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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	13210157
Filing Date	2011-08-15
First Named Inventor	Ahmnon D. MOSKOWITZ et al.
Art Unit	3733
Examiner Name	Pedro PHILOGENE
Attorney Docket Number	3003/0105PUS5

U.S.PATENTS Remove

Examiner Initial*	Cite No	Patent Number	Kind Code[1]	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
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	2	5514180		1996-05-07	Heggeness et al.	
	3	6368350		2002-04-09	Erickson et al.	
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Moskowitz Exhibit 2029,
Medtronic v. Moskowitz IPR2026-00163 p. 2

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Medtronic v. Moskowitz IPR2026-00163 p. 3

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Medtronic v. Moskowitz IPR2026-00163 p. 4

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Medtronic v. Moskowitz IPR2026-00163 p. 5

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Medtronic v. Moskowitz IPR2026-00163 p. 6

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	1	2004093749	WO	A1	2004-11-04	MAGERL et al.		☐
	2	2006091503	WO	A1	2006-08-31	SHATTUCK et al.		☐

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Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T[5]
	1	Vincent C. TRAYNELIS, "Prosthetics and Biologics: The Wave of the Future," CLINICAL NEUROSURGERY, Volume 50, Proceedings of the Congress of Neurological Surgeons, Philadelphia, PA 2002, Chapter 9, pages 207-219.	☐
	2	E.K. WAI et al., "Disk Replacement Arthroplasties: Can The Success of Hip and Knee Replacements be Repeated in the Spine?," Seminars in Spine Surgery, Vol. 15, No. 4 (December) 2003, pp 473-482.	☐
	3	Richard D. GUYER et al., "Intervertebral Disc Prostheses," SPINE JOURNAL, Volume 28, Number 15S, Supp. To 8/1/03, pages S15-S23.	☐

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	4	DIETER GROB et al., "Clinical Experience With the Dynesys Semirigid Fixation System for the Lumbar Spine," SPINE, Vol. 30, No. 3, 2005, pp. 324-331.	☐
	5	International Search Report (ISR) and Written Opinion of the International Searching Authority, 03 December 2007, International Application No. PCT/US 07/05005.	☐
	6	International Search Report (ISR) and Written Opinion of the International Searching Authority, 21 May 2008, International Application No. PCT/US2007/021015	☐
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EXAMINER SIGNATURE

Examiner Signature	/Pedro Philogene/	Date Considered	02/04/2015

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1] See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. [2] Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). [3] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [4] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [5] Applicant is to place a check mark here if English language translation is attached.

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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☒ The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☐ A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/John J. Dresch/	Date (YYYY-MM-DD)	2014-08-28
Name/Print	John J. Dresch	Registration Number	46,672

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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Medtronic v. Moskowitz IPR2026-00163 p. 10

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