above the table of meetings.
2004-08-04: TSG-WF added
2004-07-26: minor errors in hyperlinks fixed.
2004-07-22: table entry for TSG-23 added.
2004-07-18: table entry for GP-18 added.
2004-07-27: chart of spec delivery added.
2004-07-02: table entry for TSG-22 added.
2004-06-01: table entry for GP-17 added.
2004-05-21: table entry for GP-17 added.
2004-05-10: table entry for GP-17 added.
2004-05-08: table entry for GP-17 added.
2004-05-07: table entry for GP-17 added.
2004-05-06: table entry for GP-17 added.
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APPENDIX I



3GPP Specifications - Numbering scheme

Spec download | Titles and spec numbers | Current version | Releases | Numbering scheme | Change Requests
Published specifications | Historical information | Work plan | TSG Working methods | Drafting rules | Delegates corner | ASN.1

All 3G and GSM specifications have a 3GPP specification number consisting of 4 or 5 digits. (e.g. 09.02 or 29.002). The first two digits define the series as listed in the table below. They are followed by 2 further digits for the 01 to 13 series or 3 further digits for the 21 to 55 series. The term "3G" means a 3GPP system using a UTRAN radio access network; the term "GSM" means a 3GPP system using a GERAN radio access network. (Thus "GSM" includes GPRS and EDGE features.)

The full title, specification number and latest version number for every specification can be found in the [current status list](#) [**warning: large file!**] (see also [main specs page](#) for status lists pertaining to each TSG SA meeting) and more information about terms such as R99 and Rel-4 can be found on the [Releases and phases](#) page.

A specification in the 21 to 35 series may apply either to 3G only or to GSM and 3G. A clue lies in the third digit, where a "0" indicates that it applies to both systems. For example, 29.002 applies to 3G and GSM systems whereas 25.101 and 25.201 apply only to 3G. Most specs in all other series apply only to GSM systems. However, as the spec numbering space has been used up, this guide is more frequently broken, and it is necessary to examine the information page for each spec (see the table below) or to check the lists in 01.01 / 41.101 (GSM) and 21.101 (3G) for the definitive specification sets for each system and each Release.

Subject of specification series	3G/GSM R99 and later	GSM only (Rel-4 and later)	GSM only (before Rel-4)
General information (<i>long defunct</i>)			00 series
Requirements	21 series	41 series	01 series
Service aspects ("stage 1")	22 series	42 series	02 series
Technical realization ("stage 2")	23 series	43 series	03 series
Signalling protocols ("stage 3") - user equipment to network	24 series	44 series	04 series
Radio aspects	25 series	45 series	05 series
CODECs	26 series	46 series	06 series
Data	27 series	47 series (none exists)	07 series
Signalling protocols ("stage 3") -(RSS-CN)	28 series	48 series	08 series
Signalling protocols ("stage 3") - intra-fixed-network	29 series	49 series	09 series
Programme management	30 series	50 series	10 series
User Identity Module (SIM / USIM)	31 series	51 series	11 series
O&M	32 series	52 series	12 series
Access requirements and test specifications		13 series (1)	13 series (1)
Security aspects	33 series	(2)	(2)
SIM and test specifications	34 series	(2)	11 series
Security algorithms (3)	35 series	55 series	(4)

Note (1): The 13 series GSM specifications relate to European-Union-specific regulatory standards. On the closure of ETSI TC SMG, responsibility for these specifications was transferred to ETSI TC MSG, (Mobile Specification Group) and they do not appear on the 3GPP file server.

Note (2): The specification of these aspects are spread throughout several series.

Note (3): Algorithms may be subject to export licencing conditions. See the [relevant 3GPP page](#). See also the [relevant ETSI pages](#).

Note (4): The original GSM algorithms are not published and are controlled by the [GSM Association](#).

The 3GPP Specifications are stored on the file server as zipped MS-Word files. The filenames have the following structure:

SM[-P[-Q]]-V.zip

where the character fields have the following significance ...

S = series number - 2 characters (see the table above)
M = mantissa (the part of the spec number after the series number) - 2 or 3 characters (see above)
P = optional part number - 1 or 2 digits if present
Q = optional sub-part number - 1 or 2 digits if present
V = version number, without separating dots - 3 digits

So for example:
21900-320.zip is 3GPP TR 21.900 version 3.2.0
0408-6g0.zip is 3GPP TS 04.08 version 6.16.0
32111-4-410 is 3GPP TS 32.111 part 4 version 4.1.0
29998-04-1-100 is 3GPP TS 29.998 part 4 sub-part 1 version 1.0.0

Further information:

Latest version

The latest versions of the approved specifications in the "[latest](#)" directory. The latest version of draft specs (i.e. those not yet under change control) are in the "[latest-drafts](#)" directory.

Particular version

All older versions of specifications (where available) are stored in the [archive subdirectory](#). All versions of all releases of a given specification are placed directly under the name of the specification.

Title or subject

If you only know the **title** or the **subject**, but not the specification number, the best place to start looking is the [complete list](#) of all 3GPP specification numbers and titles. This list also shows the most recent version in each Release.

Specs related to a particular working group

Each 3GPP TSG Working Group has a home page, which lists the specifications under its responsibility. Follow the links from [here](#)...

Official versions published by recognized Standards Development Organizations

The 3GPP Technical Specifications (and Technical Reports) which are publicly available from this site have, in themselves, no legal standing. They only become "official" when transposed into corresponding publications of the Partner Organizations. If you are looking for the **official versions**, see the [official publications](#) page.

Yet more information:

- **Work plan.** The 3GPP [Work plan](#) describes the new functionality currently being elaborated in the TSG working groups. It also indicates the expected timescales for their finalization.
- **Releases (and phases, stages).** An introduction to the 3GPP mechanism for specifications releases can be found [here](#).
- **Historical information.** If you need to look for old / superseded specifications or change requests, start [here](#).
- **TSG working methods.** The procedures for creating, enhancing and maintaining specifications are described in [TR 21.900](#). A presentation outlining the release and change request process can be found [here](#).
- **3GPP Drafting rules.** The rules for drafting specifications are described in [TR 21.801](#).
- **Change Requests (CRs).** The change request mechanism is used when a correction or new functionality is required for an existing specification. [Here](#) you can find all information related to change requests.

last updated:
2004-08-02: missing bracket inserted
2004-03-02: typo in hyperlink to 45-series specs corrected.
2003-10-21: "Further information" section originally in main specs page transferred to this page; sundry editorial improvements.
2003-09-10: links to current status list and to spec numbering system added.
2003-07-10: minor editorial clarifications
2003-06-27: 00..series added to table; URLs to spec lists made relative rather than absolute (to aid site mirroring)
2003-09-26: minor corrections and clarifications
2003-04-24: links to specs series indexes added
2002-10-10: mention of 55 series specs added, plus notes 3 & 4.

APPENDIX J

APPENDIX K

3GPP Specification detail

Back to numbering scheme page

Back to series index

3GPP TS 23.140 (click spec number to see fileservers directory for this spec)

Multimedia Messaging Service (MMS); Functional description; Stage 2

TSG / WG responsible: CP (click TSG/WG to see its home page)

2003-12-03: Note that this spec also contains stage 3. CP-27: Moved to current group on closure of T2; see TP-050062.

Rapporteur: ROEBKE, Matthias

Specification required for: GERAN-based systems and UTRAN-based systems

In the table below ...

... click meeting number for meeting details;

... click spec version number to download that version;

... click SDO publication reference to download SDO transposed document.

Release	Freeze meeting	Freeze date	remarks	SDO publications
R99	SP-07	2000-03-17		ETSI
	event	version	available	remarks
	TP-03	0.0.0	1999-04-23	click ref to download
	TP-05	0.1.0	1999-10-08	-
	TP-06	1.0.0	1999-12-17	-
	TP-07	2.0.0	2000-03-15	TP-000028
	Editorial	3.0.1	2000-03-15	DTSGTSGT-0223140U
	TP-16	3.1.0	2002-06-24	RTSGTSGT-0223140v310
Rel-4	SP-11	2001-03-22		ETSI
	event	version	available	remarks
	TP-09	4.0.0	2000-10-06	click ref to download
	TP-10	4.1.0	2000-12-21	-
	TP-11	4.2.0	2001-03-29	RTSGTSGT-0223140Uv4
	TP-12	4.3.0	2001-07-03	RTSGTSGT-0223140Uv4R1
	TP-13	4.4.0	2001-10-05	RTSGTSGT-0223140Uv4R2
	TP-14	4.5.0	2002-01-11	RTSGTSGT-0223140Uv4R3
	TP-15	4.6.0	2002-03-21	RTSGTSGT-0223140Uv4R4
	TP-16	4.7.0	2002-06-25	RTSGTSGT-0223140v470
	TP-17	4.8.0	2002-09-23	RTSGTSGT-0223140v480
	TP-19	4.9.0	2003-03-31	RTSGTSGT-0223140v490
	TP-20	4.10.0	2003-06-24	RTSGTSGT-0223140v4a0
Rel-5	TP-15	2002-03-08	2002-01-25: WAP forum elements will not be ready in time for Rel-5, so suspend SDO publication till it is available. 2004-01-12: (Rodermund) WAP Forum (now OMA) elements for MMS Rel-5 are ready.	ETSI
	event	version	available	remarks
	TP-13	5.0.0	2001-10-05	click ref to download
	TP-14	5.1.0	2002-01-11	-
	TP-15	5.2.0	2002-03-29	RTSGTSGT-0223140Uv5
	TP-16	5.3.0	2002-07-05	Schema delivered 2002-07-07 (uploaded -19) to http://www.3gpp.org/ftp/Specs/archive/23_series/23_140/schema/REL-5-MM7-1-0.
	TP-17	5.4.0	2002-09-30	Schema v1.1 uploaded 2002-11-14.
	TP-18	5.5.0	2002-12-20	Schema v1.2 (REL-5-MM7-1-2.xsd) uploaded 2003-01-08 and version without file extension 2003-01-14.
	TP-19	5.6.0	2003-03-31	RTSGTSGT-0223140v560
	TP-20	5.7.0	2003-06-25	RTSGTSGT-0223140v570
	TP-21	5.8.0	2003-10-03	RTSGTSGT-0223140v580
	TP-22	5.9.0	2004-01-12	RTSGTSGT-0223140v590
	TP-23	5.10.0	2004-03-25	RTSGTSGT-0223140v5a0
	TP-24	5.11.0	2004-06-15	XML schema unchanged
Rel-6	TP-26	2004-12-10	TP-22: Discussed whether this work would be transferred to OMA for future Releases (i.e. beyond Rel-6). But there are IPR problems. TP-27: IPR probs solved, further Releases will be done in OMA.	ETSI
	event	version	available	remarks
	TP-18	6.0.0	2002-12-20	click ref to download
	TP-19	6.1.0	2003-03-31	-
	TP-20	6.2.0	2003-06-26	-
	TP-21	6.3.0	2003-10-08	RTSGTSGT-0223140v630
	TP-22	6.4.0	2004-01-12	RTSGTSGT-0223140v640
	TP-23	6.5.0	2004-03-28	-
	TP-24	6.6.0	2004-06-17	-
	TP-25	6.7.0	2004-09-27	-
	TP-26	6.8.0	2005-01-03	RTSGTSGT-0223140v680
	TP-27	6.9.0	2005-04-04	RTSGTSGC-0023140v690
	CP-28	6.10.0	2005-06-21	RTSGTSGC-0023140v6a0

Change Requests for this spec: click here.

Genealogy of this spec:

antecedent(s)	this spec	descendant(s)
03.40	23.140	(no descendants)

APPENDIX L



[Access](#)

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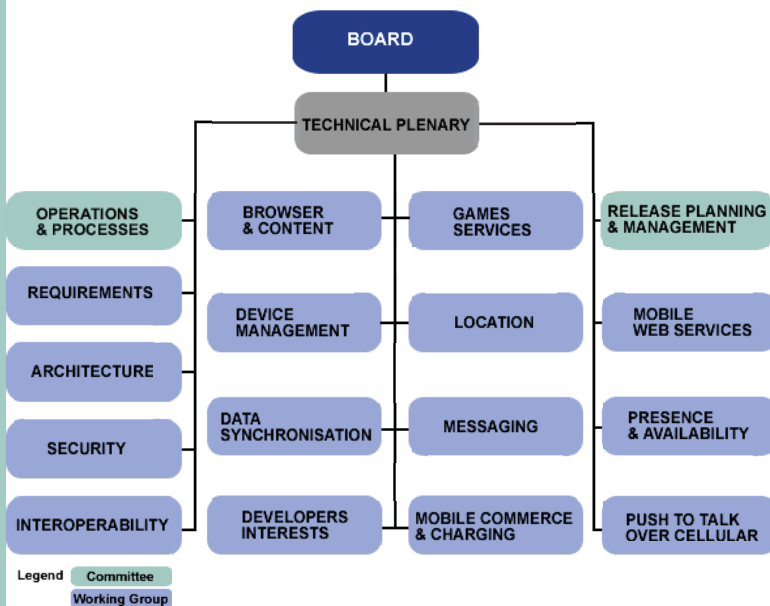
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- [Job Opportunities](#)
- [Technical Section](#)
- [OMA Release Program and Specifications](#)
- [Membership](#)
- [Collaborating with OMA](#)

Organization Chart



APPENDIX M

www.openmobilealliance.org - /release/MMS/V1_2-20030923-C/

[\[To Parent Directory\]](#)

11/26/2007	1:48 PM	215724	OMA-FRELD-MMS-V1_2-20030923-C.pdf
11/26/2007	1:48 PM	343030	OMA-MMS-ARCH-V1_2-20030920-C.pdf
11/26/2007	1:48 PM	410751	OMA-MMS-CONF-V1_2-20030929-C.pdf
11/26/2007	1:48 PM	536734	OMA-MMS-CTR-V1_2-20030916-C.pdf
11/26/2007	1:48 PM	668455	OMA-MMS-ENC-V1_2-20030915-C.pdf
11/26/2007	1:48 PM	2002737	OMA-MMS-v1_2-20030923-C.zip

APPENDIX N

APPENDIX O

www.openmobilealliance.org - /release/MMS/V1_1-20040715-A/

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11/26/2007	1:48 PM	210955	OMA-ERELD-MMS-V1_1-20040715-A.pdf
11/26/2007	1:48 PM	277934	OMA-IOP-MMSCONF-V2_0_0-20040715-A.pdf
11/26/2007	1:48 PM	1640234	OMA-MMS-V1_1-20040715-A.zip
11/26/2007	1:48 PM	341777	OMA-WAP-MMS-ARCH-V1_1-20040715-A.pdf
11/26/2007	1:48 PM	478564	OMA-WAP-MMS-CTR-V1_1-20040715-A.pdf
11/26/2007	1:48 PM	463341	OMA-WAP-MMS-ENC-V1_1-20040715-A.pdf

APPENDIX P

APPENDIX Q

INTERNET ARCHIVE

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11

2004
2005
2006

About this capture

www.3gpp.org - /ftp/Specs/Archive/23_series/23.140/

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25 October 1999	14:22	197170	23140-010.zip
06 January 2000	18:18	292910	23140-100.zip
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04 April 2005	09:15	1444937	23140-690.zip
03 January 2005	20:14	<dir>	schema