

SCORE Placeholder Sheet for IFW Content

Application Number: 18321542

Document Date: 05/22/2023

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Since this was an electronic submission, there is no physical artifact folder, no artifact folder is recorded in PALM, and no paper documents or physical media exist. The TIFF images in the IFW record were created from the original documents that are stored in SCORE.

- Drawing

At the time of document entry (noted above):

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Doc Code - SEQ.XML
WIPO Type Code -

SLIC Placeholder Sheet for P-ELP (CMS) Content

Application Number: 18321542

Document Date: 05/22/2023

The presence of this form in the CMS record indicates that the following document type was received in electronic format on the date identified above. This content is stored in CMS.

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- Sequence Listing

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Application Number: 18321542

Reviewed by: 97ClN/eHe4jhmn5GMimwpAP70a1PRU2kNiDwvOWHBrv8bqgC4kdzMg==

Note: This validation report concerns the sequence listing filed 05-22-2023 17:33:51 and indicates that NO errors were found by the USPTO during validation of the sequence listing. This validation report should not be construed as meaning that the sequence listing complies with all of the requirements of 37 CFR §§ 1.821-1.825, 37 CFR §§ 1.831-1.835 and 1.839, WIPO Standard ST.25, or WIPO Standard ST.26.

For any sequence listing related questions or concerns, the Applicant is invited to contact the USPTO Sequence Listing Help Desk at 571-272-2510 or SequenceHelpDesk@USPTO.GOV.

Timestamp: [year=2023; month=5; day=22; hr=18; min=49; sec=11; ms=5]

Validated By CRFValidator v 1.5.0

Application No: 18321542 Version No: 1.1

CRF Copy Request from application Version :

Input Set: /slic/CRF_WORKFLOW/18/321/542/1.1/report_18321542_1_1.xml

Output Set: /slic/CRF_WORKFLOW/18/321/542/1.1/report_18321542_1_1.xml.pdf

Started: 2023-05-22 18:08:08.000

Finished: 2023-05-22 18:08:29.063

Elapsed: 0 hr(s) 0 min(s) 21 sec(s) 63 ms

Total Warnings: 2

Total Errors: 0

No. of SeqIDs Defined: 40

Actual SeqID Count: 40

Error code	Error Description
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SEQUENCES

All polypeptide sequences are presented N-terminal to C-terminal unless otherwise noted.

All nucleic sequences are presented 5' to 3' unless otherwise noted.

Nucleotide sequence of potential AAV2 VP3 initiation codons (ATG codons underlined)

ATGGCTACAGGCAGTGGCGCACCAATGGCAGAC (SEQ ID NO:1)

Polypeptide sequence corresponding to potential AAV2 VP3 initiation codons (methionines underlined)

MATGSGAPMAD (SEQ ID NO:2)

AAV2 VP1 polypeptide sequence

MAADGYLPDWLEDTLSEGIRQWWKLKPGPPPPKPAERHKDDSRGLVLPGYKYLGPFNGLDKGEPVNEADAAALEHDK
AYDRQLDSGDNPYLKYNHADA EFQERLKEDTSFGGNLGRAVFQAKKRVLEPLGLVEEPVK TAPGKKRPVEHSPVEPD
SSSGTGKAGQQPARKRLNFGQTGDADSVDPDQPLGQPPAAPSGLGTNTMATGSGAPMADNNEGADGVGNSSGNWHCD
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SQAGASDIRDQSRNWLPGPCYRQQRVSKTSADNNNSEYSWTGATKYHLNGRDSLVPNGPAMASHKDDEEKFFPQSGV
LIFGKQGSEKTNVDIEKVMITDEEEIRTTNPVATEQYGSVSTNLQRGNRQAATADVNTQGVLPGMVWQDRDVYLQGP
IWAKIPHTDGHFHPSPLMGGFGLKHPPPQILIKNTPVPANPSTTFSAKFASFITQYSTGQVSVEIEWELQENSKR
WNPEIQYTSNYNKSVNVDFTVDTNGVYSEPRPIGTRYLTRNL (SEQ ID NO:3)

VP1 N-terminal tryptic peptide (N-terminal alanine is acetylated)

AADGYLPDWLEDTLSEGIR (SEQ ID NO:4)

VP3 N-terminal Asp-N peptide (N-terminal alanine is acetylated)

ATGSGAPM (SEQ ID NO:5)

Common VP1 N-terminal sequence

MAADGYLPDWLED (SEQ ID NO:6)

Nucleotide sequence of potential AAV7 VP3 initiation codons (start codons underlined)

GTGGCTGCAGGCGGTGGCGCACCAATGGCAGACAATAAC (SEQ ID NO:7)

Nucleotide sequence of mutated ITR

CACTCCCTCTCTGCGCGCTCGCTCGCTCACTGAGGCCGGGCGACCAAAGGTCGCCCACGCCCGGGCTTTGCCCGGGC
G (SEQ ID NO:8)

CLAIMS

What is claimed is:

1. A method to determine the serotype of an adeno-associated virus (AAV) particle comprising
 - a) denaturing the AAV particle,
 - b) subjecting the denatured AAV particle to liquid chromatography/mass spectrometry (LC/MS), and
 - c) determining the masses of VP1, VP2 and VP3 of the AAV particle;wherein the specific combination of masses of VP1, VP2 and VP3 are indicative of the AAV serotype.
2. The method of claim 1, wherein the calculated masses of VP1, VP2 and VP3 are compared to the theoretical masses of VP1, VP2 and VP3 of one or more AAV serotypes.
3. A method of determining the heterogeneity of an AAV particle comprising
 - a) denaturing the AAV particle,
 - b) subjecting the denatured AAV particle to liquid chromatography/mass spectrometry/mass spectrometry (LC/MS/MS),
 - c) determining the masses of VP1, VP2 and VP3 of the AAV particle, and
 - d) comparing the masses of step c) with the theoretical masses of VP1, VP2 and VP3 of the AAV serotype;wherein a deviation of one or more of the masses of VP1, VP2 or VP3 are indicative of the AAV capsid heterogeneity.

4. The method of claim 3 wherein the heterogeneity comprises one or more of mixed serotypes, variant capsids, capsid amino acid substitutions, truncated capsids, or modified capsids.
5. The method of any one of claims 1-4, wherein the AAV particle is denatured with acetic acid, guanidine hydrochloride and/or an organic solvent.
6. The method of any one of claims 1-5, wherein the liquid chromatography is reverse phase liquid chromatography, size exclusion chromatography, hydrophilic interaction liquid chromatography, or cation exchange chromatography.
7. The method of any one of claims 1-6, wherein the liquid chromatography is reverse phase liquid chromatography.
8. The method of claim 7, wherein the reverse phase chromatography is a C4 or C8 reverse chromatography.
9. The method of claim 8, wherein the chromatography uses a mobile phase A comprising formic acid in water.
10. The method of claim 9, wherein the mobile phase A comprises about 0.1% formic acid.
11. The method of any one of claims 8-10, wherein the chromatography comprises a mobile phase B comprising formic acid in acetonitrile.
12. The method of claim 11, wherein the mobile phase B comprises about 0.1% formic acid.

13. The method of claim 11 or 12, wherein the proportion of mobile phase B in the chromatography increases over time.
14. The method of claim 13, wherein the proportion of mobile phase B in the chromatography increases in a stepwise manner.
15. The method of claim 14, wherein mobile phase B increases from about 10% to about 20%, from about 20% to about 30%, and from about 30% to about 38%.
16. The method of claim 15, wherein mobile phase B increases from about 10% to about 20% in about 6 minutes, from about 20% to about 30% in about 10 minutes, and from about 30% to about 38% in about 40 minutes.
17. The method of any one of claims 1-16, wherein the liquid chromatography is ultra-performance liquid chromatography (UPLC).
18. The method of any one of claims 1-17, wherein the mass spectrometry comprises a capillary voltage of about 3.5 kV.
19. The method of any one of claims 1-18, wherein the mass spectrometry comprises a sampling cone voltage of about 45 V.
20. The method of any one of claims 1-19 wherein the mass spectrometry comprises assisted calibration.

21. The method of claim 20, wherein sodium iodide is used as a calibrant.
22. The method of any one of claims 1-21, wherein the N-terminus of VP1 and/or VP3 is acetylated.
23. The method of any one of claims 1-22, wherein the AAV particle is a recombinant AAV (rAAV) particle.
24. The method of any one of claims 1-23, wherein the AAV particle comprises an AAV1 capsid, an AAV2 capsid, an AAV3 capsid, an AAV4 capsid, an AAV5 capsid, an AAV6 capsid, an AAV7 capsid, an AAV8 capsid, an AAVrh8 capsid, an AAV9 capsid, an AAV10 capsid, an AAVrh10 capsid, an AAV11 capsid, an AAV12 capsid, an AAV LK03 capsid, an AAV2R471A capsid, an AAV2/2-7m8 capsid, an AAV DJ capsid, an AAV DJ8 capsid, an AAV2 N587A capsid, an AAV2 E548A capsid, an AAV2 N708A capsid, an AAV V708K capsid, a goat AAV capsid, an AAV1/AAV2 chimeric capsid, a bovine AAV capsid, a mouse AAV capsid rAAV2/HBoV1 (chimeric AAV / human bocavirus virus 1), an AAV2HBKO capsid, an AAVPHP.B capsid or an AAVPHP.eB capsid.
25. The method of claim 23 or 24, wherein the AAV capsid comprises a tyrosine mutation or a heparin binding mutation.
26. The method of any one of claims 1-25, wherein the masses of VP1, VP2, and VP3 are compared to the theoretical masses of one or more of AAV1 capsid, an AAV2 capsid, an AAV3 capsid, an AAV4 capsid, an AAV5 capsid, an AAV6 capsid, an AAV7 capsid, an AAV8 capsid, an AAVrh8 capsid, an AAV9 capsid, an AAV10 capsid, an AAVrh10 capsid, an AAV11 capsid, an AAV12 capsid, an AAV LK03 capsid, an AAV2R471A capsid, an AAV2/2-7m8 capsid, an AAV DJ capsid, an AAV DJ8 capsid, an AAV2 N587A capsid, an AAV2 E548A capsid, an AAV2 N708A capsid, an AAV V708K capsid, a goat AAV capsid, an AAV1/AAV2 chimeric

capsid, a bovine AAV capsid, a mouse AAV capsid rAAV2/HBoV1 (chimeric AAV / human bocavirus virus 1) , an AAV2HBKO capsid, an AAVPHP.B capsid or an AAVPHP.eB capsid.

27. The method of any one of claims 1-26, wherein the viral particle comprises an AAV1 ITR, an AAV2 ITR, an AAV3 ITR, an AAV4 ITR, an AAV5 ITR, an AAV6 ITR, an AAV7 ITR, an AAV8 ITR, an AAVrh8 ITR, an AAV9 ITR, an AAV10 ITR, an AAVrh10 ITR, an AAV11 ITR, or an AAV12 ITR.

28. The method of any one of claims 1-27, wherein the AAV particle comprises an AAV vector encoding a heterologous transgene.

29. A method to determine the serotype of an adeno-associated virus (AAV) particle comprising

- a) denaturing the AAV particle,
 - b) subjecting the denatured AAV particle to reduction and/or alkylation,
 - c) subjecting the denatured AAV particle to digestion to generate fragments of VP1, VP2 and/or VP3 of the AAV particle,
 - d) subjecting the fragments of VP1, VP2 and/or VP3 to liquid chromatography/mass spectrometry-mass spectrometry (LC/MS/MS), and
 - e) determining the masses of fragments of VP1, VP2 and VP3 of the AAV particle;
- wherein the specific combination of masses of fragments of VP1, VP2 and VP3 are indicative of the AAV serotype.

30. The method of claim 29, wherein the calculated masses of the fragments of VP1, VP2 and/or VP3 are compared to the theoretical masses of fragments of VP1, VP2 and/or VP3 of one or more AAV serotypes.

31. A method of determining the heterogeneity of a serotype of an AAV particle comprising
- a) denaturing the AAV particle,
 - b) subjecting the denatured AAV particle to reduction and/or alkylation,
 - c) subjecting the denatured AAV particle to digestion to generate fragments of VP1, VP2 and/or VP3 of the AAV particle,
 - d) subjecting the fragments of VP1, VP2 and/or VP3 to liquid chromatography/mass spectrometry-mass spectrometry (LC/MS/MS),
 - e) determining the masses of fragments of VP1, VP2 and VP3 of the AAV particle, and
 - f) comparing the masses of step e) with the theoretical masses of fragments of VP1, VP2 and VP3 of the AAV serotype;
- wherein a deviation of one or more of the masses of VP1, VP2 or VP3 are indicative of the AAV capsid heterogeneity.
32. The method of claim 31 wherein the heterogeneity comprises one or more of mixed serotypes, variant capsids, capsid amino acid substitutions, truncated capsids, or modified capsids.
33. The method of any one of claims 29-32, wherein the reduction is by subjecting the AAV particle to dithiothreitol, beta-mercaptoethanol, or tris(2-carboxyethyl)phosphine (TCEP).
34. The method of any one of claims 29-33, where in the alkylation is by subjecting the AAV particle to iodoacetic acid, iodoacetamide, or 4-vinylpyridine.
35. The method of any one of claims 29-34, wherein the digestion is an enzymatic digestion or a chemical digestion.

36. The method of claim 35, wherein the enzymatic digestion is an endopeptidase digestion.
37. The method of claim 35 or 36, wherein the enzymatic digestion is a trypsin digestion, a LysC digestion, an Asp-N digestion or a Glu-C digestion.
38. The method of claim 35, wherein the chemical digestion is cyanogen bromide digestion or an acid digestion.
39. The method of any one of claims 29-37, wherein the AAV particle is denatured with acetic acid, guanidine hydrochloride and/or an organic solvent.
40. The method of any one of claims 29-39, wherein the liquid chromatography is reverse phase liquid chromatography, size exclusion chromatography, hydrophilic interaction liquid chromatography, or cation exchange chromatography.
41. The method of any one of claims 29-40, wherein the liquid chromatography is reverse phase liquid chromatography.
42. The method of claim 41, wherein the reverse phase chromatography is a C18 reverse chromatography.
43. The method of claim 42, wherein the chromatography uses a mobile phase A comprising formic acid in water.
44. The method of claim 43, wherein the mobile phase A comprises about 0.1% formic acid.

45. The method of any one of claims 42-44, wherein the chromatography comprises a mobile phase B comprising formic acid in acetonitrile.
46. The method of claim 45, wherein the mobile phase B comprises about 0.1% formic acid.
47. The method of claim 45 or 46, wherein the proportion of mobile phase B in the chromatography increases over time.
48. The method of claim 47, wherein mobile phase B increases from about 2% to about 60%.
49. The method of claim 48, wherein mobile phase B increases from about 2% to about 60% in about 121 minutes.
50. The method of any one of claims 29-49, wherein the liquid chromatography is high-performance liquid chromatography (HPLC).
51. The method of any one of claims 29-50, wherein the mass spectrometry comprises a source voltage of about 2.5 kV.
52. The method of any one of claims 29-51, wherein the mass spectrometry comprises a capillary temperature of about 275 °C.
53. The method of any one of claims 29-52 wherein the S-lens RF level of the mass spectrometry comprises is about 55%.

54. The method of any one of claims 29-53, wherein the N-terminus of VP1 and/or VP3 is acetylated.

55. The method of any one of claims 29-54, wherein the AAV particle is a recombinant AAV (rAAV) particle.

56. The method of any one of claims 29-55, wherein the AAV particle comprises an AAV1 capsid, an AAV2 capsid, an AAV3 capsid, an AAV4 capsid, an AAV5 capsid, an AAV6 capsid, an AAV7 capsid, an AAV8 capsid, an AAVrh8 capsid, an AAV9 capsid, an AAV10 capsid, an AAVrh10 capsid, an AAV11 capsid, an AAV12 capsid, an AAV LK03 capsid, an AAV2R471A capsid, an AAV2/2-7m8 capsid, an AAV DJ capsid, an AAV DJ8 capsid, an AAV2 N587A capsid, an AAV2 E548A capsid, an AAV2 N708A capsid, an AAV V708K capsid, a goat AAV capsid, an AAV1/AAV2 chimeric capsid, a bovine AAV capsid, a mouse AAV capsid rAAV2/HBoV1 (chimeric AAV / human bocavirus virus 1), an AAV2HBKO capsid, an AAVPHP.B capsid or an AAVPHP.eB capsid.

57. The method of claim 55 or 56, wherein the AAV capsid comprises a tyrosine mutation, a heparin binding mutation, or an HBKO mutation.

58. The method of any one of claims 29-57, wherein the masses of VP1, VP2, and VP3 are compared to the theoretical masses of one or more of AAV1 capsid, an AAV2 capsid, an AAV3 capsid, an AAV4 capsid, an AAV5 capsid, an AAV6 capsid, an AAV7 capsid, an AAV8 capsid, an AAVrh8 capsid, an AAV9 capsid, an AAV10 capsid, an AAVrh10 capsid, an AAV11 capsid, an AAV12 capsid, an AAV LK03 capsid, an AAV2R471A capsid, an AAV2/2-7m8 capsid, an AAV DJ capsid, an AAV DJ8 capsid, an AAV2 N587A capsid, an AAV2 E548A capsid, an AAV2 N708A capsid, an AAV V708K capsid, a goat AAV capsid, an AAV1/AAV2 chimeric capsid, a bovine AAV capsid, a mouse AAV capsid rAAV2/HBoV1 (chimeric AAV / human bocavirus virus 1), an AAV2HBKO capsid, an AAVPHP.B capsid or an AAVPHP.eB capsid.

59. The method of any one of claims 29-58, wherein the viral particle comprises an AAV1 ITR, an AAV2 ITR, an AAV3 ITR, an AAV4 ITR, an AAV5 ITR, an AAV6 ITR, an AAV7 ITR, an AAV8 ITR, an AAVrh8 ITR, an AAV9 ITR, an AAV10 ITR, an AAVrh10 ITR, an AAV11 ITR, or an AAV12 ITR.

60. The method of any one of claims 29-59, wherein the AAV particle comprises an AAV vector encoding a heterologous transgene.

61. A method of determining the serotype of an AAV particle comprising the method of any one of claims 1, 2 or 4-28 combined with the method of any one of claims 29, 30 or 32-59.

62. A method of determining heterogeneity of an AAV particle comprising the method of any one of claims 3-28 combined with the method of any one of claims 31-60.

63. A recombinant AAV (rAAV) particle comprising an amino acid substitution at amino acid residue 2 of VP1 and/or VP3 or a parent polypeptide; wherein the amino acid substitution at amino acid residue 2 of VP1 and/or VP3 alters N-terminal acetylation compared to N-terminal acetylation at amino acid residue 2 of VP1 and/or VP3 of the parent AAV particle.

64. The rAAV particle of claim 63, wherein the substitution results in a higher frequency of N-terminal acetylation or a lower frequency of N-terminal acetylation.

65. The rAAV particle of claim 63 or 64, wherein the rAAV particle comprises an amino acid substitution at amino acid residue 2 of VP1; and wherein the amino acid substitution at amino acid residue 2 of VP1 alters N-terminal acetylation compared to N-terminal acetylation at amino acid residue 2 of VP1 of the parent AAV particle.

66. The rAAV particle of any one of claims 63-65, wherein the rAAV particle comprises an amino acid substitution at amino acid residue 2 of VP3; and wherein the amino acid substitution at amino acid residue 2 of VP3 alters N-terminal acetylation compared to N-terminal acetylation at amino acid residue 2 of VP3 of the parent AAV particle.

67. The rAAV particle of any one of claims 63-66, wherein the amino acid residue 2 is substituted with Cys, Ser, Thr, Val, Gly, Asn, Asp, Glu, Ile, Leu, Phe, Gln, Lys, Met, Pro or Tyr.

68. The rAAV particle of claim 67, wherein the amino acid residue 2 is substituted with Ser, Asp or Glu.

69. The rAAV particle of any one of claims 63-68, wherein the AAV particle comprises an AAV1, AAV2, AAV3, AAV4, AAV5, AAV6, AAV7, AAV8, AAVrh8, AAVrh8R, AAV9, AAV10, AAVrh10, AAV11, AAV12, AAV LK03, AAV2R471A, AAV2/2-7m8, AAV DJ, an AAV DJ8 capsid, AAV2 N587A, AAV2 E548A, AAV2 N708A, AAV V708K, goat AAV, AAV1/AAV2 chimeric, bovine AAV, mouse AAV, rAAV2/HBoV1 serotype capsid, AAV2 HBKO, AAVPHP.B or AAVPHB.eB serotype capsid.

70. The rAAV particle of any one of claims 63-69, comprising a tyrosine mutation or a heparin binding mutation in the particle's capsid.

71. The rAAV particle of any one of claims 63-70, wherein the rAAV particle comprises a rAAV vector.

72. The rAAV particle of claim 71, wherein the rAAV vector comprises one or more AAV ITRs.

73. The rAAV particle of claim 71 or 72, wherein the rAAV vector comprises an AAV1 ITR, an AAV2 ITR, an AAV3 ITR, an AAV4 ITR, an AAV5 ITR, an AAV6 ITR, an AAV7 ITR, an AAV8 ITR, an AAVrh8 ITR, an AAV9 ITR, an AAV10 ITR, an AAVrh10 ITR, an AAV11 ITR, or an AAV12 ITR.

74. The rAAV particle of claim 72 or 73, wherein the AAV capsid and the AAV ITRs are derived from the same serotype.

75. The rAAV particle of claim 72 or 73, wherein the AAV capsid and the AAV ITRs are derived from different serotypes.

76. The rAAV particle of any one of claims 71-75, wherein the AAV particle comprises an AAV vector encoding a heterologous transgene flanked by one or more AAV ITRs.

77. The rAAV particle of any one of claims 71-76, wherein the rAAV vector is a self-complementary vector.

78. The rAAV particle of claim 77, wherein the rAAV vector comprises a first nucleic acid sequence encoding the transgene and a second nucleic acid sequence encoding a complement of the transgene, wherein the first nucleic acid sequence can form intrastrand base pairs with the second nucleic acid sequence along most or all of its length.

79. The rAAV particle of claim 78, wherein the first nucleic acid sequence and the second nucleic acid sequence are linked by a mutated AAV ITR, wherein the mutated AAV ITR comprises a deletion of the D region and comprises a mutation of the terminal resolution sequence.

80. The rAAV particle of any one of claims 63-79, wherein the rAAV particle is produced by transfecting a host cell with nucleic acid encoding the rAAV vector and nucleic acid encoding AAV rep and cap functions, and providing nucleic acid encoding AAV helper functions.

81. The rAAV particle of claim 80, wherein the AAV helper functions are provided by transfecting the host cell with nucleic acid encoding the AAV helper functions.

82. The rAAV particle of claim 81, wherein the AAV helper functions are provided by infecting the host cell with an AAV helper virus that provides the AAV helper functions.

83. The rAAV particle of claim 82, wherein the AAV helper virus is an adenovirus, a herpes simplex virus or a baculovirus.

84. The rAAV particle of any one of claims 63-79, wherein the rAAV particle is produced by an AAV producer cell comprising nucleic acid encoding the rAAV vector and nucleic acid encoding AAV rep and cap functions, and providing nucleic acid encoding AAV helper functions.

85. The rAAV particle of claim 84, wherein the AAV producer cell comprises nucleic acid encoding AAV helper functions.

86. The rAAV particle of claim 84, wherein the AAV helper functions are provided by infecting the AAV producer cells with an AAV helper virus that provides the AAV helper functions.

87. The rAAV particle of claim 86, wherein the AAV helper virus is an adenovirus, a herpes simplex virus, or a baculovirus.

88. The rAAV particle of any one of claims 80-87, wherein the AAV cap functions provide an amino acid substitution at amino acid residue 2 of VP1 and/or VP3, wherein the amino acid substitution at amino acid residue 2 of VP1 and/or VP3 alters N-terminal acetylation compared to N-terminal acetylation at amino acid residue 2 of VP1 and/or VP3 of the parent AAV particle.

89. A pharmaceutical composition comprising the rAAV particle of any one of claims 63-88.

90. A kit comprising the rAAV particle of any one of claims 63-87 or the pharmaceutical composition of claim 89.

91. An article of manufacture comprising the rAAV particle of any one of claims 63-87 or the pharmaceutical composition of claim 89.

92. An AAV capsid protein comprising an amino acid substitution at amino acid residue 2 of a parent AAV capsid protein; wherein the amino acid substitution at amino acid residue 2 alters N-terminal acetylation compared to N-terminal acetylation at amino acid residue 2 of the parent AAV capsid protein.

93. The AAV capsid protein of claim 92, wherein the substitution results in a higher frequency of N-terminal acetylation or a lower frequency of N-terminal acetylation.

94. The AAV capsid protein of claim 92 or 93, wherein the AAV capsid protein is VP1 or VP3.

95. The AAV capsid protein of any one of claims 92-94, wherein amino acid residue 2 is substituted with Cys, Ser, Thr, Val, Gly, Asn, Asp, Glu, Ile, Leu, Phe, Gln, Lys, Met, Pro or Tyr.

96. The AAV capsid protein of claim 93, wherein amino acid residue 2 is substituted with Ser, Asp or Glu.
97. The AAV capsid protein of any one of claims 92-96, wherein the amino acid substitution results in less deamidation of the AAV capsid.
98. The AAV capsid protein of any one of claims 92-97, comprising an AAV1, AAV2, AAV3, AAV4, AAV5, AAV6, AAV7, AAV8, AAVrh8, AAVrh8R, AAV9, AAV10, AAVrh10, AAV11, AAV12, AAV LK03, AAV2R471A, AAV2/2-7m8, AAV DJ, an AAV DJ8 capsid, AAV2 N587A, AAV2 E548A, AAV2 N708A, AAV V708K, goat AAV, AAV1/AAV2 chimeric, bovine AAV, mouse AAV, rAAV2/HBoV1, AAV2HBKO, AAVPHP.B or AAVPHP.eB serotype capsid.
99. The rAAV capsid protein of any one of claims 92-98, wherein the AAV capsid protein further comprises a tyrosine mutation or a heparin binding mutation.
100. A method of improving the stability of a rAAV particle comprising substituting amino acid residue 2 of VP1 and/or VP3 of a parent VP1 and/or VP3; wherein the substituting the amino acid at position 2 alters N-terminal acetylation of VP1 and/or VP3, as compared to amino acid residue 2 of the parent VP1 and/or VP3.
101. A method of improving the assembly of rAAV particles in a cell comprising substituting amino acid residue 2 of VP1 and/or VP3; wherein the substituted amino acid at position 2 alters N-terminal acetylation of VP1 and/or VP3, as compared to amino acid residue 2 of the parent VP1 and/or VP3.

102. A method of improving transduction of rAAV particles in a cell comprising substituting amino acid residue 2 of VP1 and/or VP3 of a parent VP1 and/or VP3; wherein substituting the amino acid residue 2 alters N-terminal acetylation of VP1 and/or VP3, as compared to amino acid residue 2 of the parent VP1 and/or VP3.

103. The method of any one of claims 100-102, wherein substituting the amino acid residue 2 results in a higher frequency of N-terminal acetylation or a lower frequency of N-terminal acetylation.

104. The method of any one of claims 100-103, wherein amino acid residue 2 of VP1 is substituted.

105. The method of any one of claims 100-104, wherein amino acid residue 2 of VP3 is substituted.

106. The method of any one of claims 100-105, wherein amino acid residue 2 is substituted with Cys, Ser, Thr, Val, Gly, Asn, Asp, Glu, Ile, Leu, Phe, Gln, Lys, Met, Pro or Tyr.

107. The method of claim 106, wherein amino acid residue 2 is substituted with Ser, Asp or Glu.

108. The method of any one of claims 100-107, wherein the AAV particle comprises an AAV1, AAV2, AAV3, AAV4, AAV5, AAV6, AAV7, AAV8, AAVrh8, AAVrh8R, AAV9, AAV10, AAVrh10, AAV11, AAV12, AAV LK03, AAV2R471A, AAV2/2-7m8, AAV DJ, an AAV DJ8 capsid, AAV2 N587A, AAV2 E548A, AAV2 N708A, AAV V708K, goat AAV, AAV1/AAV2 chimeric, bovine AAV, mouse AAV, rAAV2/HBoV1, AAV2HBKO, AAVPHP.B, or AAVPHP.eB serotype capsid

109. The method of any one of claims 100-108, wherein the rAAV particle's capsid further comprises a tyrosine mutation or a heparin binding mutation.

110. The method of any one of claims 100-109, wherein the rAAV particle comprises a rAAV vector.

111. The method of claim 110, wherein the rAAV vector comprises one or more AAV ITRs.

112. The method of claim 110 or 111, wherein the rAAV vector comprises an AAV1 ITR, an AAV2 ITR, an AAV3 ITR, an AAV4 ITR, an AAV5 ITR, an AAV6 ITR, an AAV7 ITR, an AAV8 ITR, an AAVrh8 ITR, an AAV9 ITR, an AAV10 ITR, an AAVrh10 ITR, an AAV11 ITR, or an AAV12 ITR.

113. The method of any one of claims 110-112, wherein the AAV capsid and the AAV ITRs are derived from the same serotype.

114. The method of any one of claims 110-112, wherein the AAV capsid and the AAV ITRs are derived from different serotypes.

115. The method of any one of claims 100-114, wherein the AAV particle comprises an AAV vector encoding a heterologous transgene flanked by one or more AAV ITRs.

116. The method of any one of claims 110-115, wherein the rAAV vector is a self-complementary vector.

117. The method of claim 116, wherein the rAAV vector comprises first nucleic acid sequence encoding the transgene and a second nucleic acid sequence encoding a complement of the transgene, wherein the first nucleic acid sequence can form intrastrand base pairs with the second nucleic acid sequence along most or all of its length.

118. The method of claim 117, wherein the first nucleic acid sequence and the second nucleic acid sequence are linked by a mutated AAV ITR, wherein the mutated AAV ITR comprises a deletion of the D region and comprises a mutation of the terminal resolution sequence.

119. The method of any one of claims 100-118, wherein the rAAV particle is produced by transfecting a host cell with nucleic acid encoding the rAAV vector and nucleic acid encoding AAV rep and cap functions, and providing nucleic acid encoding AAV helper functions.

120. The method of claim 119, wherein the AAV helper functions are provided by transfecting the host cell with nucleic acid encoding the AAV helper functions.

121. The method of claim 120, wherein the AAV helper functions are provided by infecting the host cell with an AAV helper virus that provides the AAV helper functions.

122. The method of claim 121, wherein the AAV helper virus is an adenovirus, a herpes simplex virus or a baculovirus.

123. The method of any one of claims 100-118, wherein the rAAV particle is produced by an AAV producer cell comprising nucleic acid encoding the rAAV vector and nucleic acid encoding AAV rep and cap functions, and providing nucleic acid encoding AAV helper functions.

124. The method of claim 123, wherein the AAV producer cell comprises nucleic acid encoding AAV helper functions.

125. The method of claim 124, wherein the AAV helper functions are provided by infecting the AAV producer cells with an AAV helper virus that provides the AAV helper functions.

126. The method of claim 125, wherein the AAV helper virus is an adenovirus, a herpes simplex virus, or a baculovirus.

127. The method of any one of claims 119-126, wherein the AAV cap functions provide an amino acid substitution at amino acid residue 2 of VP1 and/or VP3, wherein the amino acid substitution at amino acid residue 2 of VP1 and/or VP3 alters N-terminal acetylation compared to N-terminal acetylation at amino acid residue 2 of VP1 and/or VP3 of the parent AAV particle.

128. A method to determine the serotype of a viral particle comprising

- a) denaturing the viral particle,
- b) subjecting the denatured viral particle to liquid chromatography/mass spectrometry (LC/MS), and
- c) determining the masses of one or more capsid proteins of the viral particle;

wherein the specific combination of masses of the one or more capsid proteins are indicative of the virus serotype.

129. The method of claim 128, wherein the calculated masses of the one or more capsid proteins are compared to the theoretical masses of the one or more capsid proteins of one or more virus serotypes.

130. A method of determining the heterogeneity of a viral particle comprising

- a) denaturing the viral particle,
- b) subjecting the denatured viral particle to liquid chromatography/mass spectrometry/mass spectrometry (LC/MS/MS),
- c) determining the masses of one or more capsid proteins of the viral particle, and
- d) comparing the masses of step c) with the theoretical masses of the one or more capsid proteins of the virus serotype;

wherein a deviation of one or more of the masses of the one or more capsid proteins are indicative of the viral capsid heterogeneity.

131. The method of claim 130, wherein the heterogeneity comprises one or more of mixed serotypes, variant capsids, capsid amino acid substitutions, truncated capsids, or modified capsids.

132. The method of any one of claims 128-131, wherein the liquid chromatography is reverse phase liquid chromatography, size exclusion chromatography, hydrophilic interaction liquid chromatography, or cation exchange chromatography.

133. The method of any one of claims 128-132, wherein the viral particle comprises a viral vector encoding a heterologous transgene.

134. A method to determine the serotype of a viral particle comprising

- a) denaturing the viral particle,
- b) subjecting the denatured viral particle to reduction and/or alkylation,
- c) subjecting the denatured viral particle to digestion to generate fragments of one or more capsid proteins of the viral particle,

d) subjecting the fragments of the one or more capsid proteins to liquid chromatography/mass spectrometry-mass spectrometry (LC/MS/MS), and

e) determining the masses of fragments of the one or more capsid proteins of the viral particle;

wherein the specific combination of masses of fragments of the one or more capsid proteins are indicative of the viral serotype.

135. The method of claim 134, wherein the calculated masses of the fragments of the one or more capsid proteins are compared to the theoretical masses of fragments of the one or more capsid proteins of one or more viral serotypes.

136. A method of determining the heterogeneity of a serotype of a viral particle comprising

a) denaturing the viral particle,

b) subjecting the denatured viral particle to reduction and/or alkylation,

c) subjecting the denatured viral particle to digestion to generate fragments of one or more capsid proteins of the viral particle,

d) subjecting the fragments of the one or more capsid proteins to liquid chromatography/mass spectrometry-mass spectrometry (LC/MS/MS),

e) determining the masses of fragments of the one or more capsid proteins of the viral particle, and

f) comparing the masses of step e) with the theoretical masses of fragments of the one or more capsid proteins of the viral serotype;

wherein a deviation of one or more of the masses of the one or more capsid proteins are indicative of the viral capsid heterogeneity.

137. The method of claim 136, wherein the heterogeneity comprises one or more of mixed serotypes, variant capsids, capsid amino acid substitutions, truncated capsids, or modified capsids.

138. The method of any one of claims 134-137, wherein the liquid chromatography is reverse phase liquid chromatography, size exclusion chromatography, hydrophilic interaction liquid chromatography, or cation exchange chromatography.

139. The method of any one of claims 134-138, wherein the viral particle comprises a viral vector encoding a heterologous transgene.

140. The method of any one of claims 128-139, wherein the viral particle belongs to a viral family selected from the group consisting of *Adenoviridae*, *Parvoviridae*, *Retroviridae*, *Baculoviridae*, and *Herpesviridae*.

141. The method of claim 140, wherein the viral particle belongs to a viral genus selected from the group consisting of *Atadenovirus*, *Aviadenovirus*, *Ichtadenovirus*, *Mastadenovirus*, *Siadenovirus*, *Ambidensovirus*, *Brevidensovirus*, *Hepandensovirus*, *Iterandensovirus*, *Penstyldensovirus*, *Amdoparvovirus*, *Aveparvovirus*, *Bocaparvovirus*, *Copiparvovirus*, *Dependoparvovirus*, *Erythroparvovirus*, *Protoparvovirus*, *Tetraparvovirus*, *Alpharetrovirus*, *Betaretrovirus*, *Deltaretrovirus*, *Epsilonretrovirus*, *Gammaretrovirus*, *Lentivirus*, *Spumavirus*, *Alphabaculovirus*, *Betabaculovirus*, *Deltabaculovirus*, *Gammabaculovirus*, *Iltoivirus*, *Mardivirus*, *Simplexvirus*, *Varicellovirus*, *Cytomegalovirus*, *Muromegalovirus*, *Proboscivirus*, *Roseolovirus*, *Lymphocryptovirus*, *Macavirus*, *Percavirus*, and *Rhadinovirus*.

142. A recombinant AAV (rAAV) particle comprising one or more amino acid substitutions at amino acid residue A35, N57, G58, N382, G383, N511, G512, N715, or G716 of VP1 or VP3 of a parent AAV particle, wherein residue numbering is based on VP1 of AAV2 and the one or

more amino acid substitutions alters deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle.

143. The rAAV particle of claim 142, wherein the one or more amino acid substitutions is at amino acid residue A35 of VP1, N57 of VP1, G58 of VP1, N382 of VP3, G383 of VP3, N511 of VP3, G512 of VP3, N715 of VP3, or G716 of VP3 and alters deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle.

144. The rAAV particle of claim 142, wherein the one or more amino acid substitutions comprise a substitution of Asp at N57 of VP1, N382 of VP3, N511 of VP3, or N715 of VP3 and result in a higher frequency of deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle.

145. The rAAV particle of claim 142, wherein the one or more amino acid substitutions comprise a N57K or a N57Q substitution and results in a lower frequency of deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle.

146. The rAAV particle of claim 142, wherein the one or more amino acid substitutions comprise a substitution of Asn at A35 of VP1 and result in a higher frequency of deamidation as compared to deamidation of VP1 of the parent AAV particle.

147. The rAAV particle of claim 142, wherein the one or more amino acid substitutions is at G58 of VP1, G383 of VP3, G512 of VP3, or G716 of VP3 and result in a lower frequency of deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle.

148. The rAAV particle of claim 147, wherein the G58 of VP1 is substituted with Asp.

149. The rAAV particle of any one of claims 142-148, wherein the rAAV particle is an AAV1 particle or an AAV2 particle.

150. A pharmaceutical composition comprising the rAAV particle of any one of claims 142-149.

151. A kit comprising the rAAV particle of any one of claims 142-149 or the pharmaceutical composition of claim 150.

152. An article of manufacture comprising the rAAV particle of any one of claims 142-149 or the pharmaceutical composition of claim 150.

153. An AAV capsid protein comprising an amino acid substitution of a parent AAV capsid protein; wherein the amino acid substitution alters deamidation of the capsid compared to the parent AAV capsid protein.

154. A method of improving the stability of a rAAV particle comprising substituting one or more amino acid residues of VP1 and/or VP3 of a parent VP1 and/or VP3, wherein the one or more amino acid residues is A35, N57, G58, N382, G383, N511, G512, N715, or G716, residue numbering based on VP1 of AAV2; wherein the amino acid substitution alters deamidation as compared to amino acid residue 2 of the parent VP1 and/or VP3.

155. A method of improving the assembly of rAAV particles in a cell comprising substituting one or more amino acid residues of VP1 and/or VP3, wherein the one or more amino acid residues is A35, N57, G58, N382, G383, N511, G512, N715, or G716, residue numbering based

on VP1 of AAV2; wherein the amino acid substitution alters deamidation as compared to deamidation of VP1 and/or VP3 of the parent VP1 and/or VP3.

156. A method of improving the transduction of rAAV particles in a cell comprising substituting one or more amino acid residues, wherein the one or more amino acid residues is A35, N57, G58, N382, G383, N511, G512, N715, or G716, residue numbering based on VP1 of AAV2; wherein the amino acid substitution alters deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle.

157. The method of any one of claims 154-156, wherein the one or more amino acid substitutions is at A35 of VP1, N57 of VP1, G58 of VP1, N382 of VP3, G383 of VP3, N511 of VP3, G512 of VP3, N715 of VP3, or G716 of VP3; wherein the amino acid substitution alters deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle.

158. The method of any one of claims 154-157, wherein the parental Ala residue at position 35 of VP1 is substituted with Asn.

159. The method of any one of claims 154-158, wherein the parental Gly residue at position 58 of VP1 is substituted with Asp.

160. The method of any one of claims 154-159, wherein the AAV particle comprises an AAV1, AAV2, AAV3, AAV4, AAV5, AAV6, AAV7, AAV8, AAVrh8, AAVrh8R, AAV9, AAV10, AAVrh10, AAV11, AAV12, AAV LK03, AAV2R471A, AAV2/2-7m8, AAV DJ, an AAV DJ8 capsid, AAV2 N587A, AAV2 E548A, AAV2 N708A, AAV V708K, goat AAV, AAV1/AAV2 chimeric, bovine AAV, mouse AAV, rAAV2/HBoV1, AAV2HBKO, AAVPHP.B, or AAVPHP.eB serotype capsid

161. The method of any one of claims 154-160, wherein the rAAV particle's capsid further comprises a tyrosine mutation or a heparin binding mutation.

162. The method of any one of claims 154-160, wherein the rAAV particle is an AAV1 particle or an AAV2 particle.

163. The method of any one of claims 154-162, wherein the rAAV particle comprises a rAAV vector.

164. The method of claim 163, wherein the rAAV vector comprises one or more AAV ITRs.

165. The method of claim 163 or 164, wherein the rAAV vector comprises an AAV1 ITR, an AAV2 ITR, an AAV3 ITR, an AAV4 ITR, an AAV5 ITR, an AAV6 ITR, an AAV7 ITR, an AAV8 ITR, an AAVrh8 ITR, an AAV9 ITR, an AAV10 ITR, an AAVrh10 ITR, an AAV11 ITR, or an AAV12 ITR.

166. The method of any one of claims 164-165, wherein the AAV capsid and the AAV ITRs are derived from the same serotype.

167. The method of any one of claims 164-166, wherein the AAV capsid and the AAV ITRs are derived from different serotypes.

168. The method of any one of claims 164-167, wherein the AAV particle comprises an AAV vector encoding a heterologous transgene flanked by one or more AAV ITRs.

169. The method of any one of claims 164-168, wherein the rAAV vector is a self-complementary vector.

170. The method of claim 169, wherein the rAAV vector comprises first nucleic acid sequence encoding the transgene and a second nucleic acid sequence encoding a complement of the transgene, wherein the first nucleic acid sequence can form intrastrand base pairs with the second nucleic acid sequence along most or all of its length.

171. The method of claim 170, wherein the first nucleic acid sequence and the second nucleic acid sequence are linked by a mutated AAV ITR, wherein the mutated AAV ITR comprises a deletion of the D region and comprises a mutation of the terminal resolution sequence.

172. The method of any one of claims 164-171, wherein the rAAV particle is produced by transfecting a host cell with nucleic acid encoding the rAAV vector and nucleic acid encoding AAV rep and cap functions, and providing nucleic acid encoding AAV helper functions.

173. The method of claim 172, wherein the AAV helper functions are provided by transfecting the host cell with nucleic acid encoding the AAV helper functions.

174. The method of claim 173, wherein the AAV helper functions are provided by infecting the host cell with an AAV helper virus that provides the AAV helper functions.

175. The method of claim 174, wherein the AAV helper virus is an adenovirus, a herpes simplex virus or a baculovirus.

176. The method of any one of claims 164-175, wherein the rAAV particle is produced by an AAV producer cell comprising nucleic acid encoding the rAAV vector and nucleic acid encoding AAV rep and cap functions, and providing nucleic acid encoding AAV helper functions.

177. The method of claim 176, wherein the AAV producer cell comprises nucleic acid encoding AAV helper functions.

178. The method of claim 177, wherein the AAV helper functions are provided by infecting the AAV producer cells with an AAV helper virus that provides the AAV helper functions.

179. The method of claim 178, wherein the AAV helper virus is an adenovirus, a herpes simplex virus, or a baculovirus.

180. The method of any one of claims 172-179, wherein the AAV cap functions provide an amino acid substitution of VP1 and/or VP3, wherein the amino acid substitution of VP1 and/or VP3 alters deamidation compared to deamidation of VP1 and/or VP3 of the parent AAV particle.

METHODS FOR DETECTING AAV

CROSS REFERENCE TO RELATED APPLICATIONS

[0001] This application is a National Phase application under 35 U.S.C. § 371 of International Application No. PCT/US2017/046814, filed August 14, 2017, which claims the priority benefit of U.S. Provisional Application No. 62/375,314, filed August 15, 2016, the disclosure of each of which is hereby incorporated by reference in its entirety.

SEQUENCE LISTING

[0002] The content of the following submission on ASCII text file is incorporated herein by reference in its entirety: a computer readable form (CRF) of the Sequence Listing (file name: 159792014100SEQLIST.TXT, date recorded: February 13, 2019, size: 52 KB).

FIELD OF THE INVENTION

[0003] The present invention relates to methods for serotyping and/or determining the heterogeneity of a viral particle (*e.g.*, an adeno-associated virus (AAV) particle) using mass determination, *e.g.*, by employing liquid chromatography/mass spectrometry (LC/MS) or liquid chromatography/mass spectrometry-mass spectrometry (LC/MS/MS). In some aspects, the present invention relates to methods to improve the stability of AAV particles.

BACKGROUND OF THE INVENTION

[0004] Complete characterization of the viral capsid proteins of viral vectors (*e.g.*, AAV vectors), including their sequence and post-translation modifications, is desired in gene therapy research and development since viral capsid proteins (VPs) are critical for viral infectivity.

[0005] Viral vector products such as recombinant Adeno-Associated Virus (rAAV) products are typically identified using molecular tools targeting the nucleic acid transgene. These methods may include polymerase chain reaction (PCR) targeting transgene-specific sequences

and Restriction Fragment Length Polymorphism (RFLP) techniques. As rAAV technologies evolve, many facilities are beginning to investigate multiple AAV capsid serotypes encoding their therapeutic transgene in an effort to improve targeted tissue tropism.

[0006] Traditional molecular identification methods identify products containing unique transgenes but are unable to discern those that have differing AAV capsid serotypes. Currently, most AAV serotype identity tests are based on SDS-PAGE banding patterns, an antibody-based ELISA, or a Western blot assay. However, the banding patterns and antibodies are not specific enough to differentiate different AAV serotypes. Gel-LC/MS/MS has been reported as a capsid serotype identification method. However, this method involves multiple steps including SDS-PAGE, in-gel digestion, and LC/MS/MS and thus requires multiple days for the analysis while providing limited sequence coverage. Methods for identifying vectors such as rAAV vectors are of interest to gene therapy vectors (see, *e.g.*, U.S. PG Pub. No. US20110275529). Thus, it would be useful to have improved methods of characterizing viral particles.

[0007] All references cited herein, including patent applications and publications, are incorporated by reference in their entirety.

BRIEF SUMMARY OF THE INVENTION

[0008] Using rAAV as an example, described herein is the use of LC/MS as an analytical tool to specifically identify different viral capsid serotypes (*e.g.*, rAAV capsid serotypes). As part of viral characterization, LC/MS can be used to augment the molecular identification methods. This analytical combination can satisfy regulatory requirements by discerning both the identity of the product's therapeutic transgene and the identity of the capsid serotype. This method can be used *e.g.*, as an AAV serotype identity test or to monitor viral capsid protein heterogeneity in recombinant AAV gene therapy development. It can also be used to confirm VP sequences in capsid engineering research. In addition, this technique can be used to study the impact of post translation modifications, such as N terminal acetylation of viral capsid proteins, on transfection potency and intracellular protein trafficking.

[0009] The methods described herein can also be used to design AAV particles for greater stability and/or improved transduction efficiency; for example by altering the amino acid residue at position 2 of VP1 and/or VP3 of the AAV capsid such that the amino acid at position 2 is acetylated to a higher extent compared to a wild type AAV capsid. In some embodiments, the methods can be used to design AAV particles with reduced transduction efficiency; for example by altering the amino acid residue at position 2 of VP1 and/or VP3 of the AAV capsid such that the amino acid at position 2 is deacetylated to a higher or lower extent compared to a wild type AAV capsid.

[0010] In some aspects, the invention provides a method to determine the serotype of a viral particle comprising a) denaturing the viral particle, b) subjecting the denatured viral particle to liquid chromatography/mass spectrometry (LC/MS), and c) determining the masses of one or more capsid proteins of the viral particle; wherein the specific combination of masses of the one or more capsid proteins are indicative of the virus serotype. In some embodiments, the calculated masses of the one or more capsid proteins are compared to the theoretical masses of the one or more capsid proteins of one or more virus serotypes.

[0011] In some aspects, the invention provides a method of determining the heterogeneity of a viral particle comprising a) denaturing the viral particle, b) subjecting the denatured viral particle to liquid chromatography/mass spectrometry/mass spectrometry (LC/MS/MS), c) determining the masses of one or more capsid proteins of the viral particle, and d) comparing the masses of step c) with the theoretical masses of the one or more capsid proteins of the virus serotype; wherein a deviation of one or more of the masses of the one or more capsid proteins are indicative of the viral capsid heterogeneity. In some embodiments, the heterogeneity comprises one or more of mixed serotypes, variant capsids, capsid amino acid substitutions, truncated capsids, or modified capsids.

[0012] In some embodiments of the above aspects, the liquid chromatography is reverse phase liquid chromatography, size exclusion chromatography, hydrophilic interaction liquid chromatography, or cation exchange chromatography. In some embodiments, the viral particle comprises a viral vector encoding a heterologous transgene.

[0013] In some aspects, the invention provide a method to determine the serotype of a viral particle comprising a) denaturing the viral particle, b) subjecting the denatured viral particle to

reduction and/or alkylation, c) subjecting the denatured viral particle to digestion to generate fragments of one or more capsid proteins of the viral particle, d) subjecting the fragments of the one or more capsid proteins to liquid chromatography/mass spectrometry-mass spectrometry (LC/MS/MS), and e) determining the masses of fragments of the one or more capsid proteins of the viral particle; wherein the specific combination of masses of fragments of the one or more capsid proteins are indicative of the viral serotype. In some embodiments, the calculated masses of the fragments of the one or more capsid proteins are compared to the theoretical masses of fragments of the one or more capsid proteins of one or more viral serotypes.

[0014] In some aspects, the invention provides a method of determining the heterogeneity of a serotype of a viral particle comprising a) denaturing the viral particle, b) subjecting the denatured viral particle to reduction and/or alkylation, c) subjecting the denatured viral particle to digestion to generate fragments of one or more capsid proteins of the viral particle, d) subjecting the fragments of the one or more capsid proteins to liquid chromatography/mass spectrometry-mass spectrometry (LC/MS/MS), e) determining the masses of fragments of the one or more capsid proteins of the viral particle, and f) comparing the masses of step e) with the theoretical masses of fragments of the one or more capsid proteins of the viral serotype; wherein a deviation of one or more of the masses of the one or more capsid proteins are indicative of the viral capsid heterogeneity. In some embodiments, the heterogeneity comprises one or more of mixed serotypes, variant capsids, capsid amino acid substitutions, truncated capsids, or modified capsids. In some embodiments, the liquid chromatography is reverse phase liquid chromatography, size exclusion chromatography, hydrophilic interaction liquid chromatography, or cation exchange chromatography.

[0015] As shown herein the methods can be performed in the absence of a gel separation step (*e.g.*, sodium dodecyl sulfate polyacrylamide gel electrophoresis (SDS-PAGE)).

[0016] In some embodiments of the above aspects and embodiments, the viral particle comprises a viral vector encoding a heterologous transgene. In some embodiments, the viral particle belongs to a viral family selected from the group consisting of *Adenoviridae*, *Parvoviridae*, *Retroviridae*, *Baculoviridae*, and *Herpesviridae*. In some embodiments, the viral particle belongs to a viral genus selected from the group consisting of *Atadenovirus*,

Aviadenovirus, Ichtadenovirus, Mastadenovirus, Siadenovirus, Ambidensovirus, Brevidensovirus, Hepandensovirus, Iteradensovirus, Penstylidensovirus, Amdoparvovirus, Aveparvovirus, Bocaparvovirus, Copiparvovirus, Dependoparvovirus, Erythroparvovirus, Protoparvovirus, Tetraparvovirus, Alpharetrovirus, Betaretrovirus, Deltaretrovirus, Epsilonretrovirus, Gammaretrovirus, Lentivirus, Spumavirus, Alphabaculovirus, Betabaculovirus, Deltabaculovirus, Gammabaculovirus, Iltovirus, Mardivirus, Simplexvirus, Varicellovirus, Cytomegalovirus, Muromegalovirus, Proboscivirus, Roseolovirus, Lymphocryptovirus, Macavirus, Percavirus, and Rhadinovirus.

[0017] In some aspects, the invention provides a method to determine the serotype of an adeno-associated virus (AAV) particle comprising a) denaturing the AAV particle, b) subjecting the denatured AAV particle to liquid chromatography/mass spectrometry (LC/MS), and c) determining the masses of VP1, VP2 and VP3 of the AAV particle; wherein the specific combination of masses of VP1, VP2 and VP3 are indicative of the AAV serotype. In some embodiments, the calculated masses of VP1, VP2 and VP3 are compared to the theoretical masses of VP1, VP2 and VP3 of one or more AAV serotypes.

[0018] In some aspects, the invention provides a method of determining the heterogeneity of an AAV particle comprising a) denaturing the AAV particle, b) subjecting the denatured AAV particle to liquid chromatography/mass spectrometry/mass spectrometry (LC/MS/MS), c) determining the masses of VP1, VP2 and VP3 of the AAV particle, and d) comparing the masses of step c) with the theoretical masses of VP1, VP2 and VP3 of the AAV serotype; wherein a deviation of one or more of the masses of VP1, VP2 or VP3 are indicative of the AAV capsid heterogeneity. In some embodiments, the heterogeneity comprises one or more of mixed serotypes, variant capsids, capsid amino acid substitutions, truncated capsids, or modified capsids.

[0019] In some embodiments of the above aspects and embodiments, the AAV particle is denatured with acetic acid, guanidine hydrochloride and/or an organic solvent. In some embodiments, the liquid chromatography is reverse phase liquid chromatography, size exclusion chromatography, hydrophilic interaction liquid chromatography, or cation exchange chromatography. In some embodiments, the liquid chromatography is reverse phase liquid chromatography. In some embodiments, the reverse phase chromatography is a C4 or C8

reverse chromatography. In some embodiments, the chromatography uses a mobile phase A comprising formic acid in water. In some embodiments, the mobile phase A comprises about 0.1% formic acid. In some embodiments, the chromatography comprises a mobile phase B comprising formic acid in acetonitrile. In some embodiments, the mobile phase B comprises about 0.1% formic acid. In some embodiments, the proportion of mobile phase B in the chromatography increases over time. In some embodiments, the proportion of mobile phase B in the chromatography increases in a stepwise manner. In some embodiments, mobile phase B increases from about 10% to about 20%, from about 20% to about 30%, and from about 30% to about 38%. In some embodiments, mobile phase B increases from about 10% to about 20% in about 6 minutes, from about 20% to about 30% in about 10 minutes, and from about 30% to about 38% in about 40 minutes. In some embodiments, the liquid chromatography is ultra-performance liquid chromatography (UPLC).

[0020] In some embodiments of the above aspects and embodiments, the mass spectrometry comprises a capillary voltage of about 3.5 kV. In some embodiments, the mass spectrometry comprises a sampling cone voltage of about 45 V. In some embodiments, the mass spectrometry comprises assisted calibration. In some embodiments, sodium iodide is used as a calibrant.

[0021] In some embodiments of the above aspects and embodiments, the N-terminus of VP1 and/or VP3 is acetylated. In some embodiments, the AAV particle is a recombinant AAV (rAAV) particle. In some embodiments, the AAV particle comprises an AAV1 capsid, an AAV2 capsid, an AAV3 capsid, an AAV4 capsid, an AAV5 capsid, an AAV6 capsid, an AAV7 capsid, an AAV8 capsid, an AAVrh8 capsid, an AAV9 capsid, an AAV10 capsid, an AAVrh10 capsid, an AAV11 capsid, an AAV12 capsid, an AAV LK03 capsid, an AAV2R471A capsid, an AAV2/2-7m8 capsid, an AAV DJ capsid, an AAV DJ8 capsid, an AAV2 N587A capsid, an AAV2 E548A capsid, an AAV2 N708A capsid, an AAV V708K capsid, a goat AAV capsid, an AAV1/AAV2 chimeric capsid, a bovine AAV capsid, or a mouse AAV capsid rAAV2/HBoV1 (chimeric AAV / human bocavirus virus 1). In some embodiments, the AAV capsid comprises a tyrosine mutation or a heparin binding mutation. In some embodiments, the masses of VP1, VP2, and VP3 are compared to the theoretical masses of one or more of AAV1 capsid, an AAV2 capsid, an AAV3 capsid, an AAV4 capsid, an AAV5 capsid, an AAV6 capsid, an AAV7 capsid, an AAV8 capsid, an AAVrh8 capsid, an

AAV9 capsid, an AAV10 capsid, an AAVrh10 capsid, an AAV11 capsid, an AAV12 capsid, an AAV LK03 capsid, an AAV2R471A capsid, an AAV2/2-7m8 capsid, an AAV DJ capsid, an AAV DJ8 capsid, an AAV2 N587A capsid, an AAV2 E548A capsid, an AAV2 N708A capsid, an AAV V708K capsid, a goat AAV capsid, an AAV1/AAV2 chimeric capsid, a bovine AAV capsid, or a mouse AAV capsid rAAV2/HBoV1 (chimeric AAV / human bocavirus virus 1), an AAV2HBKO capsid, an AAVPHP.B capsid or an AAVPHP.eB capsid.

[0022] In some embodiments of the above aspects and embodiments, the viral particle comprises an AAV1 ITR, an AAV2 ITR, an AAV3 ITR, an AAV4 ITR, an AAV5 ITR, an AAV6 ITR, an AAV7 ITR, an AAV8 ITR, an AAVrh8 ITR, an AAV9 ITR, an AAV10 ITR, an AAVrh10 ITR, an AAV11 ITR, or an AAV12 ITR. In some embodiments, the AAV particle comprises an AAV vector encoding a heterologous transgene.

[0023] In some aspects, the invention provides a method to determine the serotype of an adeno-associated virus (AAV) particle comprising a) denaturing the AAV particle, b) subjecting the denatured AAV particle to reduction and/or alkylation, c) subjecting the denatured AAV particle to digestion to generate fragments of VP1, VP2 and/or VP3 of the AAV particle, d) subjecting the fragments of VP1, VP2 and/or VP3 to liquid chromatography/mass spectrometry-mass spectrometry (LC/MS/MS), and e) determining the masses of fragments of VP1, VP2 and VP3 of the AAV particle; wherein the specific combination of masses of fragments of VP1, VP2 and VP3 are indicative of the AAV serotype. In some embodiments, the calculated masses of the fragments of VP1, VP2 and/or VP3 are compared to the theoretical masses of fragments of VP1, VP2 and/or VP3 of one or more AAV serotypes.

[0024] In some aspects, the invention provides a method of determining the heterogeneity of a serotype of an AAV particle comprising a) denaturing the AAV particle, b) subjecting the denatured AAV particle to reduction and/or alkylation, c) subjecting the denatured AAV particle to digestion to generate fragments of VP1, VP2 and/or VP3 of the AAV particle, d) subjecting the fragments of VP1, VP2 and/or VP3 to liquid chromatography/mass spectrometry-mass spectrometry (LC/MS/MS), e) determining the masses of fragments of VP1, VP2 and VP3 of the AAV particle, and f) comparing the masses of step e) with the theoretical masses of fragments of VP1, VP2 and VP3 of the AAV serotype; wherein a deviation of one or

more of the masses of VP1, VP2 or VP3 are indicative of the AAV capsid heterogeneity. In some embodiments, the heterogeneity comprises one or more of mixed serotypes, variant capsids, capsid amino acid substitutions, truncated capsids, or modified capsids. In some embodiments, the reduction is by subjecting the AAV particle to dithiothreitol, beta-mercaptoethanol, or tris(2-carboxyethyl)phosphine (TCEP). In some embodiments, the alkylation is by subjecting the AAV particle to iodoacetic acid, iodoacetamide, or 4-vinylpyridine. In some embodiments, the digestion is an enzymatic digestion or a chemical digestion. In some embodiments, the enzymatic digestion is an endopeptidase digestion. In some embodiments, the enzymatic digestion is a trypsin digestion, a LysC digestion, an Asp-N digestion or a Glu-C digestion. In some embodiments, the chemical digestion is cyanogen bromide digestion or an acid digestion. In some embodiments, the AAV particle is denatured with acetic acid, guanidine hydrochloride and/or an organic solvent.

[0025] In some embodiments of the above aspects and embodiments, the liquid chromatography is reverse phase liquid chromatography, size exclusion chromatography, hydrophilic interaction liquid chromatography, or cation exchange chromatography. In some embodiments, the liquid chromatography is reverse phase liquid chromatography. In some embodiments, the reverse phase chromatography is a C18 reverse chromatography. In some embodiments, the chromatography uses a mobile phase A comprising formic acid in water. In some embodiments, the mobile phase A comprises about 0.1% formic acid. In some embodiments, the chromatography comprises a mobile phase B comprising formic acid in acetonitrile. In some embodiments, the mobile phase B comprises about 0.1% formic acid. In some embodiments, the proportion of mobile phase B in the chromatography increases over time. In some embodiments, mobile phase B increases from about 2% to about 60%. In some embodiments, mobile phase B increases from about 2% to about 60% in about 121 minutes. In some embodiments, the liquid chromatography is high-performance liquid chromatography (HPLC).

[0026] In some embodiments of the above aspects and embodiments, the mass spectrometry comprises a capillary voltage of about 3.5 kV. In some embodiments, the mass spectrometry comprises a sampling cone voltage of about 45 V. In some embodiments, the mass spectrometry comprises assisted calibration. In some embodiments, sodium iodide is used as a calibrant.

[0027] In some embodiments of the above aspects and embodiments, the N-terminus of VP1 and/or VP3 is acetylated. In some embodiments, the AAV particle is a recombinant AAV (rAAV) particle. In some embodiments, the AAV particle comprises an AAV1 capsid, an AAV2 capsid, an AAV3 capsid, an AAV4 capsid, an AAV5 capsid, an AAV6 capsid, an AAV7 capsid, an AAV8 capsid, an AAVrh8 capsid, an AAV9 capsid, an AAV10 capsid, an AAVrh10 capsid, an AAV11 capsid, an AAV12 capsid, an AAV LK03 capsid, an AAV2R471A capsid, an AAV2/2-7m8 capsid, an AAV DJ capsid, an AAV DJ8 capsid, an AAV2 N587A capsid, an AAV2 E548A capsid, an AAV2 N708A capsid, an AAV V708K capsid, a goat AAV capsid, an AAV1/AAV2 chimeric capsid, a bovine AAV capsid, or a mouse AAV capsid rAAV2/HBoV1 (chimeric AAV / human bocavirus virus 1). In some embodiments, the AAV capsid comprises a tyrosine mutation or a heparin binding mutation. In some embodiments, the masses of VP1, VP2, and VP3 are compared to the theoretical masses of one or more of AAV1 capsid, an AAV2 capsid, an AAV3 capsid, an AAV4 capsid, an AAV5 capsid, an AAV6 capsid, an AAV7 capsid, an AAV8 capsid, an AAVrh8 capsid, an AAV9 capsid, an AAV10 capsid, an AAVrh10 capsid, an AAV11 capsid, an AAV12 capsid, an AAV LK03 capsid, an AAV2R471A capsid, an AAV2/2-7m8 capsid, an AAV DJ capsid, an AAV DJ8 capsid, an AAV2 N587A capsid, an AAV2 E548A capsid, an AAV2 N708A capsid, an AAV V708K capsid, a goat AAV capsid, an AAV1/AAV2 chimeric capsid, a bovine AAV capsid, or a mouse AAV capsid rAAV2/HBoV1 (chimeric AAV / human bocavirus virus 1).

[0028] In some embodiments of the above aspects and embodiments, the viral particle comprises an AAV1 ITR, an AAV2 ITR, an AAV3 ITR, an AAV4 ITR, an AAV5 ITR, an AAV6 ITR, an AAV7 ITR, an AAV8 ITR, an AAVrh8 ITR, an AAV9 ITR, an AAV10 ITR, an AAVrh10 ITR, an AAV11 ITR, or an AAV12 ITR. In some embodiments, the AAV particle comprises an AAV vector encoding a heterologous transgene.

[0029] In some embodiments, the invention provides a recombinant AAV (rAAV) particle comprising an amino acid substitution at amino acid residue 2 of VP1 and/or VP3; wherein the amino acid substitution at amino acid residue 2 of VP1 and/or VP3 alters N-terminal acetylation compared to N-terminal acetylation at amino acid residue 2 of VP1 and/or VP3 of the parent AAV particle. In some embodiments, the substitution results in a higher frequency of N-terminal acetylation or a lower frequency of N-terminal acetylation. In some

embodiments, the rAAV particle comprises an amino acid substitution at amino acid residue 2 of VP1; wherein the amino acid substitution at amino acid residue 2 of VP1 alters N-terminal acetylation compared to N-terminal acetylation at amino acid residue 2 of VP1 of the parent AAV particle. In some embodiments, the rAAV particle comprises an amino acid substitution at amino acid residue 2 of VP3; wherein the amino acid substitution at amino acid residue 2 of VP3 alters N-terminal acetylation compared to N-terminal acetylation at amino acid residue 2 of VP3 of the parent AAV particle. In some embodiments, amino acid residue 2 is substituted with Cys, Ser, Thr, Val, Gly, Asn, Asp, Glu, Ile, Leu, Phe, Gln, Lys, Met, Pro or Tyr. In some embodiments, amino acid residue 2 is substituted with Ser, Asp or Glu.

[0030] In some embodiments of the above aspects and embodiments, the AAV particle comprises an AAV1, AAV2, AAV3, AAV4, AAV5, AAV6, AAV7, AAV8, AAVrh8, AAVrh8R, AAV9, AAV10, AAVrh10, AAV11, AAV12, AAV LK03, AAV2R471A, AAV2/2-7m8, AAV DJ, an AAV DJ8 capsid, AAV2 N587A, AAV2 E548A, AAV2 N708A, AAV V708K, goat AAV, AAV1/AAV2 chimeric, bovine AAV, mouse AAV, rAAV2/HBoV1, AAV2HBKO,, AAVPHP.B, or AAVPHP.eB serotype capsid. In some embodiments, the AAV capsid further comprises a tyrosine mutation or a heparin binding mutation. In some embodiments, the rAAV particle comprises a rAAV vector. In some embodiments, the rAAV vector comprises one or more AAV ITRs. In some embodiments, the rAAV vector comprises an AAV1 ITR, an AAV2 ITR, an AAV3 ITR, an AAV4 ITR, an AAV5 ITR, an AAV6 ITR, an AAV7 ITR, an AAV8 ITR, an AAVrh8 ITR, an AAV9 ITR, an AAV10 ITR, an AAVrh10 ITR, an AAV11 ITR, or an AAV12 ITR. In some embodiments, the AAV capsid and the AAV ITRs are derived from the same serotype. In some embodiments, the AAV capsid and the AAV ITRs are derived from different serotypes. In some embodiments, the AAV particle comprises an AAV vector encoding a heterologous transgene flanked by one or more AAV ITRs.

[0031] In some embodiments of the above aspects and embodiments, the rAAV vector is a self-complementary vector. In some embodiments, the rAAV vector comprises first nucleic acid sequence encoding the transgene and a second nucleic acid sequence encoding a complement of the transgene, wherein the first nucleic acid sequence can form intrastrand base pairs with the second nucleic acid sequence along most or all of its length. In some embodiments, the first nucleic acid sequence and the second nucleic acid sequence are linked

by a mutated AAV ITR, wherein the mutated AAV ITR comprises a deletion of the D region and comprises a mutation of the terminal resolution sequence.

[0032] In some embodiments of the above aspects and embodiments, the rAAV particle is produced by transfecting a host cell with nucleic acid encoding the rAAV vector and nucleic acid encoding AAV rep and cap functions, and providing nucleic acid encoding AAV helper functions. In some embodiments, the AAV helper functions are provided by transfecting the host cell with nucleic acid encoding the AAV helper functions. In some embodiments, the AAV helper functions are provided by infecting the host cell with an AAV helper virus that provides the AAV helper functions. In some embodiments, the AAV helper virus is an adenovirus, a herpes simplex virus or a baculovirus. In some embodiments, the rAAV particle is produced by an AAV producer cell comprising nucleic acid encoding the rAAV vector and nucleic acid encoding AAV rep and cap functions, and providing nucleic acid encoding AAV helper functions. In some embodiments, the AAV producer cell comprises nucleic acid encoding AAV helper functions. In some embodiments, the AAV helper functions are provided by infecting the AAV producer cells with an AAV helper virus that provides the AAV helper functions. In some embodiments, the AAV helper virus is an adenovirus, a herpes simplex virus, or a baculovirus. In some embodiments, the AAV cap functions provide an amino acid substitution at amino acid residue 2 of VP1 and/or VP3, wherein the amino acid substitution at amino acid residue 2 of VP1 and/or VP3 alters N-terminal acetylation compared to N-terminal acetylation at amino acid residue 2 of VP1 and/or VP3 of the parent AAV particle.

[0033] In some aspects, the invention provides a pharmaceutical composition comprising the rAAV particle as described herein. In some aspects, the invention provides a kit comprising the rAAV particle or the pharmaceutical composition as described herein. In some aspects, the invention provides an article of manufacture comprising the rAAV particle or the pharmaceutical composition as described herein.

[0034] In some aspects, the invention provides as AAV capsid protein comprising an amino acid substitution at amino acid residue 2 of a parent AAV capsid protein; wherein the amino acid substitution at amino acid residue 2 alters N-terminal acetylation compared to N-terminal acetylation at amino acid residue 2 of the parent AAV capsid protein. In some embodiments, the substitution results in a higher frequency of N-terminal acetylation or a lower frequency of

N-terminal acetylation. In some embodiments, the AAV capsid protein is VP1 or VP3. In some embodiments, amino acid residue 2 of the AAV capsid protein is substituted with Cys, Ser, Thr, Val, Gly, Asn, Asp, Glu, Ile, Leu, Phe, Gln, Lys, Met, Pro or Tyr. In some embodiments, amino acid residue 2 of the AAV capsid protein is substituted with Ser, Asp or Glu. In some embodiments, the amino acid substitution results in less deamidation of the AAV capsid.

[0035] In some embodiments of the above aspects and embodiments, the AAV particle comprises an AAV1, AAV2, AAV3, AAV4, AAV5, AAV6, AAV7, AAV8, AAVrh8, AAVrh8R, AAV9, AAV10, AAVrh10, AAV11, AAV12, AAV LK03, AAV2R471A, AAV2/2-7m8, AAV DJ, an AAV DJ8 capsid, AAV2 N587A, AAV2 E548A, AAV2 N708A, AAV V708K, goat AAV, AAV1/AAV2 chimeric, bovine AAV, mouse AAV, rAAV2/HBoV1, AAV2HBKO, AAVPHP.B, or AAVPHP.eB serotype capsid. In some embodiments, the AAV capsid further comprises a tyrosine mutation or a heparin binding mutation.

[0036] In some aspects, the invention provides a method of improving stability of a rAAV particle comprising substituting amino acid residue 2 of VP1 and/or VP3 of a parent VP1 and/or VP3; wherein the substituting amino acid residue 2 alters N-terminal acetylation of VP1 and/or VP3, as compared to amino acid residue 2 of the parent VP1 and/or VP3. In some aspects, the invention provides a method of improving assembly of rAAV particles in a cell comprising substituting amino acid residue 2 of VP1 and/or VP3 or a parental VP1 and/or VP3; wherein substituting amino acid at position 2 alters N-terminal acetylation of VP1 and/or VP3, as compared to amino acid residue 2 of the parent VP1 and/or VP3. In some aspects, the invention provides a method of improving the transduction of rAAV particles in a cell comprising substituting amino acid residue 2 of VP1 and/or VP3 or a parental VP1 and/or VP3; wherein substituting amino acid residue 2 alters N-terminal acetylation of VP1 and/or VP3, as compared to amino acid residue 2 of the parent VP1 and/or VP3. In some embodiments, the substituted amino acid results in a higher frequency of N-terminal acetylation or a lower frequency of N-terminal acetylation. In some embodiments, the amino acid substitution at amino acid residue 2 of VP1 is substituted. In some embodiments, the amino acid substitution at amino acid residue 2 of VP3 is substituted. In some embodiments, amino acid residue 2 is substituted with Cys, Ser, Thr, Val, Gly, Asn, Asp, Glu, Ile, Leu, Phe, Gln, Lys, Met, Pro or Tyr. In some embodiments, amino acid residue 2 is substituted with Ser, Asp or Glu. In some aspects, the invention provides a method of reducing the transduction of

rAAV particles in a cell comprising substituting amino acid residue 2 of VP1 and/or VP3; wherein the substituted amino acid at position 2 alters N-terminal acetylation of VP1 and/or VP3, as compared to amino acid residue 2 of the parent VP1 and/or VP3.

[0037] In some embodiments of the above aspects and embodiments, the AAV particle comprises an AAV1, AAV2, AAV3, AAV4, AAV5, AAV6, AAV7, AAV8, AAVrh8, AAVrh8R, AAV9, AAV10, AAVrh10, AAV11, AAV12, AAV LK03, AAV2R471A, AAV2/2-7m8, AAV DJ, an AAV DJ8 capsid, AAV2 N587A, AAV2 E548A, AAV2 N708A, AAV V708K, goat AAV, AAV1/AAV2 chimeric, bovine AAV, mouse AAV, rAAV2/HBoV1, AAV2HBKO, AAVPHP.B, or AAVPHP.eB serotype capsid. In some embodiments, the AAV capsid further comprises a tyrosine mutation or a heparin binding mutation. In some embodiments, the rAAV particle comprises a rAAV vector. In some embodiments, the rAAV vector comprises one or more AAV ITRs. In some embodiments, the rAAV vector comprises an AAV1 ITR, an AAV2 ITR, an AAV3 ITR, an AAV4 ITR, an AAV5 ITR, an AAV6 ITR, an AAV7 ITR, an AAV8 ITR, an AAVrh8 ITR, an AAV9 ITR, an AAV10 ITR, an AAVrh10 ITR, an AAV11 ITR, or an AAV12 ITR. In some embodiments, the AAV capsid and the AAV ITRs are derived from the same serotype. In some embodiments, the AAV capsid and the AAV ITRs are derived from different serotypes. In some embodiments, the AAV particle comprises an AAV vector encoding a heterologous transgene flanked by one or more AAV ITRs.

[0038] In some embodiments of the above aspects and embodiments, the rAAV vector is a self-complementary vector. In some embodiments, the rAAV vector comprises first nucleic acid sequence encoding the transgene and a second nucleic acid sequence encoding a complement of the transgene, wherein the first nucleic acid sequence can form intrastrand base pairs with the second nucleic acid sequence along most or all of its length. In some embodiments, the first nucleic acid sequence and the second nucleic acid sequence are linked by a mutated AAV ITR, wherein the mutated AAV ITR comprises a deletion of the D region and comprises a mutation of the terminal resolution sequence.

[0039] In some embodiments of the above aspects and embodiments, the rAAV particle is produced by transfecting a host cell with nucleic acid encoding the rAAV vector and nucleic acid encoding AAV rep and cap functions, and providing nucleic acid encoding AAV helper functions. In some embodiments, the AAV helper functions are provided by transfecting the

host cell with nucleic acid encoding the AAV helper functions. In some embodiments, the AAV helper functions are provided by infecting the host cell with an AAV helper virus that provides the AAV helper functions. In some embodiments, the AAV helper virus is an adenovirus, a herpes simplex virus or a baculovirus. In some embodiments, the rAAV particle is produced by an AAV producer cell comprising nucleic acid encoding the rAAV vector and nucleic acid encoding AAV rep and cap functions, and providing nucleic acid encoding AAV helper functions. In some embodiments, the AAV producer cell comprises nucleic acid encoding AAV helper functions. In some embodiments, the AAV helper functions are provided by infecting the AAV producer cells with an AAV helper virus that provides the AAV helper functions. In some embodiments, the AAV helper virus is an adenovirus, a herpes simplex virus, or a baculovirus. In some embodiments, the AAV cap functions provide an amino acid substitution at amino acid residue 2 of VP1 and/or VP3, wherein the amino acid substitution at amino acid residue 2 of VP1 and/or VP3 alters N-terminal acetylation compared to N-terminal acetylation at amino acid residue 2 of VP1 and/or VP3 of the parent AAV particle.

[0040] In some aspects, the invention provides a recombinant AAV (rAAV) particle comprising one or more amino acid substitutions at amino acid residue A35, N57, G58, N382, G383, N511, G512, N715, or G716 of VP1 or VP3 of a parent particle, residue numbering based on VP1 of AAV2; wherein the one or more amino acid substitutions alters deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle. In some embodiments, the one or more amino acid substitution is at amino acid residue A35, N57 of VP1, G58 of VP1, N382 of VP3, G383 of VP3, N511 of VP3, G512 of VP3, N715 of VP3, or G716 of VP3 and alters deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle. In some embodiments, the one or more amino acid substitutions comprises a substitution with Asp at N57 of VP1, N382 of VP3, N511 of VP3, or N715 of VP3; and results in a higher frequency of deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle. In some embodiments, the one or more amino acid substitutions comprise a N57K or a N57Q substitution and results in a lower frequency of deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle. In some embodiments, the one or more amino acid substitution comprise a substitution with Asp at A35 of VP1 and results in a higher frequency of deamidation as compared to deamidation of VP1 of the parent AAV particle. In some embodiments, the one or more amino acid

substitutions is at G58 of VP1, G383 of VP3, G512 of VP3, or G716 of VP3 and results in a lower frequency of deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle. In some embodiments, the G58 of VP1 is substituted with Asp. In some embodiments, the rAAV particle is an AAV1 particle or an AAV2 particle.

[0041] In some aspects, the invention provides pharmaceutical compositions comprising AAV particles comprising one or more amino acid substitutions at amino acid residue A35, N57, G58, N382, G383, N511, G512, N715, or G716 of VP1 or VP3, residue numbering based on VP1 of AAV2; wherein the amino acid substitution alters deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle. In some aspects, the invention provides kits comprising AAV particles or compositions comprising AAV particles wherein the AAV particles comprise one or more amino acid substitutions at amino acid residue A35, N57, G58, N382, G383, N511, G512, N715, or G716 of VP1 or VP3, residue numbering based on VP1 of AAV2; wherein the amino acid substitution alters deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle. In some aspects, the invention provides articles of manufacture comprising AAV particles or compositions comprising AAV particles wherein the AAV particles comprise one or more amino acid substitutions at amino acid residue A35, N57, G58, N382, G383, N511, G512, N715, or G716 of VP1 or VP3, residue numbering based on VP1 of AAV2; wherein the amino acid substitution alters deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle. In some aspects, the invention provides an AAV capsid protein comprising an amino acid substitution of a parent AAV capsid protein; wherein the amino acid substitution alters deamidation of the capsid compared to the parent AAV capsid protein.

[0042] In some aspects, the invention provides a method of improving the stability of a rAAV particle comprising substituting one or more amino acid residues, wherein the one or more amino acid residues is A35, N57, G58, N382, G383, N511, G512, N715, or G716, residue numbering based on VP1 of AAV2; wherein the amino acid substitution alters deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle. In some aspects, the invention provides a method of improving the assembly of rAAV particles in a cell comprising substituting one or more amino acid residues, wherein the one or more amino acid residues is A35, N57, G58, N382, G383, N511, G512, N715, or G716, residue numbering based on VP1 of AAV2; wherein the amino acid substitution alters deamidation as compared to

deamidation of VP1 and/or VP3 of the parent AAV particle. In some aspects, the invention provides a method of improving the transduction of rAAV particles in a cell comprising substituting one or more amino acid residues, wherein the one or more amino acid residues is A35, N57, G58, N382, G383, N511, G512, N715, or G716, residue numbering based on VP1 of AAV2; wherein the amino acid substitution alters deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle. In some embodiments, the one or more amino acid substitutions is at A35 of VP1, N57 of VP1, G58 of VP1, N382 of VP3, G383 of VP3, N511 of VP3, G512 of VP3, N715 of VP3, or G716 of VP3; wherein the amino acid substitution alters deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle. In some embodiments, the parental Ala residue at position 35 of VP1 is substituted with Asn. In some embodiments, the parental Gly residue at position 58 of VP1 is substituted with Asp. In some embodiments, the rAAV particle is an AAV1 particle or an AAV2 particle.

[0043] In some embodiments, the invention provides a method of improving the stability, assembly and/or transduction efficiency of a rAAV particle comprising substituting one or more amino acid residues, wherein the one or more amino acid residues is A35, N57, G58, N382, G383, N511, G512, N715, or G716, residue numbering based on VP1 of AAV2; wherein the amino acid substitution alters deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle as described above, wherein the AAV particle comprises an AAV1, AAV2, AAV3, AAV4, AAV5, AAV6, AAV7, AAV8, AAVrh8, AAVrh8R, AAV9, AAV10, AAVrh10, AAV11, AAV12, AAV LK03, AAV2R471A, AAV2/2-7m8, AAV DJ, an AAV DJ8 capsid, AAV2 N587A, AAV2 E548A, AAV2 N708A, AAV V708K, goat AAV, AAV1/AAV2 chimeric, bovine AAV, mouse AAV, or rAAV2/HBoV1 serotype capsid. In some embodiments, the AAV capsid further comprises a tyrosine mutation or a heparin binding mutation. In some embodiments, the rAAV particle comprises a rAAV vector. In some embodiments, the rAAV vector comprises one or more AAV ITRs. In some embodiments, the rAAV vector comprises an AAV1 ITR, an AAV2 ITR, an AAV3 ITR, an AAV4 ITR, an AAV5 ITR, an AAV6 ITR, an AAV7 ITR, an AAV8 ITR, an AAVrh8 ITR, an AAV9 ITR, an AAV10 ITR, an AAVrh10 ITR, an AAV11 ITR, or an AAV12 ITR. In some embodiments, the AAV capsid and the AAV ITRs are derived from the same serotype. In some embodiments, the AAV capsid and the AAV ITRs are derived from

different serotypes. In some embodiments, the AAV particle comprises an AAV vector encoding a heterologous transgene flanked by one or more AAV ITRs.

[0044] In some embodiments of the above aspects and embodiments, the rAAV vector is a self-complementary vector. In some embodiments, the rAAV vector comprises first nucleic acid sequence encoding the transgene and a second nucleic acid sequence encoding a complement of the transgene, wherein the first nucleic acid sequence can form intrastrand base pairs with the second nucleic acid sequence along most or all of its length. In some embodiments, the first nucleic acid sequence and the second nucleic acid sequence are linked by a mutated AAV ITR, wherein the mutated AAV ITR comprises a deletion of the D region and comprises a mutation of the terminal resolution sequence.

[0045] In some embodiments of the above aspects and embodiments, the rAAV particle is produced by transfecting a host cell with nucleic acid encoding the rAAV vector and nucleic acid encoding AAV rep and cap functions, and providing nucleic acid encoding AAV helper functions. In some embodiments, the AAV helper functions are provided by transfecting the host cell with nucleic acid encoding the AAV helper functions. In some embodiments, the AAV helper functions are provided by infecting the host cell with an AAV helper virus that provides the AAV helper functions. In some embodiments, the AAV helper virus is an adenovirus, a herpes simplex virus or a baculovirus. In some embodiments, the rAAV particle is produced by an AAV producer cell comprising nucleic acid encoding the rAAV vector and nucleic acid encoding AAV rep and cap functions, and providing nucleic acid encoding AAV helper functions. In some embodiments, the AAV producer cell comprises nucleic acid encoding AAV helper functions. In some embodiments, the AAV helper functions are provided by infecting the AAV producer cells with an AAV helper virus that provides the AAV helper functions. In some embodiments, the AAV helper virus is an adenovirus, a herpes simplex virus, or a baculovirus. In some embodiments, the AAV cap functions provide an amino acid substitution of VP1 and/or VP3, wherein the amino acid substitution modulated deamidation of the capsid compared to the parent AAV particle.

BRIEF DESCRIPTION OF THE DRAWINGS

[0046] **FIGS. 1A-D** provide total ion Chromatograms of LC/MS of AAV2 VPs. **FIG. 1A:** 10cm long BEH C4 column with 1.7%/min gradient, **FIG. 1B:** 10cm long BEH C4 column

with 0.5%/min gradient; **FIG. 1C**: 15cm long BEH C4 column with 0.5%/min gradient, **FIG. 1D**: 15cm long BEH C8 column with 0.5%/min gradient.

[0047] **FIGS. 2A&B** provide deconvoluted mass spectra from **FIG. 1D** peak 1 (**FIG. 2A**) and **FIG. 1D** peak 2 (**FIG. 2B**).

[0048] **FIG. 3** provides the sequence coverage of AAV2 VP1 (SEQ ID NO:3): green, tryptic peptides, blue, Lys-C peptides, pink, Asp-N peptides.

[0049] **FIGS. 4A-4C** provide MS/MS spectra of AAV2 VP N-terminal peptides. **FIG. 4A**: VP1 N-terminal tryptic peptide A(Ac)ADGYLPDWLEDTLSEGIR (SEQ ID NO: 4), **FIG. 4B** VP2 N-terminal Asp-N peptide APGKKRPVEHSPVEP (SEQ ID NO: 15). **FIG. 4C**: VP-3 N-terminal Asp-N derived peptide A(Ac)TGSGAPM (SEQ ID NO: 5).

[0050] **FIG. 5A-5C** provides the sequence alignment of 13 AAV serotypes black letter/white background: non-similar; blue letter/blue background: conservative; black letter/green background: block of similar; red letter/yellow background: identical; green letter/white background: weakly similar. AAVRh10 (SEQ ID NO: 17); AAV10 (SEQ ID NO: 18); AAV8 (SEQ ID NO: 19); AAV7 (SEQ ID NO: 20); AAV1 (SEQ ID NO: 21); AAV6 (SEQ ID NO: 22); AAV2 (SEQ ID NO: 23); AAV3 (SEQ ID NO: 24); AAV11 (SEQ ID NO: 25); AAV12 (SEQ ID NO: 26); AAV4 (SEQ ID NO: 27); AAV5 (SEQ ID NO: 28); AAV9 (SEQ ID NO: 29); Consensus (SEQ ID NO: 30).

[0051] **FIGS. 6A & 6B** show the results of LC/MS/MS analysis comparing the percentage of deamidation in AAV1 and AAV2 particles produced by the TTx and PCL methods. The T9 peptide YLGPFNGLDK (SEQ ID NO: 9) was used to monitor potential deamidation site N57 in both AAV1 and AAV2.

[0052] **FIGS. 7A & 7B** show the results of LC/MS/MS analysis comparing the percentage of deamidation in AAV1 and AAV2 particles produced by the TTx and PCL methods. The T49 peptides YNLNGR (SEQ ID NO: 11) and YHLNGR (SEQ ID NO: 12) were used to monitor potential deamidation site N511 in AAV1 and AAV2, respectively.

[0053] **FIGS. 8A & 8B** show the results of LC/MS/MS analysis comparing the percentage of deamidation in AAV1 and AAV2 particles produced by the TTx and PCL methods. The T67 peptides SANVDFTVDNNGLYTEPR (SEQ ID NO: 13) and SVNVDFTVDTNGVYSEPR

(SEQ ID NO: 14) were used to monitor potential deamidation site N715 in AAV1 and AAV2, respectively.

[0054] FIG. 9 shows the results of SYPRO protein gel analysis of production and VP1:VP2:VP3 ratio of AAV5 deacetylated mutant variants.

[0055] FIG. 10 illustrates an *in vitro* transduction assay for testing transduction efficiency of AAV5 deacetylated variants.

[0056] FIG. 11 shows the efficiency of cell entry by the indicated AAV5 deacetylated variants or parental unmodified AAV5, as measured by vector genome copies/μg protein. Three cell lines were used: 293, HeLa, and HuH7.

[0057] FIG. 12 shows eGFP expression (as measured by ELISA) by cells transduced with the indicated AAV5 deacetylated variants as compared to transduction with parental unmodified AAV5. Three cell lines were used: 293, HeLa, and HuH7.

[0058] FIG. 13 provides the sequence alignment of 13 AAV serotypes, highlighting the conserved N57G58 deamidation site and the A35 residue in AAV2. AAVRh10 (SEQ ID NO: 31); AAV10 (SEQ ID NO: 31); AAV8 (SEQ ID NO: 32); AAV7 (SEQ ID NO: 33); AAV1 (SEQ ID NO: 31); AAV6 (SEQ ID NO: 31); AAV2 (SEQ ID NO: 34); AAV3 (SEQ ID NO: 35); AAV11 (SEQ ID NO: 31); AAV12 (SEQ ID NO: 36); AAV4 (SEQ ID NO: 37); AAV5 (SEQ ID NO: 38); AAV9 (SEQ ID NO: 39); Consensus (SEQ ID NO: 40).

[0059] FIG. 14 shows a protein gel of VP1, VP2, and VP3 capsid proteins from AAV1 or AAV2 particles produced by the PCL or TTx method. *highlights the truncated VP1 (tVP1) protein.

[0060] FIG. 15 shows the results of LC/MS analysis of deamidation of the indicated AAV2 mutants, as compared to control AAV2 capsids.

[0061] FIG. 16 shows the results of SYPRO protein gel analysis of production and VP1:VP2:VP3 ratio of AAV2 deamidation mutant variants.

[0062] FIG. 17 illustrates an *in vitro* transduction assay for testing transduction efficiency of AAV2 deamidation variants.

[0063] FIG. 18 shows the efficiency of cell entry by the indicated AAV2 deamidation variants or parental unmodified AAV2, as measured by vector genome copies/ μ g protein. Three cell lines were used: 293, HeLa, and HuH7.

[0064] FIG. 19 shows eGFP expression (as measured by ELISA) by cells transduced with the indicated AAV2 deamidation variants as compared to transduction with parental unmodified AAV2. Three cell lines were used: 293, HeLa, and HuH7.

DETAILED DESCRIPTION

[0065] In some aspects, the invention provides a method to determine the serotype of an adeno-associated virus (AAV) particle(s) comprising: a) denaturing the AAV particle, b) injecting the denatured AAV particle to liquid chromatography/mass spectrometry (LC/MS), and c) determining the masses of VP1, VP2 and VP3 of the AAV particle; wherein the specific combination of masses of VP1, VP2 and VP3 are indicative of the AAV serotype.

[0066] In other aspects, the invention provides a method of determining the heterogeneity of an AAV particle comprising: a) denaturing the AAV particle, b) injecting the denatured AAV particle to liquid chromatography/mass spectrometry (LC/MS), and c) determining the masses of VP1, VP2 and VP3 of the AAV particle, and comparing the masses of step c) with the theoretical masses of VP1, VP2 and VP3 of the AAV serotype; wherein a deviation of one or more of the masses of VP1, VP2 or VP3 are indicative of the AAV capsid heterogeneity.

[0067] In other aspects, the invention provides a method to determine the serotype of an adeno-associated virus (AAV) particle comprising a) denaturing the AAV particle, b) subjecting the denatured AAV particle to reduction and/or alkylation, c) injecting the denatured AAV particle to digestion to generate fragments of VP1, VP2 and/or VP3 of the AAV particle, d) subjecting the fragments of VP1, VP2 and/or VP3 to liquid chromatography/mass spectrometry-mass spectrometry (LC/MS/MS), and e) determining the masses of fragments of VP1, VP2 and VP3 of the AAV particle; wherein the specific combination of masses of fragments of VP1, VP2 and VP3 are indicative of the AAV serotype.

[0068] In other aspects, the invention provides a method of determining the heterogeneity of an AAV particle of a serotype comprising: a) denaturing the AAV particle, b) subjecting the denatured AAV particle to reduction and/or alkylation, c) injecting the denatured AAV particle

to digestion to generate fragments of VP1, VP2 and/or VP3 of the AAV particle, d) subjecting the fragments of VP1, VP2 and/or VP3 to liquid chromatography/mass spectrometry-mass spectrometry (LC/MS/MS), e) determining the masses of fragments of VP1, VP2 and VP3 of the AAV particle, and f) comparing the masses of step e) with the theoretical masses of fragments of VP1, VP2 and VP3 of the AAV serotype; wherein a deviation of one or more of the masses of VP1, VP2 or VP3 are indicative of the AAV capsid heterogeneity.

[0069] In some aspects, the invention provides a recombinant AAV (rAAV) particle comprising an amino acid substitution at amino acid residue 2 of VP1 and/or VP3; wherein the amino acid substitution at amino acid residue 2 of VP1 and/or VP3 alters N-terminal acetylation compared to N-terminal acetylation at amino acid residue 2 of VP1 and/or VP3 of the parent AAV particle.

[0070] In some aspects, the invention provides a method of improving the assembly of rAAV particles in a cell comprising substituting amino acid residue 2 of VP1 and/or VP3; wherein the substituted amino acid at position 2 is N-acetylated at a higher frequency than amino acid residue 2 of the parent VP1 and/or VP3. In some aspects, the invention provides a method of improving the transduction of rAAV particles in a cell comprising substituting amino acid residue 2 of VP1 and/or VP3; wherein the substituted amino acid at position 2 is N-acetylated at a higher frequency than amino acid residue 2 of the parent VP1 and/or VP3.

I. General Techniques

[0071] The techniques and procedures described or referenced herein are generally well understood and commonly employed using conventional methodology by those skilled in the art, such as, for example, the widely utilized methodologies described in *Molecular Cloning: A Laboratory Manual* (Sambrook *et al.*, 4th ed., Cold Spring Harbor Laboratory Press, Cold Spring Harbor, N.Y., 2012); *Current Protocols in Molecular Biology* (F.M. Ausubel, *et al.* eds., 2003); the series *Methods in Enzymology* (Academic Press, Inc.); *PCR 2: A Practical Approach* (M.J. MacPherson, B.D. Hames and G.R. Taylor eds., 1995); *Antibodies, A Laboratory Manual* (Harlow and Lane, eds., 1988); *Culture of Animal Cells: A Manual of Basic Technique and Specialized Applications* (R.I. Freshney, 6th ed., J. Wiley and Sons, 2010); *Oligonucleotide Synthesis* (M.J. Gait, ed., 1984); *Methods in Molecular Biology*, Humana Press; *Cell Biology: A Laboratory Notebook* (J.E. Cellis, ed., Academic Press, 1998);

Introduction to Cell and Tissue Culture (J.P. Mather and P.E. Roberts, Plenum Press, 1998); *Cell and Tissue Culture: Laboratory Procedures* (A. Doyle, J.B. Griffiths, and D.G. Newell, eds., J. Wiley and Sons, 1993-8); *Handbook of Experimental Immunology* (D.M. Weir and C.C. Blackwell, eds., 1996); *Gene Transfer Vectors for Mammalian Cells* (J.M. Miller and M.P. Calos, eds., 1987); *PCR: The Polymerase Chain Reaction*, (Mullis *et al.*, eds., 1994); *Current Protocols in Immunology* (J.E. Coligan *et al.*, eds., 1991); *Short Protocols in Molecular Biology* (Ausubel *et al.*, eds., J. Wiley and Sons, 2002); *Immunobiology* (C.A. Janeway *et al.*, 2004); *Antibodies* (P. Finch, 1997); *Antibodies: A Practical Approach* (D. Catty., ed., IRL Press, 1988-1989); *Monoclonal Antibodies: A Practical Approach* (P. Shepherd and C. Dean, eds., Oxford University Press, 2000); *Using Antibodies: A Laboratory Manual* (E. Harlow and D. Lane, Cold Spring Harbor Laboratory Press, 1999); *The Antibodies* (M. Zanetti and J. D. Capra, eds., Harwood Academic Publishers, 1995); and *Cancer: Principles and Practice of Oncology* (V.T. DeVita *et al.*, eds., J.B. Lippincott Company, 2011).

II. Definitions

[0072] A “vector,” as used herein, refers to a recombinant plasmid or virus that comprises a nucleic acid to be delivered into a host cell, either in vitro or in vivo.

[0073] The term “polynucleotide” or “nucleic acid” as used herein refers to a polymeric form of nucleotides of any length, either ribonucleotides or deoxyribonucleotides. Thus, this term includes, but is not limited to, single-, double- or multi-stranded DNA or RNA, genomic DNA, cDNA, DNA-RNA hybrids, or a polymer comprising purine and pyrimidine bases, or other natural, chemically or biochemically modified, non-natural, or derivatized nucleotide bases. The backbone of the nucleic acid can comprise sugars and phosphate groups (as may typically be found in RNA or DNA), or modified or substituted sugar or phosphate groups.

Alternatively, the backbone of the nucleic acid can comprise a polymer of synthetic subunits such as phosphoramidates and thus can be an oligodeoxynucleoside phosphoramidate (P-NH₂) or a mixed phosphoramidate- phosphodiester oligomer. In addition, a double-stranded nucleic acid can be obtained from the single stranded polynucleotide product of chemical synthesis either by synthesizing the complementary strand and annealing the strands under appropriate conditions, or by synthesizing the complementary strand de novo using a DNA polymerase with an appropriate primer.

[0074] The terms “polypeptide” and “protein” are used interchangeably to refer to a polymer of amino acid residues, and are not limited to a minimum length. Such polymers of amino acid residues may contain natural or non-natural amino acid residues, and include, but are not limited to, peptides, oligopeptides, dimers, trimers, and multimers of amino acid residues. Both full-length proteins and fragments thereof are encompassed by the definition. The terms also include post-translational modifications of the polypeptide, for example, glycosylation, sialylation, acetylation, phosphorylation, and the like. Furthermore, for purposes of the present invention, a “polypeptide” refers to a protein which includes modifications, such as deletions, additions, and substitutions (generally conservative in nature), to the native sequence, as long as the protein maintains the desired activity. These modifications may be deliberate, as through site-directed mutagenesis, or may be accidental, such as through mutations of hosts which produce the proteins or errors due to PCR amplification.

[0075] A “recombinant viral vector” refers to a recombinant polynucleotide vector comprising one or more heterologous sequences (*i.e.*, nucleic acid sequence not of viral origin). In the case of recombinant AAV vectors, the recombinant nucleic acid is flanked by at least one, *e.g.*, two, inverted terminal repeat sequences (ITRs).

[0076] A “recombinant AAV vector (rAAV vector)” refers to a polynucleotide vector comprising one or more heterologous sequences (*i.e.*, nucleic acid sequence not of AAV origin) that are flanked by at least one, *e.g.*, two, AAV inverted terminal repeat sequences (ITRs). Such rAAV vectors can be replicated and packaged into infectious viral particles when present in a host cell that has been infected with a suitable helper virus (or that is expressing suitable helper functions) and that is expressing AAV rep and cap gene products (*i.e.* AAV Rep and Cap proteins). When a rAAV vector is incorporated into a larger polynucleotide (*e.g.*, in a chromosome or in another vector such as a plasmid used for cloning or transfection), then the rAAV vector may be referred to as a “pro-vector” which can be “rescued” by replication and encapsidation in the presence of AAV packaging functions and suitable helper functions. A rAAV vector can be in any of a number of forms, including, but not limited to, plasmids, linear artificial chromosomes, complexed with lipids, encapsulated within liposomes, and, in embodiments, encapsidated in a viral particle, particularly an AAV particle. A rAAV vector can be packaged into an AAV virus capsid to generate a “recombinant adeno-associated viral particle (rAAV particle)”.

[0077] An “rAAV virus” or “rAAV viral particle” refers to a viral particle composed of at least one AAV capsid protein and an encapsidated rAAV vector genome.

[0078] A “parent AAV particle” and “parent AAV capsid protein” as used herein in the context of comparing N-acetylation and/or deamidation refers to an AAV particle or capsid protein into which amino acid modifications are introduced to modulate N-acetylation and/or deamidation (*e.g.*, an AAV particle/capsid protein that is the same as or similar to the AAV particle/capsid of the subject invention but does not comprise the mutations that modulate/alter N-acetylation and/or deamidation as described herein). In some embodiments, the parent AAV particle is a recombinant AAV particle comprising a recombinant AAV genome. In some embodiments, the parent AAV capsid particle or parent AAV capsid protein comprises amino acid substitutions that affect other aspects of the AAV particle. For example, the parent AAV particle may comprise amino acid substitutions that affect the binding of AAV to its receptor, such as affecting binding of AAV2 to heparin sulfate proteoglycan (*e.g.* an AAV2 HBKO particle). An AAV2 HBKO particle can be mutated to introduce amino acid substitutions that modulate N-acetylation and/or deamidation. Such a mutated AAV particle may then be compared to the parent AAV2 HBKO particle in aspects of the invention as described herein. A parent AAV capsid protein may include a parent VP1 capsid protein, a parent VP2 capsid protein, or a VP3 capsid protein.

[0079] As used herein, the term “modulate” or “alter” in reference to a parent molecule means to change a feature of the parent molecule. For example, an AAV particle with altered N-acetylation may show increased or decreased N-acetylation compared to the parent AAV particle and an AAV particle with altered deamidation may show increased or decreased deamidation compared to the parent AAV particle.

[0080] “Heterologous” means derived from a genotypically distinct entity from that of the rest of the entity to which it is compared or into which it is introduced or incorporated. For example, a nucleic acid introduced by genetic engineering techniques into a different cell type is a heterologous nucleic acid (and, when expressed, can encode a heterologous polypeptide). Similarly, a cellular sequence (*e.g.*, a gene or portion thereof) that is incorporated into a viral vector is a heterologous nucleotide sequence with respect to the vector.

[0081] The term “transgene” refers to a nucleic acid that is introduced into a cell and is capable of being transcribed into RNA and optionally, translated and/or expressed under appropriate conditions. In aspects, it confers a desired property to a cell into which it was introduced, or otherwise leads to a desired therapeutic or diagnostic outcome. In another aspect, it may be transcribed into a molecule that mediates RNA interference, such as siRNA.

[0082] The terms “genome particles (gp),” “genome equivalents,” or “genome copies” as used in reference to a viral titer, refer to the number of virions containing the recombinant AAV DNA genome, regardless of infectivity or functionality. The number of genome particles in a particular vector preparation can be measured by procedures such as described in the Examples herein, or for example, in Clark *et al.* (1999) *Hum. Gene Ther.*, 10:1031-1039; Veldwijk *et al.* (2002) *Mol. Ther.*, 6:272-278.

[0083] The terms “infection unit (iu),” “infectious particle,” or “replication unit,” as used in reference to a viral titer, refer to the number of infectious and replication-competent recombinant AAV vector particles as measured by the infectious center assay, also known as replication center assay, as described, for example, in McLaughlin *et al.* (1988) *J. Virol.*, 62:1963-1973.

[0084] The term “transducing unit (tu)” as used in reference to a viral titer, refers to the number of infectious recombinant AAV vector particles that result in the production of a functional transgene product as measured in functional assays such as described in Examples herein, or for example, in Xiao *et al.* (1997) *Exp. Neurobiol.*, 144:113-124; or in Fisher *et al.* (1996) *J. Virol.*, 70:520-532 (LFU assay).

[0085] An “inverted terminal repeat” or “ITR” sequence is a term well understood in the art and refers to relatively short sequences found at the termini of viral genomes which are in opposite orientation.

[0086] An “AAV inverted terminal repeat (ITR)” sequence, a term well-understood in the art, is an approximately 145-nucleotide sequence that is present at both termini of the native single-stranded AAV genome. The outermost 125 nucleotides of the ITR can be present in either of two alternative orientations, leading to heterogeneity between different AAV genomes and between the two ends of a single AAV genome. The outermost 125 nucleotides also

contains several shorter regions of self-complementarity (designated A, A', B, B', C, C' and D regions), allowing intrastrand base-pairing to occur within this portion of the ITR.

[0087] A “terminal resolution sequence” or “trs” is a sequence in the D region of the AAV ITR that is cleaved by AAV rep proteins during viral DNA replication. A mutant terminal resolution sequence is refractory to cleavage by AAV rep proteins. “AAV helper functions” refer to functions that allow AAV to be replicated and packaged by a host cell. AAV helper functions can be provided in any of a number of forms, including, but not limited to, helper virus or helper virus genes which aid in AAV replication and packaging. Other AAV helper functions are known in the art such as genotoxic agents.

[0088] “AAV helper functions” refer to functions that allow AAV to be replicated and packaged by a host cell. AAV helper functions can be provided in any of a number of forms, including, but not limited to, helper virus or helper virus genes which aid in AAV replication and packaging. Other AAV helper functions are known in the art such as genotoxic agents.

[0089] A “helper virus” for AAV refers to a virus that allows AAV (which is a defective parvovirus) to be replicated and packaged by a host cell. A number of such helper viruses have been identified, including adenoviruses, herpesviruses, poxviruses such as vaccinia, and baculovirus. The adenoviruses encompass a number of different subgroups, although Adenovirus type 5 of subgroup C (Ad5) is most commonly used. Numerous adenoviruses of human, non-human mammalian and avian origin are known and are available from depositories such as the ATCC. Viruses of the herpes family, which are also available from depositories such as ATCC, include, for example, herpes simplex viruses (HSV), Epstein-Barr viruses (EBV), cytomegaloviruses (CMV) and pseudorabies viruses (PRV). Baculoviruses available from depositories include *Autographa californica* nuclear polyhedrosis virus.

[0090] “Percent (%) sequence identity” with respect to a reference polypeptide or nucleic acid sequence is defined as the percentage of amino acid residues or nucleotides in a candidate sequence that are identical with the amino acid residues or nucleotides in the reference polypeptide or nucleic acid sequence, after aligning the sequences and introducing gaps, if necessary, to achieve the maximum percent sequence identity, and not considering any conservative substitutions as part of the sequence identity. Alignment for purposes of determining percent amino acid or nucleic acid sequence identity can be achieved in various

ways that are within the skill in the art, for instance, using publicly available computer software programs, for example, those described in Current Protocols in Molecular Biology (Ausubel *et al.*, eds., 1987), Supp. 30, section 7.7.18, Table 7.7.1, and including BLAST, BLAST-2, ALIGN or Megalign (DNASTAR) software. A potential alignment program is ALIGN Plus (Scientific and Educational Software, Pennsylvania). Those skilled in the art can determine appropriate parameters for measuring alignment, including any algorithms needed to achieve maximal alignment over the full length of the sequences being compared. For purposes herein, the % amino acid sequence identity of a given amino acid sequence A to, with, or against a given amino acid sequence B (which can alternatively be phrased as a given amino acid sequence A that has or comprises a certain % amino acid sequence identity to, with, or against a given amino acid sequence B) is calculated as follows: 100 times the fraction X/Y , where X is the number of amino acid residues scored as identical matches by the sequence alignment program in that program's alignment of A and B, and where Y is the total number of amino acid residues in B. It will be appreciated that where the length of amino acid sequence A is not equal to the length of amino acid sequence B, the % amino acid sequence identity of A to B will not equal the % amino acid sequence identity of B to A. For purposes herein, the % nucleic acid sequence identity of a given nucleic acid sequence C to, with, or against a given nucleic acid sequence D (which can alternatively be phrased as a given nucleic acid sequence C that has or comprises a certain % nucleic acid sequence identity to, with, or against a given nucleic acid sequence D) is calculated as follows: 100 times the fraction W/Z , where W is the number of nucleotides scored as identical matches by the sequence alignment program in that program's alignment of C and D, and where Z is the total number of nucleotides in D. It will be appreciated that where the length of nucleic acid sequence C is not equal to the length of nucleic acid sequence D, the % nucleic acid sequence identity of C to D will not equal the % nucleic acid sequence identity of D to C.

[0091] An “isolated” molecule (*e.g.*, nucleic acid or protein) or cell means it has been identified and separated and/or recovered from a component of its natural environment.

[0092] “Mass spectrometry” refers to the analytical chemistry technique of identifying an amount and/or type of a compound (*e.g.*, a polypeptide) by measuring the mass-to-charge ratio and abundance of gas-phase ions. The term “mass spectrometry” may be used interchangeably herein.

[0093] “Heterogeneity” when used in reference to an AAV capsid refers to an AAV capsid characterized by one or more capsid polypeptides observed to deviate from a reference mass of a VP1, VP2, and/or VP3 polypeptide, or fragment thereof. A reference mass may include, without limitation, a theoretical, predicted, or expected mass of a VP1, VP2, and/or VP3 polypeptide, *e.g.*, of a known AAV serotype. For example, an AAV capsid may be said to display heterogeneity if it demonstrates one or more of the following properties (without limitation): a mixed serotype, a variant capsid, a capsid amino acid substitution, a truncated capsid, or a modified capsid.

[0094] Reference to “about” a value or parameter herein includes (and describes) embodiments that are directed to that value or parameter *per se*. For example, description referring to “about X” includes description of “X.”

[0095] As used herein, the singular form of the articles “a,” “an,” and “the” includes plural references unless indicated otherwise.

[0096] It is understood that aspects and embodiments of the invention described herein include “comprising,” “consisting,” and/or “consisting essentially of” aspects and embodiments.

III. Methods

[0097] Certain aspects of the present disclosure relate to methods of determining the serotype of a viral particle. Other aspects of the present disclosure relate to methods of determining the heterogeneity of a viral particle. As described below, the accurate masses of VP1, VP2 and VP3 of each AAV serotype are unique and can be used to identify or differentiate AAV capsid serotypes. These methods are based in part on the discovery described herein that direct LC/MS of different types of AAVs after denaturation may be used to monitor the protein sequence and post-translational modifications with accurate mass measurement in the intact protein level. Further, acetylations of N-termini of VP1 and VP3 may also be identified and/or monitored in different AAV serotypes. Based on these AAV results and the guidance provided herein, it is contemplated that such methods may readily be applied to profile a variety of viruses, *e.g.*, the viral families, subfamilies, and genera of the present disclosure. The methods of the present disclosure may find use, *e.g.*, in profile VPs to monitor VP expressions, posttranslational modifications, and truncations and to ensure product consistency during VLP

production, to confirm site-direct mutagenesis or structural characterization for capsid protein engineering applications, and/or to monitor or detect heterogeneity of a viral particle or preparation.

[0098] In some embodiments, the methods include denaturing a viral particle. In some embodiments, a viral particle such as an AAV particle may be denatured using detergent, heat, high salt, or buffering with a low or high pH. In certain embodiments, an AAV particle may be denatured using acetic acid or guanidine hydrochloride. The skilled artisan will recognize that a variety of methods useful for promoting and/or monitoring protein denaturation are available in the art and may suitably select a denaturation method compatible with liquid chromatography/mass spectrometry. For example, if heat denaturation is used, care may be applied to avoid protein precipitation and reverse phase column clogging. Similarly, high salt denaturation may be coupled with a desalting step prior to LC/MS or LC/MS/MS. In other embodiments, high pH denaturation, low pH denaturation, or denaturation using organic solvents is used.

[0099] In some embodiments, the methods include subjecting a denatured viral particle of the present disclosure to liquid chromatography/mass spectrometry (LC/MS). As is known in the art, LC/MS utilizes liquid chromatography for physical separation of ions and mass spectrometry for generation of mass spectral data from the ions. Such mass spectral data may be used to determine, *e.g.*, molecular weight or structure, identification of particles by mass, quantity, purity, and so forth. These data may represent properties of the detected ions such as signal strength (*e.g.*, abundance) over time (*e.g.*, retention time), or relative abundance over mass-to-charge ratio.

[0100] In some embodiments, liquid chromatography (*e.g.*, used in LC/MS as described herein) is ultra-performance liquid chromatography (UPLC; the term “ultra high performance liquid chromatography” or UHPLC may be used interchangeably herein). UPLC is known in the art as an LC technique that relies upon a column with reduced particle size (*e.g.*, less than 2 μ m) and increased flow velocity to improve chromatographic resolution, efficiency, peak capacity, and sensitivity (see, *e.g.*, Plumb, R. *et al.* (2004) *Rapid Commun. Mass Spectrom.* 18:2331-2337). In some embodiments, UPLC refers to the use of a column with a particle size less than 2 μ m in liquid chromatography. In some embodiments, UPLC refers to the use of a high linear

solvent velocity (*e.g.*, as observed when operating at 6000 psi or higher) in liquid chromatography. Exemplary UPLC machines are commercially available (*e.g.*, the ACQUITY UPLC® from Waters; Milford, MA).

[0101] In some embodiments, mass spectrometry (*e.g.*, used in LC/MS as described herein) may refer to electrospray ionization mass spectrometry (ESI-MS). ESI-MS is known in the art as a technique that uses electrical energy to analyze ions derived from a solution using mass spectrometry (see, *e.g.*, Yamashita, M. and Fenn, J.B. (1984) *J. Phys. Chem.* 88:4451-4459). Ionic species (or neutral species that are ionized in solution or in gaseous phase) are transferred from a solution to a gaseous phase by dispersal in an aerosol of charged droplets, followed by solvent evaporation that reduces the size of the charged droplets and sample ion ejection from the charge droplets as the solution is passed through a small capillary with a voltage relative to ground (*e.g.*, the wall of the surrounding chamber). In some embodiments, the capillary voltage is from about 2 kV to about 10 kV, or about 2.5 kV to about 6.0 kV. In certain embodiments, liquid chromatography (*e.g.*, used in LC/MS as described herein) uses a capillary voltage of about 3.5 kV. In some embodiments the capillary voltage ranges from about 1 kV to about 10 kV. In other embodiments, mass spectrometry (*e.g.*, used in LC/MS as described herein) may refer to matrix-assisted laser desorption/ionization (MALDI).

[0102] In some embodiments, mass spectrometry (*e.g.*, used in LC/MS as described herein) uses a sampling cone and/or skimmer, through which ions may pass before entering the analyzer. In some embodiments, *e.g.*, when applying voltage to the capillary as described above, the sample cone is held at a lower voltage than the capillary voltage. In certain embodiments, liquid chromatography (*e.g.*, used in LC/MS as described herein) uses a sampling cone voltage of about 45 V. In some embodiments the sampling cone voltage ranges from about 0 V to about 200 V.

[0103] In some embodiments, mass spectrometry (*e.g.*, used in LC/MS as described herein) uses assisted calibration. Calibration, when used in reference to mass spectrometry, may include the introduction of one or more compounds having a known mass (*e.g.*, a standard) for the purpose of calibrating the instrument with respect to mass detection (*e.g.*, *m/z* measurements). In some embodiments, assisted calibration may refer to using software to correlate a peak and/or position of a known standard (*e.g.*, a calibrant) to a specific mass-to-charge (*m/z*) ratio. Once

calibrated, the user may then perform mass spectrometry on a sample having one or more unknown compounds, or compounds present at an unknown concentration, within a certain degree of accuracy or error, and/or a desired level of reproducibility, *e.g.*, as compared to a previous or known experimental condition. Various calibrants are known in the art, including without limitation sodium iodide, sodium cesium iodide, polyethylene glycol, and perfluorotributylamine. In certain embodiments, sodium iodide is used as a calibrant. In some embodiments the calibrants are Glu-1-fibrinopeptide B and leucine enkephalin peptide to lock mass during LC/MS operation.

[0104] In some embodiments, the methods include subjecting a denatured viral particle of the present disclosure, or subjecting digested fragments of a denatured viral particle of the present disclosure, to liquid chromatography/mass spectrometry-mass spectrometry (LC/MS/MS). As is known in the art, LC/MS/MS (the term “liquid chromatography-tandem mass spectrometry” may be used interchangeably herein) utilizes liquid chromatography for physical separation of ions and mass spectrometry for generation of mass spectral data from the ions, where the mass spectrometry uses multiple stages of mass (*e.g.*, m/z) separation, typically separated by a fragmentation step. For example, ions of interest within a range of m/z may be separated out in a first round of MS, fragmented, and then further separated based on individual m/z in a second round of MS. Ion fragmentation may include without limitation a technique such as collision-induced dissociation (CID), higher energy collision dissociation (HCD), electron-capture dissociation (ECD), or electron-transfer dissociation (ETD).

[0105] In some embodiments, the methods include subjecting a denatured viral particle of the present disclosure to reduction and/or alkylation. Means to reduce the viral particle include but are not limited to treatment with dithiothreitol, β -mercaptoethanol, or tris(2-carboxyethyl)phosphine (TCEP). Means to alkylate the viral particle include but are not limited to treating the AAV particle with iodoacetic acid, iodoacetamide, or 4-vinylpyridine.

[0106] In some embodiments, the methods include subjecting a denatured viral particle of the present disclosure to digestion, *e.g.*, to generate fragments of VP1, VP2 and/or VP3 of an AAV particle. For example, a denatured AAV particle may be subjected to digestion to generate peptide fragments that may be analyzed, *e.g.*, using LC for separation and MS/MS for analysis

(see below for greater description). In some embodiments, the digestion is an enzymatic digestion. In some embodiments, the digestion uses chemical digestion such as CNBr treatment of instrument fragmentation (e.g., top down). In some embodiments, the digestion uses chemical digestion such as acid digestion.

[0107] In some embodiments, the enzymatic digestion is an endopeptidase digestion. An endopeptidase may include any peptidase that catalyzes the proteolysis of peptide bonds of non-terminal amino acids of a polypeptide. Known endopeptidases may include, without limitation, trypsin, chymotrypsin, AspN, Glu-C, LysC, pepsin, thermolysin, glutamyl endopeptidase, elastase, and neprilysin. In certain embodiments, the enzymatic digestion is a trypsin digestion or a LysC digestion.

[0108] In some embodiments, the liquid chromatography (*e.g.*, used in LC/MS or LC/MS/MS as described herein) is reverse phase liquid chromatography (the terms “reversed phase liquid chromatography” or RPLC may be used interchangeably herein with reverse phase liquid chromatography). As is known in the art, reverse phase liquid chromatography may refer to a chromatographic separation using a hydrophobic stationary phase (*e.g.*, a support or substrate such as a column) to adsorb hydrophobic molecules in a polar mobile phase. By decreasing the polarity of the mobile phase (*e.g.*, by adding an organic solvent), one may achieve gradient separation of molecules by hydrophobicity, since more hydrophobic molecules will stay on the column in higher concentrations of organic solvent due to stronger hydrophobic interactions with the column. In some embodiment, separation is by capillary electrophoresis (CE), size exclusion chromatography (SEC), ion exchange chromatography (IEC) such as cation exchange chromatography, hydrophobic interaction chromatography (HIC), hydrophilic interaction liquid chromatography (HILIC), but not limited to on-line LC/MS such as offline separation before MS; *e.g.*, tips, columns; plates or cartridges.

[0109] Generally, a stationary phase suitable for reverse phase liquid chromatography (*e.g.*, a hydrophobic moiety) may be coupled to a support including without limitation a column or resin packed with particles or beads (*e.g.*, porous silica particles or polystyrene). A variety of hydrophobic stationary phases are known in the art, including without limitation hydrophobic alkyl chains, octyl or octadecyl silyl moieties, cyano moieties, and amino moieties. In some

embodiments, the stationary phase may include a hydrophobic alkyl chain of a particular length, such as C4, C8, or C18. In certain embodiments, the reverse phase chromatography is a C4 or C8 reverse chromatography (*e.g.*, reverse phase chromatography utilizing a C4 or C8 stationary phase). One of skill in the art may suitably select a stationary phase based on the molecule of interest (*e.g.*, a denatured AAV particle or fragment thereof).

[0110] A variety of mobile phases suitable for reverse phase liquid chromatography are known in the art. As described above, a reverse phase liquid chromatography mobile phase may include a mixture of organic (*e.g.*, hydrophobic) and aqueous (*e.g.*, polar) solvents. Increasing the proportion of organic solvent increases its power to elute hydrophobic compounds from the stationary phase. Compound retention and/or selectivity may be altered, *e.g.*, by changing the type or exposure of the stationary phase, adding polar reagents such as end capping reagents, altering the temperature, and/or altering mobile phase characteristics such as the proportion of organic solvent, pH, buffers, and the type of organic solvent used. In some embodiments, the polar component of the mobile phase may include without limitation water or an aqueous buffer. In some embodiments, the polar component of the mobile phase may include without limitation acetonitrile, methanol, ethanol, isopropyl alcohol, tetrahydrofuran (THF), and formic acid.

[0111] In some embodiments, two or more mobile phases may be used (*e.g.*, mobile phase A, mobile phase B, etc.) in a gradient or proportion of interest. In certain embodiments, the chromatography uses a mobile phase A comprising formic acid in water. In certain embodiments, the mobile phase A comprises about 0.1% formic acid. In certain embodiments, the mobile phase A comprises about 0.1% to about 5% formic acid. In certain embodiments, the chromatography uses a mobile phase B comprising formic acid in acetonitrile. In certain embodiments, the mobile phase B comprises about 0.1% formic acid.

[0112] In some embodiments, the proportion of mobile phase B in the chromatography increases over time. For example, the proportion of mobile phase B in the chromatography may be increased in a stepwise manner. In certain embodiments, mobile phase B increases from about 10% to about 20%, from about 20% to about 30%, and from about 30% to about 38%. In other embodiments, mobile phase B increases from about 2% to about 60%. In other embodiments, mobile phase B increases from about 2% to about 100% from about 1 min to

about 200 min. In some embodiments, the remainder of the mobile phase is a second mobile phase of the present disclosure, *e.g.*, mobile phase A. In certain embodiments, mobile phase B increases from about 10% to about 20% in about 6 minutes, from about 20% to about 30% in about 10 minutes, and from about 30% to about 38% in about 40 minutes. In other embodiments, mobile phase B increases from about 2% to about 60% in about 121 minutes. One of skill in the art may suitably adjust the mobile phase of interest and the gradient timing used based on the desired chromatographic separation and/or analyte of interest.

[0113] In some embodiments, the liquid chromatography is high-performance liquid chromatography (HPLC). HPLC is known in the art as a form of liquid chromatography in which a liquid solvent containing a sample is pressurized as it passes through a column containing solid phase. While traditional or low pressure LC may use gravity to pass a mobile phase through the solid phase, HPLC uses pumps to apply a pressure to the mobile phase and typically uses a solid phase with smaller particles to increase resolution. In some embodiments, the HPLC uses a pressure of between about 50 bar and about 350 bar. In some embodiments, reversed phase HPLC may be used to concentrate and/or desalt proteins (*e.g.*, AAV capsid proteins) for MS analysis.

[0114] In some embodiments, one or more parameters including without limitation source voltage, capillary temperature, ESI voltage (if using ESI-MS), CID energy, and the number of MS/MS events may be adjusted, *e.g.*, in LC/MS/MS as used herein, based on the findings described herein. In some embodiments, mass spectrometry (*e.g.*, used in LC/MS/MS as described herein) uses a source voltage (*e.g.*, capillary voltage) of about 2.5 kV. In some embodiments, mass spectrometry (*e.g.*, used in LC/MS/MS as described herein) uses a capillary temperature of about 275°C. In some embodiments, the capillary temperature ranges from about 20°C to about 400°C.

[0115] A variety of mass analyzers suitable for LC/MS and/or LC/MS/MS are known in the art, including without limitation time-of-flight (TOF) analyzers, quadrupole mass filters, quadrupole TOF (QTOF), and ion traps (*e.g.*, a Fourier transform-based mass spectrometer or an Orbitrap). In Orbitrap, a barrel-like outer electrode at ground potential and a spindle-like central electrode are used to trap ions in trajectories rotating elliptically around the central electrode with

oscillations along the central axis, confined by the balance of centrifugal and electrostatic forces. The use of such instruments employs a Fourier transform operation to convert a time domain signal (*e.g.*, frequency) from detection of image current into a high resolution mass measurement (*e.g.*, nano LC/MS/MS). Further descriptions and details may be found, *e.g.*, in Scheltema, R.A. *et al.* (2014) *Mol. Cell Proteomics* 13:3698-3708; Perry, R.H. *et al.* (2008) *Mass. Spectrom. Rev.* 27:661-699; and Scigelova, M. *et al.* (2011) *Mol. Cell Proteomics* 10:M111.009431.

[0116] As described above, in some embodiments, the MS includes nano LC/MS/MS, *e.g.*, using an Orbitrap mass analyzer. In some embodiments, the ion source may include a stacked-ring ion guide or S-lens. As is known in the art, an S-lens may be employed to focus the ion beam using radio frequency (RF), thereby increasing transmission of ions into the instrument. This may improve sensitivity (*e.g.*, for low-intensity ions) and/or improve the scan rate. In certain embodiments, the S-lens RF level of the mass spectrometry is about 55%. In certain embodiments, the S-lens RF level of the mass spectrometry is about 20% to about 100%.

[0117] In some embodiments, masses of viral capsid proteins may be determined, *e.g.*, based on LC/MS and/or LC/MS/MS data. In some embodiments, masses of VP1, VP2 and VP3 of an AAV particle, or of fragments of VP1, VP2 and VP3 of the AAV particle, may be determined, *e.g.*, based on LC/MS and/or LC/MS/MS data. Various methods to determine protein mass and/or identity from MS data are known in the art. For example, peptide mass fingerprinting may be used to determine protein sequence based on MS data, or proteins may be identified based on MS/MS data related to one or more constituent peptides. When using tandem MS, product ion scanning may be used to analyze *m/z* data related to one or more peptides of a protein of interest. Software known in the art may then be used, *e.g.*, to match identified peaks to reference or known peaks, to group peaks into isotopomer envelopes, and so forth. Peptide mass values may be compared to a database of known peptide sequences. For example, Mascot may be used to match observed peptides with theoretical database peptides, *e.g.*, resulting from application of a particular digest pattern to an *in silico* protein database. Other suitable software may include without limitation Proteome Discoverer, ProteinProspector, X!Tandem, Pepfinder, Bonics, or MassLynx™ (Waters). Other software suitable for various steps of MS data analysis may be found, *e.g.*, at www.ms-utils.org/wiki/pmwiki.php/Main/SoftwareList.

[0118] In some embodiments, a determined or calculated mass of the present disclosure (*e.g.*, the determined or calculated mass of VP1, VP2 and/or VP3 of the AAV particle) may be compared with a reference, *e.g.*, a theoretical mass of a VP1, VP2, and/or VP3 of one or more AAV serotypes. A reference of the present disclosure may include a theoretical mass of a VP1, VP2, and/or VP3 of one or more of any of the AAV serotypes described herein. For example, in some embodiments, the masses of VP1, VP2, and/or VP3 are compared to the theoretical masses of one or more of an AAV1 capsid, an AAV2 capsid, an AAV3 capsid, an AAV4 capsid, an AAV5 capsid, an AAV6 capsid, an AAV7 capsid, an AAV8 capsid, an AAVrh8 capsid, an AAV9 capsid, an AAV10 capsid, an AAVrh10 capsid, an AAV11 capsid, an AAV12 capsid, an AAV LK03 capsid (*see* US Patent No. 9,169,299), an AAV2R471A capsid, an AAV2/2-7m8 capsid, an AAV DJ capsid (*see* US Patent No. 7,588,772), an AAV DJ8 capsid, an AAV2 N587A capsid, an AAV2 E548A capsid, an AAV2 N708A capsid, an AAV V708K capsid, a goat AAV capsid, an AAV1/AAV2 chimeric capsid, a bovine AAV capsid, or a mouse AAV capsid rAAV2/HBoV1 (chimeric AAV / human bocavirus virus 1), an AAV2HBKO capsid, an AAVPHP.B capsid or an AAVPHP.eB capsid. In some embodiments, a determined or calculated mass of the present disclosure (*e.g.*, the determined or calculated mass of VP1, VP2 and/or VP3 of the AAV particle) may be compared with a theoretical mass of a VP1, VP2, and/or VP3 of the corresponding AAV serotype.

[0119] In some embodiments, the methods of the present disclosure may include determining the heterogeneity of an AAV particle. In some embodiments, a deviation of one or more of the masses of VP1, VP2 and/or VP3 (*e.g.*, from a reference mass, such as a theoretical, predicted, or expected mass) is indicative of the AAV capsid heterogeneity. In some embodiments, heterogeneity may include one or more of the following, without limitation: mixed serotypes, variant capsids, capsid amino acid substitutions, truncated capsids, or modified capsids.

[0120] In some embodiments, the use of LC/MS and LC/MS/MS as described herein may be combined. In some embodiments, a method of determining the serotype of an AAV particle may include subjecting a denatured AAV particle to LC/MS (*e.g.*, as described herein) and determining the masses of VP1, VP2 and VP3 of the AAV particle; as well as subjecting fragments of VP1, VP2 and/or VP3 to LC/MS/MS and determining the masses of fragments of VP1, VP2 and VP3 of the AAV particle (the specific combination of masses of fragments of

VP1, VP2 and VP3 are indicative of the AAV serotype). In some embodiments, a method of determining the heterogeneity of an AAV particle may include subjecting a denatured AAV particle to LC/MS (*e.g.*, as described herein), determining the masses of VP1, VP2 and VP3 of the AAV particle, and comparing these masses with the theoretical masses of VP1, VP2 and VP3 of the AAV serotype; as well as subjecting fragments of VP1, VP2 and/or VP3 to LC/MS/MS, determining the masses of fragments of VP1, VP2 and VP3 of the AAV particle, and comparing these masses with the theoretical masses of VP1, VP2 and VP3 of the AAV serotype (a deviation of one or more of the masses of VP1, VP2 or VP3 are indicative of the AAV capsid heterogeneity).

[0121] In some embodiments, an AAV particle of the present disclosure may be acetylated. For example, in some embodiments, the N-terminus of VP1 and/or VP3 is acetylated. As described in greater detail below, the amino acid at the 2nd position to the initiating methionine (iMet X) of an AAV capsid protein may be mutated in order to determine its effect on N-terminal (Nt-) acetylation, as well as the functional consequences of affecting Nt-acetylation on AAV particle trafficking, transduction, and/or post-translational modification (*e.g.*, glycosylation, ubiquitination, and so forth). In some embodiments, the N-terminus of an AAV capsid protein (*e.g.*, VP1 or VP3) may refer to the first amino acid after the initiating methionine, which in some cases may be removed by, *e.g.*, a Met-aminopeptidase.

[0122] In some embodiments, an AAV particle of the present disclosure (*e.g.*, a recombinant AAV or rAAV particle) comprises an amino acid substitution at amino acid residue 2 of VP1 and/or VP3. In some embodiments, the amino acid substitution at amino acid residue 2 of VP1 and/or VP3 leads to a VP1 and/or VP3 with a different frequency or proportion of N-terminal acetylation as compared to a reference (*e.g.*, the parent AAV particle before the amino acid substitution, or an AAV particle with a different amino acid residue 2 of VP1 and/or VP3). In some embodiments, the amino acid substitution at amino acid residue 2 of VP1 and/or VP3 alters N-terminal acetylation as compared to N-terminal acetylation at amino acid residue 2 of VP1 and/or VP3 of the parent AAV particle. For example, in certain embodiments, the amino acid substitution at amino acid residue 2 of VP1 alters N-terminal acetylation as compared to N-terminal acetylation at amino acid residue 2 of VP1 of the parent AAV particle. In certain embodiments, the amino acid substitution at amino acid residue 2 of VP3 alters N-terminal

acetylation as compared to N-terminal acetylation at amino acid residue 2 of VP3 of the parent AAV particle. In some embodiments, an amino acid substitution (*e.g.*, an amino acid substitution at amino acid residue 2 of VP1 or VP3) that “alters” N-terminal acetylation results in a higher frequency of N-terminal acetylation or a lower frequency of N-terminal acetylation, *e.g.*, as compared to a VP1 or VP3 without the substitution, such as the parental VP1 or VP3. The VP1 and/or VP3 may belong to any of the exemplary AAV serotypes described herein, including variants or hybrids thereof (*e.g.*, bearing tyrosine mutation or heparin binding mutations). Exemplary assays for N-terminal acetylation include without limitation mass spectrometry, isotope labeling (*e.g.*, with an isotope-labeled acetyl group or precursor thereof), Western blotting with an acetylation-specific antibody, and so forth. In some embodiments, amino acid residue 2 of the AAV capsid protein (*e.g.*, VP1 or VP3) is substituted with Cys, Ser, Thr, Val, Gly, Asn, Asp, Glu, Ile, Leu, Phe, Gln, Lys, Met, Pro or Tyr. In some embodiments, the amino acid substitution results in less deamidation of the AAV capsid.

[0123] In some embodiments, an AAV particle of the present disclosure may be deamidated. For example, in some embodiments, N57 of VP1 and/or N382, N511, and/or N715 VP3 is deamidated. As described in greater detail below, an amino acid selected from A35 of VP1, N57 of VP1, G58 of VP1, N382 of VP3, G383 of VP3, N511 of VP3, G512 of VP3, N715 of VP3, or G716 of VP3 of an AAV capsid protein (*e.g.*, VP1 or VP3) may be mutated in order to determine its effect on deamidation, as well as the functional consequences of affecting deamidation on AAV particle trafficking, transduction, and/or post-translational modification (*e.g.*, glycosylation, ubiquitination, and so forth).

[0124] In some embodiments, an AAV particle of the present disclosure (*e.g.*, a recombinant AAV or rAAV particle) comprises an amino acid substitution at one or more amino acid residues selected from A35 of VP1, N57 of VP1, G58 of VP1, N382 of VP3, G383 of VP3, N511 of VP3, G512 of VP3, N715 of VP3, and G716 of VP3. In some embodiments, the amino acid substitution at A35 of VP1, N57 of VP1, G58 of VP1, N382 of VP3, G383 of VP3, N511 of VP3, G512 of VP3, N715 of VP3, and/or G716 of VP3 leads to a VP1 and/or VP3 with a different frequency or proportion of deamidation as compared to a reference (*e.g.*, the parent AAV particle before the amino acid substitution, or an AAV particle with a different corresponding amino acid residue 2). In some embodiments, an amino acid substitution (*e.g.*, an

amino acid substitution at A35 of VP1, N57 of VP1, G58 of VP1, N382 of VP3, G383 of VP3, N511 of VP3, G512 of VP3, N715 of VP3, or G716 of VP3) that “alters” deamidation results in a higher frequency of deamidation or a lower frequency of deamidation, *e.g.*, as compared to a VP1 or VP3 without the substitution, such as the parental VP1 or VP3. The VP1 and/or VP3 may belong to any of the exemplary AAV serotypes described herein, including variants or hybrids thereof (*e.g.*, bearing tyrosine mutation or heparin binding mutations). Exemplary assays for deamidation include without limitation mass spectrometry, HPLC (see, *e.g.*, the ISOQUANT® isoaspartate detection kit from Promega), and so forth. In some embodiments, N57 of VP1, N382 of VP3, N511 of VP3, and/or N715 of VP3 is substituted with Asp, and the amino acid substitution results in a higher frequency of deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle. In other embodiments, the amino acid substitution is N57K or N57Q, and the amino acid substitution results in a lower frequency of deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle. In yet other embodiments, G58 of VP1, G383 of VP3, G512 of VP3, and/or G716 of VP3 is substituted with an amino acid that is not Gly (*e.g.*, Ala, Arg, Asn, Asp, Cys, Glu, Gln, His, Ile, Leu, Lys, Met, Phe, Pro, Ser, Thr, Trp, Tyr, or Val), and the amino acid substitution results in a lower frequency of deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle. In yet other embodiments, A35 of VP1 is substituted with Asn and results in a higher frequency of deamidation as compared to deamidation of VP1 of a parent particle.

[0125] As used herein “N-acetylation” refers to a process whereby an acetyl group is covalently added to the amino group of the N-terminal amino acid of a protein. Typically, N-terminal acetyltransferases (NATs) transfer an acetyl group from acetyl-coenzyme A (Ac-CoA) to the α -amino group of the first amino acid residue of the protein.

[0126] As used here in, “deamidation” refers to a chemical reaction in which an amide functional group in the side chain of asparagine or glutamine is removed or converted to another functional group. For example, asparagine may be converted to aspartic acid or isoaspartic acid. In other examples, glutamine is converted to glutamic acid or pyroglutamic acid (5-oxoproline).

[0127] In some embodiments, the AAV particle is N-acetylated to a higher extent compared to a parental AAV capsid protein. In some embodiments, the AAV particle comprises more than

about any of 5%, 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95%, or 100% more N-acetyl groups compared to a parent AAV particle. In some embodiments, the AAV particle comprises between about any of 5%-10%, 10%-15%, 15%-20%, 20%-25%, 25%-30%, 30%-35%, 35%-40%, 40%-55%, 45%-50%, 50%-55%, 55%-60%, 60%-65%, 65%-70%, 70%-75%, 75%-80%, 80%-85%, 85%-90%, 90%-95%, 95%-100%, 5-25%, 25-50%, 50-75%, 75%-100%, 5-50% or 50%-100% more N-acetyl groups compared to a parent AAV particle. In some embodiments, the AAV particle comprises more than about any of 2-fold, 3-fold, 4-fold, 5-fold, 10-fold, 25-fold, 50-fold, 100-fold, 500-fold, or 1000-fold more N-acetyl groups compared to a parent AAV particle. In some embodiments, the AAV particle comprises between about any of 2-fold to 3-fold, 3-fold to 4-fold, 4-fold to 5-fold, 5-fold to 10-fold, 10-fold to 25-fold, 25-fold to 50-fold, 50-fold to 100-fold, 100-fold to 500-fold, 500-fold to 1000-fold, 2-fold to 10-fold, 10-fold to 100-fold, or 100-fold to 1000-fold more N-acetyl groups compared to a parent AAV particle.

[0128] In some embodiments, the AAV particle N-acetylated to a lower extent compared to a parental AAV capsid protein. In some embodiments, the AAV particle comprises more than about any of 5%, 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95%, or 100% less N-acetyl groups compared to a parent AAV particle. In some embodiments, the AAV particle comprises between about any of 5%-10%, 10%-15%, 15%-20%, 20%-25%, 25%-30%, 30%-35%, 35%-40%, 40%-55%, 45%-50%, 50%-55%, 55%-60%, 60%-65%, 65%-70%, 70%-75%, 75%-80%, 80%-85%, 85%-90%, 90%-95%, 95%-100%, 5-25%, 25-50%, 50-75%, 75%-100%, 5-50% or 50%-100% less N-acetyl groups compared to a parent AAV particle. In some embodiments, the AAV particle comprises more than about any of 2-fold, 3-fold, 4-fold, 5-fold, 10-fold, 25-fold, 50-fold, 100-fold, 500-fold, or 1000-fold less N-acetyl groups compared to a parent AAV particle. In some embodiments, the AAV particle comprises between about any of 2-fold to 3-fold, 3-fold to 4-fold, 4-fold to 5-fold, 5-fold to 10-fold, 10-fold to 25-fold, 25-fold to 50-fold, 50-fold to 100-fold, 100-fold to 500-fold, 500-fold to 1000-fold, 2-fold to 10-fold, 10-fold to 100-fold, or 100-fold to 1000-fold less N-acetyl groups compared to a parent AAV particle.

[0129] In some embodiments, the AAV particle is deamidated to a higher extent compared to a parental AAV particle. In some embodiments, the AAV particle is more than about any of 5%,

10%, 15%, 20%, 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95%, or 100% more deamidated compared to a parent AAV particle. In some embodiments, the AAV particle is deamidated between about any of 5%-10%, 10%-15%, 15%-20%, 20%-25%, 25%-30%, 30%-35%, 35%-40%, 40%-55%, 45%-50%, 50%-55%, 55%-60%, 60%-65%, 65%-70%, 70%-75%, 75%-80%, 80%-85%, 85%-90%, 90%-95%, 95%-100%, 5-25%, 25-50%, 50-75%, 75%-100%, 5-50% or 50%-100% more than a parent AAV particle. In some embodiments, the AAV particle is deamidated more than about any of 2-fold, 3-fold, 4-fold, 5-fold, 10-fold, 25-fold, 50-fold, 100-fold, 500-fold, or 1000-fold compared to a parent AAV particle. In some embodiments, the AAV particle is deamidated between about any of 2-fold to 3-fold, 3-fold to 4-fold, 4-fold to 5-fold, 5-fold to 10-fold, 10-fold to 25-fold, 25-fold to 50-fold, 50-fold to 100-fold, 100-fold to 500-fold, 500-fold to 1000-fold, 2-fold to 10-fold, 10-fold to 100-fold, or 100-fold to 1000-fold more than a parent AAV particle.

[0130] In some embodiments, a capsid protein of AAV is deamidated to a lower extent compared to a parental AAV capsid protein. In some embodiments, the AAV particle is more than about any of 5%, 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95%, or 100% less deamidated compared to a parent AAV particle. In some embodiments, the AAV particle is deamidated between about any of 5%-10%, 10%-15%, 15%-20%, 20%-25%, 25%-30%, 30%-35%, 35%-40%, 40%-55%, 45%-50%, 50%-55%, 55%-60%, 60%-65%, 65%-70%, 70%-75%, 75%-80%, 80%-85%, 85%-90%, 90%-95%, 95%-100%, 5-25%, 25-50%, 50-75%, 75%-100%, 5-50% or 50%-100% less than a parent AAV particle. In some embodiments, the AAV particle is deamidated more than about any of 2-fold, 3-fold, 4-fold, 5-fold, 10-fold, 25-fold, 50-fold, 100-fold, 500-fold, or 1000-fold less than a parent AAV particle. In some embodiments, the AAV particle is deamidated between about any of 2-fold to 3-fold, 3-fold to 4-fold, 4-fold to 5-fold, 5-fold to 10-fold, 10-fold to 25-fold, 25-fold to 50-fold, 50-fold to 100-fold, 100-fold to 500-fold, 500-fold to 1000-fold, 2-fold to 10-fold, 10-fold to 100-fold, or 100-fold to 1000-fold less than a parent AAV particle.

[0131] The invention provides any combination of N-acetylation and deamidation. For example, the AAV capsid protein may be N-acetylated to a higher extent than a parent AAV capsid protein and deamidated to a higher extent than a parent AAV capsid protein, the AAV capsid protein may be N-acetylated to a higher extent than a parent AAV capsid protein and

deamidated to the same extent than a parent AAV capsid protein, the AAV capsid protein may be N-acetylated to a higher extent than a parent AAV capsid protein and deamidated to a lower extent than a parent AAV capsid protein, the AAV capsid protein may be N-acetylated to the same extent than a parent AAV capsid protein and deamidated to a higher extent than a parent AAV capsid protein, the AAV capsid protein may be N-acetylated to the same extent than a parent AAV capsid protein and deamidated to the same extent than a parent AAV capsid protein, the AAV capsid protein may be N-acetylated to the same extent than a parent AAV capsid protein and deamidated to a lower extent than a parent AAV capsid protein, the AAV capsid protein may be N-acetylated to a lower extent than a parent AAV capsid protein and deamidated to a higher extent than a parent AAV capsid protein, the AAV capsid protein may be N-acetylated to a lower extent than a parent AAV capsid protein and deamidated to the same extent than a parent AAV capsid protein, or the AAV capsid protein may be N-acetylated to a lower extent than a parent AAV capsid protein and deamidated to a lower extent than a parent AAV capsid protein.

IV. Vectors

[0132] In certain aspects, the invention relates to viral particles, suitable for use in any of the methods described herein, which may comprise AAV vectors (*e.g.*, rAAV vectors) or vectors derived from another virus. In some embodiments, the viral particle comprises a vector encoding a heterologous nucleic acid, *e.g.*, a heterologous transgene. In some embodiments, the AAV particle comprises an AAV vector genome encoding a heterologous nucleic acid, *e.g.*, a heterologous transgene.

[0133] The present invention contemplates the use of a recombinant viral genome for introduction of one or more nucleic acid sequences encoding a therapeutic polypeptide and/or nucleic acid for packaging into a rAAV viral particle. The recombinant viral genome may include any element to establish the expression of the therapeutic polypeptide and/or nucleic acid, for example, a promoter, an ITR of the present disclosure, a ribosome binding element, terminator, enhancer, selection marker, intron, polyA signal, and/or origin of replication.

[0134] In some embodiments, the heterologous nucleic acid encodes a therapeutic polypeptide. A therapeutic polypeptide may, *e.g.*, supply a polypeptide and/or enzymatic activity that is

absent or present at a reduced level in a cell or organism. Alternatively, a therapeutic polypeptide may supply a polypeptide and/or enzymatic activity that indirectly counteracts an imbalance in a cell or organism. For example, a therapeutic polypeptide for a disorder related to buildup of a metabolite caused by a deficiency in a metabolic enzyme or activity may supply a missing metabolic enzyme or activity, or it may supply an alternate metabolic enzyme or activity that leads to reduction of the metabolite. A therapeutic polypeptide may also be used to reduce the activity of a polypeptide (*e.g.*, one that is overexpressed, activated by a gain-of-function mutation, or whose activity is otherwise misregulated) by acting, *e.g.*, as a dominant-negative polypeptide.

[0135] The nucleic acids of the invention may encode polypeptides that are intracellular proteins, anchored in the cell membrane, remain within the cell, or are secreted by the cell transduced with the vectors of the invention. For polypeptides secreted by the cell that receives the vector; the polypeptide can be soluble (*i.e.*, not attached to the cell). For example, soluble polypeptides are devoid of a transmembrane region and are secreted from the cell. Techniques to identify and remove nucleic acid sequences which encode transmembrane domains are known in the art.

[0136] The nucleic acids of the invention (*e.g.* the AAV vector genome) may comprise as a transgene, a nucleic acid encoding a protein or functional RNA that modulates or treats a CNS-associated disorder. The following is a non-limiting list of genes associated with CNS-associated disorders: neuronal apoptosis inhibitory protein (NAIP), nerve growth factor (NGF), glial-derived growth factor (GDNF), brain-derived growth factor (BDNF), ciliary neurotrophic factor (CNTF), tyrosine hydroxylase (TH), GTP-cyclohydrolase (GTPCH), aspartoacylase (ASPA), superoxide dismutase (SOD1), an anti-oxidant, an anti-angiogenic polypeptide, an anti-inflammatory polypeptide, and amino acid decarboxylase (AADC). For example, a useful transgene in the treatment of Parkinson's disease encodes TH, which is a rate limiting enzyme in the synthesis of dopamine. A transgene encoding GTPCH, which generates the TH cofactor tetrahydrobiopterin, may also be used in the treatment of Parkinson's disease. A transgene encoding GDNF or BDNF, or AADC, which facilitates conversion of L-Dopa to DA, may also be used for the treatment of Parkinson's disease. For the treatment of ALS, a useful transgene may encode: GDNF, BDNF or CNTF. Also for the treatment of ALS, a useful transgene may

encode a functional RNA, *e.g.*, shRNA, miRNA, that inhibits the expression of SOD1. For the treatment of ischemia a useful transgene may encode NAIP or NGF. A transgene encoding Beta-glucuronidase (GUS) may be useful for the treatment of certain lysosomal storage diseases (*e.g.*, Mucopolysaccharidosis type VII (MPS VII)). A transgene encoding a prodrug activation gene, *e.g.*, HSV-Thymidine kinase which converts ganciclovir to a toxic nucleotide which disrupts DNA synthesis and leads to cell death, may be useful for treating certain cancers, *e.g.*, when administered in combination with the prodrug. A transgene encoding an endogenous opioid, such as a β -endorphin may be useful for treating pain. Examples of anti-oxidants include without limitation SOD1; SOD2; Catalase; Sirtuins 1, 3, 4, or 5; NRF2; PGC1a; GCL (catalytic subunit); GCL (modifier subunit); adiponectin; glutathione peroxidase 1; and neuroglobin. Examples of anti-angiogenic polypeptides include without limitation angiostatin, endostatin, PEDF, a soluble VEGF receptor, and a soluble PDGF receptor. Examples of anti-inflammatory polypeptides include without limitation IL-10, soluble IL17R, soluble TNF-R, TNF-R-Ig, an IL-1 inhibitor, and an IL18 inhibitor. Other examples of transgenes that may be used in the rAAV vectors of the invention will be apparent to the skilled artisan (See, *e.g.*, Costantini L C, *et al.*, *Gene Therapy* (2000) 7, 93-109).

[0137] In some embodiments, the heterologous nucleic acid encodes a therapeutic nucleic acid. In some embodiments, a therapeutic nucleic acid may include without limitation an siRNA, an shRNA, an RNAi, a miRNA, an antisense RNA, a ribozyme or a DNAzyme. As such, a therapeutic nucleic acid may encode an RNA that when transcribed from the nucleic acids of the vector can treat a disorder by interfering with translation or transcription of an abnormal or excess protein associated with a disorder of the invention. For example, the nucleic acids of the invention may encode for an RNA which treats a disorder by highly specific elimination or reduction of mRNA encoding the abnormal and/or excess proteins. Therapeutic RNA sequences include RNAi, small inhibitory RNA (siRNA), micro RNA (miRNA), and/or ribozymes (such as hammerhead and hairpin ribozymes) that can treat disorders by highly specific elimination or reduction of mRNA encoding the abnormal and/or excess proteins.

[0138] In some embodiments, the therapeutic polypeptide or therapeutic nucleic acid is used to treat a disorder of the CNS. Without wishing to be bound to theory, it is thought that a therapeutic polypeptide or therapeutic nucleic acid may be used to reduce or eliminate the

expression and/or activity of a polypeptide whose gain-of-function has been associated with a disorder, or to enhance the expression and/or activity of a polypeptide to complement a deficiency that has been associated with a disorder (*e.g.*, a mutation in a gene whose expression shows similar or related activity). Non-limiting examples of disorders of the invention that may be treated by a therapeutic polypeptide or therapeutic nucleic acid of the invention (exemplary genes that may be targeted or supplied are provided in parenthesis for each disorder) include stroke (*e.g.*, *caspase-3*, *Beclin1*, *Ask1*, *PARI*, *HIF1 α* , *PUMA*, and/or any of the genes described in Fukuda, A.M. and Badaut, J. (2013) *Genes (Basel)* 4:435-456), Huntington's disease (mutant *HTT*), epilepsy (*e.g.*, *SCN1A*, *NMDAR*, *ADK*, and/or any of the genes described in Boison, D. (2010) *Epilepsia* 51:1659-1668), Parkinson's disease (alpha-synuclein), Lou Gehrig's disease (also known as amyotrophic lateral sclerosis; *SOD1*), Alzheimer's disease (tau, amyloid precursor protein), corticobasal degeneration or CBD (tau), corticogasal ganglionic degeneration or CBGD (tau), frontotemporal dementia or FTD (tau), progressive supranuclear palsy or PSP (tau), multiple system atrophy or MSA (alpha-synuclein), cancer of the brain (*e.g.*, a mutant or overexpressed oncogene implicated in brain cancer), and lysosomal storage diseases (LSD). Disorders of the invention may include those that involve large areas of the cortex, *e.g.*, more than one functional area of the cortex, more than one lobe of the cortex, and/or the entire cortex. Other non-limiting examples of disorders of the invention that may be treated by a therapeutic polypeptide or therapeutic nucleic acid of the invention include traumatic brain injury, enzymatic dysfunction disorders, psychiatric disorders (including post-traumatic stress syndrome), neurodegenerative diseases, and cognitive disorders (including dementias, autism, and depression). Enzymatic dysfunction disorders include without limitation leukodystrophies (including Canavan's disease) and any of the lysosomal storage diseases described below.

[0139] In some embodiments, the therapeutic polypeptide or therapeutic nucleic acid is used to treat a lysosomal storage disease. As is commonly known in the art, lysosomal storage disease are rare, inherited metabolic disorders characterized by defects in lysosomal function. Such disorders are often caused by a deficiency in an enzyme required for proper mucopolysaccharide, glycoprotein, and/or lipid metabolism, leading to a pathological accumulation of lysosomally stored cellular materials. Non-limiting examples of lysosomal storage diseases of the invention that may be treated by a therapeutic polypeptide or therapeutic nucleic acid of the invention (exemplary genes that may be targeted or supplied are provided in parenthesis for each disorder)

include Gaucher disease type 2 or type 3 (acid beta-glucosidase, *GBA*), GM1 gangliosidosis (beta-galactosidase-1, *GLBI*), Hunter disease (iduronate 2-sulfatase, *IDS*), Krabbe disease (galactosylceramidase, *GALC*), a mannosidosis disease (a mannosidase, such as alpha-D-mannosidase, *MAN2B1*), β mannosidosis disease (beta-mannosidase, *MANBA*), metachromatic leukodystrophy disease (pseudoarylsulfatase A, *ARSA*), mucopolipidosisII/III disease (N-acetylglucosamine-1-phosphotransferase, *GNPTAB*), Niemann-Pick A disease (acid sphingomyelinase, *ASM*), Niemann-Pick C disease (Niemann-Pick C protein, *NPC1*), Pompe disease (acid alpha-1,4-glucosidase, *GAA*), Sandhoff disease (hexosaminidase beta subunit, *HEXB*), Sanfilippo A disease (N-sulfoglucosamine sulfohydrolase, *MPS3A*), Sanfilippo B disease (N-alpha-acetylglucosaminidase, *NAGLU*), Sanfilippo C disease (heparin acetyl-CoA:alpha-glucosaminidase N-acetyltransferase, *MPS3C*), Sanfilippo D disease (N-acetylglucosamine-6-sulfatase, *GNS*), Schindler disease (alpha-N-acetylgalactosaminidase, *NAGA*), Sly disease (beta-glucuronidase, *GUSB*), Tay-Sachs disease (hexosaminidase alpha subunit, *HEXA*), and Wolman disease (lysosomal acid lipase, *LIPA*).

[0140] Additional lysosomal storage diseases, as well as the defective enzyme associated with each disease, are listed in Table 1 below. In some embodiments, a disease listed in the table below is treated by a therapeutic polypeptide or therapeutic nucleic acid of the invention that complements or otherwise compensates for the corresponding enzymatic defect.

Table 1. Lysosomal storage disorders and associated defective enzymes.

Lysosomal storage disease	Defective enzyme
Aspartylglucosaminuria	Aspartylglucosaminidase
Fabry	Alpha-galactosidase A
Infantile Batten Disease (CNL1)	Palmitoyl protein thioesterase
Classic Late Infantile Batten Disease (CNL2)	Tripeptidyl peptidase
Juvenile Batten Disease (CNL3)	Lysosomal transmembrane protein
Batten, other forms (CNL4-CNL8)	multiple gene products
Cystinosis	Cysteine transporter
Farber	Acid ceramidase
Fucosidosis	Acid alpha-L-fucosidase
Galactosidosis	Protective protein/cathepsin A
Gaucher types 1, 2, and 3	Acid beta-glucosidase
GM1 gangliosidosis	Acid beta-galactosidase
Hunter	Iduronate-2-sulfatase

Hurler-Scheie	Alpha-L-iduronidase
Krabbe	Galactocerebrosidase
alpha-mannosidosis	Acid alpha-mannosidase
beta-mannosidosis	Acid beta-mannosidase
Maroteaux-Lamy	Arylsulfatase B
Metachromatic leukodystrophy	Arylsulfatase A
Morquio A	N-acetylgalactosamine-6-sulfate
Morquio B	Acid beta-galactosidase
Mucopolysaccharidosis II/III	N-acetylglucosamine-1-phosphotransferase
Niemann-Pick A, B	Acid sphingomyelinase
Niemann-Pick C	NPC-1
Pompe acid	alpha-glucosidase
Sandhoff	beta-hexosaminidase B
Sanfilippo A	Heparan N-sulfatase
Sanfilippo B	alpha-N-acetylglucosaminidase
Sanfilippo C	Acetyl-CoA:alpha-glucosaminide N-acetyltransferase
Sanfilippo D	N-acetylglucosamine-6-sulfate
Schindler disease	alpha-N-acetylglucosaminidase
Schindler-Kanzaki	alpha-N-acetylglucosaminidase
Sialidosis	alpha-neuramidase
Sly	beta-glucuronidase
Tay-Sachs	beta-hexosaminidase A
Wolman	Acid lipase

[0141] In some embodiments, the heterologous nucleic acid is operably linked to a promoter. Exemplary promoters include, but are not limited to, the cytomegalovirus (CMV) immediate early promoter, the RSV LTR, the MoMLV LTR, the phosphoglycerate kinase- 1 (PGK) promoter, a simian virus 40 (SV40) promoter and a CK6 promoter, a transthyretin promoter (TTR), a TK promoter, a tetracycline responsive promoter (TRE), an HBV promoter, an hAAT promoter, a LSP promoter, chimeric liver-specific promoters (LSPs), the E2F promoter, the telomerase (hTERT) promoter; the cytomegalovirus enhancer/chicken beta-actin/Rabbit β -globin promoter (CAG promoter; Niwa *et al.*, *Gene*, 1991, 108(2):193-9) and the elongation factor 1-alpha promoter (EF1-alpha) promoter (Kim *et al.*, *Gene*, 1990, 91(2):217-23 and Guo *et al.*, *Gene Ther.*, 1996, 3(9):802-10). In some embodiments, the promoter comprises a human β -glucuronidase promoter or a cytomegalovirus enhancer linked to a chicken β -actin (CBA) promoter. The promoter can be a constitutive, inducible or repressible promoter. In some embodiments, the invention provides a recombinant vector comprising nucleic acid encoding a

heterologous transgene of the present disclosure operably linked to a CBA promoter. Exemplary promoters and descriptions may be found, *e.g.*, in U.S. PG Pub. 20140335054.

[0142] Examples of constitutive promoters include, without limitation, the retroviral Rous sarcoma virus (RSV) LTR promoter (optionally with the RSV enhancer), the cytomegalovirus (CMV) promoter (optionally with the CMV enhancer) [see, *e.g.*, Boshart *et al.*, *Cell*, 41:521-530 (1985)], the SV40 promoter, the dihydrofolate reductase promoter, the 13-actin promoter, the phosphoglycerol kinase (PGK) promoter, and the EF1a promoter [Invitrogen].

[0143] Inducible promoters allow regulation of gene expression and can be regulated by exogenously supplied compounds, environmental factors such as temperature, or the presence of a specific physiological state, *e.g.*, acute phase, a particular differentiation state of the cell, or in replicating cells only. Inducible promoters and inducible systems are available from a variety of commercial sources, including, without limitation, Invitrogen, Clontech and Ariad. Many other systems have been described and can be readily selected by one of skill in the art. Examples of inducible promoters regulated by exogenously supplied promoters include the zinc-inducible sheep metallothioneine (MT) promoter, the dexamethasone (Dex)-inducible mouse mammary tumor virus (MMTV) promoter, the T7 polymerase promoter system (WO 98/10088); the ecdysone insect promoter (No *et al.*, *Proc. Natl. Acad. Sci. USA*, 93:3346-3351 (1996)), the tetracycline-repressible system (Gossen *et al.*, *Proc. Natl. Acad. Sci. USA*, 89:5547-5551 (1992)), the tetracycline-inducible system (Gossen *et al.*, *Science*, 268:1766-1769 (1995), see also Harvey *et al.*, *Curr. Opin. Chem. Biol.*, 2:512-518 (1998)), the RU486-inducible system (Wang *et al.*, *Nat. Biotech.*, 15:239-243 (1997) and Wang *et al.*, *Gene Ther.*, 4:432-441 (1997)) and the rapamycin-inducible system (Magari *et al.*, *J. Clin. Invest.*, 100:2865-2872 (1997)). Still other types of inducible promoters which may be useful in this context are those which are regulated by a specific physiological state, *e.g.*, temperature, acute phase, a particular differentiation state of the cell, or in replicating cells only.

[0144] In another embodiment, the native promoter, or fragment thereof, for the transgene will be used. The native promoter can be used when it is desired that expression of the transgene should mimic the native expression. The native promoter may be used when expression of the transgene must be regulated temporally or developmentally, or in a tissue-specific manner, or in

response to specific transcriptional stimuli. In a further embodiment, other native expression control elements, such as enhancer elements, polyadenylation sites or Kozak consensus sequences may also be used to mimic the native expression

[0145] In some embodiments, the regulatory sequences impart tissue-specific gene expression capabilities. In some cases, the tissue-specific regulatory sequences bind tissue-specific transcription factors that induce transcription in a tissue specific manner. Such tissue-specific regulatory sequences (*e.g.*, promoters, enhancers, *etc.*) are well known in the art.

[0146] In some embodiments, the vector comprises an intron. For example, in some embodiments, the intron is a chimeric intron derived from chicken beta-actin and rabbit beta-globin. In some embodiments, the intron is a minute virus of mice (MVM) intron.

[0147] In some embodiments, the vector comprises a polyadenylation (polyA) sequence. Numerous examples of polyadenylation sequences are known in the art, such as a bovine growth hormone (BGH) Poly(A) sequence (see, *e.g.*, accession number EF592533), an SV40 polyadenylation sequence, and an HSV TK pA polyadenylation sequence.

V. Viral particles and methods of producing viral particles

[0148] Certain aspects of the present disclosure relate to recombinant viral particles (*e.g.*, rAAV particles).

[0149] Based on the guidance provided herein, the techniques of the present disclosure may suitably be adapted by one of skill in the art for use with a variety of different viruses.

[0150] In some embodiments, the virus is of the family *Adenoviridae*, which includes non-enveloped viruses typically known as Adenoviruses. In some embodiments, the virus is of the genus *Atadenovirus*, *Aviadenovirus*, *Ichadenovirus*, *Mastadenovirus*, or *Siadenovirus*.

[0151] In some embodiments, the virus is of the family *Parvoviridae*, which includes non-enveloped viruses such as AAV and Bocaparvovirus. In some embodiments, the virus is of the subfamily *Densovirinae*. In some embodiments, the virus is of the genus *Ambidensovirus*, *Brevidensovirus*, *Hepandensovirus*, *Iteradensovirus*, or *Penstyldensovirus*. In some embodiments, the virus is of the subfamily *Parvovirinae*. In some embodiments, the virus is of

the genus *Amdoparvovirus*, *Aveparvovirus*, *Bocaparvovirus*, *Copiparvovirus*, *Dependoparvovirus*, *Erythroparvovirus*, *Protoparvovirus*, or *Tetraparvovirus*.

[0152] In some embodiments, the virus is of the family *Retroviridae*, which includes enveloped viruses including lentivirus. In some embodiments, the virus is of the subfamily *Orthoretrovirinae*. In some embodiments, the virus is of the genus *Alpharetrovirus*, *Betaretrovirus*, *Deltaretrovirus*, *Epsilonretrovirus*, *Gammaretrovirus*, or *Lentivirus*. In some embodiments, the virus is of the subfamily *Spumaretrovirinae*. In some embodiments, the virus is of the genus *Spumavirus*.

[0153] In some embodiments, the virus is of the family *Baculoviridae*, which includes enveloped viruses including alphabaculovirus. In some embodiments, the virus is of the genus *Alphabaculovirus*, *Betabaculovirus*, *Deltabaculovirus*, or *Gammabaculovirus*.

[0154] In some embodiments, the virus is of the family *Herpesviridae*, which includes enveloped viruses such as the simplex viruses HSV-1 and HSV-2. In some embodiments, the virus is of the subfamily *Alphaherpesvirinae*. In some embodiments, the virus is of the genus *Iltovirus*, *Mardivirus*, *Simplexvirus*, or *Varicellovirus*. In some embodiments, the virus is of the subfamily *Betaherpesvirinae*. In some embodiments, the virus is of the genus *Cytomegalovirus*, *Muromegalovirus*, *Proboscivirus*, or *Roseolovirus*. In some embodiments, the virus is of the subfamily *Gammaherpesvirinae*. In some embodiments, the virus is of the genus *Lymphocryptovirus*, *Macavirus*, *Percavirus*, or *Rhadinovirus*.

[0155] In some embodiments, the virus is an AAV virus. In an AAV particle, a nucleic acid is encapsidated in the AAV particle. The AAV particle also comprises capsid proteins. In some embodiments, the nucleic acid comprises a heterologous nucleic acid and/or one or more of the following components, operatively linked in the direction of transcription, control sequences including transcription initiation and termination sequences, thereby forming an expression cassette.

[0156] In some embodiments, the viral particle comprises an AAV ITR sequence. For example, an expression cassette may be flanked on the 5' and 3' end by at least one functional AAV ITR sequence. By “functional AAV ITR sequences” it is meant that the ITR sequences

function as intended for the rescue, replication and packaging of the AAV virion. See Davidson *et al.*, *PNAS*, 2000, 97(7):3428-32; Passini *et al.*, *J. Virol.*, 2003, 77(12):7034-40; and Pechan *et al.*, *Gene Ther.*, 2009, 16:10-16, all of which are incorporated herein in their entirety by reference. For practicing some aspects of the invention, the recombinant vectors comprise at least all of the sequences of AAV essential for encapsidation and the physical structures for infection by the rAAV. AAV ITRs for use in the vectors of the invention need not have a wild-type nucleotide sequence (*e.g.*, as described in Kotin, *Hum. Gene Ther.*, 1994, 5:793-801), and may be altered by the insertion, deletion or substitution of nucleotides or the AAV ITRs may be derived from any of several AAV serotypes. More than 40 serotypes of AAV are currently known, and new serotypes and variants of existing serotypes continue to be identified. See Gao *et al.*, *PNAS*, 2002, 99(18): 11854-6; Gao *et al.*, *PNAS*, 2003, 100(10):6081-6; and Bossis *et al.*, *J. Virol.*, 2003, 77(12):6799-810. Use of any AAV serotype is considered within the scope of the present invention. In some embodiments, a rAAV vector is a vector derived from an AAV serotype, including without limitation, AAV1, AAV2, AAV3, AAV4, AAV5, AAV6, AAV7, AAV8, AAVrh8, AAVrh8R, AAV9, AAV10, AAVrh10, AAV11, AAV12, AAV LK03, AAV2R471A, AAV DJ, AAV DJ8, a goat AAV, bovine AAV, or mouse AAV ITRs or the like. In some embodiments, the nucleic acid in the AAV (*e.g.*, an rAAV vector) comprises an ITR of AAV1, AAV2, AAV3, AAV4, AAV5, AAV6, AAV7, AAV8, AAVrh8, AAVrh8R, AAV9, AAV10, AAVrh10, AAV11, AAV12, AAV LK03, AAV2R471A, AAV DJ, AAV DJ8, a goat AAV, bovine AAV, or mouse AAV ITRs or the like. In some embodiments, the AAV particle comprises an AAV vector encoding a heterologous transgene flanked by one or more AAV ITRs.

[0157] In some embodiments, a rAAV particle comprises an encapsulation protein selected from AAV1, AAV2, AAV3, AAV4, AAV5, AAV6 (*e.g.*, a wild-type AAV6 capsid, or a variant AAV6 capsid such as ShH10, as described in U.S. PG Pub. 2012/0164106), AAV7, AAV8, AAVrh8, AAVrh8R, AAV9 (*e.g.*, a wild-type AAV9 capsid, or a modified AAV9 capsid as described in U.S. PG Pub. 2013/0323226), AAV10, AAVrh10, AAV11, AAV12, a tyrosine capsid mutant, a heparin binding capsid mutant, an AAV2R471A capsid, an AAVAAV2/2-7m8 capsid, an AAV LK03 capsid, an AAV DJ capsid (*e.g.*, an AAV-DJ/8 capsid, an AAV-DJ/9 capsid, or any other of the capsids described in U.S. PG Pub. 2012/0066783), AAV2 N587A capsid, AAV2 E548A capsid, AAV2 N708A capsid, AAV V708K capsid, goat AAV capsid,

AAV1/AAV2 chimeric capsid, bovine AAV capsid, mouse AAV capsid, rAAV2/HBoV1 capsid, an AAV2HBKO capsid, an AAVPHP.B capsid or an AAVPHP.eB capsid, or an AAV capsid described in U.S. Pat. No. 8,283,151 or International Publication No. WO/2003/042397. In further embodiments, a rAAV particle comprises capsid proteins of an AAV serotype from Clades A-F.

[0158] Certain aspects of the present disclosure relate to an AAV (*e.g.*, a rAAV) capsid protein comprising an amino acid substitution at amino acid residue 2. In some embodiments, the amino acid substitution at amino acid residue 2 alters N-terminal acetylation compared to N-terminal acetylation at amino acid residue 2 of the parent AAV capsid protein. As described herein, the amino acid at the 2nd position to the initiating methionine (iMet X) of an AAV capsid protein may be examined for effects on N-terminal acetylation, trafficking, transduction, and/or other post-translational modification(s) (*e.g.*, glycosylation, ubiquitination, and so forth). Any assay described herein for examining acetylation, or a functional consequence thereof related to AAV particles, may be used to assess N-terminal acetylation. In some embodiments, amino acid residue 2 of the AAV capsid protein (*e.g.*, VP1 or VP3) is substituted with Cys, Ser, Thr, Val, Gly, Asn, Asp, Glu, Ile, Leu, Phe, Gln, Lys, Met, Pro or Tyr. In some embodiments, the amino acid substitution results in less deamidation of the AAV capsid.

[0159] Other aspects of the present disclosure relate to an AAV (*e.g.*, a rAAV) capsid protein comprising an amino acid substitution that alters deamidation. In some embodiments, an amino acid substitution (*e.g.*, an amino acid substitution at A35 of VP1, N57 of VP1, G58 of VP1, N382 of VP3, G383 of VP3, N511 of VP3, G512 of VP3, N715 of VP3, or G716 of VP3) that “alters” deamidation results in a higher frequency of deamidation or a lower frequency of deamidation, *e.g.*, as compared to a VP1 or VP3 without the substitution, such as the parental VP1 or VP3. As described herein, a potential deamidation site of an AAV capsid protein (*e.g.*, VP1 or VP3) may be examined for effects on deamidation, trafficking, transduction, and/or other post-translational modification(s) (*e.g.*, glycosylation, ubiquitination, and so forth). Any assay described herein for examining deamidation, or a functional consequence thereof related to AAV particles, may be used to assess deamidation.

[0160] Several potential deamidation sites are described herein. In some embodiments, an amino acid substitution that alters deamidation is selected from A35 of VP1, N57 of VP1, G58 of VP1, N382 of VP3, G383 of VP3, N511 of VP3, G512 of VP3, N715 of VP3, or G716 of VP3. For example, in some embodiments, N57 of VP1, N382 of VP3, N511 of VP3, and/or N715 of VP3 is substituted with Asp, and the amino acid substitution results in a higher frequency of deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle. In other embodiments, the amino acid substitution is N57K or N57Q, and the amino acid substitution results in a lower frequency of deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle. In yet other embodiments, G58 of VP1, G383 of VP3, G512 of VP3, and/or G716 of VP3 is substituted with an amino acid that is not Gly (*e.g.*, Ala, Arg, Asn, Asp, Cys, Glu, Gln, His, Ile, Leu, Lys, Met, Phe, Pro, Ser, Thr, Trp, Tyr, or Val), and the amino acid substitution results in a lower frequency of deamidation as compared to deamidation of VP1 and/or VP3 of the parent AAV particle.

[0161] In some embodiments, the AAV capsid protein is VP1, VP2, or VP3. The AAV particle may comprise any of the exemplary AAV capsid serotypes described herein, such as AAV1, AAV2, AAV3, AAV4, AAV5, AAV6, AAV7, AAV8, AAVrh8, AAVrh8R, AAV9, AAV10, AAVrh10, AAV11, AAV12, AAV LK03, AAV2R471A, AAV2/2-7m8, AAV DJ, AAV DJ8, AAV2 N587A, AAV2 E548A, AAV2 N708A, AAV V708K, goat AAV, AAV1/AAV2 chimeric, bovine AAV, mouse AAV, or rAAV2/HBoV1. The AAV capsid protein may further comprise any of the capsid protein mutations described herein, such as tyrosine and/or heparin binding mutations.

[0162] Other aspects of the present disclosure relate to methods of improving the stability of a rAAV particle. In some embodiments, the methods include substituting amino acid residue 2 of VP1 and/or VP3, *e.g.*, as described herein. For example, in some embodiments, amino acid residue 2 of VP1 is substituted. In other embodiments, amino acid residue 2 of VP3 is substituted. In some embodiments, the substituted amino acid at position 2 is N-acetylated at a higher frequency than amino acid residue 2 of the parent VP1 and/or VP3, *e.g.*, as described herein. In some embodiments, substituting amino acid residue 2 of VP1 and/or VP3 improves the stability of a rAAV particle by at least about 5%, at least about 10%, at least about 15%, at least about 20%, at least about 25%, at least about 30%, at least about 35%, at least about 40%,

at least about 45%, at least about 50%, at least about 55%, at least about 60%, at least about 65%, at least about 70%, at least about 75%, at least about 80%, at least about 85%, at least about 90%, at least about 95%, or at least about 100%. In some embodiments, the stability of a rAAV particle with a substituted amino acid at position 2 may be compared to a wild-type or parental AAV capsid, *e.g.*, of the same serotype. For example, in some embodiments, substituting amino acid residue 2 of VP1 and/or VP3 improves the stability of a rAAV particle by any one of about 10% to about 100%, about 20% to about 100%, about 30% to about 100%, about 40% to about 100%, about 50% to about 100%, about 60% to about 100%, about 70% to about 100%, about 80% to about 100%, about 90% to about 100%, about 10% to about 90%, about 20% to about 90%, about 30% to about 90%, about 40% to about 90%, about 50% to about 90%, about 60% to about 90%, about 70% to about 90%, about 80% to about 90%, about 10% to about 80%, about 20% to about 80%, about 30% to about 80%, about 40% to about 80%, about 50% to about 80%, about 60% to about 80%, about 70% to about 80%, about 10% to about 70%, about 20% to about 70%, about 30% to about 70%, about 40% to about 70%, about 50% to about 70%, about 60% to about 70%, about 10% to about 60%, about 20% to about 60%, about 30% to about 60%, about 40% to about 60%, about 50% to about 60%, about 10% to about 50%, about 20% to about 50%, about 30% to about 50%, about 40% to about 50%, about 10% to about 40%, about 20% to about 40%, about 30% to about 40%, about 10% to about 30%, about 20% to about 30%, or about 10% to about 20%, *e.g.*, as compared to stability of a rAAV particle comprising a wild-type capsid. AAV particle stability may be measured using various assays known in the art, including without limitation differential scanning fluorescence (DSF), differential scanning calorimetry (DSC), other thermal denaturation assays, susceptibility to proteolysis, imaging or structural analysis to observe denaturation (*e.g.*, using electron microscopy), transduction efficiency or another functional assay on AAV particle compositions kept for a designated time interval at a particular temperature (*e.g.*, room temperature, or 4°C, for thermal stability) or treated at a particular pH (*e.g.*, pH stability), and the like.

[0163] Other aspects of the present disclosure relate to methods of improving the assembly of a rAAV particle. In some embodiments, the methods include substituting amino acid residue 2 of VP1 and/or VP3, *e.g.*, as described herein. For example, in some embodiments, amino acid residue 2 of VP1 is substituted. In other embodiments, amino acid residue 2 of VP3 is substituted. In some embodiments, the substituted amino acid at position 2 is N-acetylated at a

higher frequency than amino acid residue 2 of the parent VP1 and/or VP3, *e.g.*, as described herein. In some embodiments, substituting amino acid residue 2 of VP1 and/or VP3 improves the assembly of a rAAV particle by at least about 5%, at least about 10%, at least about 15%, at least about 20%, at least about 25%, at least about 30%, at least about 35%, at least about 40%, at least about 45%, at least about 50%, at least about 55%, at least about 60%, at least about 65%, at least about 70%, at least about 75%, at least about 80%, at least about 85%, at least about 90%, at least about 95%, or at least about 100%. In some embodiments, the assembly of a rAAV particle with a substituted amino acid at position 2 may be compared to a wild-type or parental AAV capsid, *e.g.*, of the same serotype. For example, in some embodiments, substituting amino acid residue 2 of VP1 and/or VP3 improves the assembly of a rAAV particle by any one of about 10% to about 100%, about 20% to about 100%, about 30% to about 100%, about 40% to about 100%, about 50% to about 100%, about 60% to about 100%, about 70% to about 100%, about 80% to about 100%, about 90% to about 100%, about 10% to about 90%, about 20% to about 90%, about 30% to about 90%, about 40% to about 90%, about 50% to about 90%, about 60% to about 90%, about 70% to about 90%, about 80% to about 90%, about 10% to about 80%, about 20% to about 80%, about 30% to about 80%, about 40% to about 80%, about 50% to about 80%, about 60% to about 80%, about 70% to about 80%, about 10% to about 70%, about 20% to about 70%, about 30% to about 70%, about 40% to about 70%, about 50% to about 70%, about 60% to about 70%, about 10% to about 60%, about 20% to about 60%, about 30% to about 60%, about 40% to about 60%, about 50% to about 60%, about 10% to about 50%, about 20% to about 50%, about 30% to about 50%, about 40% to about 50%, about 10% to about 40%, about 20% to about 40%, about 30% to about 40%, about 10% to about 30%, about 20% to about 30%, or about 10% to about 20%, *e.g.*, as compared to assembly of a rAAV particle comprising a wild-type capsid. AAV particle assembly may be measured using various assays known in the art, including without limitation, measuring particle production amount and/or rate, quantifying capsid production (*e.g.*, after purification using any of the methods described herein), assaying production of complete vectors vs. empty capsids, measuring transduction efficiency, imaging or structural analysis to observe particle formation (*e.g.*, using electron microscopy), production of AAV capsid proteins (*e.g.*, as assayed by Western blotting), and the like.

[0164] Other aspects of the present disclosure relate to methods of improving the transduction of a rAAV particle. In some embodiments, the methods include substituting amino acid residue

2 of VP1 and/or VP3, *e.g.*, as described herein. For example, in some embodiments, amino acid residue 2 of VP1 is substituted. In other embodiments, amino acid residue 2 of VP3 is substituted. In some embodiments, the substituted amino acid at position 2 is N-acetylated at a higher frequency than amino acid residue 2 of the parent VP1 and/or VP3, *e.g.*, as described herein. In some embodiments, substituting amino acid residue 2 of VP1 and/or VP3 improves the transduction of a rAAV particle by at least about 5%, at least about 10%, at least about 15%, at least about 20%, at least about 25%, at least about 30%, at least about 35%, at least about 40%, at least about 45%, at least about 50%, at least about 55%, at least about 60%, at least about 65%, at least about 70%, at least about 75%, at least about 80%, at least about 85%, at least about 90%, at least about 95%, or at least about 100%. In some embodiments, the transduction of a rAAV particle with a substituted amino acid at position 2 may be compared to a wild-type or parental AAV capsid, *e.g.*, of the same serotype. For example, in some embodiments, substituting amino acid residue 2 of VP1 and/or VP3 improves the transduction of a rAAV particle by any one of about 10% to about 100%, about 20% to about 100%, about 30% to about 100%, about 40% to about 100%, about 50% to about 100%, about 60% to about 100%, about 70% to about 100%, about 80% to about 100%, about 90% to about 100%, about 10% to about 90%, about 20% to about 90%, about 30% to about 90%, about 40% to about 90%, about 50% to about 90%, about 60% to about 90%, about 70% to about 90%, about 80% to about 90%, about 10% to about 80%, about 20% to about 80%, about 30% to about 80%, about 40% to about 80%, about 50% to about 80%, about 60% to about 80%, about 70% to about 80%, about 10% to about 70%, about 20% to about 70%, about 30% to about 70%, about 40% to about 70%, about 50% to about 70%, about 60% to about 70%, about 10% to about 60%, about 20% to about 60%, about 30% to about 60%, about 40% to about 60%, about 50% to about 60%, about 10% to about 50%, about 20% to about 50%, about 30% to about 50%, about 40% to about 50%, about 10% to about 40%, about 20% to about 40%, about 30% to about 40%, about 10% to about 30%, about 20% to about 30%, or about 10% to about 20%, *e.g.*, as compared to transduction of a rAAV particle comprising a wild-type capsid. AAV particle transduction may be measured using various assays known in the art, including without limitation, the transduction efficiency assays described herein. In some embodiments, the invention provide methods of reducing the transduction of a rAAV particle; for example, by substituting amino acid residue 2 of VP1 and/or VP3.

[0165] Other aspects of the present disclosure relate to methods of improving the stability of a rAAV particle. In some embodiments, the methods include substituting an amino acid of VP1 and/or VP3 that alters deamidation, *e.g.*, as described herein. For example, in some embodiments, A35 of VP1, N57 of VP1, G58 of VP1, N382 of VP3, G383 of VP3, N511 of VP3, G512 of VP3, N715 of VP3, or G716 of VP3 is substituted. In some embodiments, the substituted amino acid is deamidated at a higher frequency than the amino acid residue of the parent VP1 and/or VP3, *e.g.*, as described herein. In some embodiments, substituting A35 of VP1, N57 of VP1, G58 of VP1, N382 of VP3, G383 of VP3, N511 of VP3, G512 of VP3, N715 of VP3, and/or G716 of VP3 improves the stability of a rAAV particle by at least about 5%, at least about 10%, at least about 15%, at least about 20%, at least about 25%, at least about 30%, at least about 35%, at least about 40%, at least about 45%, at least about 50%, at least about 55%, at least about 60%, at least about 65%, at least about 70%, at least about 75%, at least about 80%, at least about 85%, at least about 90%, at least about 95%, or at least about 100%. In some embodiments, the stability of a rAAV particle with a substituted A35 of VP1, N57 of VP1, G58 of VP1, N382 of VP3, G383 of VP3, N511 of VP3, G512 of VP3, N715 of VP3, or G716 of VP3 may be compared to a wild-type or parental AAV capsid, *e.g.*, of the same serotype. For example, in some embodiments, substituting A35 of VP1, N57 of VP1, G58 of VP1, N382 of VP3, G383 of VP3, N511 of VP3, G512 of VP3, N715 of VP3, and/or G716 of VP3 improves the stability of a rAAV particle by any one of about 10% to about 100%, about 20% to about 100%, about 30% to about 100%, about 40% to about 100%, about 50% to about 100%, about 60% to about 100%, about 70% to about 100%, about 80% to about 100%, about 90% to about 100%, about 10% to about 90%, about 20% to about 90%, about 30% to about 90%, about 40% to about 90%, about 50% to about 90%, about 60% to about 90%, about 70% to about 90%, about 80% to about 90%, about 10% to about 80%, about 20% to about 80%, about 30% to about 80%, about 40% to about 80%, about 50% to about 80%, about 60% to about 80%, about 70% to about 80%, about 10% to about 70%, about 20% to about 70%, about 30% to about 70%, about 40% to about 70%, about 50% to about 70%, about 60% to about 70%, about 10% to about 60%, about 20% to about 60%, about 30% to about 60%, about 40% to about 60%, about 50% to about 60%, about 10% to about 50%, about 20% to about 50%, about 30% to about 50%, about 40% to about 50%, about 10% to about 40%, about 20% to about 40%, about 30% to about 40%, about 10% to about 30%, about 20% to about 30%, or about 10% to about 20%, *e.g.*, as compared to

stability of a rAAV particle comprising a wild-type capsid. AAV particle stability may be measured using various assays known in the art, including without limitation differential scanning fluorescence (DSF), differential scanning calorimetry (DSC), other thermal denaturation assays, susceptibility to proteolysis, imaging or structural analysis to observe denaturation (*e.g.*, using electron microscopy), transduction efficiency or another functional assay on AAV particle compositions kept for a designated time interval at a particular temperature (*e.g.*, room temperature, or 4°C, for thermal stability) or treated at a particular pH (*e.g.*, pH stability), and the like.

[0166] Other aspects of the present disclosure relate to methods of improving the assembly of a rAAV particle. In some embodiments, the methods include substituting an amino acid of VP1 and/or VP3 that alters deamidation, *e.g.*, as described herein. For example, in some embodiments, A35 of VP1, N57 of VP1, G58 of VP1, N382 of VP3, G383 of VP3, N511 of VP3, G512 of VP3, N715 of VP3, or G716 of VP3 is substituted. In some embodiments, the substituted amino acid is deamidated at a higher frequency than the amino acid residue of the parent VP1 and/or VP3, *e.g.*, as described herein. In some embodiments, substituting A35 of VP1, N57 of VP1, G58 of VP1, N382 of VP3, G383 of VP3, N511 of VP3, G512 of VP3, N715 of VP3, and/or G716 of VP3 improves the assembly of a rAAV particle by at least about 5%, at least about 10%, at least about 15%, at least about 20%, at least about 25%, at least about 30%, at least about 35%, at least about 40%, at least about 45%, at least about 50%, at least about 55%, at least about 60%, at least about 65%, at least about 70%, at least about 75%, at least about 80%, at least about 85%, at least about 90%, at least about 95%, or at least about 100%. In some embodiments, the stability of a rAAV particle with a substituted A35 of VP1, N57 of VP1, G58 of VP1, N382 of VP3, G383 of VP3, N511 of VP3, G512 of VP3, N715 of VP3, or G716 of VP3 may be compared to a wild-type or parental AAV capsid, *e.g.*, of the same serotype. For example, in some embodiments, substituting A35 of VP1, N57 of VP1, G58 of VP1, N382 of VP3, G383 of VP3, N511 of VP3, G512 of VP3, N715 of VP3, and/or G716 of VP3 improves the assembly of a rAAV particle by any one of about 10% to about 100%, about 20% to about 100%, about 30% to about 100%, about 40% to about 100%, about 50% to about 100%, about 60% to about 100%, about 70% to about 100%, about 80% to about 100%, about 90% to about 100%, about 10% to about 90%, about 20% to about 90%, about 30% to about 90%, about 40% to about 90%, about 50% to about 90%, about 60% to about 90%, about 70% to about 90%,

about 80% to about 90%, about 10% to about 80%, about 20% to about 80%, about 30% to about 80%, about 40% to about 80%, about 50% to about 80%, about 60% to about 80%, about 70% to about 80%, about 10% to about 70%, about 20% to about 70%, about 30% to about 70%, about 40% to about 70%, about 50% to about 70%, about 60% to about 70%, about 10% to about 60%, about 20% to about 60%, about 30% to about 60%, about 40% to about 60%, about 50% to about 60%, about 10% to about 50%, about 20% to about 50%, about 30% to about 50%, about 40% to about 50%, about 10% to about 40%, about 20% to about 40%, about 30% to about 40%, about 10% to about 30%, about 20% to about 30%, or about 10% to about 20%, *e.g.*, as compared to assembly of a rAAV particle comprising a wild-type capsid. AAV particle assembly may be measured using various assays known in the art, including without limitation, measuring particle production amount and/or rate, quantifying capsid production (*e.g.*, after purification using any of the methods described herein), assaying production of complete vectors vs. empty capsids, measuring transduction efficiency, imaging or structural analysis to observe particle formation (*e.g.*, using electron microscopy), production of AAV capsid proteins (*e.g.*, as assayed by Western blotting), and the like.

[0167] Other aspects of the present disclosure relate to methods of improving the transduction of a rAAV particle. In some embodiments, the methods include substituting an amino acid of VP1 and/or VP3 that alters deamidation, *e.g.*, as described herein. For example, in some embodiments, A35 of VP1, N57 of VP1, G58 of VP1, N382 of VP3, G383 of VP3, N511 of VP3, G512 of VP3, N715 of VP3, or G716 of VP3 is substituted. In some embodiments, the substituted amino acid is deamidated at a higher frequency than the amino acid residue of the parent VP1 and/or VP3, *e.g.*, as described herein. In some embodiments, substituting A35 of VP1, N57 of VP1, G58 of VP1, N382 of VP3, G383 of VP3, N511 of VP3, G512 of VP3, N715 of VP3, and/or G716 of VP3 improves the transduction of a rAAV particle by at least about 5%, at least about 10%, at least about 15%, at least about 20%, at least about 25%, at least about 30%, at least about 35%, at least about 40%, at least about 45%, at least about 50%, at least about 55%, at least about 60%, at least about 65%, at least about 70%, at least about 75%, at least about 80%, at least about 85%, at least about 90%, at least about 95%, or at least about 100%. In some embodiments, the stability of a rAAV particle with a substituted A35 of VP1, N57 of VP1, G58 of VP1, N382 of VP3, G383 of VP3, N511 of VP3, G512 of VP3, N715 of VP3, or G716 of VP3 may be compared to a wild-type or parental AAV capsid, *e.g.*, of the same

serotype. For example, in some embodiments, substituting A35 of VP1, N57 of VP1, G58 of VP1, N382 of VP3, G383 of VP3, N511 of VP3, G512 of VP3, N715 of VP3, and/or G716 of VP3 improves the transduction of a rAAV particle by any one of about 10% to about 100%, about 20% to about 100%, about 30% to about 100%, about 40% to about 100%, about 50% to about 100%, about 60% to about 100%, about 70% to about 100%, about 80% to about 100%, about 90% to about 100%, about 10% to about 90%, about 20% to about 90%, about 30% to about 90%, about 40% to about 90%, about 50% to about 90%, about 60% to about 90%, about 70% to about 90%, about 80% to about 90%, about 10% to about 80%, about 20% to about 80%, about 30% to about 80%, about 40% to about 80%, about 50% to about 80%, about 60% to about 80%, about 70% to about 80%, about 10% to about 70%, about 20% to about 70%, about 30% to about 70%, about 40% to about 70%, about 50% to about 70%, about 60% to about 70%, about 10% to about 60%, about 20% to about 60%, about 30% to about 60%, about 40% to about 60%, about 50% to about 60%, about 10% to about 50%, about 20% to about 50%, about 30% to about 50%, about 40% to about 50%, about 10% to about 40%, about 20% to about 40%, about 30% to about 40%, about 10% to about 30%, about 20% to about 30%, or about 10% to about 20%, *e.g.*, as compared to transduction of a rAAV particle comprising a wild-type capsid. AAV particle transduction may be measured using various assays known in the art, including without limitation, the transduction efficiency assays described herein.

[0168] In some aspects, the invention provides viral particles comprising a recombinant self-complementing genome (*e.g.*, a self-complementary or self-complementing rAAV vector). AAV viral particles with self-complementing vector genomes and methods of use of self-complementing AAV genomes are described in US Patent Nos. 6,596,535; 7,125,717; 7,465,583; 7,785,888; 7,790,154; 7,846,729; 8,093,054; and 8,361,457; and Wang Z., *et al.*, (2003) *Gene Ther* 10:2105-2111, each of which are incorporated herein by reference in its entirety. A rAAV comprising a self-complementing genome will quickly form a double stranded DNA molecule by virtue of its partially complementing sequences (*e.g.*, complementing coding and non-coding strands of a heterologous nucleic acid). In some embodiments, the vector comprises a first nucleic acid sequence encoding a heterologous nucleic acid and a second nucleic acid sequence encoding a complement of the nucleic acid, where the first nucleic acid sequence can form intrastrand base pairs with the second nucleic acid sequence along most or all of its length.

[0169] In some embodiments, the first heterologous nucleic acid sequence and a second heterologous nucleic acid sequence are linked by a mutated ITR (*e.g.*, the right ITR). In some embodiments, the ITR comprises the polynucleotide sequence 5'-
CACTCCCTCTCTGCGCGCTCGCTCGCTCACTGAGGCC
GGGCGACCAAAGGTCGCCCCACGCCCCGGGCTTTGCCCGGGCG – 3' (SEQ ID NO:8). The mutated ITR comprises a deletion of the D region comprising the terminal resolution sequence. As a result, on replicating an AAV viral genome, the rep proteins will not cleave the viral genome at the mutated ITR and as such, a recombinant viral genome comprising the following in 5' to 3' order will be packaged in a viral capsid: an AAV ITR, the first heterologous polynucleotide sequence including regulatory sequences, the mutated AAV ITR, the second heterologous polynucleotide in reverse orientation to the first heterologous polynucleotide and a third AAV ITR.

[0170] Different AAV serotypes are used to optimize transduction of particular target cells or to target specific cell types within a particular target tissue (*e.g.*, a diseased tissue). A rAAV particle can comprise viral proteins and viral nucleic acids of the same serotype or a mixed serotype. For example, a rAAV particle may contain one or more ITRs and capsid derived from the same AAV serotype, or a rAAV particle may contain one or more ITRs derived from a different AAV serotype than capsid of the rAAV particle.

[0171] In some embodiments, the AAV capsid comprises a mutation, *e.g.*, the capsid comprises a mutant capsid protein. In some embodiments, the mutation is a tyrosine mutation or a heparin binding mutation. In some embodiments, a mutant capsid protein maintains the ability to form an AAV capsid. In some embodiments, the rAAV particle comprises an AAV2 or AAV5 tyrosine mutant capsid (see, *e.g.*, Zhong L. *et al.*, (2008) *Proc Natl Acad Sci U S A* 105(22):7827-7832), such as a mutation in Y444 or Y730 (numbering according to AAV2). In further embodiments, the rAAV particle comprises capsid proteins of an AAV serotype from Clades A-F (Gao, *et al.*, *J. Virol.* 2004, 78(12):6381).

[0172] In some embodiments, a capsid protein comprises one or more amino acid substitutions at one or more positions that interact with a heparin sulfate proteoglycan or at one or more positions corresponding to amino acids 484, 487, 527, 532, 585 or 588, numbering based on VP1

numbering of AAV2. Heparan sulfate proteoglycan (HSPG) is known in the art to act as the cellular receptor for AAV2 particles (Summerford, C. and Samulski, R.J. (1998) *J. Virol.* 72(2):1438-45). Binding between an AAV2 particle and HSPG at the cell membrane serves to attach the particle to the cell. Other cell surface proteins such as fibroblast growth factor receptor and $\alpha\beta 5$ integrin may also facilitate cellular infection. After binding, an AAV2 particle may enter the cell through mechanisms including receptor mediated endocytosis via clathrin-coated pits. An AAV2 particle may be released from an endocytic vesicle upon endosomal acidification. This allows the AAV2 particle to travel to the perinuclear region and then the cell nucleus. AAV3 particles are also known to bind heparin (Rabinowitz, J.E., *et al.* (2002) *J. Virol.* 76(2):791-801).

[0173] The binding between AAV2 capsid proteins and HSPG is known to occur via electrostatic interactions between basic AAV2 capsid protein residues and negatively charged glycosaminoglycan residues (Opie, SR *et al.*, (2003) *J. Virol.* 77:6995-7006; Kern, A *et al.*, (2003) *J. Virol.* 77:11072-11081). Specific capsid residues implicated in these interactions include R484, R487, K527, K532, R585, and R588. Mutations in these residues have been shown to reduce AAV2 binding to Hela cells and heparin itself (Opie, SR *et al.*, (2003) *J. Virol.* 77:6995-7006; Kern, A *et al.*, (2003) *J. Virol.* 77:11072-11081; WO 2004/027019 A2, US Patent No. 7,629,322). Further, without wishing to be bound to theory, it is thought that amino acid substitution(s) at one or more of the residues corresponding to amino acids 484, 487, 527, 532, 585 or 588, numbering based on VP1 numbering of AAV2 may modulate the transduction properties of AAV capsid types that do not bind to HSPG, or may modulate the transduction properties of AAV capsid types independent from their ability to bind HSPG. In some embodiments, the one or more amino acid substitutions comprises a substitution at position R484, R487, K527, K532, R585 and/or R588 of VP1, VP2 and/or VP3, numbering based on VP1 of AAV2.

[0174] In some embodiments, the one or more amino acid substitutions reduce binding of the rAAV particle to the heparin sulfate proteoglycan by about at least 10%, about at least 25%, about at least 50%, about at least 75%, or about at least 100%. In some embodiments, the one or more amino acid substitutions reduce binding of the rAAV particle to the heparin sulfate proteoglycan by about at least 10%, about at least 15%, about at least 20%, about at least 25%,

about at least 30%, about at least 35%, about at least 40%, about at least 45%, about at least 50%, about at least 55%, about at least 60%, about at least 65%, about at least 70%, about at least 75%, about at least 80%, about at least 85%, about at least 90%, about at least 95%, or about at least 100% (as compared to binding of a rAAV particle comprising a wild-type capsid). In some embodiments, the one or more amino acid substitutions reduce binding of the rAAV particle to the heparin sulfate proteoglycan by any one of about 10% to about 100%, about 20% to about 100%, about 30% to about 100%, about 40% to about 100%, about 50% to about 100%, about 60% to about 100%, about 70% to about 100%, about 80% to about 100%, about 90% to about 100%, about 10% to about 90%, about 20% to about 90%, about 30% to about 90%, about 40% to about 90%, about 50% to about 90%, about 60% to about 90%, about 70% to about 90%, about 80% to about 90%, about 10% to about 80%, about 20% to about 80%, about 30% to about 80%, about 40% to about 80%, about 50% to about 80%, about 60% to about 80%, about 70% to about 80%, about 10% to about 70%, about 20% to about 70%, about 30% to about 70%, about 40% to about 70%, about 50% to about 70%, about 60% to about 70%, about 10% to about 60%, about 20% to about 60%, about 30% to about 60%, about 40% to about 60%, about 50% to about 60%, about 10% to about 50%, about 20% to about 50%, about 30% to about 50%, about 40% to about 50%, about 10% to about 40%, about 20% to about 40%, about 30% to about 40%, about 10% to about 30%, about 20% to about 30%, or about 10% to about 20%, (as compared to binding of a rAAV particle comprising a wild-type capsid). In some embodiments, the one or more amino acid substitutions results in no detectable binding of the rAAV particle to the heparin sulfate proteoglycan compared to binding of a wild-type rAAV particle. Means to measure binding of AAV particles to HSPG are known in the art; *e.g.*, binding to a heparin sulfate chromatography media or binding to a cell known to express HSPG on its surface. For example, see Opie, SR *et al.*, (2003) *J. Virol.* 77:6995-7006 and Kern, A *et al.*, (2003) *J. Virol.* 77:11072-11081. In some embodiments, the one or more amino acid substitutions improve the transduction efficiency of the rAAV particle to a cell (*e.g.*, a cell in the eye or CNS) by about at least 10%, about at least 15%, about at least 20%, about at least 25%, about at least 30%, about at least 35%, about at least 40%, about at least 45%, about at least 50%, about at least 55%, about at least 60%, about at least 65%, about at least 70%, about at least 75%, about at least 80%, about at least 85%, about at least 90%, about at least 95%, or about at least 100% (as compared to transduction efficiency of a rAAV particle comprising a wild-type capsid). In some

embodiments, the one or more amino acid substitutions improve the transduction efficiency of the rAAV particle to a cell (*e.g.*, a cell in the eye or CNS) by any one of about 10% to about 100%, about 20% to about 100%, about 30% to about 100%, about 40% to about 100%, about 50% to about 100%, about 60% to about 100%, about 70% to about 100%, about 80% to about 100%, about 90% to about 100%, about 10% to about 90%, about 20% to about 90%, about 30% to about 90%, about 40% to about 90%, about 50% to about 90%, about 60% to about 90%, about 70% to about 90%, about 80% to about 90%, about 10% to about 80%, about 20% to about 80%, about 30% to about 80%, about 40% to about 80%, about 50% to about 80%, about 60% to about 80%, about 70% to about 80%, about 10% to about 70%, about 20% to about 70%, about 30% to about 70%, about 40% to about 70%, about 50% to about 70%, about 60% to about 70%, about 10% to about 60%, about 20% to about 60%, about 30% to about 60%, about 40% to about 60%, about 50% to about 60%, about 10% to about 50%, about 20% to about 50%, about 30% to about 50%, about 40% to about 50%, about 10% to about 40%, about 20% to about 40%, about 30% to about 40%, about 10% to about 30%, about 20% to about 30%, or about 10% to about 20%, (as compared to transduction efficiency of a rAAV particle comprising a wild-type capsid). Means to measure transduction efficiency of AAV particles to a cell (*e.g.*, a cell in culture or part of a tissue) are known in the art. For example, a population of cells (*e.g.*, in culture or part of a tissue) may be infected with a concentration of rAAV particles containing a vector that, when expressed in the cells, produces an assayable reporter (*e.g.*, GFP fluorescence, sFLT production, etc.).

AAV capsid proteins

[0175] In some aspects, the invention provides an AAV capsid protein comprising an amino acid substitution at amino acid residue 2; wherein the amino acid substitution at amino acid residue 2 alters N-terminal acetylation compared to N-terminal acetylation at amino acid residue 2 of the parent AAV capsid protein. In some embodiments, the AAV capsid protein is VP1 or VP3. In some embodiments, amino acid residue 2 of the AAV capsid protein (*e.g.*, VP1 or VP3) is substituted with Cys, Ser, Thr, Val, Gly, Asn, Asp, Glu, Ile, Leu, Phe, Gln, Lys, Met, Pro or Tyr. In some embodiments, the amino acid substitution results in less deamidation of the AAV capsid protein. Non-limiting examples of AAV capsid proteins of the invention include VP1 and/or VP3 of any of the following AAV serotypes: AAV1, AAV2, AAV3, AAV4, AAV5,

AAV6, AAV7, AAV8, AAVrh8, AAVrh8R, AAV9, AAV10, AAVrh10, AAV11, AAV12, AAV LK03, AAV2R471A, AAV2/2-7m8, AAV DJ, AAV DJ8, AAV2 N587A, AAV2 E548A, AAV2 N708A, AAV V708K, goat AAV, AAV1/AAV2 chimeric, bovine AAV, mouse AAV, or rAAV2/HBoV1 serotype capsid. In some embodiments, the AAV capsid further comprises a tyrosine mutation or a heparin binding mutation.

Production of AAV particles

[0176] Numerous methods are known in the art for production of rAAV vectors, including transfection, stable cell line production, and infectious hybrid virus production systems which include adenovirus-AAV hybrids, herpesvirus-AAV hybrids (Conway, JE *et al.*, (1997) *J. Virology* 71(11):8780-8789) and baculovirus-AAV hybrids (Urabe, M. *et al.*, (2002) *Human Gene Therapy* 13(16):1935-1943; Kotin, R. (2011) *Hum Mol Genet.* 20(R1): R2–R6). rAAV production cultures for the production of rAAV viral particles all require; 1) suitable host cells, 2) suitable helper virus function, 3) AAV rep and cap genes and gene products; 4) a nucleic acid (such as a therapeutic nucleic acid) flanked by at least one AAV ITR sequences (*e.g.*, an AAV genome encoding GNPTAB); and 5) suitable media and media components to support rAAV production. In some embodiments, the suitable host cell is a primate host cell. In some embodiments, the suitable host cell is a human-derived cell lines such as HeLa, A549, 293, or Perc.6 cells. In some embodiments, the suitable helper virus function is provided by wild-type or mutant adenovirus (such as temperature sensitive adenovirus), herpes virus (HSV), baculovirus, or a plasmid construct providing helper functions. In some embodiments, the AAV rep and cap gene products may be from any AAV serotype. In general, but not obligatory, the AAV rep gene product is of the same serotype as the ITRs of the rAAV vector genome as long as the rep gene products may function to replicated and package the rAAV genome. Suitable media known in the art may be used for the production of rAAV vectors. These media include, without limitation, media produced by Hyclone Laboratories and JRH including Modified Eagle Medium (MEM), Dulbecco's Modified Eagle Medium (DMEM), custom formulations such as those described in U.S. Patent No. 6,566,118, and Sf-900 II SFM media as described in U.S. Patent No. 6,723,551, each of which is incorporated herein by reference in its entirety, particularly with respect to custom media formulations for use in production of recombinant AAV vectors. In some embodiments, the AAV helper functions are provided by adenovirus or HSV. In some

embodiments, the AAV helper functions are provided by baculovirus and the host cell is an insect cell (*e.g.*, *Spodoptera frugiperda* (Sf9) cells). In some embodiments, the AAV cap functions provide an amino acid substitution at amino acid residue 2 of VP1 and/or VP3, wherein the amino acid substitution at amino acid residue 2 of VP1 and/or VP3 alters N-terminal acetylation compared to N-terminal acetylation at amino acid residue 2 of VP1 and/or VP3 of the parent AAV particle. In some embodiments, amino acid residue 2 of the AAV capsid protein (*e.g.*, VP1 or VP3) is substituted with Cys, Ser, Thr, Val, Gly, Asn, Asp, Glu, Ile, Leu, Phe, Gln, Lys, Met, Pro or Tyr. In some embodiments, the amino acid substitution results in less deamidation of the AAV capsid.

[0177] One method for producing rAAV particles is the triple transfection method. Briefly, a plasmid containing a rep gene and a capsid gene, along with a helper adenoviral plasmid, may be transfected (*e.g.*, using the calcium phosphate method) into a cell line (*e.g.*, HEK-293 cells), and virus may be collected and optionally purified. As such, in some embodiments, the rAAV particle was produced by triple transfection of a nucleic acid encoding the rAAV vector, a nucleic acid encoding AAV rep and cap, and a nucleic acid encoding AAV helper virus functions into a host cell, wherein the transfection of the nucleic acids to the host cells generates a host cell capable of producing rAAV particles.

[0178] In some embodiments, rAAV particles may be produced by a producer cell line method (see Martin *et al.*, (2013) *Human Gene Therapy Methods* 24:253-269; U.S. PG Pub. No. US2004/0224411; and Liu, X.L. *et al.* (1999) *Gene Ther.* 6:293-299). Briefly, a cell line (*e.g.*, a HeLa, 293, A549, or Perc.6 cell line) may be stably transfected with a plasmid containing a rep gene, a capsid gene, and a vector genome comprising a promoter-heterologous nucleic acid sequence (*e.g.*, GNPTAB). Cell lines may be screened to select a lead clone for rAAV production, which may then be expanded to a production bioreactor and infected with a helper virus (*e.g.*, an adenovirus or HSV) to initiate rAAV production. Virus may subsequently be harvested, adenovirus may be inactivated (*e.g.*, by heat) and/or removed, and the rAAV particles may be purified. As such, in some embodiments, the rAAV particle was produced by a producer cell line comprising one or more of nucleic acid encoding the rAAV vector, a nucleic acid encoding AAV rep and cap, and a nucleic acid encoding AAV helper virus functions. As

described herein, the producer cell line method may be advantageous for the production of rAAV particles with an oversized genome, as compared to the triple transfection method.

[0179] In some embodiments, the nucleic acid encoding AAV rep and cap genes and/or the rAAV genome are stably maintained in the producer cell line. In some embodiments, nucleic acid encoding AAV rep and cap genes and/or the rAAV genome is introduced on one or more plasmids into a cell line to generate a producer cell line. In some embodiments, the AAV rep, AAV cap, and rAAV genome are introduced into a cell on the same plasmid. In other embodiments, the AAV rep, AAV cap, and rAAV genome are introduced into a cell on different plasmids. In some embodiments, a cell line stably transfected with a plasmid maintains the plasmid for multiple passages of the cell line (*e.g.*, 5, 10, 20, 30, 40, 50 or more than 50 passages of the cell). For example, the plasmid(s) may replicate as the cell replicates, or the plasmid(s) may integrate into the cell genome. A variety of sequences that enable a plasmid to replicate autonomously in a cell (*e.g.*, a human cell) have been identified (*see, e.g.*, Krysan, P.J. *et al.* (1989) *Mol. Cell Biol.* 9:1026-1033). In some embodiments, the plasmid(s) may contain a selectable marker (*e.g.*, an antibiotic resistance marker) that allows for selection of cells maintaining the plasmid. Selectable markers commonly used in mammalian cells include without limitation blasticidin, G418, hygromycin B, zeocin, puromycin, and derivatives thereof. Methods for introducing nucleic acids into a cell are known in the art and include without limitation viral transduction, cationic transfection (*e.g.*, using a cationic polymer such as DEAE-dextran or a cationic lipid such as lipofectamine), calcium phosphate transfection, microinjection, particle bombardment, electroporation, and nanoparticle transfection (for more details, *see e.g.*, Kim, T.K. and Eberwine, J.H. (2010) *Anal. Bioanal. Chem.* 397:3173-3178).

[0180] In some embodiments, the nucleic acid encoding AAV rep and cap genes and/or the rAAV genome are stably integrated into the genome of the producer cell line. In some embodiments, nucleic acid encoding AAV rep and cap genes and/or the rAAV genome is introduced on one or more plasmids into a cell line to generate a producer cell line. In some embodiments, the AAV rep, AAV cap, and rAAV genome are introduced into a cell on the same plasmid. In other embodiments, the AAV rep, AAV cap, and rAAV genome are introduced into a cell on different plasmids. In some embodiments, the plasmid(s) may contain a selectable marker (*e.g.*, an antibiotic resistance marker) that allows for selection of cells maintaining the

plasmid. Methods for stable integration of nucleic acids into a variety of host cell lines are known in the art. For example, repeated selection (*e.g.*, through use of a selectable marker) may be used to select for cells that have integrated a nucleic acid containing a selectable marker (and AAV cap and rep genes and/or a rAAV genome). In other embodiments, nucleic acids may be integrated in a site-specific manner into a cell line to generate a producer cell line. Several site-specific recombination systems are known in the art, such as FLP/FRT (*see, e.g.*, O'Gorman, S. *et al.* (1991) *Science* 251:1351-1355), Cre/loxP (*see, e.g.*, Sauer, B. and Henderson, N. (1988) *Proc. Natl. Acad. Sci.* 85:5166-5170), and phi C31-att (*see, e.g.*, Groth, A.C. *et al.* (2000) *Proc. Natl. Acad. Sci.* 97:5995-6000).

[0181] In some embodiments, the producer cell line is derived from a primate cell line (*e.g.*, a non-human primate cell line, such as a Vero or FRhL-2 cell line). In some embodiments, the cell line is derived from a human cell line. In some embodiments, the producer cell line is derived from HeLa, 293, A549, or PERC.6® (Crucell) cells. For example, prior to introduction and/or stable maintenance/integration of nucleic acid encoding AAV rep and cap genes and/or the oversized rAAV genome into a cell line to generate a producer cell line, the cell line is a HeLa, 293, A549, or PERC.6® (Crucell) cell line, or a derivative thereof.

[0182] In some embodiments, the producer cell line is adapted for growth in suspension. As is known in the art, anchorage-dependent cells are typically not able to grow in suspension without a substrate, such as microcarrier beads. Adapting a cell line to grow in suspension may include, for example, growing the cell line in a spinner culture with a stirring paddle, using a culture medium that lacks calcium and magnesium ions to prevent clumping (and optionally an antifoaming agent), using a culture vessel coated with a siliconizing compound, and selecting cells in the culture (rather than in large clumps or on the sides of the vessel) at each passage. For further description, *see, e.g.*, ATCC frequently asked questions document (available on the world wide web at atcc.org/Global/FAQs/9/1/Adapting%20a%20monolayer%20cell%20line%20to%20suspension-40.aspx) and references cited therein.

[0183] Suitable AAV production culture media of the present invention may be supplemented with serum or serum-derived recombinant proteins at a level of 0.5%-20% (v/v or w/v). Alternatively, as is known in the art, AAV vectors may be produced in serum-free conditions

which may also be referred to as media with no animal-derived products. One of ordinary skill in the art may appreciate that commercial or custom media designed to support production of AAV vectors may also be supplemented with one or more cell culture components known in the art, including without limitation glucose, vitamins, amino acids, and or growth factors, in order to increase the titer of AAV in production cultures.

[0184] AAV production cultures can be grown under a variety of conditions (over a wide temperature range, for varying lengths of time, and the like) suitable to the particular host cell being utilized. As is known in the art, AAV production cultures include attachment- dependent cultures which can be cultured in suitable attachment-dependent vessels such as, for example, roller bottles, hollow fiber filters, microcarriers, and packed-bed or fluidized-bed bioreactors. AAV vector production cultures may also include suspension-adapted host cells such as HeLa, 293, and SF-9 cells which can be cultured in a variety of ways including, for example, spinner flasks, stirred tank bioreactors, and disposable systems such as the Wave bag system.

[0185] AAV vector particles of the invention may be harvested from AAV production cultures by lysis of the host cells of the production culture or by harvest of the spent media from the production culture, provided the cells are cultured under conditions known in the art to cause release of AAV particles into the media from intact cells, as described more fully in U.S. Patent No. 6,566,118). Suitable methods of lysing cells are also known in the art and include for example multiple freeze/thaw cycles, sonication, microfluidization, and treatment with chemicals, such as detergents and/or proteases.

[0186] In a further embodiment, the AAV particles are purified. The term “purified” as used herein includes a preparation of AAV particles devoid of at least some of the other components that may also be present where the AAV particles naturally occur or are initially prepared from. Thus, for example, isolated AAV particles may be prepared using a purification technique to enrich it from a source mixture, such as a culture lysate or production culture supernatant. Enrichment can be measured in a variety of ways, such as, for example, by the proportion of DNase-resistant particles (DRPs) or genome copies (gc) present in a solution, or by infectivity, or it can be measured in relation to a second, potentially interfering substance present in the

source mixture, such as contaminants, including production culture contaminants or in-process contaminants, including helper virus, media components, and the like.

[0187] In some embodiments, the AAV production culture harvest is clarified to remove host cell debris. In some embodiments, the production culture harvest is clarified by filtration through a series of depth filters including, for example, a grade DOHC Millipore Millistak+ HC Pod Filter, a grade A1HC Millipore Millistak+ HC Pod Filter, and a 0.2 µm Filter Opticap XL1O Millipore Express SHC Hydrophilic Membrane filter. Clarification can also be achieved by a variety of other standard techniques known in the art, such as, centrifugation or filtration through any cellulose acetate filter of 0.2 µm or greater pore size known in the art.

[0188] In some embodiments, the AAV production culture harvest is further treated with Benzonase® to digest any high molecular weight DNA present in the production culture. In some embodiments, the Benzonase® digestion is performed under standard conditions known in the art including, for example, a final concentration of 1-2.5 units/ml of Benzonase® at a temperature ranging from ambient to 37°C for a period of 30 minutes to several hours.

[0189] AAV particles may be isolated or purified using one or more of the following purification steps: equilibrium centrifugation; flow-through anionic exchange filtration; tangential flow filtration (TFF) for concentrating the AAV particles; AAV capture by apatite chromatography; heat inactivation of helper virus; AAV capture by hydrophobic interaction chromatography; buffer exchange by size exclusion chromatography (SEC); nanofiltration; and AAV capture by anionic exchange chromatography, cationic exchange chromatography, or affinity chromatography. These steps may be used alone, in various combinations, or in different orders. In some embodiments, the method comprises all the steps in the order as described below. Methods to purify AAV particles are found, for example, in Xiao *et al.*, (1998) *Journal of Virology* 72:2224-2232; US Patent Numbers 6,989,264 and 8,137,948; and WO 2010/148143.

Pharmaceutical compositions

[0190] In some embodiments, an AAV particle of the present disclosure (*e.g.*, a rAAV particle) is in a pharmaceutical composition. The pharmaceutical compositions may be suitable for any mode of administration described herein or known in the art. In some embodiments, the pharmaceutical composition comprises rAAV particles modified to improve the stability and/or

improve the transduction efficiency of rAAV particles; for example, for use in substituting the amino acid residue at position 2 of VP1 and/or VP3 to improve acetylation of rAAV capsid proteins. In some embodiments, the pharmaceutical composition comprises rAAV particles modified to modulate the stability and/or the transduction efficiency of rAAV particles (*e.g.*, increase stability and/or transduction efficiency or decrease stability and/or transduction efficiency); for example, for use in substituting the amino acid residues that modulate deamidation (*e.g.*, increase deamidation or decrease deamidation).

[0191] In some embodiments, the rAAV particle is in a pharmaceutical composition comprising a pharmaceutically acceptable excipient. As is well known in the art, pharmaceutically acceptable excipients are relatively inert substances that facilitate administration of a pharmacologically effective substance and can be supplied as liquid solutions or suspensions, as emulsions, or as solid forms suitable for dissolution or suspension in liquid prior to use. For example, an excipient can give form or consistency, or act as a diluent. Suitable excipients include but are not limited to stabilizing agents, wetting and emulsifying agents, salts for varying osmolarity, encapsulating agents, pH buffering substances, and buffers. Such excipients include any pharmaceutical agent suitable for direct delivery to the eye which may be administered without undue toxicity. Pharmaceutically acceptable excipients include, but are not limited to, sorbitol, any of the various TWEEN compounds, and liquids such as water, saline, glycerol and ethanol. Pharmaceutically acceptable salts can be included therein, for example, mineral acid salts such as hydrochlorides, hydrobromides, phosphates, sulfates, and the like; and the salts of organic acids such as acetates, propionates, malonates, benzoates, and the like. A thorough discussion of pharmaceutically acceptable excipients is available in REMINGTON'S PHARMACEUTICAL SCIENCES (Mack Pub. Co., N.J. 1991). In some embodiments, the pharmaceutical composition comprising a rAAV particle described herein and a pharmaceutically acceptable carrier is suitable for administration to human. Such carriers are well known in the art (see, *e.g.*, Remington's Pharmaceutical Sciences, 15th Edition, pp. 1035-1038 and 1570-1580).

[0192] Such pharmaceutically acceptable carriers can be sterile liquids, such as water and oil, including those of petroleum, animal, vegetable or synthetic origin, such as peanut oil, soybean oil, mineral oil, and the like. Saline solutions and aqueous dextrose, polyethylene glycol (PEG)

and glycerol solutions can also be employed as liquid carriers, particularly for injectable solutions. The pharmaceutical composition may further comprise additional ingredients, for example preservatives, buffers, tonicity agents, antioxidants and stabilizers, nonionic wetting or clarifying agents, viscosity-increasing agents, and the like. The pharmaceutical compositions described herein can be packaged in single unit dosages or in multidosage forms. The compositions are generally formulated as sterile and substantially isotonic solution.

Kits and articles of manufacture

[0193] The present invention also provides kits or articles of manufacture comprising any of the rAAV particles and/or pharmaceutical compositions of the present disclosure. The kits or articles of manufacture may comprise any of the rAAV particles or rAAV particle compositions of the invention. In some embodiments the kits are used to improve the stability and/or improve the transduction efficiency of rAAV particles; for example, for use in substituting the amino acid residue at position 2 of VP1 and/or VP3 to improve acetylation of rAAV capsid proteins. In some embodiments the kits are used to modulate the stability and/or the transduction efficiency of rAAV particles (*e.g.*, increase stability and/or transduction efficiency or decrease stability and/or transduction efficiency); for example, for use in substituting the amino acid residues that modulate deamidation (*e.g.*, increase deamidation or decrease deamidation).

[0194] In some embodiments, the kits or articles of manufacture further include instructions for administration of a composition of rAAV particles. The kits or articles of manufacture described herein may further include other materials desirable from a commercial and user standpoint, including other buffers, diluents, filters, needles, syringes, and package inserts with instructions for performing any methods described herein. Suitable packaging materials may also be included and may be any packaging materials known in the art, including, for example, vials (such as sealed vials), vessels, ampules, bottles, jars, flexible packaging (*e.g.*, sealed Mylar or plastic bags), and the like. These articles of manufacture may further be sterilized and/or sealed.

[0195] In some embodiments, the kits or articles of manufacture further contain one or more of the buffers and/or pharmaceutically acceptable excipients described herein (*e.g.*, as described in REMINGTON'S PHARMACEUTICAL SCIENCES (Mack Pub. Co., N.J. 1991)). In some

embodiments, the kits or articles of manufacture include one or more pharmaceutically acceptable excipients, carriers, solutions, and/or additional ingredients described herein. The kits or articles of manufacture described herein can be packaged in single unit dosages or in multidosage forms. The contents of the kits or articles of manufacture are generally formulated as sterile and can be lyophilized or provided as a substantially isotonic solution.

EXAMPLES

[0196] The invention will be more fully understood by reference to the following examples. They should not, however, be construed as limiting the scope of the invention. It is understood that the examples and embodiments described herein are for illustrative purposes only and that various modifications or changes in light thereof will be suggested to persons skilled in the art and are to be included within the spirit and purview of this application and scope of the appended claims.

Example 1: Direct LC/MS and LC/MS/MS for complete characterization of recombinant AAV viral capsid protein

[0197] Recombinant adeno-associated viruses (rAAVs) have become popular gene therapy vectors due to their nonpathogenic nature, ability to infect both dividing and non-dividing cells and long term gene expression. Currently, AAV-based gene therapies are used in clinical trials for numerous disease targets, such as muscular dystrophy, hemophilia, Parkinson's disease, Leber's congenital amaurosis and macular degeneration.

[0198] AAV is a small and nonenveloped parvovirus with a single stranded DNA genome encapsulated in an icosahedra shell. Each capsid includes sixty copies of three viral capsid proteins VP1 (87kDa), VP2 (73kDa) and VP3 (62 kDa) in an approximately 1:1:10 ratio. The three viral capsid proteins are expressed from the same open reading frame by using alternative splicing and an atypical start codon and thus have overlapping sequences. VP1 has ~137 additional N-terminal amino acid residues compared to VP3 while VP2 has ~65 additional N-terminal amino acid residues compared to VP3. At least 13 AAV serotypes and ~ 150 gene sequences have been isolated from human and non-human primate tissues; AAV serotypes differ in the amino acid sequence of viral capsid proteins and their corresponding cellular receptors and co-receptors for targeting.

[0199] The AAV capsid, in addition to protecting the genome inside, plays an important role in mediating receptor binding, escape of virus from endosome, and transport of viral DNA into nucleus in the viral infection cycle, thus directly impacting viral infectivity. It has been shown that the VP1 N-terminus contains a phospholipase PLA2 domain (a.a. 52-97) which is critical in endosomal escaping of virus [1-3]. N-termini of VP1 and VP2 also contain three basic amino acid clusters as nuclear localization signals. These sequences are highly conserved among different AAV serotypes. Mutations of these amino acids have been shown to reduce or abolish infectivity completely [4]. In addition, each AAV serotype has corresponding sequence-specific receptors and co-receptors. For example, heparin sulfate proteoglycan was identified as a major receptor of AAV2 and several other co-receptors, including $\alpha V\beta 5$ integrin, fibroblast growth factor receptor 1, and hepatocyte growth receptor have been identified [5-8]. Mutation analysis of AAV2 capsid proteins has identified a group of basic amino acids (Arginine484, 487, 585, and Lysine532) as a heparin-binding motif which contributes to the heparin and HeLa cell binding [9]. NGR domain in AAV2 was identified as an integrin $\alpha 5\beta 1$ binding domain which is essential for viral cell entry [10]. In summary, viral capsid protein sequences are important in cellular targeting and trafficking in the viral infection cycle. Since different production conditions may cause different expression levels of viral capsid proteins, post-translational modifications, and truncations, the viral capsid proteins need to be characterized and monitored to ensure the product consistency in gene therapy development programs.

[0200] Traditionally, SDS-PAGE has been used to characterize the AAV viral capsid proteins, providing rough molecular weight information such as 87 kDa, 73kDa and 62 kDa. No sequence information was obtained from Edman sequencing, possibly due to the blocked N-termini of viral capsid proteins, except VP2. Although X-ray structures of multiple AAVs have been solved, only the VP3 region sequence was observed in the crystal structures. Fifteen N-terminal amino acid residues of VP3 were still missing in the X-ray structure, possibly due to its intrinsic disorder [11-13]. It is possible that the lack of information of VP1 and VP2 N-terminal regions in the atomic structure might be due to low stoichiometry of VP1 and VP2 in the capsid. In addition, N-termini of VP1 and VP2 are buried inside the capsid and are not accessible to antibodies in the native state as reported in the some literature [3, 14, 15]. Conventionally, a Gel-LC/MS method (SDS-PAGE, in-gel tryptic digestion and LC/MS/MS) was used in characterization of VPs [16-18]. However, N-termini of VP1, VP2 and VP3 have not been

confirmed using this approach, since this method failed to obtain 100% sequence coverage of VPs due to the limited recovery of peptide from gel.

[0201] Direct analysis using MALDI-TOF MS was reported for several virus capsid proteins including tobacco mosaic virus U2 after dissociation with organic acid [19]. Direct peptide mapping after amide hydrogen exchange and mass spectrometry have been used to study the pH-induced structural changes in the capsid of brome mosaic virus (BMV) [20]. Since AAVs are nonenveloped viruses containing only capsid proteins and genome, AAVs capsids could be directly analyzed by RP-LC/MS of proteins and LC/MS/MS of peptide mapping to achieve 100% sequence coverage after capsid dissociation without SDS-PAGE separation. The DNA fragments could elute in the void volume and thus have no interference on protein/peptide detection by LC/MS. In order to investigate these methods, direct LC/MS of different types of AAVs after denaturation was used to monitor the protein sequence and post-translational modifications of AAV capsid proteins. As described herein, N-termini of VP1, VP2 and VP3 of AAVs have been confirmed by mass spectrometry. Acetylations of N-termini of VP1 and VP3 were also identified in the different serotype of AAVs. Direct LC/MS/MS peptide mapping of AAVs has also been developed to provide sequence coverage of VP1, VP2 and VP3 and confirm the N-termini acetylation of VP1 and VP3.

Methods

Materials and Reagents

[0202] Dithiothreitol (DTT), 4-vinylpyridine, ultra-pure formic acid, acetic acid, guanidine-HCl, Tris-HCl and Tris base were purchased from Sigma Chemicals (St. Louis, MO). Amicon ultra-4 filters were purchased from Millipore (Billerica, MA). The porcine sequencing grade trypsin was purchased from Promega (Milwaukee, WI). Endoproteinase Lys-C and Asp-N were purchased from Roche (Germany). Slide-A-Lyzer cassettes with 10,000 MWCO were purchased from Pierce (Rockford, IL).

Vector production and purification

[0203] AAV vectors were produced using the transient triple transfection method as previously described (Xiao, 1998 #123). Briefly, HEK293 cells were transfected using polyethyleneimine, PEI, and a 1:1:1 ratio of three plasmids (ITR vector, AAV rep/cap and Ad helper plasmid). The

vector plasmid contains the vector genome CBA-EGFP and ITR sequences from AAV2. EGFP expression is driven by the CMV enhancer chicken beta actin hybrid promoter (CBA) as described (Miyazaki, 1989 #124) (Niwa, 1991 #125). The AAV rep / cap helpers contained rep sequences from AAV2 and serotype specific capsid sequences with the nomenclature, rep2 / cap2, rep2 / cap5, rep2 / cap7 etc. The pAd helper used was pHelper (Stratagene/Agilent Technologies, Santa Clara, CA). Purification of AAV was performed as described by Qu *et al* . (2007, *J. Virol. Methods* 140:183-192).

LC/MS intact protein analysis

[0204] The AAV virions were concentrated with an Amicon ultra-4 filter (10kDa MWCO) and denatured with 10% acetic acid followed by direct analysis in an Acquity UPLC –Xevo® QTOF MS instrument (Waters, Milford, MA). The separations were performed with a UPLC BEH C4 or C8 column (1.7 μ m, 2.1mm i.d.) at a 0.25 ml/min flow rate. Mobile phase A was 0.1% formic acid in water while mobile phase B was 0.1% formic acid in acetonitrile. The final gradient was as follows: from 10% B to 20% B for 6 minutes, from 20% B to 30% B in 10 min, then from 30% to 38% B for 40 minutes. For MS the capillary voltage and sampling cone voltage were set at 3.5 kV and 45 V respectively. The mass spectra were acquired in the sensitivity mode with m/z range of 500-4000. Assisted calibration with sodium iodide as calibrant was performed for mass calibration. MaxEnt1 in Masslynx software was used for protein deconvolution.

Enzymatic digestions of AAV2 VPs

[0205] The concentrated AAV2 virions were denatured with 6 M Guanidine-HCl, 0.1 M Tris at pH 8.5. The proteins were reduced with 30 mM DTT at 55 °C for 1 hour in darkness and alkylated with 0.07% 4-vinylpyridine at room temperature for 2 hours. The reactions were quenched by the addition of 1M DTT. The samples were dialyzed with Slide-A-Lyzer cassettes (10,000 MWCO) against 25 mM Tris buffer at pH 8.5 for ~18 hours. After dialysis, the samples were split into three aliquots. Each aliquot was digested with trypsin at 1:25 or Lys-C at 1:50 or Asp-N at 1:100 enzyme: protein ratio (wt/wt) for 18 hours at 37 °C, respectively.

LC/MS/MS peptide mapping

[0206] Nano LC/MS/MS was performed in using a NanoAcquity HPLC system (Waters, Milford, MA) in conjunction with an Orbitrap Velos mass spectrometer (Thermo-Fisher Scientific, Waltham, MA) using home packed nanoLC column(75 μ m x10mm) with Magic C18 with packing material(5 μ m, Bruker, Billerica, MA) at a 300 nl/min flow rate. The mobile phases A and B were 0.1% formic acid in water and acetonitrile, respectively. The gradient was from 2% B to 60% B in 121min.

[0207] The source parameters for velos were as follows: source voltage: 2.5 kv, capillary temperature 275 °C; S-lens RF level: 55%. Data were acquired using the top-ten data dependent method with accurate ms at 60,000 resolution and 10 MS/MS in ion trap. Mascot was used for database searching against AAV2 viral capsid protein sequences. MS tolerance of 10 ppm and ms/ms tolerance of 0.8 Da were used for the database search.

UPLC/MS/MS peptide mapping

[0208] The protein digests were also analyzed by UPLC/MS/MS in Acquity UPLC-Xevo qTOF MS. A BEH300 C18 column (2.1 x150 mm) was used for separation in the mobile phases with 0.1% formic acid in water/acetonitrile gradient at a flow rate 0.25 ml/min. The mass spectra were acquired in the positive MSe mode in the mass range of 200-2000.

Results

AAV denaturation method

[0209] AAVs can be denatured through a number of methods using detergent, heat, high salt, or buffer with low or high pHs. Heat denaturation can lead to protein precipitation and as a result reverse phase columns are easily clogged and over pressurized. Denaturation with high salt requires an additional desalting step before LC/MS analysis. Denaturing with 10% acetic acid was used for the LC/MS intact protein analysis, as it allowed for clean mass spectrum. For peptide mapping, either 0.1% RapiGest or 6 M Guanidine HCl can be used as a denaturing reagent.

Intact protein analysis method development

[0210] Initial intact protein analysis of AAV2 was performed using an UPLC BEH C4 column at fast gradient. Under this condition, only one single peak in the total ion chromatogram was observed, with a mass corresponding to VP3 (**FIG. 1A**). Without wishing to be bound by theory, it is thought that the absence of VP1 and VP2 is possibly due to low stoichiometry of VP1 and VP2 or suppression of VP1 and VP2 signals by VP3 if all VPs co-elute. Increasing injection or column length, using a shallower gradient, and using alternative columns have been attempted in order to detect VP1 and VP2. Higher loading (1.7µg) with a shallower gradient at 0.5% B/min resulted in a shoulder peak on the left (**FIG. 1B**). The increase in column length from 10 cm to 15 cm did not enhance the separation of the shoulder peak (**FIG. 1C**). However, the shoulder peak was further separated from the main peak using a BEH C8 column, with improved signal intensities observed (**FIG. 1D**).

[0211] As a result, the VP1 and VP2 masses were obtained in this shoulder peak at the signal intensities shown in **FIG. 2A**. The masses of VP1 and VP3 correspond to a.a. 2-735(acetylation) and a.a. 204-735(acetylation), respectively (**FIGS. 2A&2B**). No acetylation was observed in VP2 (a.a.139-735). In addition, a minor peak with a smaller mass than VP3 was observed, with a mass corresponding to amino acid sequence 212-735 with one acetylation (**FIG. 2B**). These data are consistent with DNA sequences since VP3 contains two ATG initiation codons in AAV2: ATGGCTACAGGCAGTGGCGCACCAATGGCAGAC (SEQ ID NO:1), resulting in two possible N termini (underlined): MATGSGAPMAD (SEQ ID NO:2). The N-terminal methionine residues were not present in both VP1 and VP3 as measured by intact protein analysis. The acetylation of VP1 and VP3 is not a method-induced artifact (denaturation of AAV by 10% acetic acid) since acetylation of VP1 and VP3 is also observed in an AAV preparation using an alternative denature method without acetic acid. The intact protein data also confirmed that no glycosylation was present in the viral capsid proteins, even though several N-linked consensus sequences are present [16].

LC/MS/MS peptide mapping

[0212] To further confirm the N-termini and acetylation observed in the intact protein analysis, peptide mapping was performed using multiple enzymes and analyzed using multiple

instruments. Various sample preparation methods, including denaturation methods and desalting steps, have been evaluated. The final digestion method, including denaturation with 6M guanidine HCl, reduction and alkylation with 4-vinylpyridine, and dialysis using slide-A-lyzer followed by enzymatic digestion, created clean peptide mapping with low artificial modifications during the digestion process. As low as 5 µg starting material was tested, yielding complete sequence coverage using nano LC/MS/MS and UPLC/MS/MS.

[0213] Mascot search of tryptic digests from nano LC/MS/MS alone yielded 78% sequence coverage with an ion score 13 cut off as shown in **FIG. 3**. The two large missing tryptic peptides, T27 and T38 (boxed) from nano LC/MS/MS were found in the LC/MS in Xevo TOF MS with BEH C18 column (**FIG. 3**). In addition, most of the T27 and T38 peptide sequences were further confirmed by nano LC/MS/MS of Asp-N digests as shown in *Italics* in **FIG. 3**. The complete N-terminal and C-terminal peptides were covered by Lys-C digests as underlined in **FIG. 3**. Therefore, 100% sequence coverage of VP1 was achieved through multiple enzyme digestions and two LC/MS/MS methods.

[0214] LC/MS/MS confirmed the N- and C-termini of VP1, VP2 and VP3 and N-terminal acetylation of VP1 and VP3 observed in the intact protein analysis. **FIGS. 4A-4C** show the MS/MS spectra of the VP1 N-terminal tryptic peptide A(Ac)ADGYLPDWLEDTLSEGIR (SEQ ID NO:4) (**FIG. 4A**), VP2 N-terminal Asp-N derived peptide (APGKKRPVEHSPVEP) (SEQ ID NO:15) (**FIG. 4B**), and VP3 N-terminal Asp-N peptide A(Ac)TGSGAPM (SEQ ID NO:5) (**FIG. 4C**). MS/MS has confirmed the location of acetylation at the N-terminal alanine residues in both VP1 and VP3 peptides. The presence of unmodified y18 and y17 ions, and all detected b ions with 42Da mass shift in **FIG. 4A** indicates the 42 da-modification is located in N-terminal of VP1. Similarly, the presence of unmodified y3 to y8 ions in **FIG. 4C** confirmed the location of acetylation at the N-terminal alanine residue.

Comparison of AAV VP N-termini

[0215] In addition to AAV2, AAV1, AAV5, AAV7, AAV9 and AAV Rh10 have also been analyzed by intact protein analysis. The theoretical and predicted masses of VPs in AAVs are shown in Table 2.

Table 2: Theoretical Mass vs Experimental Mass for AAV VPs

Serotype	Isoform	Predicted amino acid sequence	Actual amino acid sequence	Theoretical Ms.(Da)	Experimental Ms.(Da)
AAV1	VP1	1-736	2(ac)-736	81286	81291
	VP2	138-736	139-736	66093	66098
	VP3	203-736	204(ac)-736	59517	59520
AAV2	VP1	1-735	2(ac)-735	81856	81856
	VP2	138-735	139-735	66488	66488
	VP3	203-735	204(ac)-735	59974	59974
AAV5	VP1	1-724	2(ac)-724	80336	80336
	VP2	137-724	138-724	65283	65284
	VP3	193-724	194(ac)-724	59463	59463
AAV7	VP1	1-737	2(ac)-737	81564	81567
	VP2	138-737	139-737	66372	66374
	VP3	204-737	213(ac)-737	59101	59103
AAV9	VP1	1-736	2(ac)-736	81291	81288
	VP2	138-736	139-736	66210	66209
	VP3	203-736	204(ac)-736	59733	59733
AAVRh10	VP1	1-738	2(ac)-738	81455	81455
	VP2	138-738	139-738	66253	66252
	VP3	204-738	205(ac)-738	59634	59634

[0216] N-termini, as well as their posttranslational modifications, are highly conserved among the AAV serotypes analyzed, even though AAV5 is reported as the most diverse AAV serotype sequence, as shown in the sequence alignments in **FIG. 5**. In 11 out of 13 AAV serotypes, the N-termini of VP1 share an identical 13 amino acid residue sequence (MAADGYLPDWLED) (SEQ ID NO:6) while all 13 AAV serotypes have identical TAP... N-terminal sequences in VP2 (**FIG. 5**). LC/MS of AAV2 indicated that T is missing in VP2 at protein level. The N-termini of VP3 are the most diverse among the three viral capsid proteins, with 8 out of 13 AAV serotypes sharing a MA... N-terminal sequence. Similar to AAV2, AAV1 and AAV Rh10 also have two ATG initiation codons with the first one as predominant N-terminal based on LC/MS intact protein analysis. Interestingly, though AAV7 has two potential initiation codons (GTGGGCTGCAGGCGGTGGCGCACCAATGGCAGACAATAAC...) (SEQ ID NO:7), the second initiation codon (ATG) was favorable based on the intact protein analysis: the VP3` with 213(ac)-737 was a predominant peak while VP3 with 203(ac)-737 was a minor peak.

Conclusions

Applications of LC/MS intact protein analysis and LC/MS/MS peptide mapping of AAV VPs in gene therapy research and development

[0217] These results demonstrate that direct LC/MS of different types of AAVs after denaturation was proved to be a simple and effective way to monitor the protein sequence and post-translational modifications with accurate mass measurement in the intact protein level. N-termini of VP1, VP2 and VP3 of AAVs were confirmed by mass spectrometry. Acetylations of N-termini of VP1 and VP3 were also identified in different serotypes of AAVs. Direct LC/MS/MS peptide mapping of AAVs was developed, provided 100% sequence coverage of VP1, VP2 and VP3, and confirmed the N-termini acetylation of VPs. The theoretical masses of predicted sequences of 13 AAV serotypes based on sequence alignment and intact protein analysis of several AAV serotypes are shown in Table 3.

Table 3: Predicted Sequences and Masses

	Predicted VP1 sequence	Mass(Da)	Predicted VP2 sequence	Mass(Da)	Predicted VP3 sequence	Mass(Da)
AAV1	2(ac)-736	81286	139-736	66093	204(ac)-736	59517
AAV2	2(ac)-735	81856	139-735	66488	204(ac)-735	59974
AAV3	2(ac)-736	81571	139-736	66319	204(ac)-736	59849
AAV4	2(ac)-734	80550	138-734	65626	198(ac)-734	59529
AAV5	2(ac)-724	80336	138-724	65283	194(ac)-724	59463
AAV6	2(ac)-736	81322	139-736	66096	204(ac)-736	59519
AAV7	2(ac)-737	81564	139-737	66372	213(ac)-737	59101
AAV8	2(ac)-738	81667	139-738	66519	205(ac)-738	59805
AAV9	2(ac)-736	81291	139-736	66210	204(ac)-736	59733
AAV10	2(ac)-738	81477	139-738	66271	205(ac)-738	59638
AAV11	2(ac)-733	80987	139-733	65794	198(ac)-733	59696
AAV12	2(ac)-742	82106	139-742	66905	207(ac)-742	59846
AAVRh10	2(ac)-738	81455	139-738	66253	205(ac)-738	59634

[0218] The accurate masses of VP1, VP2 and VP3 of each serotype are unique and therefore intact protein analysis can be used as an identity test to differentiate AAV capsid serotypes. Tables 4-6 show the mass differences of VPs among 13 common AAV serotypes. Shown in regular font are delta masses larger than 10, with delta masses less than 10 bolded.

Table 4: Mass Differences of VP1 Among 13 AAV Isotypes

	AAV1											
AAV2	570	AAV2										
AAV3	285	285	AAV3									
AAV4	736	1306	1021	AAV4								
AAV5	950	1520	1235	215	AAV5							
AAV6	36	534	249	772	987	AAV6						
AAV7	277	292	8	1013	1228	241	AAV7					
AAV8	381	189	96	1117	1332	345	104	AAV8				
AAV9	5	585	280	741	955	31	272	376	AAV9			
AAV10	191	379	94	927	1142	155	86	190	186	AAV10		
AAV11	299	869	584	436	651	335	577	681	304	490	AAV11	
AAV12	820	250	535	1555	1770	784	542	439	815	629	1119	AAV12
AAVRh10	169	401	116	905	1119	133	109	212	164	22	468	651

Table 5: Mass Differences of VP2 among 13 AAV Isotypes

	AAV1											
AAV2	395	AAV2										
AAV3	226	169	AAV3									
AAV4	467	862	693	AAV4								
AAV5	810	1205	1036	343	AAV5							
AAV6	2	392	224	470	812	AAV6						
AAV7	278	116	52	746	1088	276	AAV7					
AAV8	425	31	199	893	1235	423	147	AAV8				
AAV9	117	278	109	584	927	115	161	308	AAV9			
AAV10	177	217	49	645	987	175	101	248	60	AAV10		
AAV11	299	694	525	168	511	301	578	725	416	476	AAV11	
AAV12	812	417	586	1279	1622	810	533	386	695	635	1111	AAV12
AAVRh10	160	235	66	627	970	157	119	266	43	18	459	652

Table 6: Mass Differences of VP3 among 13 AAV Isotypes

	AAV1											
AAV2	457	AAV2										
AAV3	332	125	AAV3									
AAV4	12	445	320	AAV4								
AAV5	54	511	386	66	AAV5							
AAV6	2	455	330	10	56	AAV6						
AAV7	416	673	748	428	362	418	AAV7					
AAV8	288	169	44	276	342	286	704	AAV8				

AAV9	216	241	116	204	270	214	632	72	AAV9			
AAV10	121	336	211	109	175	119	537	167	95	AAV10		
AAV11	179	278	153	167	233	177	595	109	37	58	AAV11	
AAV12	329	128	3	317	383	327	745	41	113	208	150	AAV12
AAVRh10	117	340	215	105	171	115	533	171	99	4	62	212

[0219] No masses within 10 Da of all three VPs between two isotypes are observed. Even though both VP2 and VP3 have only a 2 Da difference between AAV1 and AAV6, the mass difference of VP1 between AAV1 and AAV6 is 36, significant enough to be distinguished by an accurate mass measurement. Therefore, intact protein measurement of VP1, VP2 and VP3 is highly specific as an identity test.

[0220] These results demonstrate that intact protein analysis and LC/MS/MS can be used to profile VPs to monitor VP expressions, posttranslational modifications, and truncations and to ensure product consistency during VLP production. These two analyses can also be used to confirm site-directed mutagenesis or structural characterization for capsid protein engineering applications.

Example 2: The role of N terminal acetylation of AAV capsid proteins

[0221] Chemical modifications of cellular proteins are a common means of controlling their functions (Arnesen, T. (2006) *Virology* 353(2): 283–293). N-terminal acetylation (Nt-acetylation), which involves the transfer of an acetyl group from acetyl coenzyme A to the α -amino group of the first amino acid residue of a protein (Brown, J.L. and Roberts, W.K. (1976) *J Biol Chem* 251: 1009–1014; Arnesen, T. *et al.* (2009) *Proc Natl Acad Sci U S A* 106: 8157–8162), is among the most abundant of protein modifications. Unlike most other protein modifications, Nt-acetylation is irreversible; it occurs mainly during the synthesis of the protein, catalyzed by N-terminal acetyltransferases (NATs) associated with ribosomes (Gautschi, M. *et al.* (2003) *Mol Cell Biol* 23: 7403–7414; Pestana, A. and Pitot, H.C. (1975) *Biochemistry* 14: 1404–1412; Plevoda, B. *et al.* (2003) *J Biol Chem* 278: 30686–97). There are several distinct NATs in eukaryotes—NatA–NatF—each composed of one or more subunits and each acetylating a specific subgroup of N-termini depending on the amino acid sequence of the first few amino

acids (Jornvall, H. (1975) *J Theor Biol* 55: 1–12; Persson, B. *et al.* (1985) *Eur J Biochem* 152: 523–527).

[0222] Experimental data indicate that proteins with acetylated N-termini are more stable *in vivo* than non-acetylated proteins; *i.e.*, Nt-acetylation protects proteins from degradation (Hershko, A. *et al.* (1984) *Proc Natl Acad Sci U S A* 81: 7021–7025). One explanation for this might be the discovery in 2004 that another N-terminal modification, ubiquitination, which involves direct attachment of the small protein ubiquitin to the N-terminal amino acid residue, promotes the subsequent degradation of the protein (Ben Saadon, R. *et al.* (2004) *J Biol Chem* 279: 41414–41421). Conversely, the Nt-acetylation signals can also be part of a quality control mechanism to degrade unfolded or misfolded proteins and to regulate *in vivo* protein stoichiometries (Hwang, C.S. *et al.* (2010) *Science* 327: 973–977).

[0223] A systematic analysis of the predicted N-terminal processing of cytosolic proteins, versus those destined to be sorted to the secretory pathway, revealed that the cytosolic proteins were profoundly biased in favor of processing, but there is an equal and opposite bias against such modification for secretory proteins (Forte, G.M.A. *et al.* (2011) *PLoS Biology*, 4 May 2011 Volume 9). Mutations in secretory signal sequences that lead to their acetylation result in mis-sorting to the cytosol in a manner that is dependent upon the N-terminal processing machinery. Hence N-terminal acetylation represents an early determining step in the cellular sorting of nascent polypeptides that represent an extra layer of stringency in order to ensure that proteins destined to remain in the cytosol actually reside in the cytosol. The eukaryotic cell comprises several distinct compartments, called organelles, required to perform specific functions. The proteins in these compartments are synthesized in the cytoplasm and so require complex sorting mechanisms to ensure their delivery to the appropriate organelle. Proteins are modified by acetylation of their amino terminus at a very early stage in their synthesis. There is a profound difference between the likelihood of such a modification on cytoplasmic proteins and on those destined for one of the major organelles, the endoplasmic reticulum (ER): whereas cytoplasmic proteins are typically acetylated, those bound for the ER are largely unmodified. Moreover, when specific ER proteins are engineered to induce their acetylation their targeting to the ER was inhibited (Forte, G.M.A. *et al.* (2011) *PLoS Biology*, 4 May 2011 Volume 9).

[0224] The contractile proteins actin and tropomyosin have been shown to require NtB-mediated Nt-acetylation for proper function, specifically involving actin-tropomyosin binding and actomyosin regulation (Coulton, A.T. *et al.* (2010) *J Cell Sci* 123: 3235–3243; Polevoda, B. *et al.* (2003) *J Biol Chem* 278: 30686–97). Thus Nt acetylation of AAV capsid proteins may have importance in the transduction potential of rAAV vectors. If AAV vectors fail to gain entry into the nucleus, they consequently fail to transduce cells. The role of actin filaments and FKBP52 (FK506-binding protein p52) in the translocation of AAV capsids from the endosome to the nucleus is well defined (Zhao, W. *et al.* (2006) *Virology* 353(2): 283–293). Importantly, Nt-acetylation is essential for the functioning of actin filaments by modulating protein–protein interactions (Coulton, A.T. *et al.* (2010) *J Cell Sci* 123: 3235–3243; Polevoda, B. *et al.* (2003) *J Biol Chem* 278: 30686–97).

[0225] Though N-terminal acetylation of proteins is a widely known phenomenon, the biological significance of Nt-acetylation on AAV capsid proteins is not well understood. The predicted N-termini of VP1 and VP3 based on DNA sequencing are both methionine followed by alanine. It has been reported that removal of N-terminal methionine by Met-aminopeptidases frequently leads to Nt-acetylation of the resulting N-terminal alanine, valine, serine, threonine, and cysteine residues and that the acetylation of the N-terminus acts as a potential degradation signal [21]. Ubiquitination of viral capsid proteins was suggested as a potential signal for processing of the capsid at the time of virion disassembly [22]. The link between N-acetylation of VP1 and VP3 and viral capsid degradation and uncoating before the nuclear entry is further investigated.

[0226] To understand the functional implications of N-terminal acetylation with regard to AAV capsid proteins, site-directed mutagenesis of VP3 N-terminal initiation codons is used to generate AAV mutants.

Methods

[0227] AAV capsid proteins are generated with differing amino acids at the 2nd position to the initiating methionine (iMet X) to determine if Nt-acetylation is inhibited or reduced, and the functional consequences are then measured. The ability of the capsid proteins to be trafficked intra-cellularly and/or to acquire post translational modifications such as glycosylation is

assessed, and whether this ability affects the infectivity of the assembled AAV particle is subsequently determined. In addition, the impact of acetylation on ubiquitination/degradation and targeting to the lysosome, ER, Golgi, or inner nuclear membrane is determined.

[0228] For example, to assay trafficking or targeting, AAV particles with capsid proteins having a mutated 2nd position (e.g., iMet X) are fluorescently labeled and used to infect cells (e.g., HeLa cells). These AAV particles are assayed for one or more of: time of viral particle uptake, colocalization of AAV particles with specific compartmental markers (e.g., Golgi, ER, or lysosomal proteins or other markers), nuclear accumulation (e.g., as assayed by colocalization with a nuclear marker or stain), and/or sensitivity of trafficking to specific inhibitors of early endosomal escape (such as bafilomycin A or ammonium chloride), as compared to fluorescently labeled wild-type AAV particles used to infect the same cell line (see, e.g., Bartlett, J.S. *et al.* (2000) *J. Virol.* 74:2777-2785 for a description of such assays).

[0229] To assay infectivity, AAV particles with capsid proteins having a mutated 2nd position (e.g., iMet X) are used to infect cells (e.g., HeLa cells), and their transduction efficiency is compared to wild-type AAV particles (e.g., having the same AAV serotype and infecting the same type of cells).

[0230] To assay glycosylation, AAV particles with capsid proteins having a mutated 2nd position (e.g., iMet X) are used to infect cells (e.g., HeLa cells). AAV particles from infected cells are subjected to one or more assays including without limitation chemical detection of glycosylation (e.g., applying a commercially available digoxigenin (DIG) glycan detection and/or fluorescent glycoprotein detection kit on denatured and electrophoretically separated capsid proteins) and mass spectrometry (e.g., FT-ICR MS), as compared to wild-type AAV particles used to infect the same cell line (see, e.g., Murray, S. *et al.* (2006) *J. Virol.* 80:6171-6176 for a description of such assays).

[0231] To assay ubiquitination, AAV particles with capsid proteins having a mutated 2nd position are used to infect cells (e.g., HeLa cells). AAV particles are immunoprecipitated from infected cells with an anti-capsid antibody, then subjected to Western blotting with an anti-ubiquitin antibody and compared to wild-type AAV particles used to infect cells in the same

manner. Mutant AAV particles may also be used in *in vitro* ubiquitination assays, as compared to wild-type AAV particles (see, *e.g.*, Yan, Z. *et al.* (2002) *J. Virol.* 76:2043-2053).

Example 3: The role of deamidation of AAV2 capsid proteins

[0232] Sequence analysis of the AAV2 capsid protein revealed potential deamidation sites, as underlined in the following amino acid sequence:

MAADGYLPDWLEDTLSEGIRQWWKLKPGPPPKPAERHKDDSRGLVLPGYKYL**GPFNGLDKGEPVNEAD**
AAALEHDKAYDRQLD**SGDNPYLKYNHADA**EFQERLKEDTSFGGNLGRAVFQAKKRVLEPLGLVEEPVKTA
 PGKKRPVEHSPVEPDSSSGTGKAGQQPARKRLNFGQTGDADSVDPQPLGQPPAAPSGLGTNTMATGSGA
 PMADNNEGADGVGNSSGNWHCDSTWMGDRVITTTSTRTWALPTYNNHLYKQISSQSGASNDNHYFGYSTP
 WGYFDENRFHCHFSRPDWQRLINNNWGFRPKRLNFKLFNIQVKEVTQNDGTTTIANNLTSTVQVFTDSEY
 QLPYVLGSAHQGCLPPFPADVFMVPQYGYLTLN**NGS**QAVGRSSFYCLEYFPSQMLRTGNNFTFSYTFEDVP
 FHSSYAHSQSLDRLMNPLIDQYLYLSRTNTPSGTTTQSRLQFSQAGASDIRDQSRNWLPGPCYRQQRVSK
 TSADNNNSEYSWTGATKYHL**NGR**DSLVPNGPAMASHKDDEEKFFPQSGVLIFGKQGSEKTNVDIEKVMIT
 DEEEIRTTNPVATEQYGSVSTNLQRGNRQAATADVNTQGVLPGMVWQDRDVYLQGPIWAKIPHTDGHFH
 PSPLMGGFGLKHPPPQILIKNTVPANPSTTFSAAKFASFITQYSTGQVSVEIEWELQKENSkrwnPEIQYTS
 NYNKSVNVDFTVDT**NGV**SEPRPIGTRYLTRNL (SEQ ID NO:3).

[0233] In particular, a potential deamidation site is found at N57/G58 in the phospholipase A2 domain (Ca⁺⁺ binding site), as bolded and italicized in the above sequence. The following experiments were aimed at exploring whether deamidation at N57 can lead to reduced potency and/or truncation of AAV2, as well as whether different AAV production methods may have different effects on deamidation. For example, the producer cell line method (see Martin *et al.*, (2013) *Human Gene Therapy Methods* 24:253-269; U.S. PG Pub. No. US2004/0224411; and Liu, X.L. *et al.* (1999) *Gene Ther.* 6:293-299) may induce a higher level of deamidation at N57, as compared to the triple transfection method. According to the crystal structure of AAV2, N57 is not shown; however, N382 and N511 are partially exposed, and N715 is fully exposed.

Methods

Enzymatic digestions of AAV1 and AAV2 VPs

[0234] 10 µg of each AAV1-EGFP or AAV2-EGFP material (generated from triple transfection as well as producer cell line process) were concentrated using Amicon filters (10

kDa MWCO), denatured with 6 M Guanidine-HCl, 50 mM Tris at pH 8.5. The proteins were reduced with 5 mM DTT at 60 °C for 30 minutes in darkness, alkylated with 15 mM iodoacetamide at room temperature for 30 minutes, and then buffer exchanged into 25 mM Tris pH 7.1 for digestion using Bio-Spin® 6 Tris micro-columns. After buffer exchange, the samples were split into two aliquots. Each aliquot was digested with trypsin at 1:25 or Asp-N at 1:50 enzyme: protein ratio (wt/wt) for 2 hours at 37 °C, respectively.

UPLC/MS/MS peptide mapping

[0235] The protein digests were also analyzed by UPLC/MS/MS in Acquity UPLC-Xevo qTOF MS. BEH300 C18 column (2.1 × 150 mm) was used for separation in the mobile phases with 0.1% formic acid in water/acetonitrile gradient at a flow rate 0.25 ml/min. The mass spectra were acquired in the positive MSe resolution mode in the mass range of 50-2000.

Determination of deamidation levels in AAV VPs

[0236] The extracted ion chromatograms (XIC) of peptides containing NG sites (T9, T49, and T67 in AA1 and AAV2 VP) and their corresponding deamidated species were used for calculation of deamidation levels.

[0237] In order to compare AAV vectors produced by the triple transfection (TTx) and producer cell line (PCL) methods, AAV1 or AAV2 tagged with EGFP was produced using the TTx or PCL method. Truncated VP1 (tVP1) was found to be present in AAV2-EGFP produced by PCL, but not in the AAV2-EGFP produced by TTx. AAV1-EGFP was not found to have tVP1, regardless of the production method. The *in vitro* potency of AAV2 produced by the PCL method was also found to be reduced, as compared to AAV2 produced by TTx. Mutant N57K and N57Q AAV2 particles were also found to have reduced potency and disrupted Ca++ binding.

[0238] The following table provides the tryptic peptides that were analyzed to examine each potential deamidation site, as well as the corresponding residue.

Table 7: Tryptic peptides containing NG sites

Peptide (NG sequence underlined)	Residue
YLGP <u>F</u> <u>N</u> GLDK (SEQ ID NO: 9)	N57
EVTQNDGTTTIAN ^N LTSTVQVFTDSEYQLPYVLGSAHQGCLPPFPADVFMV PQYGYLTLN <u>N</u> GSQAVGRSSFYCLEYFPSQMLR (SEQ ID NO: 10)	N382
YNL <u>N</u> GR (AAV1) (SEQ ID NO: 11)	N511
YHL <u>N</u> GR (AAV2) (SEQ ID NO: 12)	N511
SANVDFTVDN <u>N</u> GLYTEPR (AAV1) (SEQ ID NO: 13)	N715
SVNVDFTVD <u>T</u> <u>N</u> G ^N VYSEPR (AAV2) (SEQ ID NO: 14)	N715

[0239] As shown in Table 7, the T9 peptide YLGPFNGLDK (SEQ ID NO: 9) was used to monitor N57, the T38 peptide

EVTQNDGTTTIAN^NLTSTVQVFTDSEYQLPYVLGSAHQGCLPPFPADVFMV
PQYGYLTLNNGSQAVGRSSFYCLEYFPSQMLR (SEQ ID NO: 10) was used to monitor N382, the T49 peptides YNLNGR (SEQ ID NO: 11) and YHLNGR (SEQ ID NO: 12) were used to monitor N511 in AAV1 or AAV2 (respectively), and the T67 peptides SANVDFTVDNNGLYTEPR (SEQ ID NO: 13) and SVNVDFTVDTNG^NVYSEPR (SEQ ID NO: 14) were used to monitor N715 in AAV1 or AAV2 (respectively).

[0240] LC/MS/MS analysis was used to compare the percentage of deamidation in AAV1 and AAV2 particles produced by the TTx and PCL methods. The results from the T9 peptide are shown in **FIGS. 6A & 6B**. The results from the T49 peptide are shown in **FIGS. 7A & 7B**. The results from the T67 peptide are shown in **FIGS. 8A & 8B**. These results are summarized in Table 8. The T38 peptide was not detected due to its size.

Table 8: Summary of LC/MS/MS results

		% Deamidation		
		N57	N511	N715
AAV1	TTx	7.9	30.9	18.1
	PCL	11.3	27.4	18.7
AAV2	TTx	6.7	39.6	27.4
	PCL	18.4	42.3	28.0

[0241] In particular, AAV2 produced by PCL showed nearly a 3-fold increase in deamidation as compared to AAV2 produced by TTx. These results suggest that deamidation decreases AAV potency, as the *in vitro* potency of AAV2 produced by PCL is reduced.

Conclusions

[0242] Taken together, Examples 1-3 demonstrate methods for analyzing intact proteins of viral particles (*e.g.*, AAV capsid proteins) using LC/MS. Molecular weights were measured accurately, and these techniques may be also used to assess N-termini and/or modifications of viral capsid proteins. Moreover, these methods are adaptable as capsid serotype identity assays useful in gene therapy, *e.g.*, as an analytical platform. These results further establish a correlation between capsid protein structure (*e.g.*, truncations, deamidation, etc.) and potency, suggesting that point mutations at key sites may be used to engineer more effective vectors.

Example 4: Elucidating the role of N terminal acetylation of AAV capsid proteins

[0243] As discussed above, the N-termini of AAV capsid proteins are highly conserved across serotypes (**FIG. 5**). The techniques described in Example 1 allow for interrogation of VP expression and posttranslational modifications. The role and biological significance of N-terminal acetylation of AAV capsid proteins was next examined.

Results

[0244] To elucidate the potential role of deacetylation of AAV capsid proteins, AAV5 deacetylation variants were tested. An AAV5 particle expressing eGFP under the CBA promoter (AAV5-CBA-*Egfp*) was compared to AAV5 variants with the amino acid adjacent to the initiating methionine (iMET) mutated for VP1 and VP3 (*deAC*-AAV5-CBA-*eGFPs*). Three amino acids predicted to have a low likelihood of acetylation by NatA, NatC, or NatD were chosen for generating variants: Gly, Leu, and Pro, as illustrated in Table 9 below.

Table 9: N-terminal acetylation frequency

N-term aa	Transferase	NT-AC FREQUENCY
MET-ALA <i>Normally found in VP1 & VP3</i>	NatA	High
MET-SER <i>Normally found in VP1 & VP3 for AAV5</i>	NatA	High
AAV variants		
MET-GLY	NatA	Low
MET-LEU	NatC	Low
MET-PRO	NatD/other	Low

[0245] The following AAV5 deacetylated (deAC) mutants were generated:

S2GVPI – Ser changed to Gly at position 2 in AAV5VP1
 S2LVPI – Ser changed to Leu at position 2 in AAV5VP1
 S2PVP1 – Ser changed to Pro at position 2 in AAV5VP1
 S2GVP3 – Ser changed to Gly at position 2 in AAV5VP3
 S2LVP3 – Ser changed to Leu at position 2 in AAV5VP3
 S2PVP3 – Ser changed to Pro at position 2 in AAV5VP3
 S2PVP1/VP3 – Ser changed to Pro at position 2 in both AAV5 VP1 and VP3
 S2GVPI/VP3 – Ser changed to Gly at position 2 in both AAV5 VP1 and VP3
 S2LVPI/VP3 – Ser changed to Leu at position 2 in both AAV5 VP1 and VP3

[0246] These variants were generated using the TTX method as described above. All AAV5 variants showed good productivity, with yields greater than 10^{13} total VG. All AAV5 variants also showed the expected VP1:VP2:VP3 protein ratio by SYPRO protein gel analysis (**FIG. 9**). Next, LC/MS was used to confirm that all AAV5 variants had decreased acetylation, as shown in Table 10.

Table 10: LC/MS analysis of AAV5 variant acetylation

	mutants	VP1 Theo.	VP1 Exp.	Δ mass (VP1)	VP2 Theo.	VP2 Exp.	Δ mass (VP2)	VP3 Theo.	VP3 Exp.	Δ mass (VP3)	note
1	<i>deAC-AAV5</i> (S2GVP1)/ <i>CBA-eGFP</i>	80234	nd		65283	65293	10	59463	59472	9	VP1 not detectable
2	<i>deAC-AAV5</i> (S2LVP1)/ <i>CBA-eGFP</i>	80346	80501	181	65283	65292	9	59463	59471	8	VP1 incorrect
3	<i>deAC-AAV5</i> (S2GVP3)/ <i>CBA-eGFP</i>	80234	nd		65253	65261	8	59391	59398	7	confirmed
4	<i>deAC-AAV5</i> (S2LVP3)/ <i>CBA-eGFP</i>	80336	80363	27	65309	65309	0	59447	59620	173	VP3 incorrect
5	<i>deAC-AAV5</i> (S2PVP1VP3)/ <i>CBA-eGFP</i>	80314	80324	10	65293	65300	7	59431	59438	7	confirmed
6	<i>deAC-AAV5</i> (S2GVP1VP3)/ <i>CBA-eGFP</i>	80234	80243	9	65253	65261	8	59391	59398	7	confirmed
7	<i>deAC-AAV5</i> (S2PVP3)/ <i>CBA-eGFP</i>	80336	80346	10	65293	65292	1	59431	59430	1	confirmed
8	<i>deAC-AAV5</i> (S2PVP1)/ <i>CBA-eGFP</i>	80314	80313	1	65283	65291	8	59463	59470	7	confirmed
9	<i>deAC-AAV5</i> (S2LVP1VP3)/ <i>CBA-eGFP</i>	80346	nd		65309	65318	9	59447	59629	182	VP3 incorrect

nd=not determined

[0247] These LC/MS analyses confirmed that AAV5 variants were deacetylated. The variants S2LVP1, S2LVP3, and S2LVP1/VP3 all showed increased mass (increased from 173 to 182) in VP1 and VP3 proteins, suggesting that changing the second N-terminal amino acid to a leucine in VP1 or VP3 alters the protein, resulting in an increase in mass.

[0248] Next, AAV5 variants were assayed in an *in vitro* transduction assay using eGFP as a reporter gene (**FIG. 10**). The assay was designed to evaluate transduction by AAV5 deacetylated mutant variants at 10^6 multiplicity of infection (MOI), comparing each variant to the parental, unmodified AAV5 particle. Three cell lines were used: 293, HuH7, and HeLa cells.

Following infection, cells were assayed to determine vector genome copy number (vg/ μ g cellular protein) and eGFP expression (by ELISA). Vector genome copy number (vg/ μ g protein) represents the efficiency at which the AAV5 variant enters the cell, and eGFP represents the efficiency of capsid intracellular trafficking, since transgene expression requires the capsid/vector DNA to efficiently traffic to the nucleus (**FIG. 10**). Vector genomes were quantified by TaqMan analysis.

[0249] **FIG. 11** shows that, based on vector genome analyses, AAV5 deacetylated mutant vectors infected all three test cell lines at similar, but reduced, levels as compared to the parental unmodified AAV5 particles. **FIG. 12** shows that AAV5 deacetylated mutant vectors all resulted in reduced eGFP expression in all three cell lines, as compared to transduction with parental unmodified AAV5.

Conclusions

[0250] As predicted, no acetylation was observed in N-terminal Ser to Pro/Leu/Gly mutant variants when examined by LC/MS. AAV5 deAC variants showed robust vector production, and AAV5 deAC variants infected cells at levels comparable to parental AAV5. However, functional protein levels in cells infected with deAC variants were greatly reduced when compared to the parental AAV5. These data suggest that tropism is minimally affected by a lack of N-terminal deacetylation in VP1/VP3, but downstream processing (*e.g.*, trafficking and/or degradation) is significantly affected. Since the variants tested demonstrated reduced *in vitro* activity, one of skill in the art may appreciate that variants characterized by reduced or eliminated acetylation could be employed, *inter alia*, when decreased levels of transduction are desirable.

Example 5: Assessment of deamidation of AAV capsid proteins

[0251] Examples 1 and 3 demonstrate techniques that allow the interrogation of post-translational modifications of AAV capsid proteins and explore the role of deamidation of the AAV2 capsid. The following Example tested whether deamidation reduces potency and/or induces truncation of capsid proteins, and whether different manufacturing processes can induce different levels of deamidation.

Methods

[0252] AAV particles were generated and deamidation status assayed as described in Example 3.

Results

[0253] As described in Example 3, a potential deamidation site is found at N57/G58 in the phospholipase A2 domain (Ca⁺⁺ binding site) in VP1 of the AAV2 capsid. The N57/G58 motif is conserved across AAV serotypes (**FIG. 13**). Example 3 showed that AAV2 produced by PCL exhibited nearly a 3-fold increase in deamidation as compared to AAV2 produced by TTx (see **FIGS. 6A & 6B** and Table 8).

[0254] In examining VP1, VP2, and VP3 production by protein gels, a truncated VP1 protein (tVP1) was detected only in AAV2 capsid proteins produced by the PCL method (**FIG. 14**).

[0255] A series of AAV2 deamidation mutants was generated next. These mutants targeted the Gly residue in the canonical NG sequence. Mutations targeting the A35 residue (see **FIG. 13**), the N-terminal amino acid for tVP1 were also generated, as shown in Table 11. The pAF277 and pAF279 mutants bearing multiple mutations did not package.

Table 11: Deamidation mutants

Name	mutation	avg drp/cell
pAF274	G58K	4.54E+03
pAF275	G58D	5.00E+03
pAF276	G58Q	5.41E+03
pAF277	G58,383,512,716K	1.2
pAF278	A35N	6.89E+03
pAF279	A35N, G58,383,512,765K	2.2
293	-	0.9
PIM45	Control	6.28E+03

K = positive charge (basic)

D = negative charge (acidic)

Q = polar

[0256] Deamidation of variants were next analyzed by LC/MS as described in Example 3 above. The AAV2A35N and AAV2G58D variants had altered deamidation as compared to the parental AAV2 (**FIG. 15**). In particular, the AAV2A35N mutant had increased deamidation (17.8%) as compared to parental AAV2 (5.7%). The AAV2G58D variant had reduced deamidation (1.1%) as compared to parental AAV2. SYPRO protein gel analysis demonstrated that the AAV2 deamidation mutants exhibited the correct VP1:VP2:VP2 ratio (**FIG. 16**).

[0257] Next, AAV2 deamidation variants were assayed in an *in vitro* transduction assay using eGFP as a reporter gene (**FIG. 17**). The assay was designed to evaluate transduction by AAV2 deamidation mutant variants at 10^6 multiplicity of infection (MOI), comparing each variant to the parental, unmodified AAV2 particle. Three cell lines were used: 293, HuH7, and HeLa cells. Following infection, cells were assayed to determine vector genome copy number (vg/ μ g cellular protein) and eGFP expression (by ELISA). Vector genome copy number (vg/ μ g protein) represents the efficiency at which the AAV2 variant enters the cell, and eGFP represents the efficiency of capsid intracellular trafficking, since transgene expression requires the capsid/vector DNA to efficiently traffic to the nucleus (**FIG. 17**). Vector genomes were quantified by TaqMan analysis.

[0258] Vector genome analysis indicated that AAV2 deamidation mutant variants infected all cell lines tested at levels comparable to that of parental AAV2 vectors (**FIG. 18**). Importantly, the AAV2A35N variant was found to be more potent than the parental AAV2 vector for transduction in all three cell lines (**FIG. 19**). The AAV2G58D variant was found to be more potent than the parental AAV2 vector in HuH7 cells (**FIG. 19**).

Conclusions

[0259] In summary, AAV2 deamidation mutant vectors infect cells at levels comparable to the parent AAV2 particles (*e.g.*, comparable vg/ μ g cellular protein). However, based on analysis of eGFP levels in transduced cells, the AAV2A35N variant had higher potency than the parental AAV2 in all cell lines tested, and the AAV2G58D variant had higher potency than the parental AAV2 in HuH7 cells (a liver-derived cell line). These results suggest that the A35N mutation may be effective in increasing vector potency for transducing many cell types, and that the G58D mutation may also be effective in increasing potency in certain cell types, *e.g.*, liver cells.

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SEQUENCES

All polypeptide sequences are presented N-terminal to C-terminal unless otherwise noted.

All nucleic sequences are presented 5' to 3' unless otherwise noted.

Nucleotide sequence of potential AAV2 VP3 initiation codons (ATG codons underlined)

ATGGCTACAGGCAGTGGCGCACCAATGGCAGAC (SEQ ID NO:1)

Polypeptide sequence corresponding to potential AAV2 VP3 initiation codons (methionines underlined)

MATGSGAPMAD (SEQ ID NO:2)

AAV2 VP1 polypeptide sequence

MAADGYLPDWLEDTLSEGIRQWWKLKPGPPPPKPAERHKDDSRGLVLPGYKYLGPFGNLDKGEPVNEADAAALEHDK
AYDRQLDSGDNPYLKYNHADAQERLKEDTSFGGNLGRAVFQAKKRVLEPLGLVEEPVKTA PGKKRPVEHSPVEPD
SSSGTGKAGQQPARKRLNFGQTGDADSVDPDQPLGQPPAAPSGLGNTMATGSGAPMADNNEGADGVGNSSGNWHCD
STWMGDRVITTTSTRTWALPTYNNHLYKQISSQSGASNDNHYFGYSTPWGYFDFNRHFHCHFSRQWRLINNNWGFRP
KRLNFKLFNIQVKEVTQNDGTTTIANNLTSTVQVFTDSEYQLPYVLGSAHQGLPPFPADVFMVPQYGYLTLNNGSQ
AVGRSSFYCLEYFPSQMLRTGNNTFSYTFEDVPFHSSYAHQSQSLDRMLNPLIDQYLYLSRTNTPSGTTTQSRLOF
SQAGASDIRDQSRNWLPGPCYRQQRVSKTSADNNNSEYSWTGATKYHLNGRDSLVPNGPAMASHKDDEEKFFPQSGV
LIFGKQGSEKTNVDIEKVMITDEEEIRTTNPVATEQYGSVSTNLQRGNRQAATADVNTQGVLPGMVWQDRDVYLQGP
IWAKIPHTDGHFHPSPLMGGFGLKHPPPQILIKNTPVPANPSTTFSAKFASFITQYSTGQVSVEIEWELQENSKR
WNPEIQYTSNYSNVNDFTVDTNGVYSEPRPIGTRYLTRNL (SEQ ID NO:3)

VP1 N-terminal tryptic peptide (N-terminal alanine is acetylated)

AADGYLPDWLEDTLSEGIR (SEQ ID NO:4)

VP3 N-terminal Asp-N peptide (N-terminal alanine is acetylated)

ATGSGAPM (SEQ ID NO:5)

Common VP1 N-terminal sequence

MAADGYLPDWLED (SEQ ID NO:6)

Nucleotide sequence of potential AAV7 VP3 initiation codons (start codons underlined)

GTGGCTGCAGGCGGTGGCGCACCAATGGCAGACAATAAC (SEQ ID NO:7)

Nucleotide sequence of mutated ITR

CACTCCCTCTCTGCGCGCTCGCTCGCTCACTGAGGCCGGGCGACCAAAGGTCGCCCACGCCCGGGCTTTGCCCGGGC
G (SEQ ID NO:8)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Xiaoying JIN et al.

Application No.: Not Yet Assigned

Confirmation No.: Not Yet Assigned

Filed: Concurrently Herewith

Art Unit: Not Yet Assigned

For: METHODS FOR DETECTING AAV

Examiner: Not Yet Assigned

PRELIMINARY AMENDMENT UNDER 37 C.F.R. 1.115

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Commissioner:

INTRODUCTORY COMMENTS

Prior to examination on the merits, Applicant respectfully requests entry of this Preliminary Amendment for the above-captioned patent application.

Amendments to the Specification begin on page 2 of this paper.

Remarks/Arguments begin on page 3 of this paper.

AMENDMENTS TO THE SPECIFICATION

Please amend paragraph [0001] on page one, as follows:

CROSS REFERENCE TO RELATED APPLICATIONS

[0001] This application is a divisional application of U.S. Patent Application No. 16/325,653, which adopts the international filing date of August 14, 2017, which is a National Phase application under 35 U.S.C. § 371 of International Application No. PCT/US2017/046814, filed August 14, 2017, which claims the priority benefit of U.S. Provisional Application No. 62/375,314, filed August 15, 2016, the disclosure of each of which is hereby incorporated by reference in its entirety.

Please amend paragraph [0002] on page one, as follows:

REFERENCE TO AN ELECTRONIC SEQUENCE LISTING

[0002] The contents of the electronic following submission on ASCII text file is incorporated herein by reference in its entirety: a computer readable form (CRF) of the Sequence Listing (file name: 159792014100SEQLIST.txt159792014110SEQLIST.xml; Size 56,201 bytes, and date of creation: May 18, 2023) is herein incorporated by reference in its entirety~~recorded: February 13, 2019 size 52 KB).~~

REMARKS

By virtue of this amendment, the specification has been amended to update the Cross Reference to Related Applications section, which reflects the priority as claimed in the Application Data Sheet currently filed herewith. The Sequence Listing section has also been amended to reflect the sequence listing filed herewith. No new matter is believed to have been added by this amendment and therefore, entry of the specification amendments is respectfully requested.

If it is determined that a telephone conference would expedite the prosecution of this application, the Examiner is invited to telephone the undersigned at the number given below.

Dated: May 22, 2023

Respectfully submitted,

Electronic signature: /Sarah E. Bloch/

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ABSTRACT

Provided herein are methods for determining the serotype of a virus particle and/or or determining the heterogeneity of a virus particle (*e.g.*, an AAV particle). In other embodiments, the invention provides methods to determine the heterogeneity of AAV particles. In some aspects, the invention provides viral particles (*e.g.*, rAAV particles) with improved stability and/or improved transduction efficiency by increasing the acetylation and/or deamidation of capsid proteins.

Sheet No. 1 of 2

Box No. VIII (iv) DECLARATION: INVENTORSHIP (only for the purposes of the designation of the United States of America)

The declaration must conform to the following standardized wording provided for in Section 214; see Notes to Boxes Nos. VIII, VIII (i) to (v) (in general) and the specific Notes to Box No. VIII (iv). If this Box is not used, this sheet should not be included in the request.

**Declaration of inventorship (Rules 4.17(iv) and 51bis.1(a)(iv))
for the purposes of the designation of the United States of America:**

I hereby declare that I believe I am the original inventor or an original joint inventor of a claimed invention in the application.

This declaration is directed to the international application of which it forms a part (if filing declaration with application).

This declaration is directed to international application No. PCT/ US2017/046814 (if furnishing declaration pursuant to Rule 26ter).

I hereby declare that the above-identified international application was made or authorized to be made by me.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Name: Xiaoying JIN

Residence: Bridgewater, New Jersey
(city and either US state, if applicable, or country)

Mailing Address: c/o Sanofi
55 Corporate Drive, Mail Code 55A-505A
Bridgewater, New Jersey 08807
UNITED STATES OF AMERICA

Xiaoying JIN
Inventor's Signature

(The signature must be that of the inventor, not that of the agent)

August 21, 2017
Date

Name: Catherine O'RIORDAN

Residence: Bridgewater, New Jersey
(city and either US state, if applicable, or country)

Mailing Address: c/o Sanofi
55 Corporate Drive, Mail Code 55A-505A
Bridgewater, New Jersey 08807
UNITED STATES OF AMERICA

Catherine O'RIORDAN
Inventor's Signature

(The signature must be that of the inventor, not that of the agent)

August 22, 2017
Date

Name: Lin LIU

Residence: Bridgewater, New Jersey
(city and either US state, if applicable, or country)

Mailing Address: c/o Sanofi
55 Corporate Drive, Mail Code 55A-505A
Bridgewater, New Jersey 08807
UNITED STATES OF AMERICA

Lin LIU
Inventor's Signature

(The signature must be that of the inventor, not that of the agent)

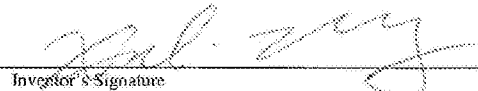
August 21, 2017
Date

☒ This declaration is continued on the following sheet, "Continuation of Box No. VIII (iv)".

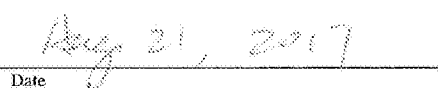
Sheet No. 2 of 2

Continuation of Box No. VIII (iv) DECLARATION: INVENTORSHIP

Name: Kate ZHANG

Residence: Bridgewater, New Jersey
(city and either US state, if applicable, or country)Mailing Address: c/o Sanofi
55 Corporate Drive, Mail Code 55A-505A
Bridgewater, New Jersey 08807
UNITED STATES OF AMERICA
Inventor's Signature

(The signature must be that of the inventor, not that of the agent)


Date

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	15979-20141.10
		Application Number	
Title of Invention	METHODS FOR DETECTING AAV		
<p>The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.</p> <p>This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.</p>			

Secrecy Order 37 CFR 5.2:

☐	Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)
--------------------------	---

Inventor Information:

Inventor	1				Remove
Legal Name					
Prefix	Given Name	Middle Name	Family Name	Suffix	
	Xiaoying		JIN		
Residence Information (Select One) ☒ US Residency ☐ Non US Residency ☐ Active US Military Service					
City	Cambridge	State/Province	MA	Country of Residence	US

Mailing Address of Inventor:

Address 1	c/o Sanofi, Patent Department				
Address 2	450 Water Street				
City	Cambridge	State/Province	MA		
Postal Code	02141	Country	US		

Inventor	2				Remove
Legal Name					
Prefix	Given Name	Middle Name	Family Name	Suffix	
	Catherine		O'RIORDAN		
Residence Information (Select One) ☒ US Residency ☐ Non US Residency ☐ Active US Military Service					
City	Cambridge	State/Province	MA	Country of Residence	US

Mailing Address of Inventor:

Address 1	c/o Sanofi, Patent Department				
Address 2	450 Water Street				
City	Cambridge	State/Province	MA		
Postal Code	02141	Country	US		

Inventor	3				Remove
Legal Name					
Prefix	Given Name	Middle Name	Family Name	Suffix	
	Lin		LIU		
Residence Information (Select One) ☒ US Residency ☐ Non US Residency ☐ Active US Military Service					

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	15979-20141.10
		Application Number	
Title of Invention	METHODS FOR DETECTING AAV		

City	Cambridge	State/Province	MA	Country of Residence	US
------	-----------	----------------	----	----------------------	----

Mailing Address of Inventor:

Address 1	c/o Sanofi, Patent Department				
Address 2	450 Water Street				
City	Cambridge	State/Province	MA		
Postal Code	02141	Country	US		
Inventor	4				<input type="button" value="Remove"/>
Legal Name					

Prefix	Given Name	Middle Name	Family Name	Suffix
	Kate		ZHANG	

Residence Information (Select One) ☒ US Residency ☐ Non US Residency ☐ Active US Military Service					
City	Cambridge	State/Province	MA	Country of Residence	US

Mailing Address of Inventor:

Address 1	c/o Sanofi, Patent Department				
Address 2	450 Water Street				
City	Cambridge	State/Province	MA		
Postal Code	02141	Country	US		
All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button. <input type="button" value="Add"/>					

Correspondence Information:

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).			
☐ An Address is being provided for the correspondence Information of this application.			
Customer Number	89300		
Email Address	PatentDocket@mofo.com	<input type="button" value="Add Email"/>	<input type="button" value="Remove Email"/>

Application Information:

Title of the Invention	METHODS FOR DETECTING AAV		
Attorney Docket Number	15979-20141.10	Small Entity Status Claimed	☐
Application Type	Nonprovisional		
Subject Matter	Utility		
Total Number of Drawing Sheets (if any)	27	Suggested Figure for Publication (if any)	

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	15979-20141.10
		Application Number	
Title of Invention	METHODS FOR DETECTING AAV		

Filing By Reference:

Only complete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). Do not complete this section if application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information").

For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a).

Application number of the previously filed application	Filing date (YYYY-MM-DD)	Intellectual Property Authority or Country

Publication Information:

☐ Request Early Publication (Fee required at time of Request 37 CFR 1.219)

☐ **Request Not to Publish.** I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.

Please Select One:	☒ Customer Number	☐ US Patent Practitioner	☐ Limited Recognition (37 CFR 11.9)
Customer Number	89300		

Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing benefit claim information in the Application Data Sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

When referring to the current application, please leave the "Application Number" field blank.

Prior Application Status	Pending	Remove	
Application Number	Continuity Type	Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)
	Division of	16/325,653	2017-08-14

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	15979-20141.10
		Application Number	
Title of Invention	METHODS FOR DETECTING AAV		
Prior Application Status	Expired	Remove	
Application Number	Continuity Type	Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)
16/325,653	a 371 of international	PCT/US2017/046814	2017-08-14
Prior Application Status	Expired	Remove	
Application Number	Continuity Type	Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)
PCT/US2017/046814	Claims benefit of provisional	62/375,314	2016-08-15
Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the Add button.			Add

Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)ⁱ the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

			Remove
Application Number	Country ⁱ	Filing Date (YYYY-MM-DD)	Access Code ⁱ (if applicable)
Additional Foreign Priority Data may be generated within this form by selecting the Add button.			Add

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

☐ This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.

NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	15979-20141.10
		Application Number	
Title of Invention	METHODS FOR DETECTING AAV		

Authorization or Opt-Out of Authorization to Permit Access:

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant **must opt-out** of the authorization by checking the corresponding box A or B or both in subsection 2 below.

NOTE: This section of the Application Data Sheet is **ONLY** reviewed and processed with the **INITIAL** filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)

A. Priority Document Exchange (PDX) - Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby **grants the USPTO authority** to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h)(1).

B. Search Results from U.S. Application to EPO - Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby **grants the USPTO authority** to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

2. Opt-Out of Authorizations to Permit Access by a Foreign Intellectual Property Office(s)

☐ A. Applicant **DOES NOT** authorize the USPTO to permit a participating foreign IP office access to the instant application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.

☐ B. Applicant **DOES NOT** authorize the USPTO to transmit to the EPO any search results from the instant patent application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.

NOTE: Once the application has published or is otherwise publicly available, the USPTO may provide access to the application in accordance with 37 CFR 1.14.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	15979-20141.10
		Application Number	
Title of Invention	METHODS FOR DETECTING AAV		

Applicant Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

Applicant	1	Remove
<p>If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.</p> <p style="text-align: right;">Clear</p>		
☒ Assignee	Legal Representative under 35 U.S.C. 117	Joint Inventor
Person to whom the inventor is obligated to assign.		Person who shows sufficient proprietary interest
If applicant is the legal representative, indicate the authority to file the patent application, the inventor is:		
<div style="border: 1px solid black; height: 20px; width: 100%;"></div>		
Name of the Deceased or Legally Incapacitated Inventor: <div style="border: 1px solid black; width: 450px; height: 20px;"></div>		
If the Applicant is an Organization check here. ☒		
Organization Name	Genzyme Corporation	
Mailing Address Information For Applicant:		
Address 1	450 Water Street	
Address 2		
City	Cambridge	State/Province MA
Country	US	Postal Code 02141
Phone Number		Fax Number
Email Address		
Additional Applicant Data may be generated within this form by selecting the Add button. Add		

Assignee Information including Non-Applicant Assignee Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	15979-20141.10
		Application Number	
Title of Invention	METHODS FOR DETECTING AAV		

Assignee	1			
Complete this section if assignee information, including non-applicant assignee information, is desired to be included on the patent application publication. An assignee-applicant identified in the "Applicant Information" section will appear on the patent application publication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on the patent application publication.				
Remove				
If the Assignee or Non-Applicant Assignee is an Organization check here. ☐				
Prefix	Given Name	Middle Name	Family Name	Suffix
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mailing Address Information For Assignee including Non-Applicant Assignee:				
Address 1	<input type="text"/>			
Address 2	<input type="text"/>			
City	<input type="text"/>	State/Province	<input type="text"/>	
Country ⁱ	<input type="text"/>	Postal Code	<input type="text"/>	
Phone Number	<input type="text"/>	Fax Number	<input type="text"/>	
Email Address	<input type="text"/>			
Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button. Add				

Signature:[Remove](#)

NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application Data Sheet is submitted with the **INITIAL** filing of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must also be signed in accordance with 37 CFR 1.14(c).

This Application Data Sheet **must** be signed by a patent practitioner if one or more of the applicants is a **juristic entity** (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, **all** joint inventors who are the applicant, or one or more joint inventor-applicants who have been given power of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of **all** joint inventor-applicants.

See 37 CFR 1.4(d) for the manner of making signatures and certifications.

Signature	/Sarah E. Bloch/		Date (YYYY-MM-DD)	2023-05-22
First Name	Sarah	Last Name	Bloch	Registration Number
				79,763
Additional Signature may be generated within this form by selecting the Add button. Add				

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	15979-20141.10
		Application Number	
Title of Invention	METHODS FOR DETECTING AAV		

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1 The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2 A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3 A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4 A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5 A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6 A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7 A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8 A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9 A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

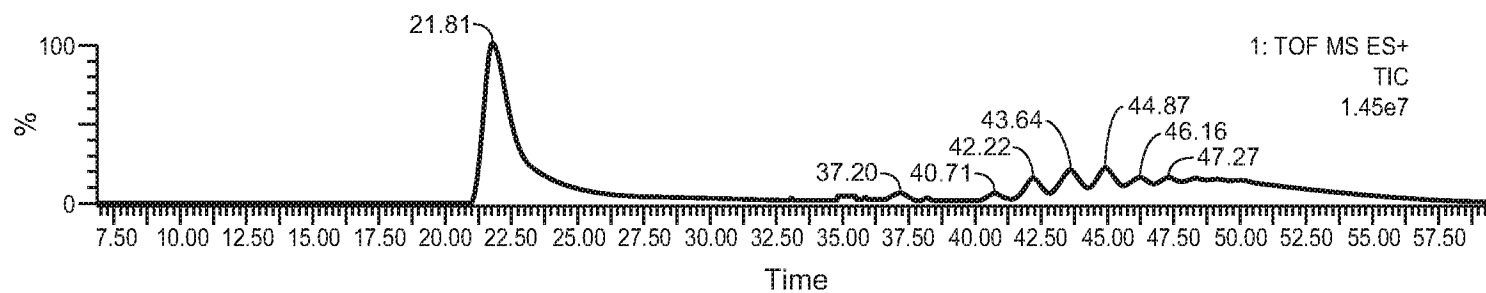


FIG. 1A

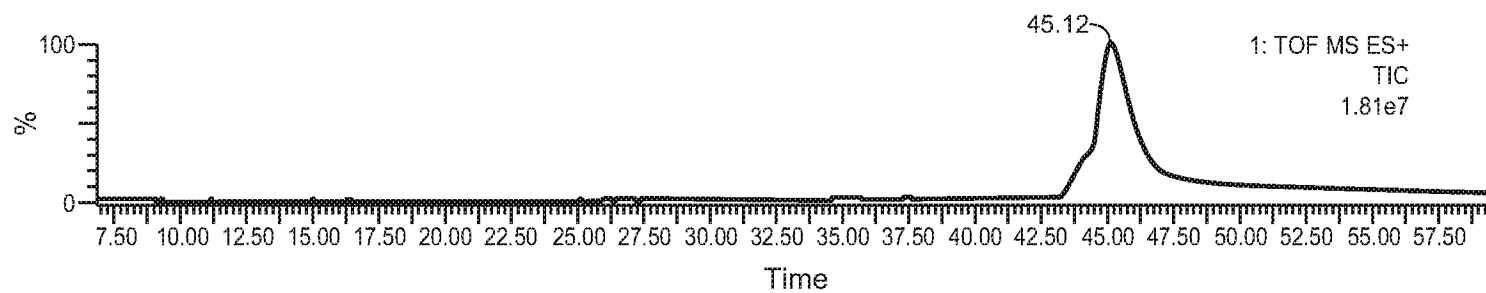


FIG. 1B

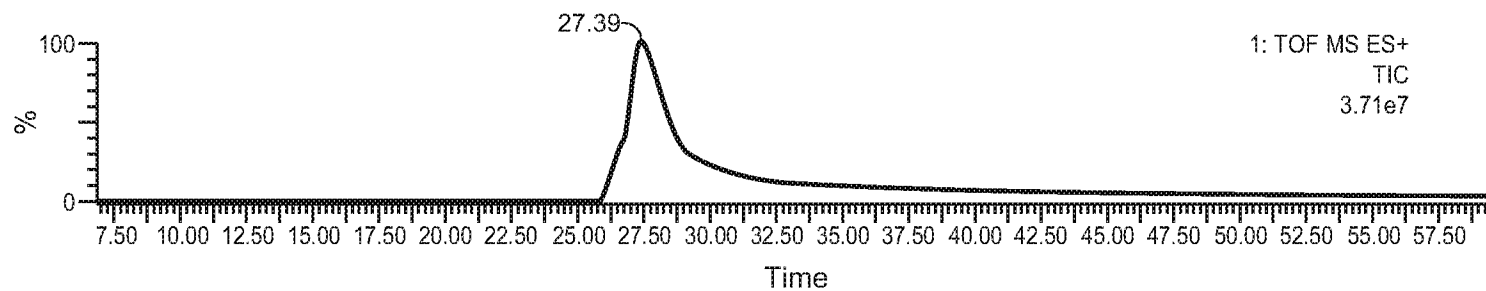


FIG. 1C

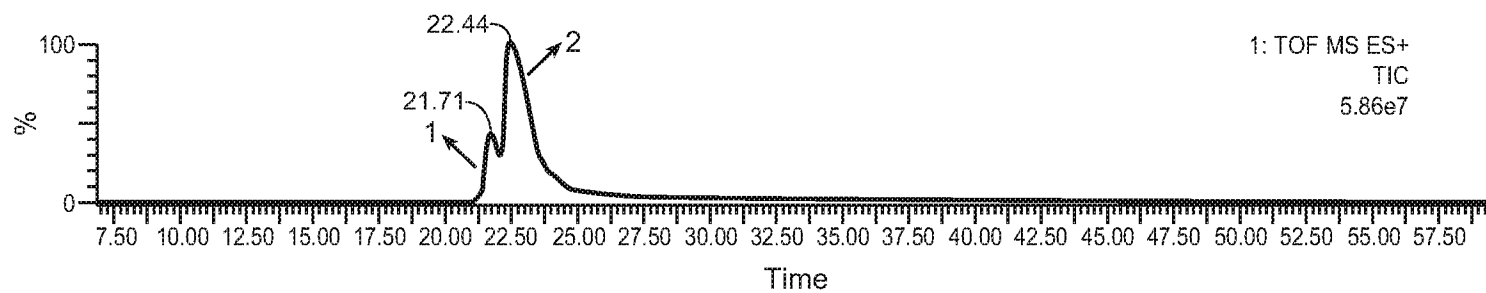


FIG. 1D

FIG. 2A

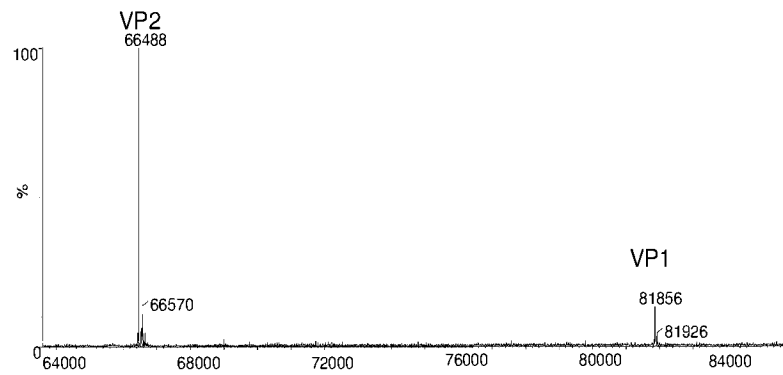
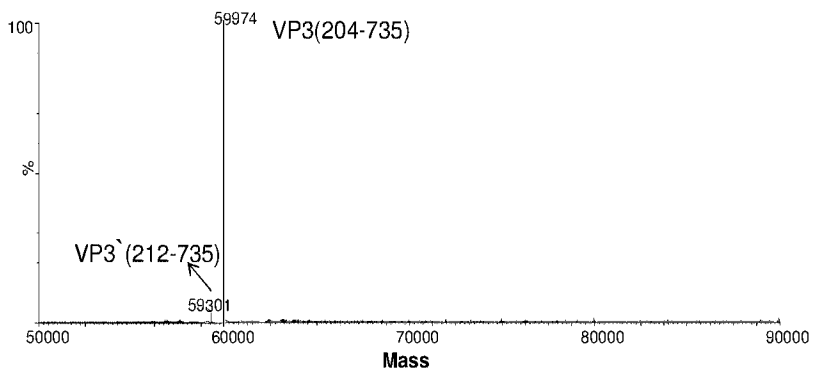


FIG. 2B



```

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  //
51 KYLGPFNGLDKGEFVNEADAAALEHDKAYDRQLDSDGNPYLKYNHADA EF 100
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  //
151 VEPDSSSGTGKAGQQPARKRLNFGQTGDADSVDPDQPLGQPPAAPSG LGT 200
  //
201 NTMATGSGAPMADNNEGADGVGNSSGNWHCDSTWMGDRVITTSTRTWALP 250
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  //
301 NNNWGFRPKRLNFKLFNIQVKEVTQNDGTTIANNL TSTVQVFTDSEYQL 350
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451 PSGTTTQSRLOFSQAGASDIRDQSRNWLPGPCYRQQRVSKTSADNNNSEY 500
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501 SWTGATKYHLNGRDSL VNPGPAMASHKDDEEKFFPQSGVLIFGKQGSEKT 550
  //
551 NVDIEKVMITDEEEI RTTNPVATEQYGSVSTNLQRGNRQAATADVNTQGV 600
  //
601 LPGMVWQDRDVYLOGPIWAKIPHTDGHFHPSPLMGGFGLKHPPPQILIKN 650
  //
651 TPVPANPSTTFSAAKFASFITQYSTGQVSVEIEWELQKENS KRWNPEIQY 700
  //
701 TSYNKSVNVDFTVDTNGVYSEPRPIGTRYLTRNL 735
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FIG. 3

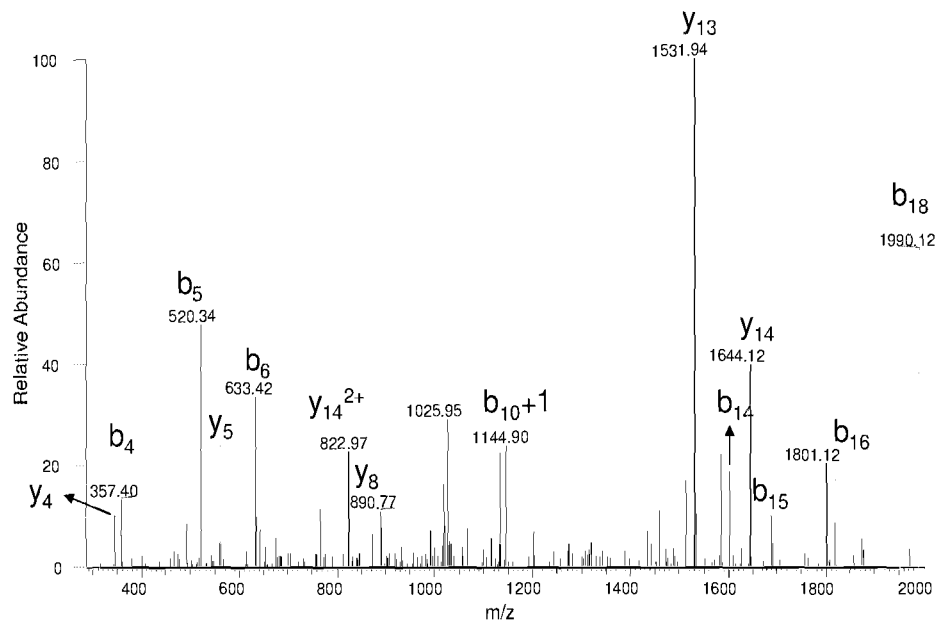


FIG. 4A

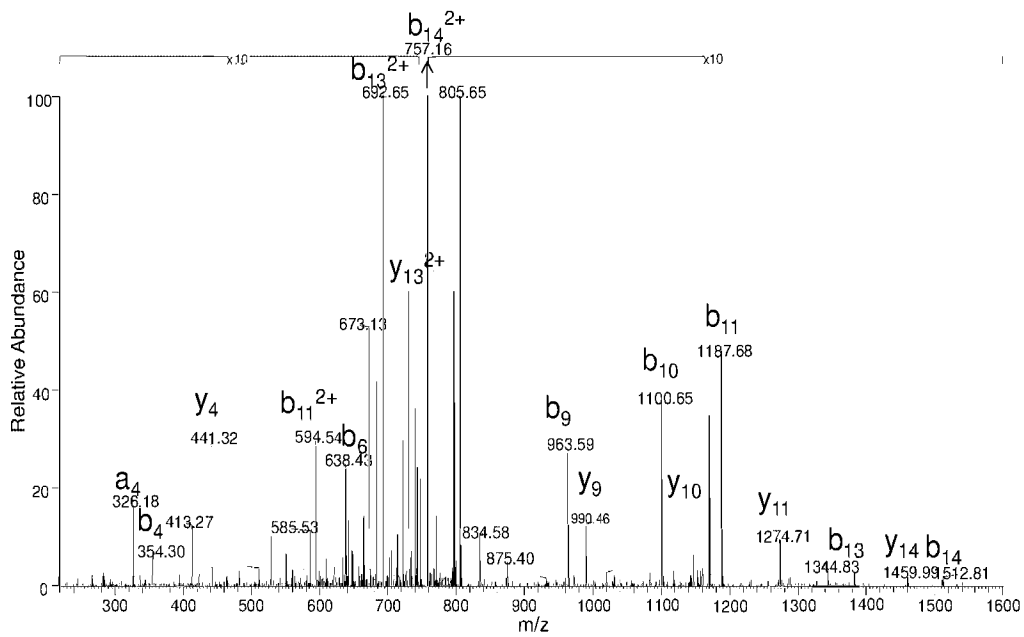


FIG. 4B

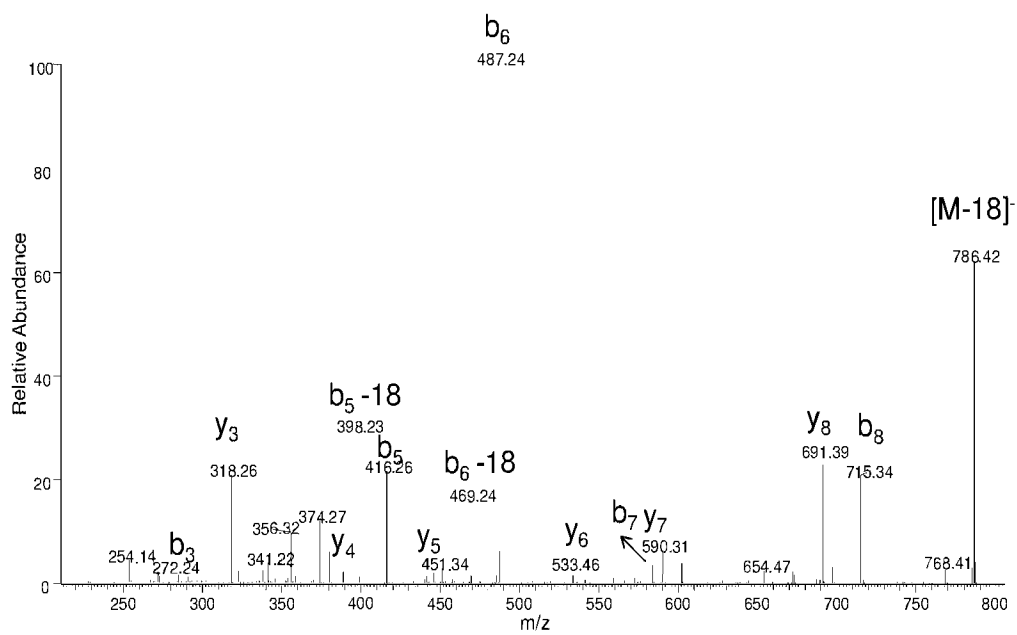


FIG. 4C

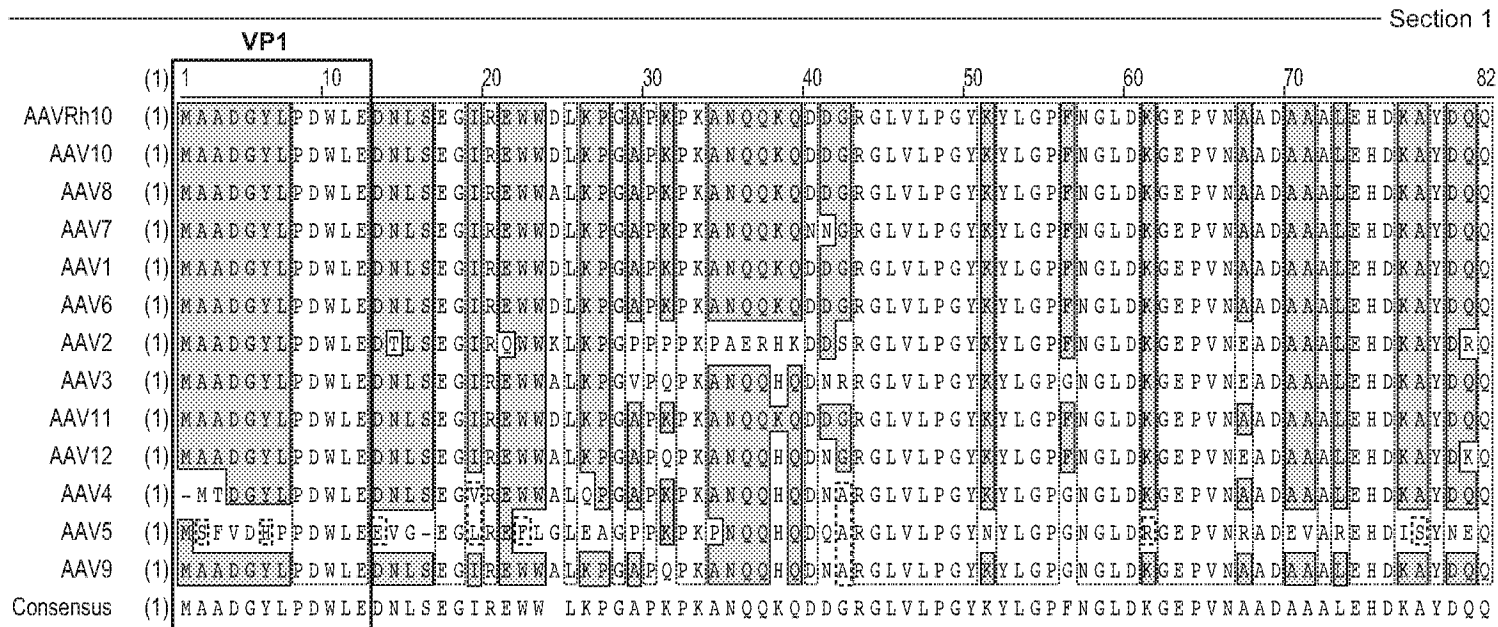


FIG. 5A

Section 2

		(83)	83	90	100	110	120	130	140	150	164																																																																								
AAVRh10	(83)	L	K	A	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	Q	E	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	A	K	T	A	P	G	K	K	R	P	V	E	S	P	--	Q	R	S	P	D	S	S	G	I	G	K			
AAV10	(83)	L	K	A	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	Q	E	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	A	K	T	A	P	G	K	K	R	P	V	E	S	P	--	Q	R	S	P	D	S	S	G	I	G	K			
AAV8	(83)	L	K	A	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	Q	E	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	A	K	T	A	P	G	K	K	R	P	V	E	S	P	--	Q	R	S	P	D	S	S	G	I	G	K			
AAV7	(83)	L	K	A	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	Q	E	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	A	K	T	A	P	G	K	K	R	P	V	E	S	P	--	Q	R	S	P	D	S	S	G	I	G	K			
AAV1	(83)	L	K	A	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	Q	E	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	A	K	T	A	P	G	K	K	R	P	V	E	S	P	--	Q	E	--	P	D	S	S	S	G	I	G	K		
AAV6	(83)	L	K	A	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	Q	E	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	A	K	T	A	P	G	K	K	R	P	V	E	S	P	--	Q	E	--	P	D	S	S	S	G	I	G	K		
AAV2	(83)	L	D	S	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	K	E	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	P	V	K	T	A	P	G	K	K	R	P	V	E	S	P	--	V	E	--	P	D	S	S	S	G	I	G	K		
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AAV11	(83)	L	K	A	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	Q	E	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	A	K	T	A	P	G	K	K	R	P	V	E	S	P	--	P	Q	E	P	D	S	S	S	G	I	G	K		
AAV12	(83)	L	E	Q	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	A	T	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	V	K	T	A	P	G	K	K	R	P	V	E	S	P	--	N	R	P	T	N	P	D	S	G	K	A	P	A	K
AAV4	(82)	L	K	A	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	Q	E	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	A	K	T	A	P	G	K	K	R	P	V	E	S	P	--	P	Q	E	P	D	S	S	S	G	I	G	K		
AAV5	(82)	L	E	A	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	A	D	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	A	K	T	A	P	T	G	K	R	I	D	D	H	F	F	--	K	--	R	K	K	A	R	T	E	E			
AAV9	(83)	L	K	A	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	K	E	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	A	K	T	A	P	G	K	K	R	P	V	E	S	P	--	Q	E	--	P	D	S	S	S	G	I	G	K		
Consensus	(83)	L	K	A	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	Q	E	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	A	K	T	A	P	G	K	K	R	P	V	E	S	P	--	Q	--	P	D	S	S	S	G	I	G	K			

FIG. 5B

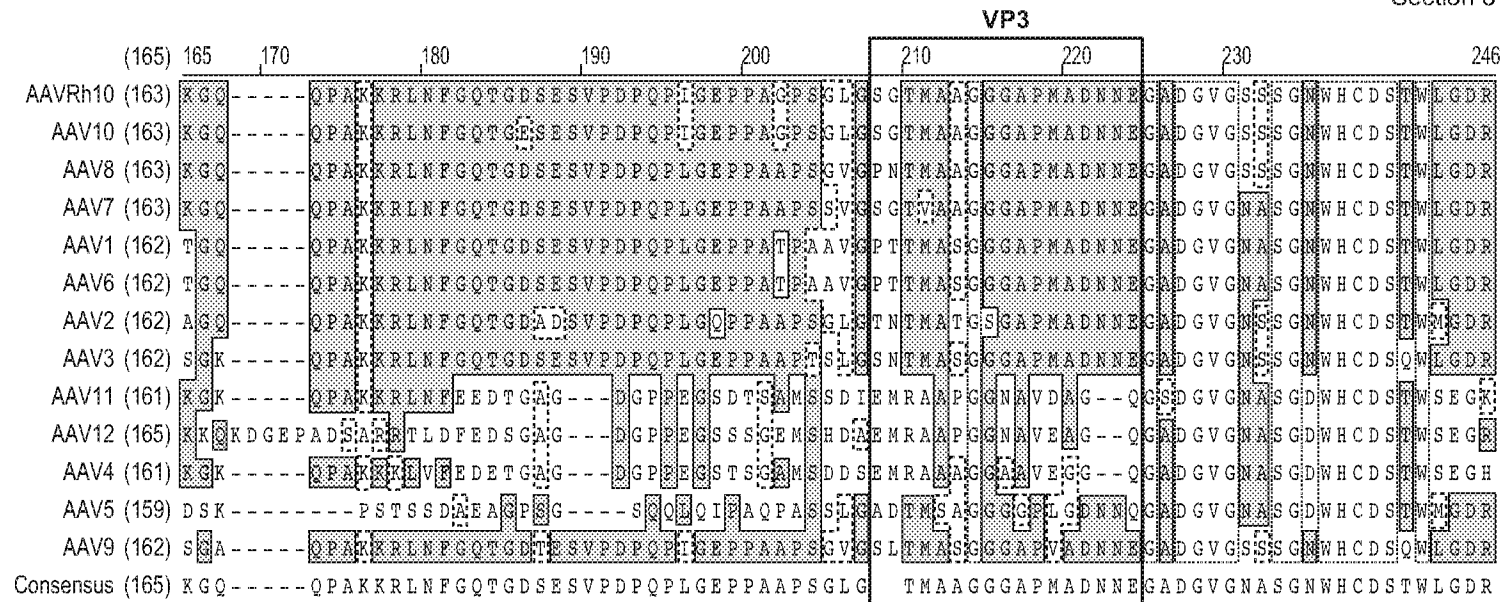


FIG. 5C

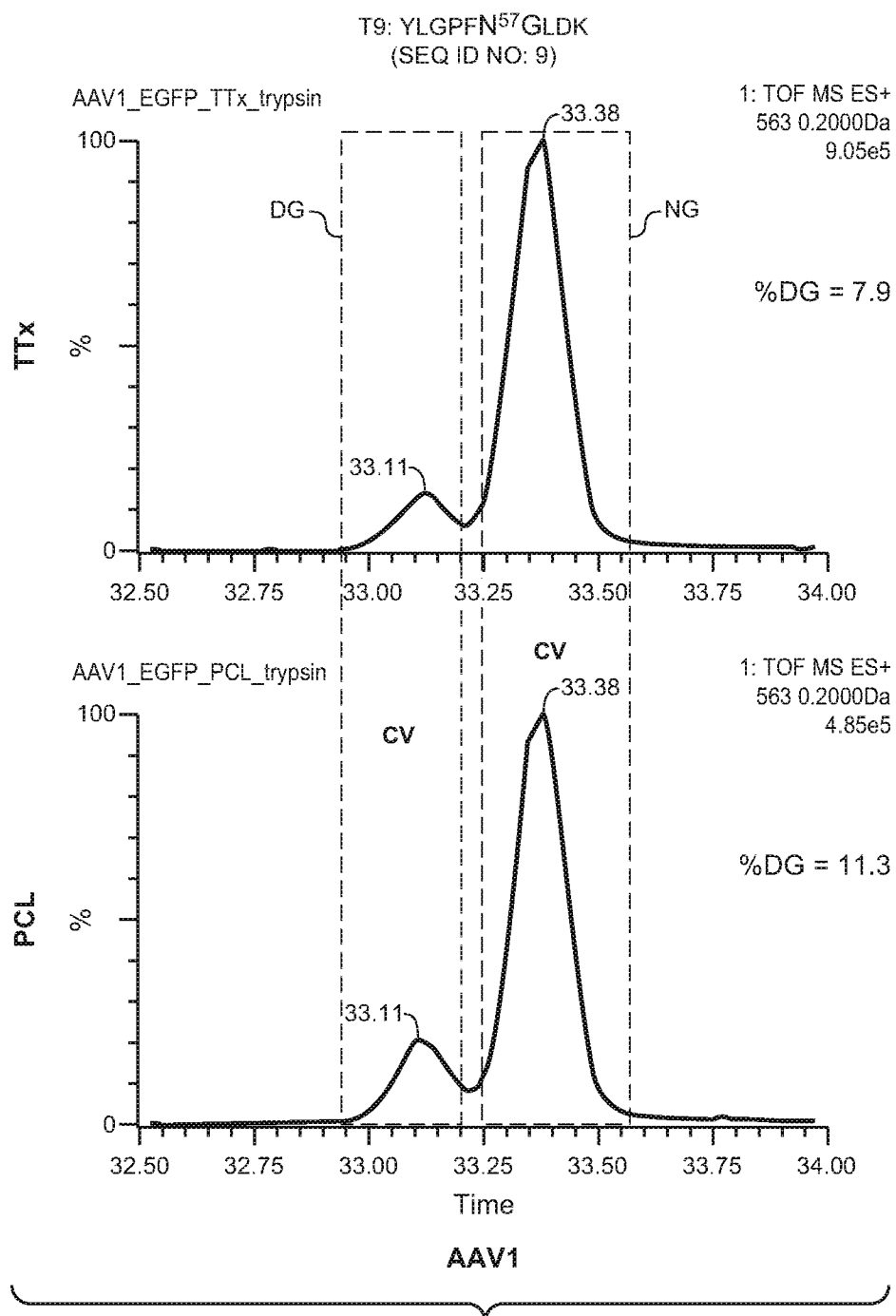
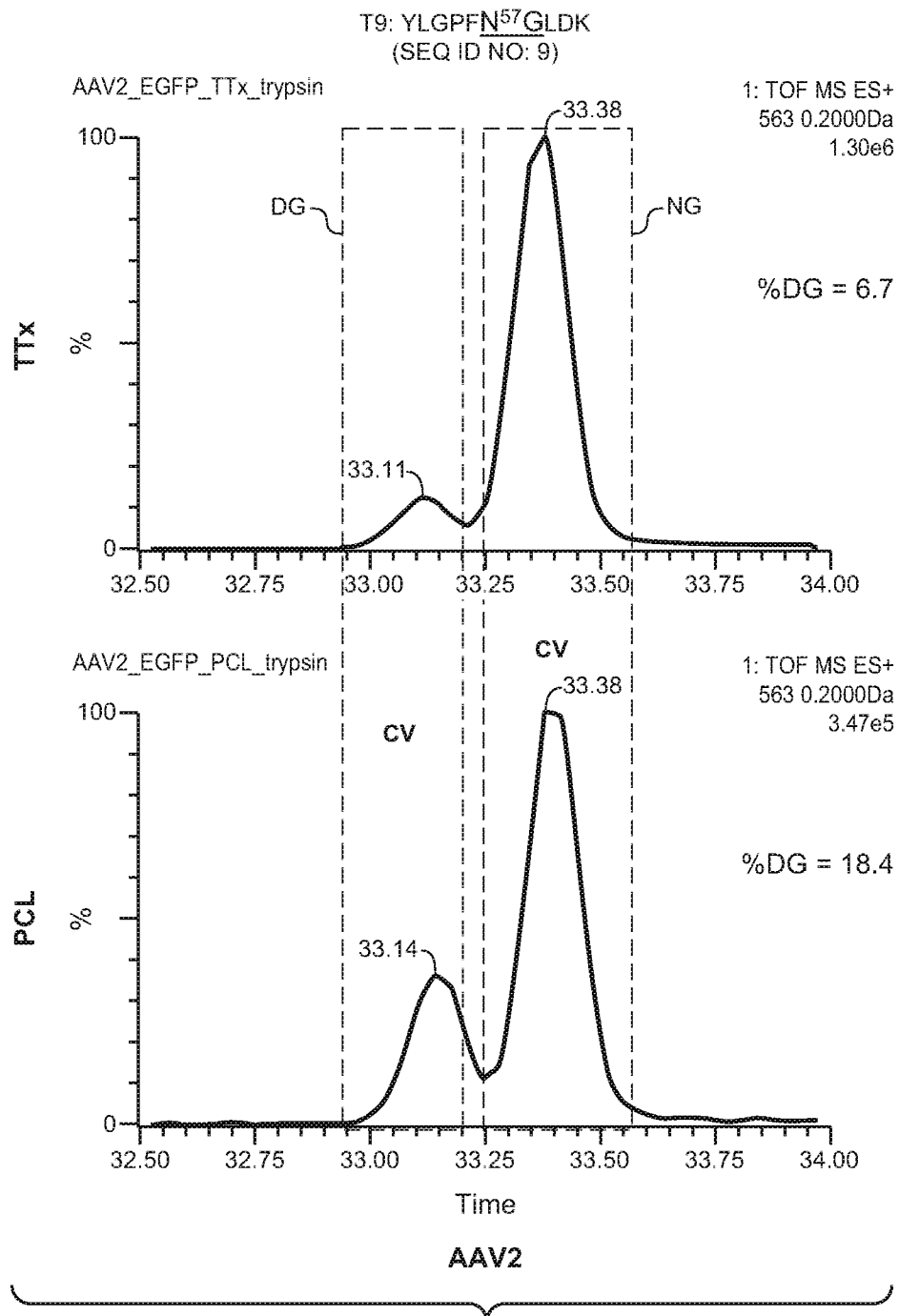


FIG. 6A



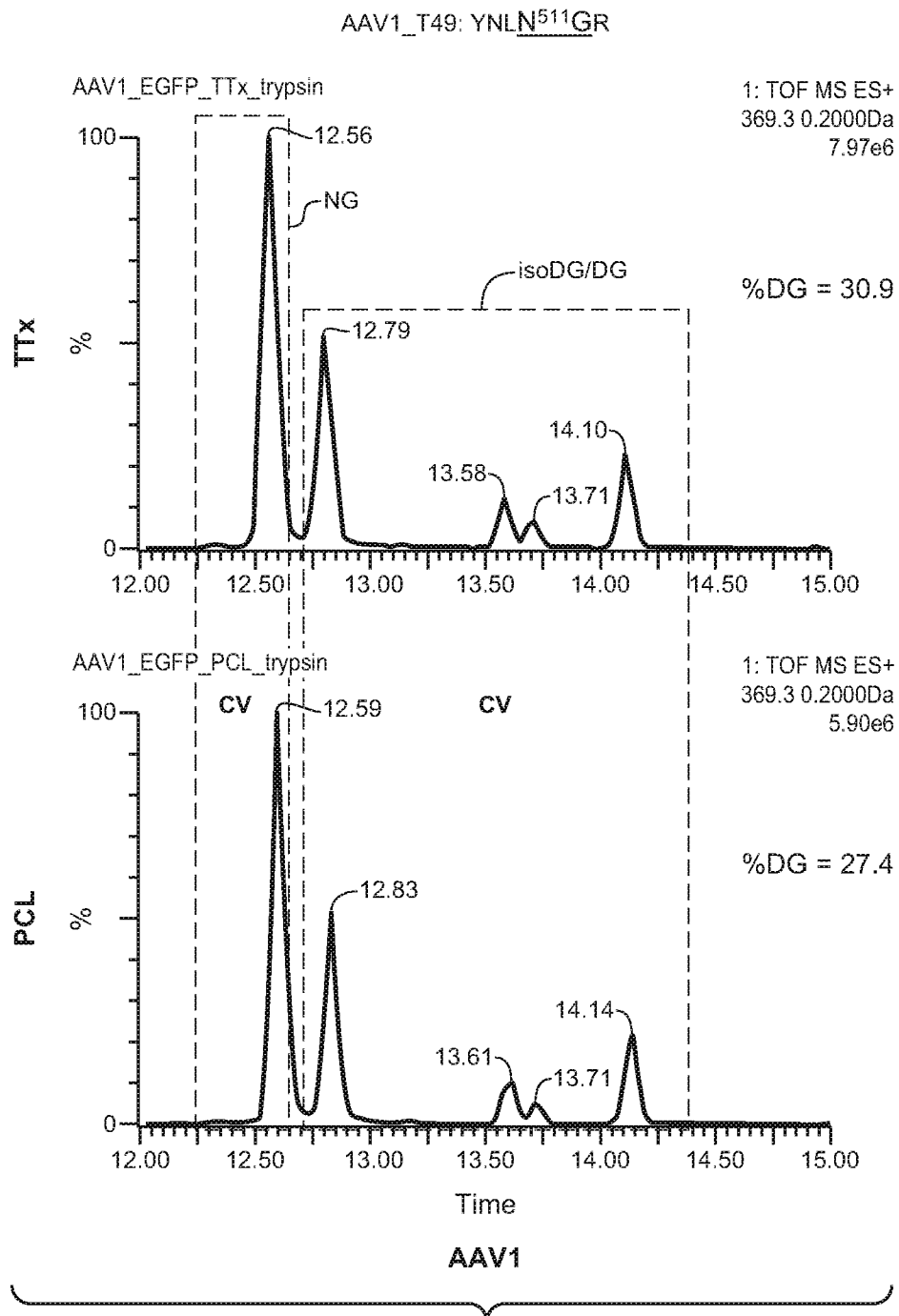


FIG. 7A

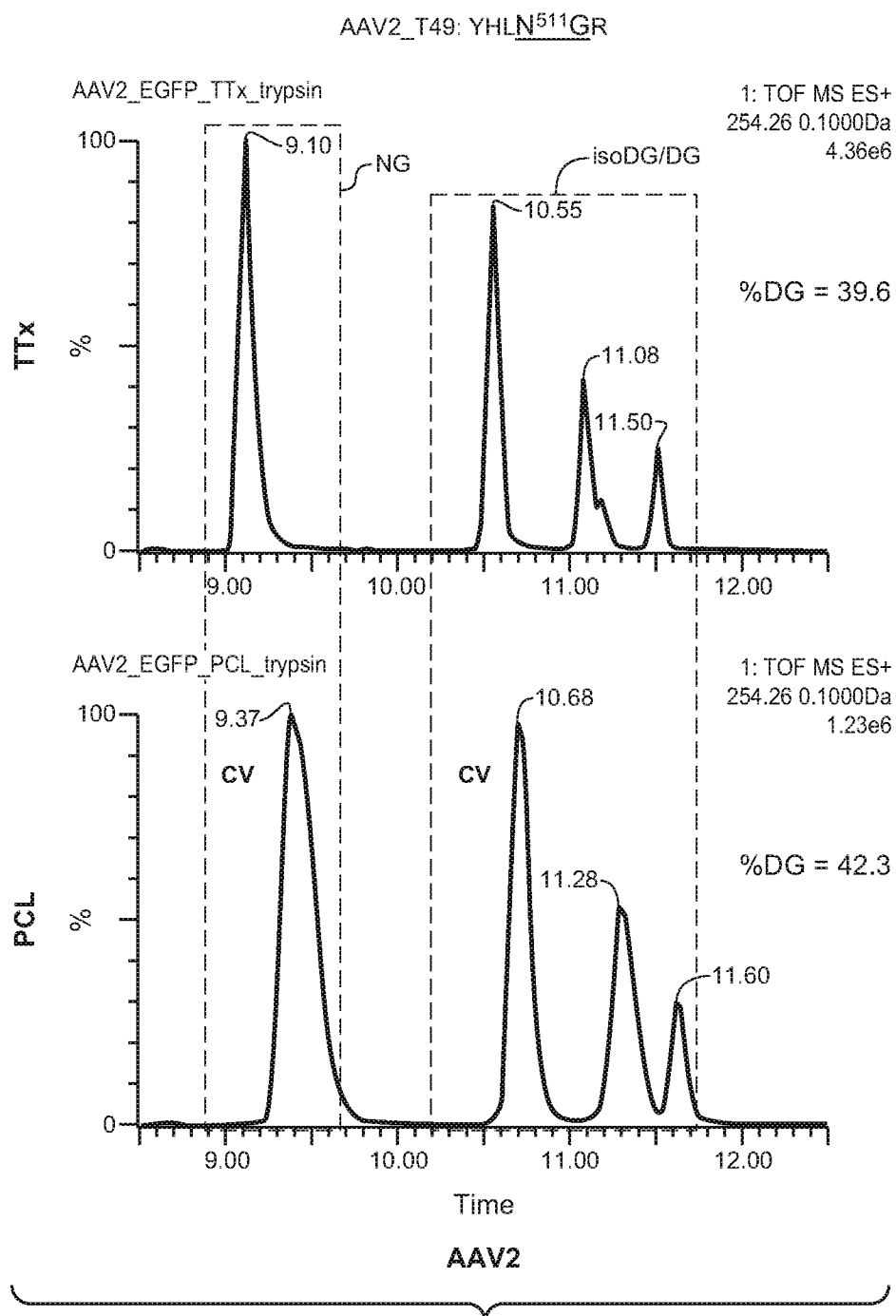


FIG. 7B

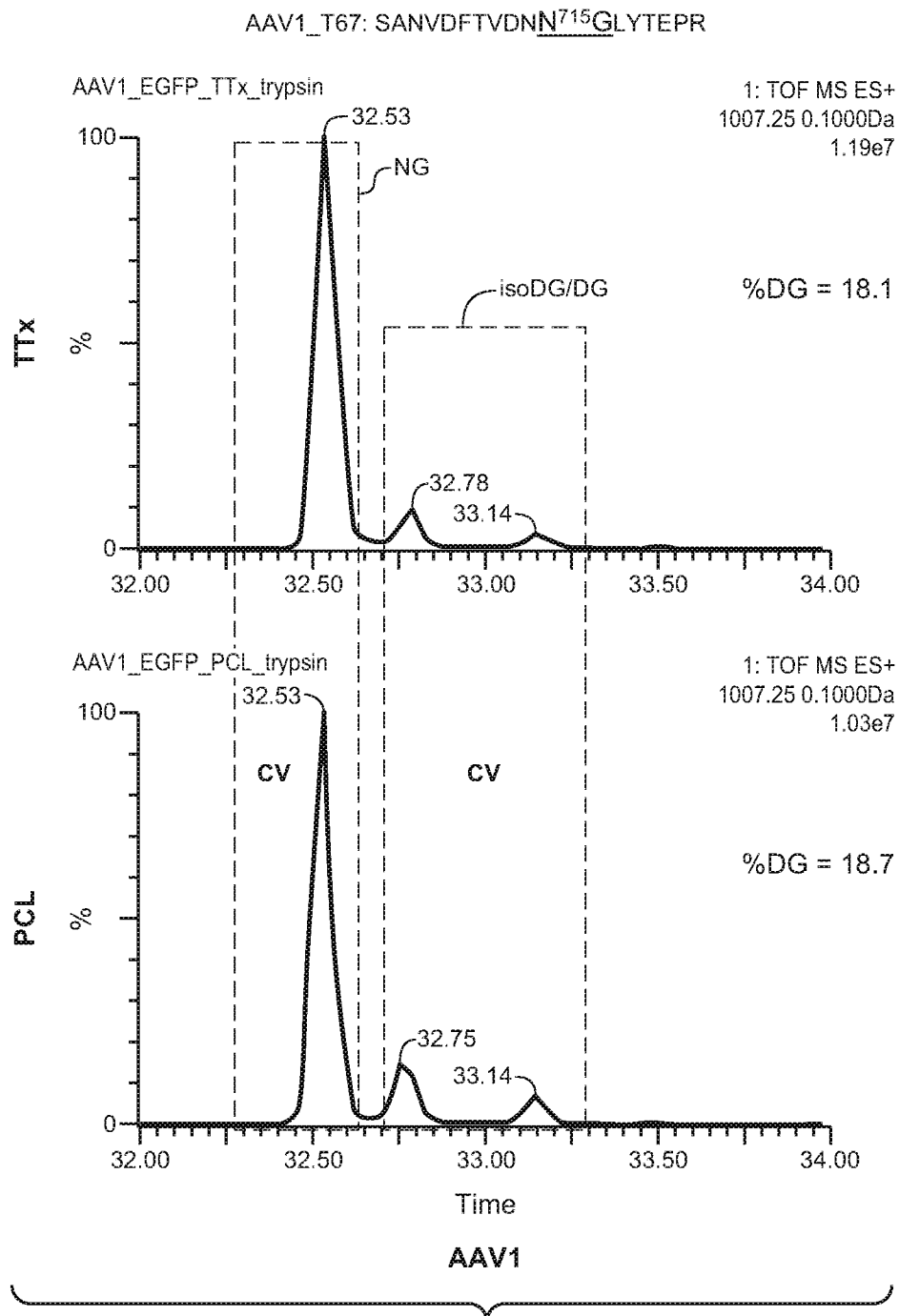
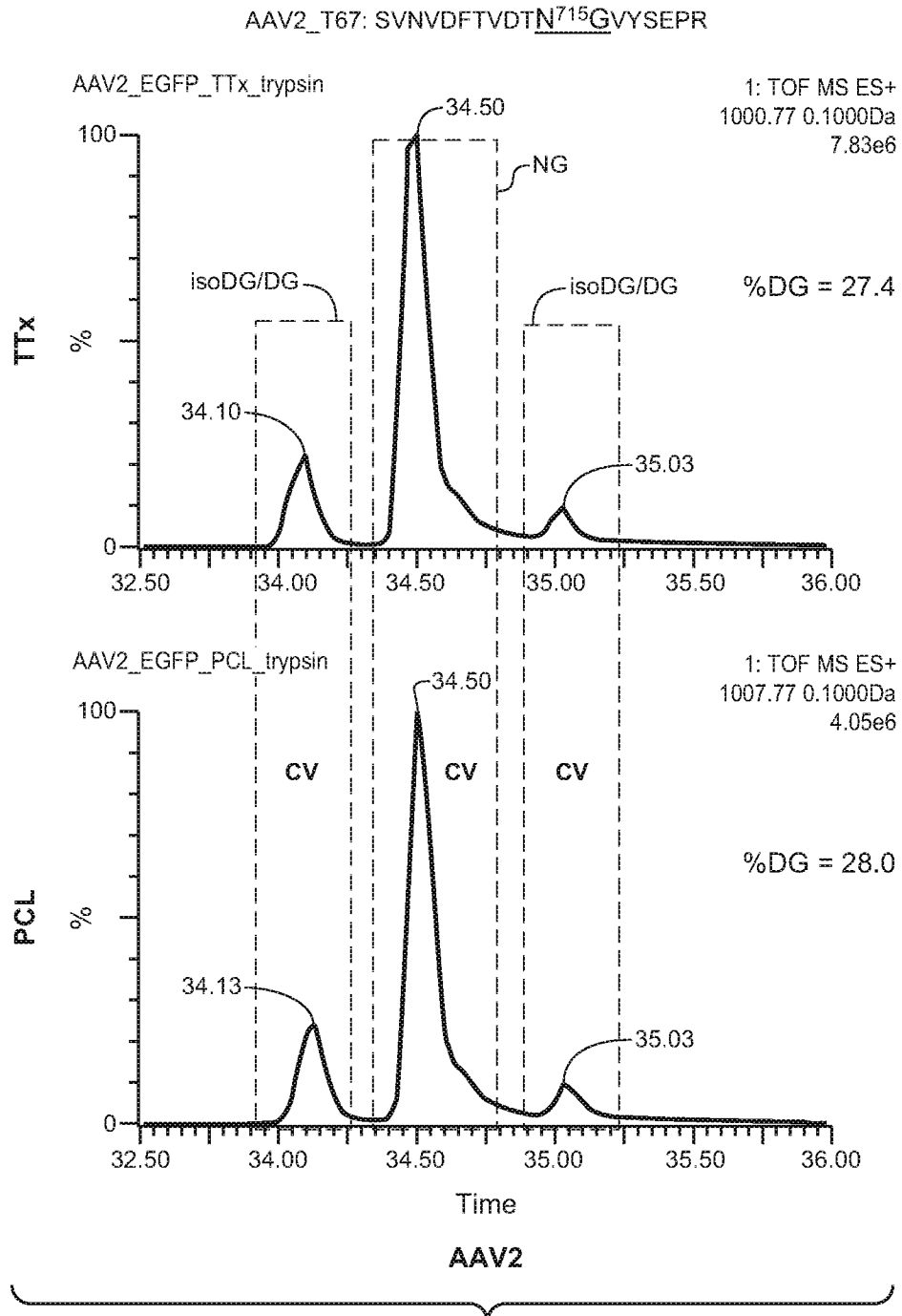
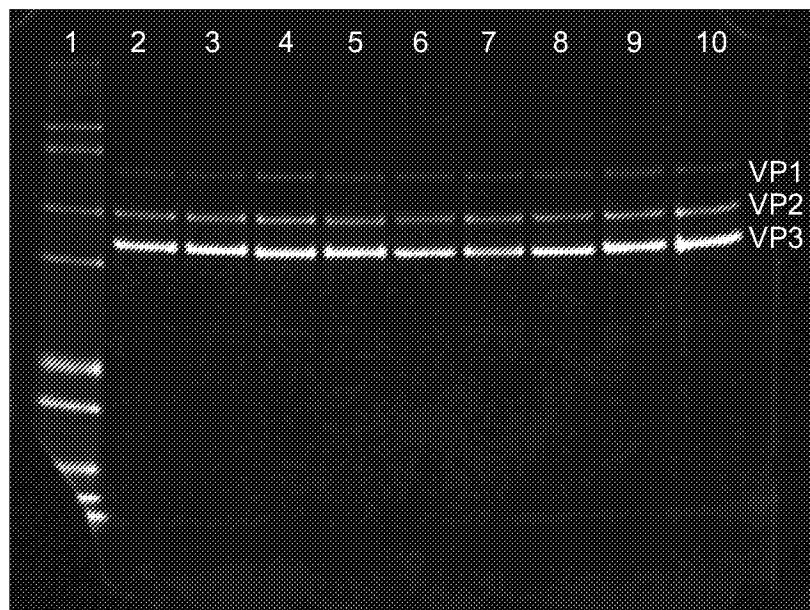


FIG. 8A

**FIG. 8B**



Deacetylation AAV5 Mutant Vectors

Lane	Sample
1	Mark12 Marker
2	deAC-AAV5 (S2G)/CBA-eGFP X17052C
3	deAC-AAV5 (S2L)/CBA-eGFP X17052D
4	deAC-AAV5 (S2GVP3)/CBA-eGFP X17058D
5	deAC-AAV5 (S2LVP3)/CBA-eGFP X17065A
6	deAC-AAV5 (S2PVP1VP3)/CBA-eGFP X17065B
7	deAC-AAV5 (S2GVP1VP3)/CBA-eGFP X17065C
8	deAC-AAV5 (S2PVP3)/CBA-eGFP X17065D
9	deAC-AAV5 (S2P)/CBA-eGFP X17065E
10	deAC-AAV5 (S2LVP1VP3)/CBA-eGFP X17072B

(~1E10 VGs/Lane)

FIG. 9

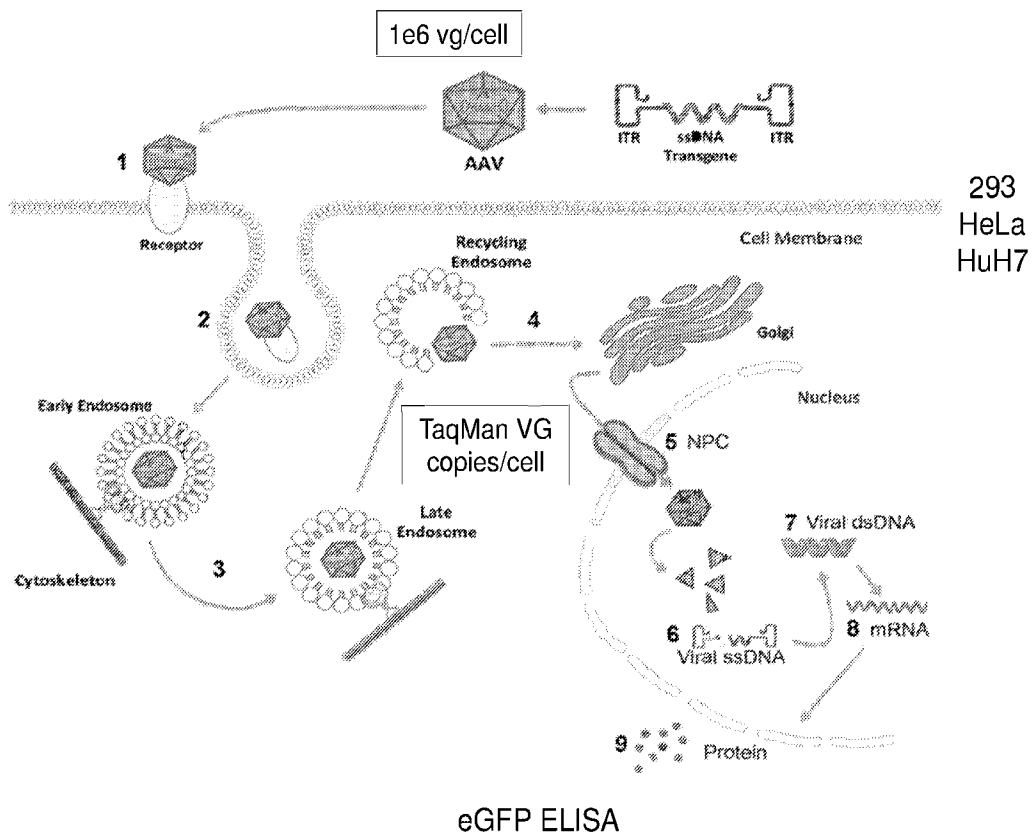


FIG. 10

FIG. 11

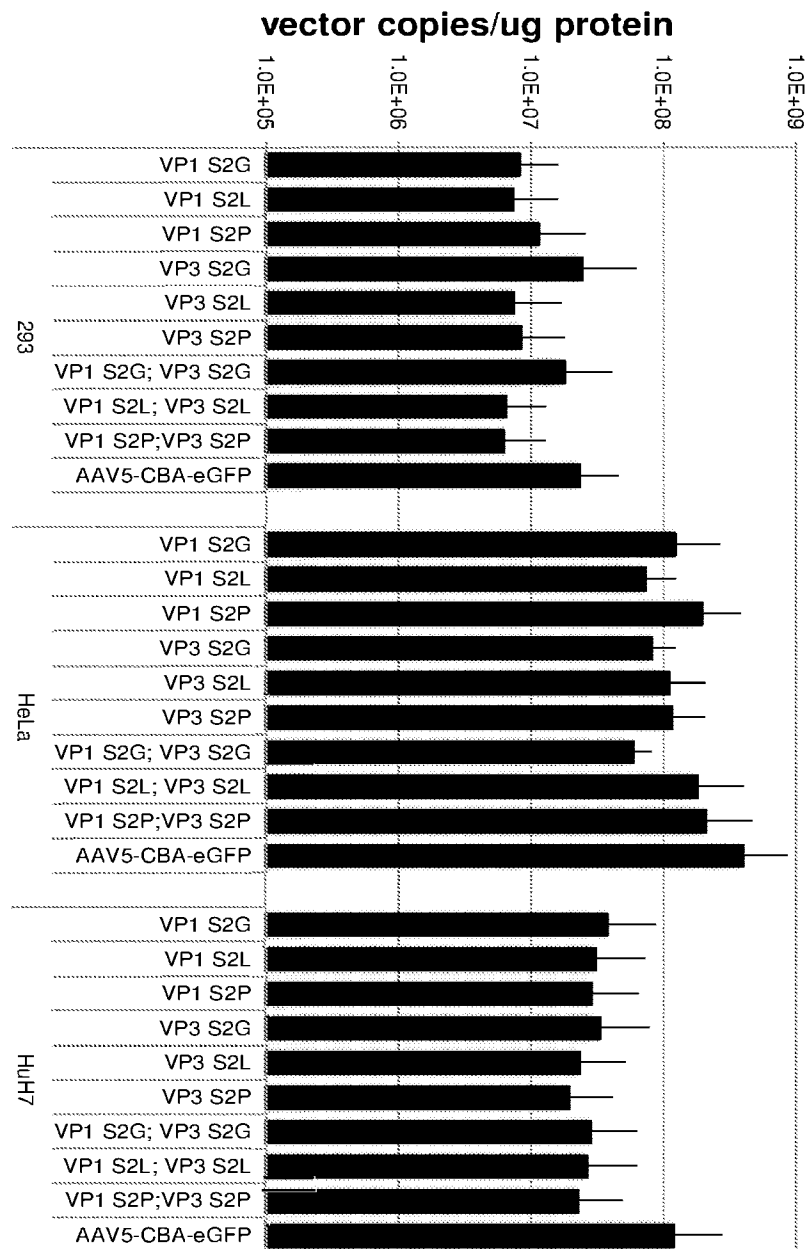
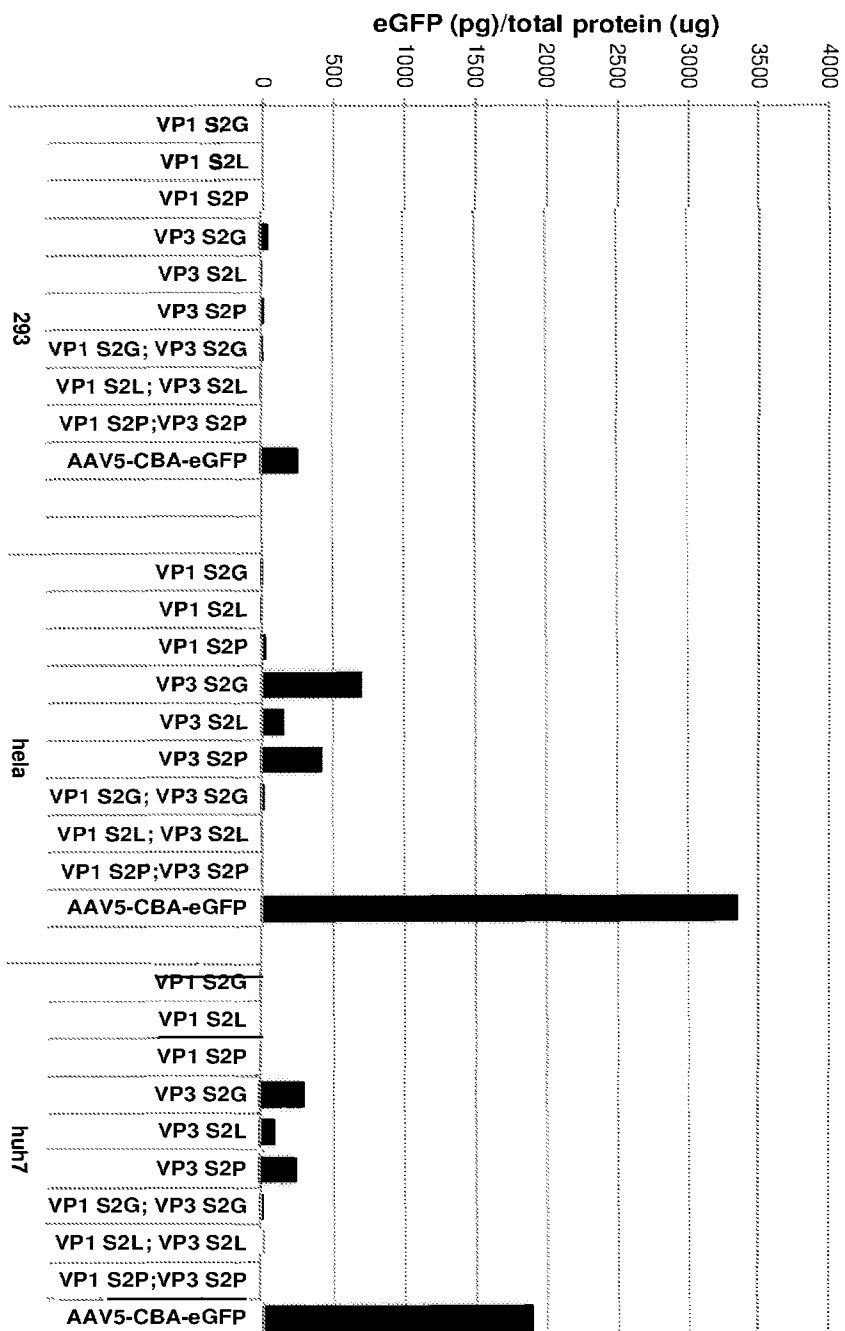


FIG. 12



	(1)	1	10	20	30	40	50	60	
AAVRh10	(1)	MAADGYLPDWLE	DNLS	EGIREWWDL	KPGAPKPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK
AAV10	(1)	MAADGYLPDWLE	DNLS	EGIREWWDL	KPGAPKPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK
AAV8	(1)	MAADGYLPDWLE	DNLS	EGIREWWDL	KPGAPKPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK
AAV7	(1)	MAADGYLPDWLE	DNLS	EGIREWWDL	KPGAPKPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK
AAV1	(1)	MAADGYLPDWLE	DNLS	EGIREWWDL	KPGAPKPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK
AAV6	(1)	MAADGYLPDWLE	DNLS	EGIREWWDL	KPGAPKPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK
AAV2	(1)	MAADGYLPDWLE	TLSE	GIRQWKKL	KPGPPPKPA	ERHKDD	SRGLVLPGY	KYLGPENG	LDK
AAV3	(1)	MAADGYLPDWLE	DNLS	EGIREWWDL	KPGVQPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK
AAV11	(1)	MAADGYLPDWLE	DNLS	EGIREWWDL	KPGAPKPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK
AAV12	(1)	MAADGYLPDWLE	DNLS	EGIREWWDL	KPGAPKPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK
AAV4	(1)	-MTDGYLPDWLE	DNLS	EGIREWWDL	KPGAPKPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK
AAV5	(1)	MSFVDHPPDWLE	IVG	EGIREWWDL	KPGAPKPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK
AAV9	(1)	MAADGYLPDWLE	DNLS	EGIREWWDL	KPGAPKPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK
Consensus	(1)	MAADGYLPDWLE	DNLS	EGIREWW	LKPGAPKPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK

FIG. 13

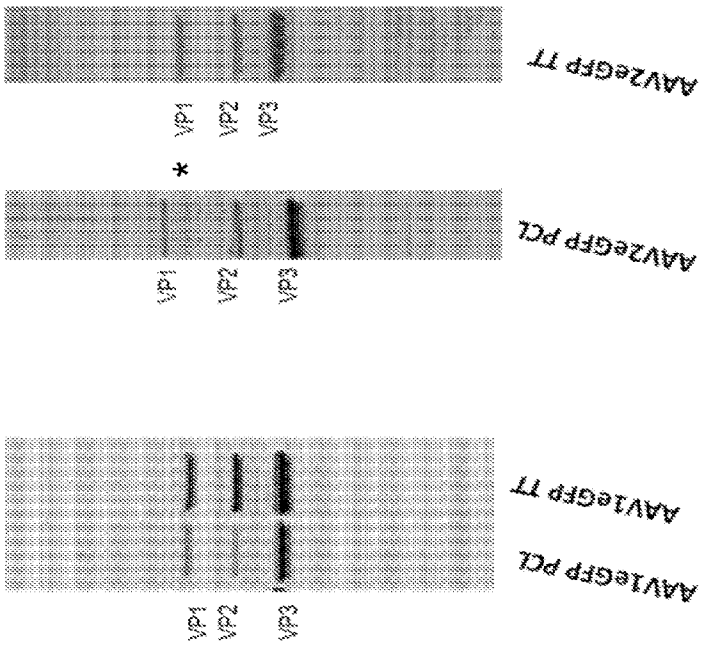


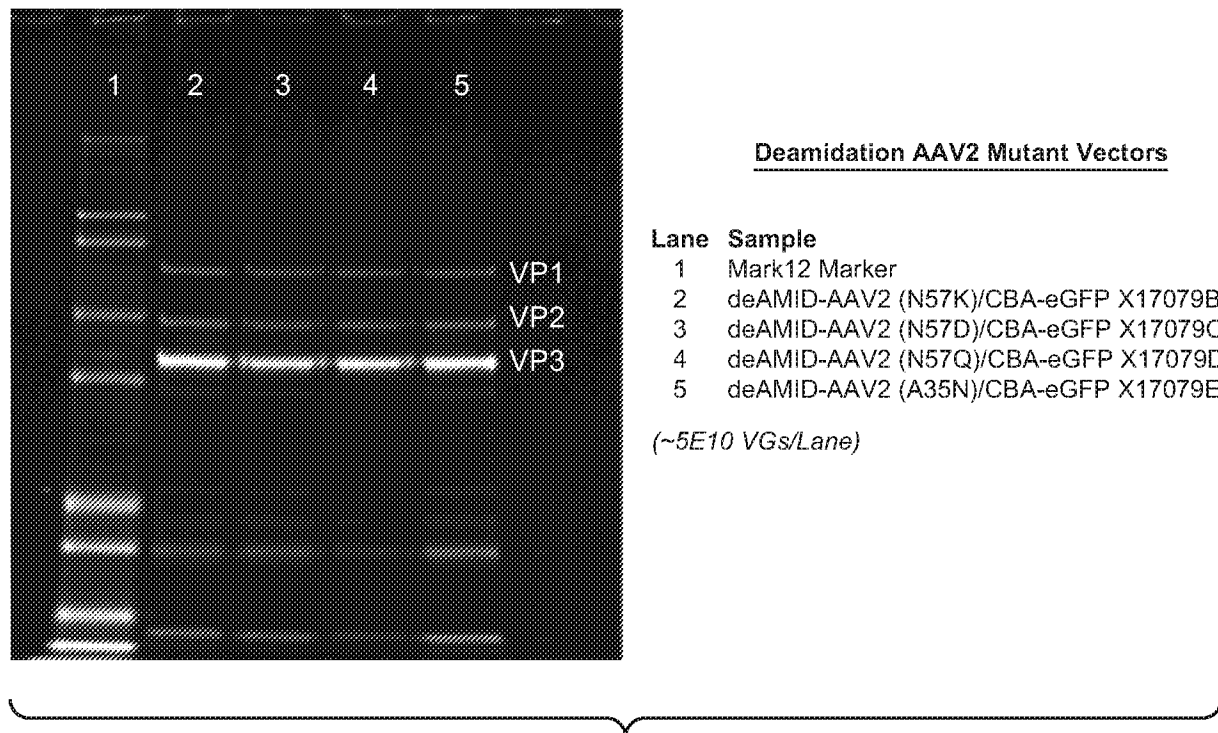
FIG. 14

Deamidation of N57(G)

	% Deamidation on N57	replicate
AAV2(A35N)	17.8	1
AAV2(G84D)	1.1	1
AAV2 control	5.7	2

AAV2(A35N) has been stored at 4°C for 1 months

FIG. 15



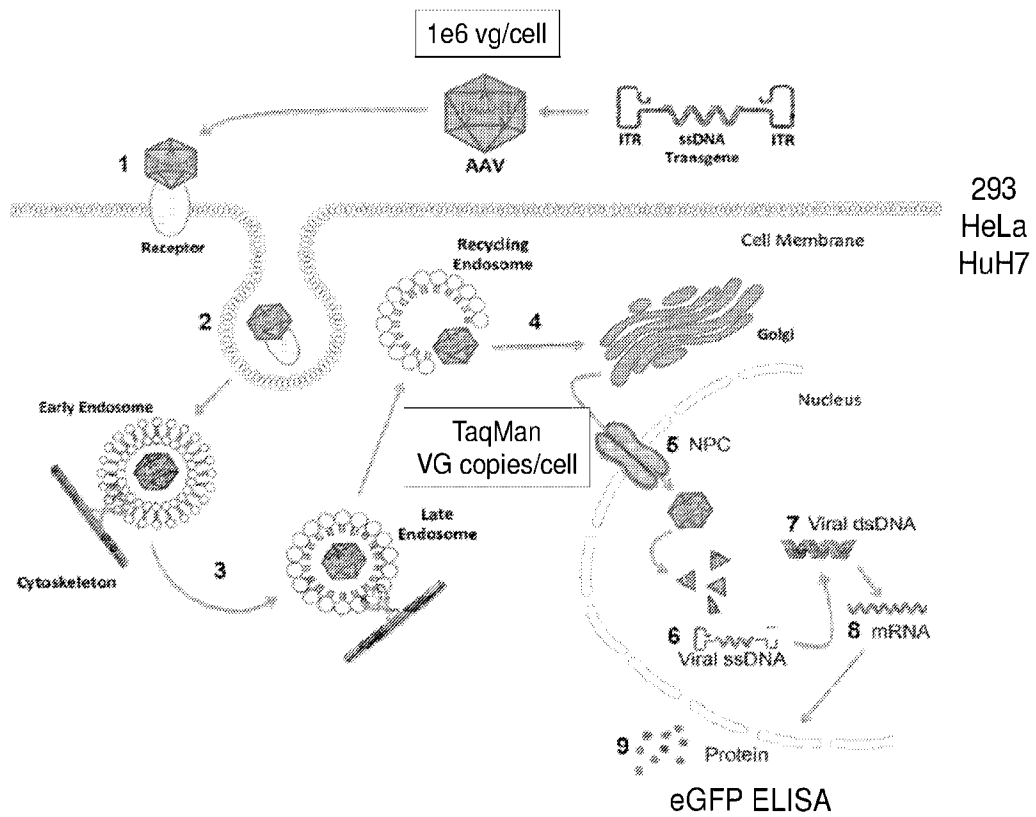


FIG. 17

FIG. 18

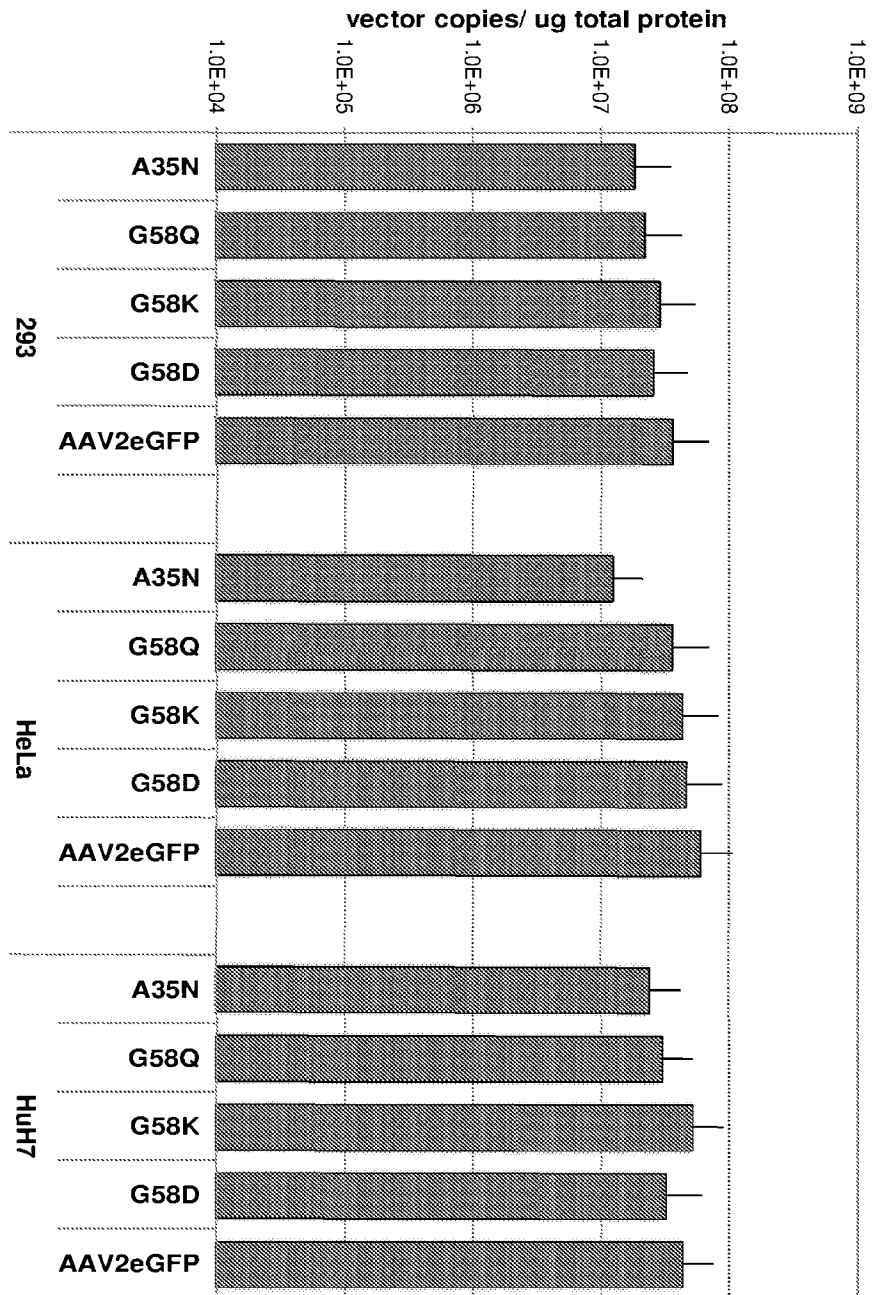
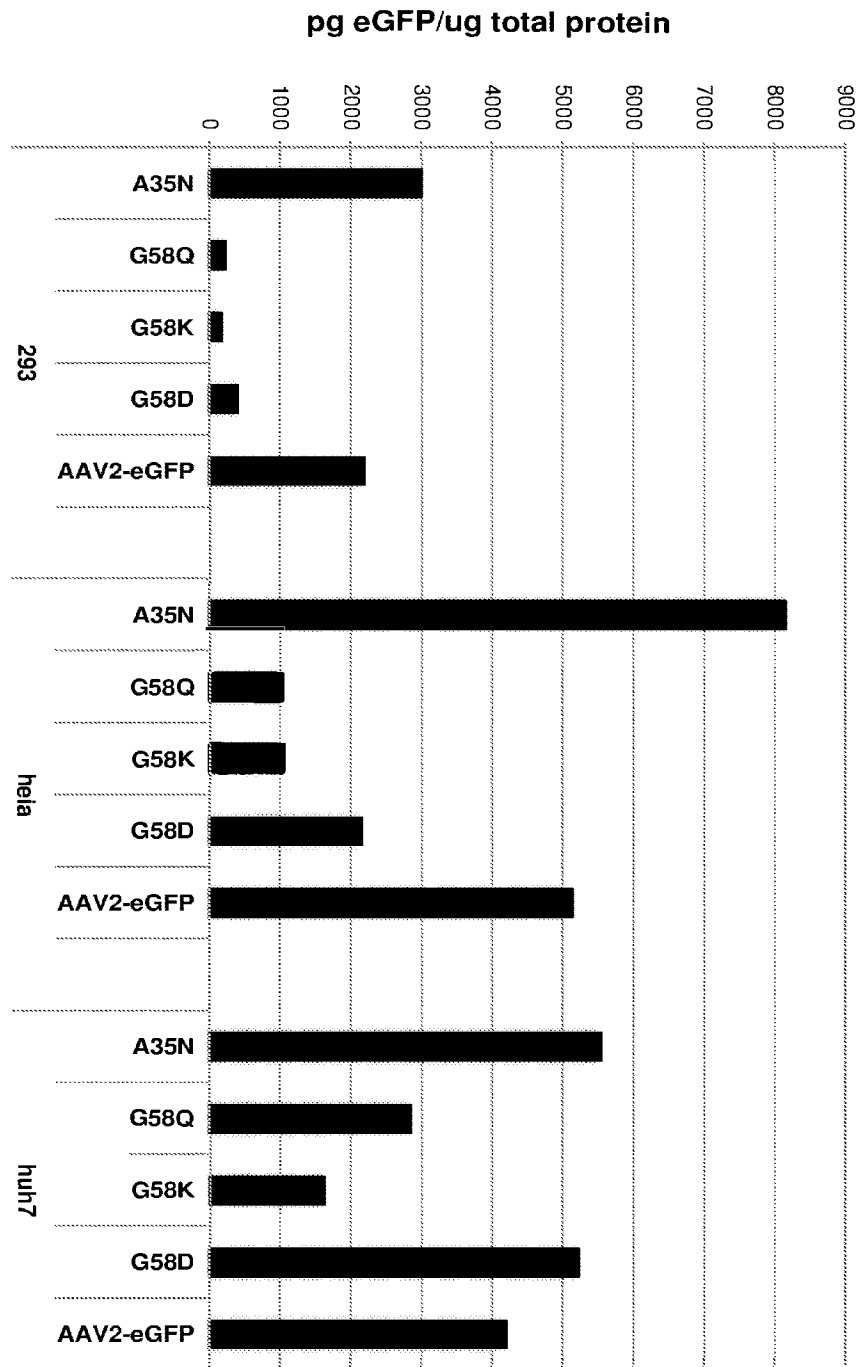


FIG. 19





ELECTRONIC ACKNOWLEDGEMENT RECEIPT

APPLICATION #
18/321,542

RECEIPT DATE / TIME
05/22/2023 05:33:51 PM ET

ATTORNEY DOCKET #
15979-20141.10

Title of Invention

METHODS FOR DETECTING AAV

Application Information

APPLICATION TYPE Utility - Nonprovisional Application
under 35 USC 111(a)

PATENT # -

CONFIRMATION # 9227

FILED BY Aurelia Caparas

PATENT CENTER # 62130333

FILING DATE -

CUSTOMER # 89300

FIRST NAMED INVENTOR Xiaoying JIN

CORRESPONDENCE ADDRESS -

AUTHORIZED BY Sarah Bloch

Documents

TOTAL DOCUMENTS: 20

DOCUMENT	PAGES	DESCRIPTION	SIZE (KB)
IDS_SB08_MAY_2023.pdf	9	Information Disclosure Statement (IDS) Form (SB08)	120 KB
Warning: This is not a USPTO supplied IDS fillable form. Data in the form cannot be automatically loaded to other USPTO systems.			
DEC.pdf	2	Oath or Declaration filed	101 KB
Sequence_Listing_Statement.pdf	1	CRF Statement that Sequence Listing text file (CRF) and paper/pdf Sequence Listing are the same	16 KB
JPH10502526A.pdf	21	Foreign Reference	278 KB

POA.pdf		1	Power of Attorney	1061 KB
159792014110SEQLIST.xml		-	Sequence Listing (ST.26 XML File)	55 KB
ADS.PDF		9	Application Data Sheet	1227 KB
WO199600058A1.pdf		77	Foreign Reference	3484 KB
WO2005005610A2.pdf		147	Foreign Reference	11722 KB
CN1826414A_Part1.pdf		34	Foreign Reference	23946 KB
CN1826414A_Part2.pdf		37	Foreign Reference	24309 KB
CN1826414A_Part3.pdf		49	Foreign Reference	24143 KB
Figs_.pdf		27	Drawings-other than black and white line drawings	1104 KB
IDS_CL.pdf		3	Transmittal Letter	24 KB
Prelim_.pdf		3	-	24 KB
Prelim_-A.PE.pdf	(1-1)	1	Preliminary Amendment	17 KB
Prelim_-SPEC.pdf	(2-2)	1	Specification	17 KB
Prelim_-REM.pdf	(3-3)	1	Applicant Arguments/Remarks Made in an Amendment	17 KB
SPEC.pdf		127	-	591 KB
SPEC-SPEC.pdf	(1-95)	95	Specification	496 KB
SPEC-CLM.pdf	(96-126)	31	Claims	112 KB
SPEC-ABST.pdf	(127-127)	1	Abstract	24 KB

Digest

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Xiaoying JIN et al.

Application No.: Not Yet Assigned

Confirmation No.: Not Yet Assigned

Filed: Concurrently Herewith

Art Unit: Not Yet Assigned

For: METHODS FOR DETECTING AAV

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & § 1.98

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Alexandria, VA 22313-1450

Dear Commissioner:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of U.S. Patent Publications and Patents are not submitted herewith pursuant to 37 C.F.R. § 1.98(a)(2)(ii). Copies of foreign document (documents numbered 1, 2, 3 and 6) are submitted herewith. No copies of non-patent literature are submitted herewith. However, copies of the remaining documents cited in the attached Form PTO/SB/08a/b were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related U.S. Patent Application Number 16/325,653, filed February 14, 2019, and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. § 1.98(d) and M.P.E.P. 609.02(A)(2). The Examiner is requested to make these documents of record in the application.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ With the filing of a Request for Continued Examination under 37 C.F.R. § 1.114; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. ~~However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.~~
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ The required fee is submitted herewith.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the Issue Fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and the required fee is submitted herewith.

Applicant would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

Dated: May 22, 2023

Respectfully submitted,

Electronic signature: /Sarah E. Bloch/

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POWER OF ATTORNEY BY APPLICANT

I hereby revoke all previous powers of attorney given in the application identified in either the attached transmittal letter or the boxes below.

Application Number

Filing Date

(Note: The boxes above may be left blank if information is provided on form PTO/AIA/82A.)



I hereby appoint Patent Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s), and to transact all business in the United States Patent and Trademark Office connected therewith for the application referenced in the attached transmittal letter (form PTO/AIA/82A) or identified above:

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I am the Applicant (if the Applicant is a juristic entity, list the Applicant name in the box):

GENZYME CORPORATION



Inventor or Joint Inventor (title not required below)



Legal Representative of a Deceased or Legally Incapacitated Inventor (title not required below)



Assignee or Person to Whom the Inventor is Under an Obligation to Assign (provide signer's title if applicant is a juristic entity)



Person Who Otherwise Shows Sufficient Proprietary Interest (e.g., a petition under 37 CFR 1.46(b)(2) was granted in the application or is concurrently being filed with this document) (provide signer's title if applicant is a juristic entity)

SIGNATURE of Applicant for Patent

The undersigned (whose title is supplied below) is authorized to act on behalf of the applicant (e.g., where the applicant is a juristic entity).

Signature

/Andrea Q. Ryan/

Date (Optional)

July 23, 2014

Name

Andrea Q. Ryan

Title

Attorney-In-Fact

NOTE: Signature - This form must be signed by the applicant in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications. If more than one applicant, use multiple forms.



Total of 1 forms are submitted.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		Not Yet Assigned
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	First Named Inventor	Xiaoying JIN	
	Art Unit	Not Yet Assigned	
	Examiner Name	Not Yet Assigned	
	Attorney Docket Number	15979-20141.10	

U.S. PATENTS								
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	1.	US-6,989,264-B2		01-24-2006	Atkinson et al.			
	2.	US-7,588,772-B2		09-15-2009	Kay et al.			
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	7.	US-9,441,244-B2		09-13-2016	Schaffer et al.			
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	1.	US-2011/0275529-A1		11-10-2011	Heilbronn, R.			
	2.	US-2012/0066783-A1		12-05-2013	Wilson et al.			
	3.	US-2012/0164106-A1		03-15-2012	Kay et al.			
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FOREIGN PATENT DOCUMENTS								
Examiner Initial*	Cite No	Country Code ²	Foreign Document Number ³	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1.	CN	1826414-A		08-30-2006	University of California	English Equivalent WO-2005005610-A2	X
	2.	JPH	10-502526-A		03-10-1998	University of Pittsburgh	English Equivalent WO-19960058-A1	X
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	12.	WO-2015137802-A1	09-17-2015	Unique IP BV		

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
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69.	YAN, Z. et al. (March 2002). "Ubiquitination of both Adeno-Associated Virus Type 2 and 5 Capsid Proteins Affects the Transduction Efficiency of Recombinant Vectors," <i>J Virol.</i> 76(5):2043-2053.	

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		Not Yet Assigned
	Filing Date		Concurrently Herewith
	First Named Inventor	Xiaoying JIN	
	Art Unit	Not Yet Assigned	
	Examiner Name	Not Yet Assigned	
	Attorney Docket Number	15979-20141.10	

	70.	ZHAO, W. et al. (September 30, 2006, e-pub. July 10, 2006). "Role of Cellular FKBP52 Protein in Intracellular Trafficking of Recombinant Adeno-associated Virus 2 Vectors," <i>Virology</i> 353(2): 283-293.	
	71.	ZHONG, L. et al. (June 3, 2008, May 29, 2008). "Next Generation of Adeno-Associated Virus 2 Vectors: Point Mutations in Tyrosines Lead to High-Efficiency Transduction at Lower Doses," <i>Proc Natl Acad Sci USA</i> 105(22):7827-7832.	
<p style="text-align: center;">EXAMINER SIGNATURE</p>			
Examiner Signature		Date Considered	
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>			
<p>¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.</p>			

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number	Not Yet Assigned
	Filing Date	Concurrently Herewith
	First Named Inventor	Xiaoying JIN
	Art Unit	Not Yet Assigned
	Examiner Name	Not Yet Assigned
	Attorney Docket Number	15979-20141.10

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e) (1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e) (2).

☐ See attached certification statement.

☐ The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Sarah E. Bloch/	Date (YYYY-MM-DD)	2023-05-22
Name/Print	Sarah E. Bloch	Registration Number	79,763

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Xiaoying JIN et al.

Application No.: Not Yet Assigned

Confirmation No.: Not Yet Assigned

Filed: Concurrently Herewith

Art Unit: Not Yet Assigned

For: METHODS FOR DETECTING AAV

Examiner: Not Yet Assigned

STATEMENT REGARDING SEQUENCE LISTING

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Commissioner:

The content of the computer readable copy of the Sequence Listing (provided on an XML file) is included as part of the application filed herewith. Applicants request consideration and entry of the Sequence Listing.

Dated: May 22, 2023

Respectfully submitted,

Electronic signature: /Sarah E. Bloch/

Sarah E. Bloch

Registration No.: 79,763

MORRISON & FOERSTER LLP

425 Market Street

San Francisco, CA 94105

+1 (415) 815-5817



UNITED STATES PATENT AND TRADEMARK OFFICE

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United States Patent and Trademark Office
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APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLAIMS	IND CLAIMS
18/321,542	05/22/2023		0	15979-20141.10	180	17

CONFIRMATION NO. 9227

89300

Sanofi/Genzyme c/o Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, CA 94304

FILING RECEIPT



0000000059350943

Date Mailed: 07/17/2023

Receipt is acknowledged of this non-provisional utility patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF FIRST INVENTOR, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection.

Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a corrected Filing Receipt, including a properly marked-up ADS showing the changes with strike-through for deletions and underlining for additions. If you received a "Notice to File Missing Parts" or other Notice requiring a response for this application, please submit any request for correction to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections provided that the request is grantable.

Inventor(s)

Xiaoying JIN, Cambridge, MA;
Catherine O'RIORDAN, Cambridge, MA;
Lin LIU, Cambridge, MA;
Kate ZHANG, Cambridge, MA;

Applicant(s)

Genzyme Corporation, Cambridge, MA;

Power of Attorney: The patent practitioners associated with Customer Number 89300

Domestic Priority data as claimed by applicant

This application is a DIV of 16/325,653 02/14/2019 PAT 11,698,377
which is a 371 of PCT/US17/46814 08/14/2017
which claims benefit of 62/375,314 08/15/2016

Foreign Applications for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <http://www.uspto.gov> for more information.) - None.

Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access Application via Priority Document Exchange: Yes

Permission to Access Search Results: Yes

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

If Required, Foreign Filing License Granted: 07/13/2023

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 18/321,542**

Projected Publication Date: To Be Determined - pending completion of Missing Parts.

Non-Publication Request: No

Early Publication Request: No

Title

METHODS FOR DETECTING AAV

Preliminary Class

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

SelectUSA

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit <http://www.SelectUSA.gov> or call +1-202-482-6800.

<div> <div> MULTIPLE DEPENDENT CLAIM FEE CALCULATION SHEET </div> <div> Substitute for Form PTO-1360 (For use with Form PTO/SB/06) </div> </div>							<div> Application Number 18/321,542 </div>		<div> Filing Date </div>				
							<div> Applicant(s) Xiaoying JIN </div>						
							<div> * May be used for additional claims or amendments </div>						
CLAIMS	AS FILED		AFTER FIRST AMENDMENT		AFTER SECOND AMENDMENT			*		*		*	
	Indep	Depend	Indep	Depend	Indep	Depend		Indep	Depend	Indep	Depend	Indep	Depend
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<div> <div> MULTIPLE DEPENDENT CLAIM FEE CALCULATION SHEET </div> <div> Substitute for Form PTO-1360 (For use with Form PTO/SB/06) </div> </div>							<div> Application Number 18/321,542 </div>		<div> Filing Date </div>				
							<div> Applicant(s) Xiaoying JIN </div>						
							<div> * May be used for additional claims or amendments </div>						
CLAIMS	AS FILED		AFTER FIRST AMENDMENT		AFTER SECOND AMENDMENT			*		*		*	
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Total Depend	186												
Total Claims			0		0								

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PATENT APPLICATION FEE DETERMINATION RECORD

Substitute for Form PTO-875

Application or Docket Number
18/321,542

APPLICATION AS FILED - PART I

(Column 1)		(Column 2)		SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
FOR	NUMBER FILED	NUMBER EXTRA	RATE(\$)	FEE(\$)			RATE(\$)	FEE(\$)
BASIC FEE (37 CFR 1.16(a), (b), or (c))	N/A	N/A	N/A				N/A	320
SEARCH FEE (37 CFR 1.16(k), (l), or (m))	N/A	N/A	N/A				N/A	700
EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))	N/A	N/A	N/A				N/A	800
TOTAL CLAIMS (37 CFR 1.16(i))	203	minus 20 =	*	183			x 100 =	18300
INDEPENDENT CLAIMS (37 CFR 1.16(h))	17	minus 3 =	*	14			x 480 =	6720
APPLICATION SIZE FEE (37 CFR 1.16(s))	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							420
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))								860
* If the difference in column 1 is less than zero, enter "0" in column 2.				TOTAL			TOTAL	9820

APPLICATION AS AMENDED - PART II

		(Column 1)		(Column 2)		(Column 3)		SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
AMENDMENT A		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)	ADDITIONAL FEE(\$)				RATE(\$)	ADDITIONAL FEE(\$)
	Total (37 CFR 1.16(i))	*	Minus	**	=	x	=			OR	x	=
	Independent (37 CFR 1.16(h))	*	Minus	***	=	x	=			OR	x	=
	Application Size Fee (37 CFR 1.16(s))											
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))											
						TOTAL ADD'L FEE				OR	TOTAL ADD'L FEE	

		(Column 1)		(Column 2)		(Column 3)		SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
AMENDMENT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)	ADDITIONAL FEE(\$)				RATE(\$)	ADDITIONAL FEE(\$)
	Total (37 CFR 1.16(i))	*	Minus	**	=	x	=			OR	x	=
	Independent (37 CFR 1.16(h))	*	Minus	***	=	x	=			OR	x	=
	Application Size Fee (37 CFR 1.16(s))											
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))											
						TOTAL ADD'L FEE				OR	TOTAL ADD'L FEE	

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest found in the appropriate box in column 1.



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APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
18/321,542	05/22/2023	Xiaoying JIN	15979-20141.10

CONFIRMATION NO. 9227

FORMALITIES LETTER



OC000000059350946

89300

Sanofi/Genzyme c/o Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, CA 94304

Date Mailed: 07/17/2023

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to the above-identified nonprovisional application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- **Drawing Items**

The drawings submitted on 05/22/2023 are not acceptable because:

- Figure(s) 4B, 4C contain extraneous marks, smudging, or strike-through. The drawings must be reasonably free from erasures and must be free from alterations, overwriting, interlineations, folds, and copy marks. See 37 CFR 1.84(e). Replacement drawing sheets in compliance with 37 CFR 1.84 and 1.121(d) are required.
- Figure(s) 10, 15, 17 contain portions that are missing or blurry, and therefore are not electronically reproducible. See 37 CFR 1.84(l). Replacement drawing sheets in compliance with 37 CFR 1.84 and 1.121(d) containing figures that are of sufficient quality to be electronically reproduced are required.
- The statutory basic filing fee has not been received.
- The application search fee must be submitted.
- The application examination fee must be submitted.
- Additional claim fees of **\$25880** as an undiscounted entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Surcharge as set forth in 37 CFR 1.16(f) has not been received.

The surcharge is due for any one of:

- late submission of the basic filing fee, search fee, or examination fee,
- late submission of inventor's oath or declaration,
- filing an application that does not contain at least one claim on filing, or
- submission of an application filed by reference to a previously filed application.

SUMMARY OF FEES DUE:

The fee(s) required (including the fees noted above) are as follows. No entity status discount is in effect. If applicant is qualified for small entity status, a written assertion of small entity status must be submitted to establish small entity status. (See 37 CFR 1.27). If applicant is qualified for micro entity status, an acceptable Certification of Micro Entity Status must be submitted to establish micro entity status. (See 37 CFR 1.29 and forms PTO/SB/15A and 15B.)

- **\$320** basic filing fee.
- **\$420** for **18** pages in excess of **100** application size fee.
- **\$160** surcharge.
- **\$700** search fee.
- **\$800** examination fee.
- **\$6720** for 14 independent claims over 3.
- **\$18300** for 183 total claims over 20.
- **\$860** for multiple dependent claim surcharge.
- **\$(0)** previous unapplied payment amount.
- **\$28280** TOTAL FEE BALANCE DUE.

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Replies should be addressed to:

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

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A reply may be submitted via electronic filing only by registered users of the appropriate USPTO patent electronic filing system and must include:

- A separate document having the document description, "**Applicant response to Pre-Exam Formalities Notice**"; and
- the item(s) for which a reply period is set forth above.

For more information about electronic filing, contact the Patent Electronic Business Center (EBC) 1-866-217-9197 or visit <https://www.uspto.gov/ebc>

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/ygizaw/

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Application Number: 18321542

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Xiaoying JIN et al.

Application No.: 18/321,542

Filed: May 22, 2023

For: METHODS FOR DETECTING AAV

Confirmation No.: 9227

Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

PRELIMINARY AMENDMENT UNDER 37 C.F.R. 1.115

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Commissioner:

INTRODUCTORY COMMENTS

This is in response to the Notice to File Missing Parts dated July 17, 2023, for which a response was due on September 17, 2023. We hereby petition and submit the fee for a one-month extension of time, thereby extending the deadline for response to October 17, 2023. Accordingly, this response is timely filed.

Prior to examination on the merits, Applicant respectfully requests entry of this Preliminary Amendment for the above-captioned patent application.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Amendments to the Drawings begin on page 6 of this paper and include both an attached replacement sheet and an annotated sheet showing changes.

Remarks/Arguments begin on page 7 of this paper.

AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions, and listings, of claims in the application:

In the claims:

Claims 1-2 (**cancelled**)

Claim 3 (**currently amended**): A method of ~~determining the heterogeneity of an~~ analyzing a preparation of AAV particles, the method comprising

- a) denaturing the AAV particles,
- b) subjecting the denatured AAV particles to liquid chromatography/mass spectroscopy (LC/MS) intact protein analysis ~~liquid chromatography/mass spectrometry/mass spectrometry (LC/MS/MS), and~~
- c) determining the masses of VP1, VP2 and VP3 of the AAV one or more viral proteins (VPs) of the particles in the preparation, and
- d) ~~comparing the masses of step c) with the theoretical masses of VP1, VP2 and VP3 of one or more AAV serotypes;~~
- ~~wherein a deviation of one or more of the masses of VP1, VP2 or VP3 from the theoretical masses is indicative of the AAV capsid heterogeneity,~~
- wherein the method is performed in the absence of a gel separation step.

Claim 4 (**currently amended**): The method of claim 3, wherein the VPs comprise heterogeneity comprises one or more VP1, VP2, and VP3 capsid proteins of mixed serotypes, and one more variants of VP1, VP2, or VP3 variant capsids, capsid proteins amino acid substitutions, truncated capsids, or modified capsids.

Claim 5 (**currently amended**): The method of claim 3 ~~any one of claims 1-4~~, wherein the AAV particles are ~~is~~ denatured with acetic acid, guanidine hydrochloride and/or an organic solvent.

Claim 6 (**currently amended**): The method of claim 3~~any one of claims 1-5~~, wherein the liquid chromatography is reverse phase liquid chromatography, size exclusion chromatography, hydrophilic interaction liquid chromatography, or cation exchange chromatography.

Claim 7 (**currently amended**): The method of claim 3~~any one of claims 1-6~~, wherein the liquid chromatography is reverse phase ~~liquid~~ chromatography.

Claim 8 (**currently amended**): The method of claim 7, wherein the reverse phase chromatography is performed with a C4 or C8 column ~~reverse chromatography~~.

Claims 9-16 (**cancelled**)

Claim 17 (**currently amended**): The method of claim 3~~any one of claims 1-16~~, wherein the liquid chromatography is ultra-performance liquid chromatography (UPLC).

Claims 18-19 (**cancelled**)

Claim 20 (**currently amended**): The method of claim 3~~any one of claims 1-19~~ wherein the mass spectrometry comprises assisted calibration.

Claim 21 (original): The method of claim 20, wherein sodium iodide is used as a calibrant.

Claims 22-129 (**cancelled**)

Claim 130 (**currently amended**): A method of determining post-translational modifications of viral proteins (VPs) in the heterogeneity of a preparation of viral particles, the method comprising

a) denaturing the viral particles,

b) subjecting the denatured viral particles to liquid chromatography/mass spectroscopy (LC/MS) intact protein analysis ~~liquid chromatography/mass spectrometry/mass spectrometry (LC/MS/MS), and~~

c) determining the masses of one or more VPs ~~capsid proteins~~ of the viral particles, ~~and~~

~~d) comparing the masses of step c) with the theoretical masses of the one or more capsid proteins of the one or more virus serotypes;~~

wherein a deviation of one or more of the masses of the one or more VPs ~~capsid proteins~~ from the theoretical masses of VPs that have not undergone post-translational modifications ~~are~~ is indicative of post-translational modifications of the VPs ~~the viral capsid heterogeneity,~~

and wherein the method is performed in the absence of a gel separation step.

Claim 131 (**cancelled**)

Claim 132 (**currently amended**): The method of claim 130~~any one of claims 128-131~~, wherein the liquid chromatography is reverse phase liquid chromatography, size exclusion chromatography, hydrophilic interaction liquid chromatography, or cation exchange chromatography.

Claim 133 (**currently amended**): The method of claim 130~~any one of claims 128-132~~, wherein the viral particles comprise~~comprise~~ a viral vector encoding a heterologous transgene.

Claims 134-180 (**cancelled**)

Claim 181 (**new**): The method of claim 130, wherein the liquid chromatography is reverse phase chromatography.

Claim 182 (**new**): The method of claim 181, wherein the reverse phase chromatography is a C4 or C8 reverse chromatography.

Claim 183 (**new**): The method of claim 130, wherein the liquid chromatography is ultra-performance liquid chromatography (UPLC).

Claim 184 (**new**): The method of claim 130, wherein the mass spectrometry comprises assisted calibration.

Claim 185 (**new**): The method of claim 184, wherein sodium iodide is used as a calibrant.

Claim 186 (**new**): A method of determining the extent of deamidation of viral proteins (VPs) in a preparation of viral particles, the method comprising

- a) denaturing the viral particles,
 - b) subjecting the denatured viral particles to chromatography/mass spectrometry/mass spectrometry (LC/MS/MS) intact protein analysis, and
 - c) determining the percentage of deamidated VPs in the preparation,
- wherein the method is performed in the absence of a gel separation step.

Claim 187 (**new**): The method of claim 186, wherein the reverse phase chromatography is performed with a C4 column.

Claim 188 (**new**): The method of claim 186, wherein the reverse phase chromatography is performed with a C8 column.

Claim 189 (**new**): The method of claim 4, wherein the one more variants of VP1, VP2, or VP3 capsid proteins comprise one or more post-translational modifications, wherein the method comprises determining the masses of the VP1, VP2, and VP3 capsid proteins and the one more variants of VP1, VP2, or VP3 capsid proteins, and wherein a deviation of one or more of the masses of the variants of VP1, VP2, or VP3 capsid proteins from the theoretical masses of VP1, VP2, and VP3 capsid proteins that have not undergone post-translational modifications is indicative of post-translational modifications of the variants of VP1, VP2, or VP3 capsid proteins.

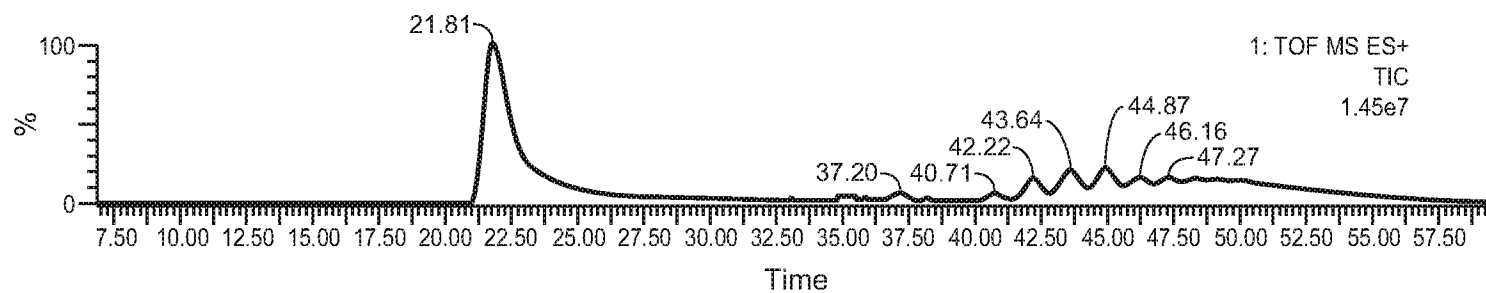


FIG. 1A

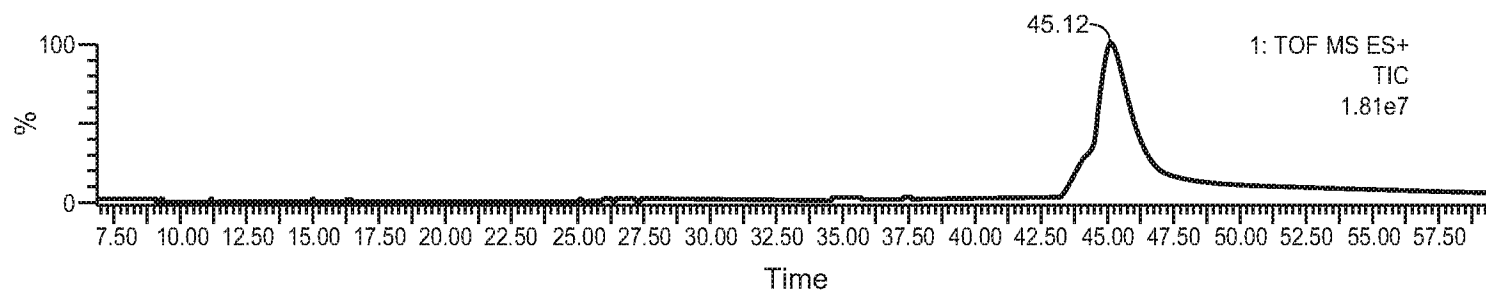


FIG. 1B

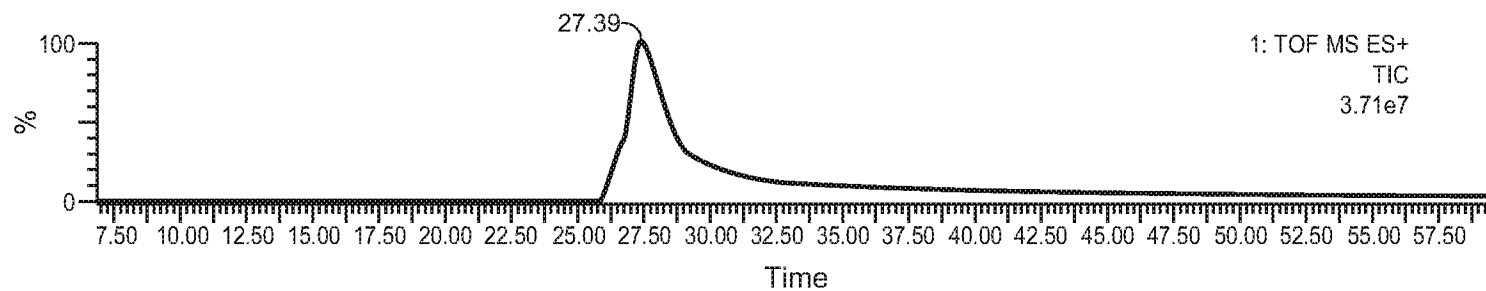


FIG. 1C

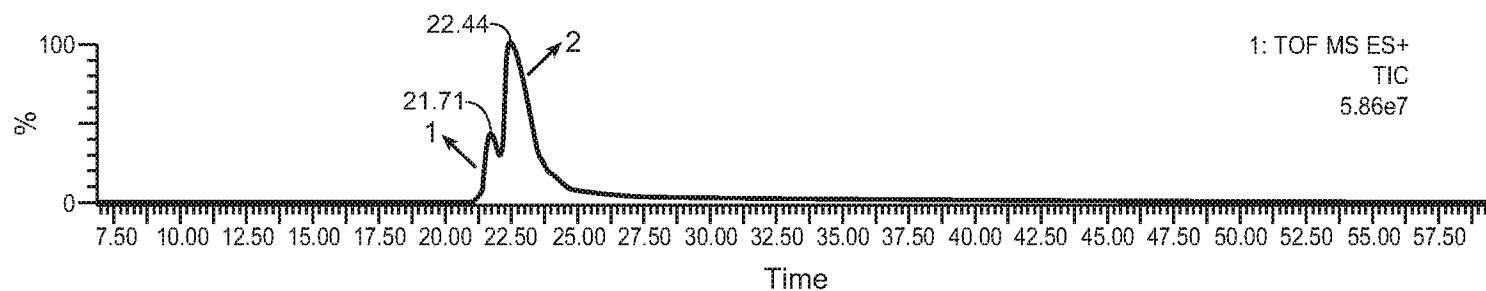


FIG. 1D

REPLACEMENT SHEET

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FIG. 2A

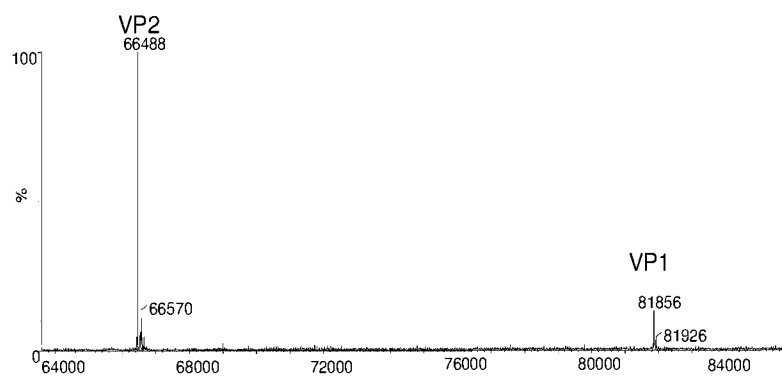
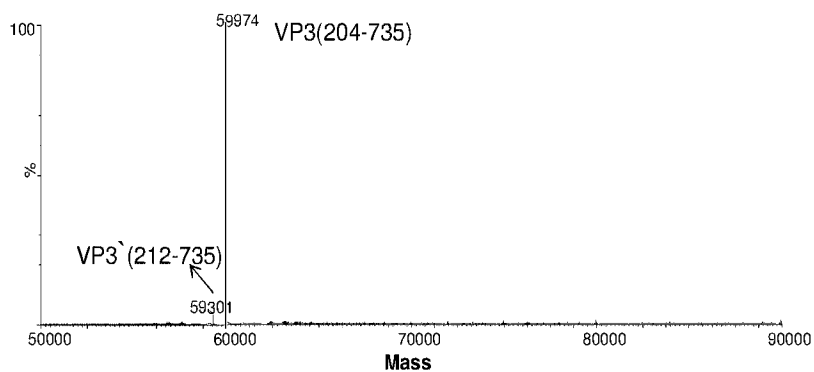


FIG. 2B



REPLACEMENT SHEET

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```

1 MAADGYLPDWLEDTLSEGIQWWKLKPGPPPKPAERHKDDSRGLVLPGY 50
  //
  //
  //
51 KYLGPFNGLDKGEPVNEADAAALEHDKAYDRQLDSDGNPYLKYNHADA EF 100
  //
  //
  //
101 QERLKEDTSFGGNLGRAVFQAKKRVLEPLGLVEEPVK TAPGKKRPVEHSP 150
  //
  //
  //
151 VEPDSSSGTGKAGQQPARKRLNFGQTGDADSVDPQPPLGQPPAAPSG LGT 200
  //
  //
  //
201 NTMATGSGAPMADNNEGADGVGNSSGNWHCDSTWMGDRVITTSTRT WALP 250
  //
  //
  //
251 TYNHLYKQISSQSGASNDNHYFGYSTPWGYFDENRFHCHFSPRDWQRLI 300
  //
  //
  //
301 NNNWGFRPKRLNFKLFNIQVKEVTQNDGTTTIANNL TSTVQVFTDSEYQL 350
  //
  //
  //
351 PYVLGSAHQGCLPPFPADVFMVPQYGYLTNNGSQAVGRSSFYCLEYFPS 400
  //
  //
  //
401 QMLRTGNNFTFSYTFEDVPPHSSYAHSQSLDRLMNPLIDQYLYLSRTNT 450
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  //
451 PSGTTTQSRLOFSQAGASDIRDQSRNWLPGPCYRQQRVSKTSADNNNSEY 500
  //
  //
  //
501 SWTGATKYHLNGRDSLVPNGPAMASHKDDEEKFFPQSGVLIFGKQGSEKT 550
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  //
  //
551 NVDIEKVMITDEEEI RTTNPVATEQYGSVSTNLQRGNRQAATADVNTQGV 600
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  //
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601 LPGMVWQDRDVYLOGPIWAKIPHTDGHFHPSPLMGGFGLKHPPPQILIKN 650
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  //
651 TPVPANPSTTFSAAKFASFITQYSTGQVSVEIEWELQKENS KRWNPEIQY 700
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701 TSYNKSVNVDFTVD TNGVYSEPRPIGTRYLTRNL 735
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  //
  //

```

FIG. 3

REPLACEMENT SHEET

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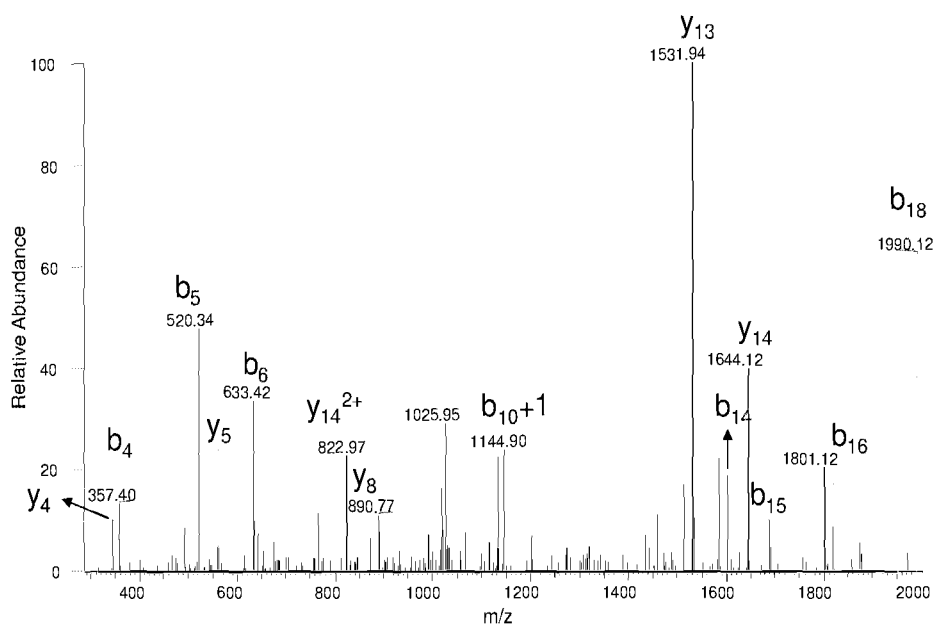


FIG. 4A

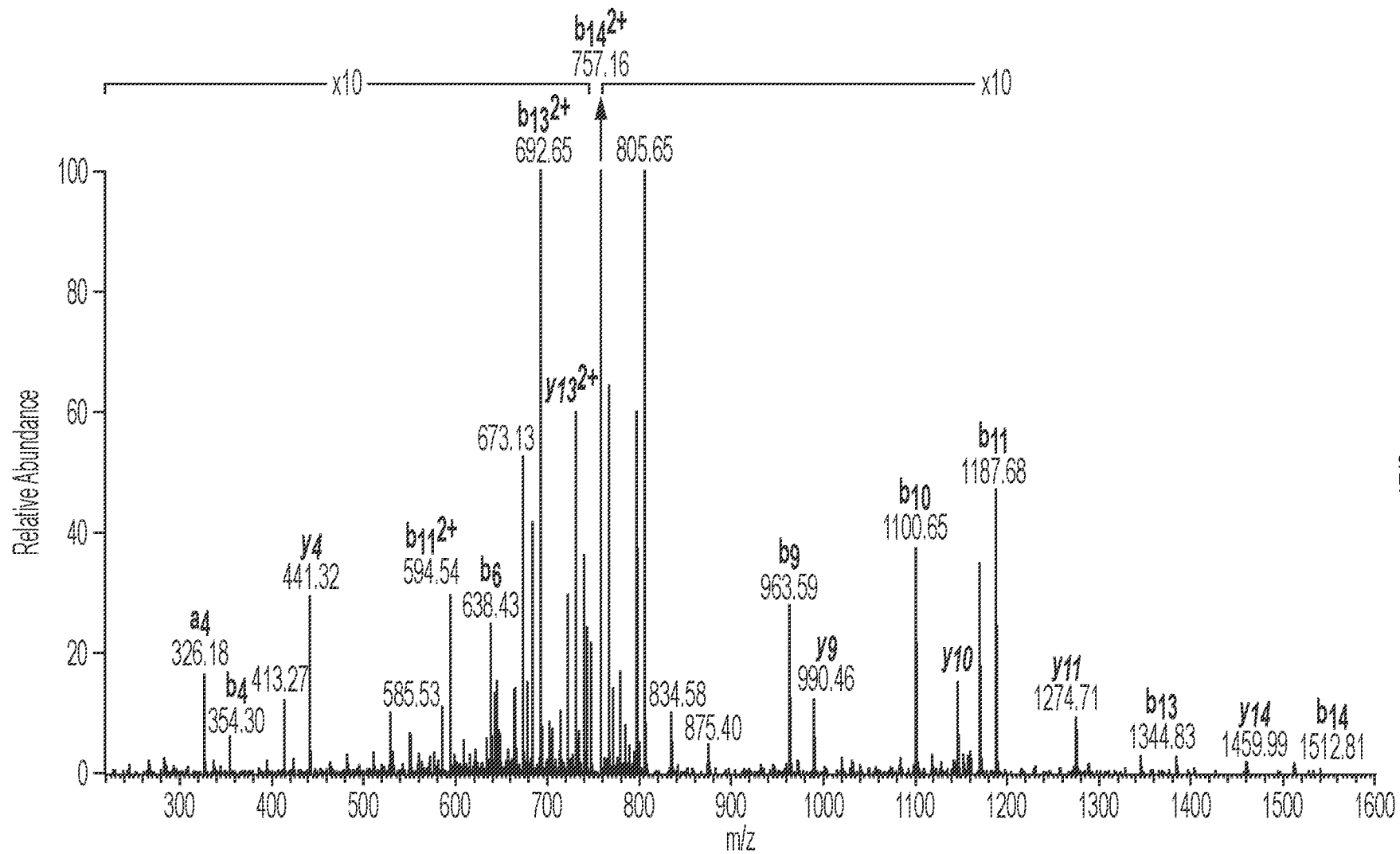


FIG. 4B

REPLACEMENT SHEET
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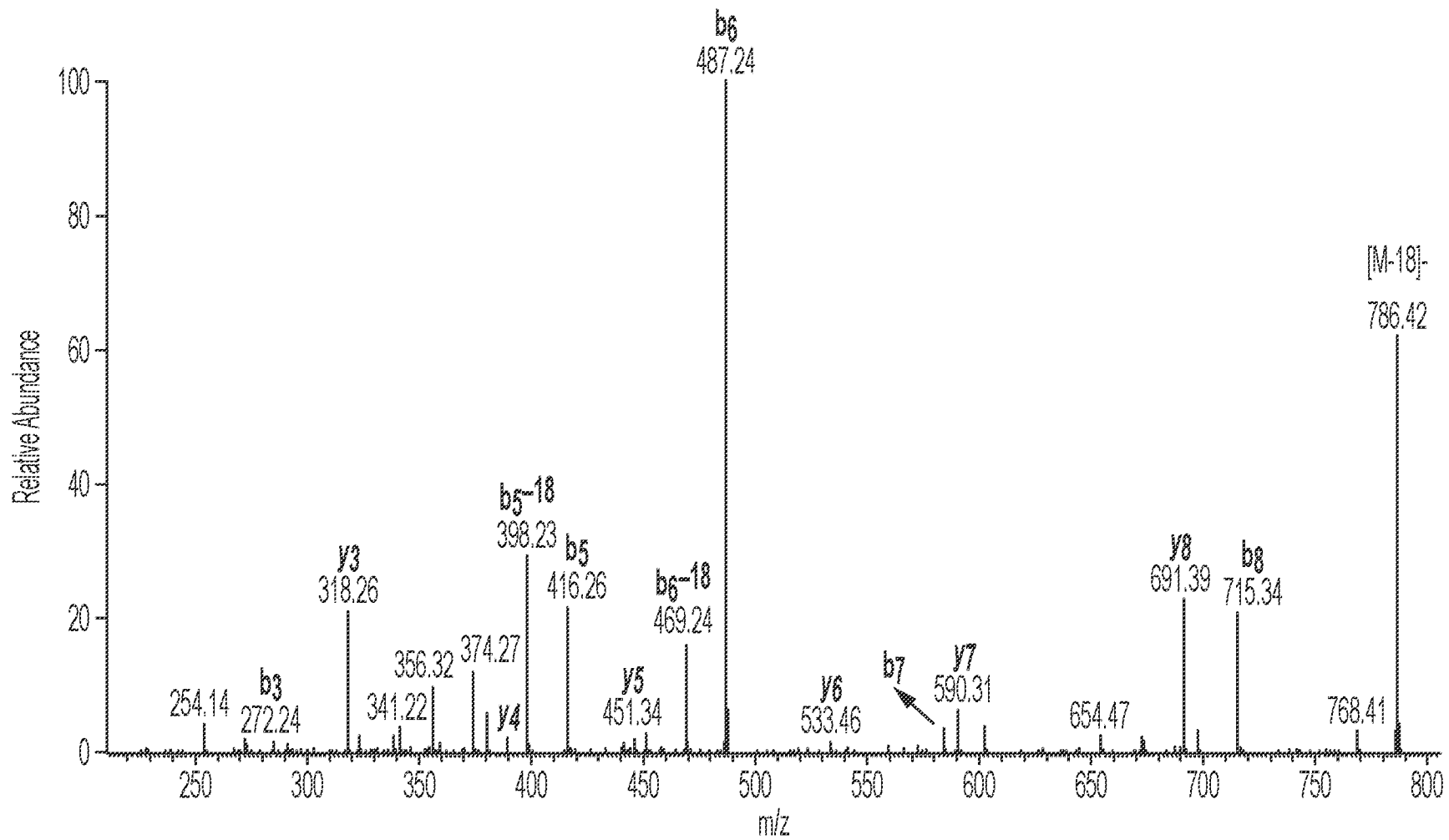


FIG. 4C

Section 1

		VP1															
	(1)	1	10	20	30	40	50	60	70	82							
AAVRh10	(1)	MAADGYLPDWLE	DNLS	EGIRE	NDLKP	GAPKPKAN	QQKQDD	GRGLVLP	GYKYL	GPFNGLDK	GEPVNA	AADAAALEHDKAYDQQ					
AAV10	(1)	MAADGYLPDWLE	DNLS	EGIRE	NDLKP	GAPKPKAN	QQKQDD	GRGLVLP	GYKYL	GPFNGLDK	GEPVNA	AADAAALEHDKAYDQQ					
AAV8	(1)	MAADGYLPDWLE	DNLS	EGIRE	NDLKP	GAPKPKAN	QQKQDD	GRGLVLP	GYKYL	GPFNGLDK	GEPVNA	AADAAALEHDKAYDQQ					
AAV7	(1)	MAADGYLPDWLE	DNLS	EGIRE	NDLKP	GAPKPKAN	QQKQDD	GRGLVLP	GYKYL	GPFNGLDK	GEPVNA	AADAAALEHDKAYDQQ					
AAV1	(1)	MAADGYLPDWLE	DNLS	EGIRE	NDLKP	GAPKPKAN	QQKQDD	GRGLVLP	GYKYL	GPFNGLDK	GEPVNA	AADAAALEHDKAYDQQ					
AAV6	(1)	MAADGYLPDWLE	DNLS	EGIRE	NDLKP	GAPKPKAN	QQKQDD	GRGLVLP	GYKYL	GPFNGLDK	GEPVNA	AADAAALEHDKAYDQQ					
AAV2	(1)	MAADGYLPDWLE	DTL	SEGIR	QNW	LKPGPP	PKPAERHK	DS	RGLVLP	GYKYL	GPFNGLDK	GEPVNEADAAALEHDKAYDRQ					
AAV3	(1)	MAADGYLPDWLE	DNLS	EGIRE	NDLKP	GVPQ	PKANQQ	HD	NRRGLVLP	GYKYL	GPGNGLDK	GEPVNEADAAALEHDKAYDQQ					
AAV11	(1)	MAADGYLPDWLE	DNLS	EGIRE	NDLKP	GAPKPKAN	QQKQDD	GRGLVLP	GYKYL	GPFNGLDK	GEPVNA	AADAAALEHDKAYDQQ					
AAV12	(1)	MAADGYLPDWLE	DNLS	EGIRE	NDLKP	GAPQ	PKANQQ	HD	NRRGLVLP	GYKYL	GPFNGLDK	GEPVNEADAAALEHDKAYDKQ					
AAV4	(1)	-MT	EGYLPDWLE	DNLS	EGIRE	NDLKP	GAPKPKAN	QQKQDD	NRRGLVLP	GYKYL	GPGNGLDK	GEPVNA	AADAAALEHDKAYDQQ				
AAV5	(1)	MSFV	DHPP	PDWLEE	IVG-E	GLR	EFIL	GLEAG	PPKPKP	QQH	QDQ	ARGLVLP	GYNYL	GPGNGLDK	GEPVNR	ADAEVAREHDI	SYNEQ
AAV9	(1)	MAADGYLPDWLE	DNLS	EGIRE	NDLKP	GAPQ	PKANQQ	HD	NRRGLVLP	GYKYL	GPGNGLDK	GEPVNA	AADAAALEHDKAYDQQ				
Consensus	(1)	MAADGYLPDWLE	DNLS	EGIRE	WW	LKPGAPKPKAN	QQKQDD	GRGLVLP	GYKYL	GPFNGLDK	GEPVNA	AADAAALEHDKAYDQQ					

FIG. 5A

Section 2

		(83)	83	90	100	110	120	130	140	150	164																																																																								
AAVRh10	(83)	L	K	A	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	Q	E	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	A	K	T	A	P	G	K	K	R	P	V	E	S	P	--	Q	R	S	P	D	S	S	G	I	G	K			
AAV10	(83)	L	K	A	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	Q	E	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	A	K	T	A	P	G	K	K	R	P	V	E	S	P	--	Q	R	S	P	D	S	S	G	I	G	K			
AAV8	(83)	L	K	A	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	Q	E	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	A	K	T	A	P	G	K	K	R	P	V	E	S	P	--	Q	R	S	P	D	S	S	G	I	G	K			
AAV7	(83)	L	K	A	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	Q	E	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	A	K	T	A	P	G	K	K	R	P	V	E	S	P	--	Q	R	S	P	D	S	S	G	I	G	K			
AAV1	(83)	L	K	A	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	Q	E	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	A	K	T	A	P	G	K	K	R	P	V	E	S	P	--	Q	E	--	P	D	S	S	S	G	I	G	K		
AAV6	(83)	L	K	A	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	Q	E	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	A	K	T	A	P	G	K	K	R	P	V	E	S	P	--	Q	E	--	P	D	S	S	S	G	I	G	K		
AAV2	(83)	L	D	S	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	K	E	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	P	V	K	T	A	P	G	K	K	R	P	V	E	S	P	--	V	E	--	P	D	S	S	S	G	I	G	K		
AAV3	(83)	L	K	A	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	Q	E	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	A	K	T	A	P	G	K	K	R	P	V	E	S	P	--	Q	E	--	P	D	S	S	S	G	I	G	K		
AAV11	(83)	L	K	A	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	Q	E	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	A	K	T	A	P	G	K	K	R	P	V	E	S	P	--	P	Q	E	P	D	S	S	S	G	I	G	K		
AAV12	(83)	L	E	Q	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	A	T	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	V	K	T	A	P	G	K	K	R	P	V	E	S	P	--	N	R	P	T	N	E	D	S	G	K	A	P	A	K
AAV4	(82)	L	K	A	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	Q	E	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	A	K	T	A	P	G	K	K	R	P	V	E	S	P	--	P	Q	E	P	D	S	S	S	G	I	G	K		
AAV5	(82)	L	E	A	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	A	D	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	A	K	T	A	P	T	G	K	R	I	D	D	H	F	F	--	K	--	R	K	K	A	R	T	E	E			
AAV9	(83)	L	K	A	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	K	E	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	A	K	T	A	P	G	K	K	R	P	V	E	S	P	--	Q	E	--	P	D	S	S	S	G	I	G	K		
Consensus	(83)	L	K	A	G	D	N	P	Y	L	R	Y	N	H	A	D	A	E	F	Q	E	R	L	Q	E	D	T	S	F	G	G	N	L	G	R	A	V	F	Q	A	K	K	R	V	L	E	P	L	G	L	V	E	E	G	A	K	T	A	P	G	K	K	R	P	V	E	S	P	--	Q	--	P	D	S	S	S	G	I	G	K			

FIG. 5B

Section 8

	(165)	165	170	180	190	200	210	220	230	246					
VP3															
AAVRh10 (163)	XGQ	----	QPAK	KRLNFGQTGDSESVDPQP	PLGEPPA	GPSGL	SGTMAAGGGAPMADNNEGAD	GVGS	SSGNWHCDSTWLGDR						
AAV10 (163)	XGQ	----	QPAK	KRLNFGQTGDSESVDPQP	PLGEPPA	GPSGL	SGTMAAGGGAPMADNNEGAD	GVGS	SSGNWHCDSTWLGDR						
AAV8 (163)	XGQ	----	QPAK	KRLNFGQTGDSESVDPQP	PLGEPPA	GPSGL	SGTMAAGGGAPMADNNEGAD	GVGS	SSGNWHCDSTWLGDR						
AAV7 (163)	XGQ	----	QPAK	KRLNFGQTGDSESVDPQP	PLGEPPA	GPSGL	SGTMAAGGGAPMADNNEGAD	GVGS	SSGNWHCDSTWLGDR						
AAV1 (162)	TGQ	----	QPAK	KRLNFGQTGDSESVDPQP	PLGEPPA	GPSGL	SGTMAAGGGAPMADNNEGAD	GVGS	SSGNWHCDSTWLGDR						
AAV6 (162)	TGQ	----	QPAK	KRLNFGQTGDSESVDPQP	PLGEPPA	GPSGL	SGTMAAGGGAPMADNNEGAD	GVGS	SSGNWHCDSTWLGDR						
AAV2 (162)	AGQ	----	QPAK	KRLNFGQTGDSESVDPQP	PLGEPPA	GPSGL	SGTMAAGGGAPMADNNEGAD	GVGS	SSGNWHCDSTWLGDR						
AAV3 (162)	SGK	----	QPAK	KRLNFGQTGDSESVDPQP	PLGEPPA	GPSGL	SGTMAAGGGAPMADNNEGAD	GVGS	SSGNWHCDSTWLGDR						
AAV11 (161)	XGK	----	QPAK	KRLNFGQTGDSESVDPQP	PLGEPPA	GPSGL	SGTMAAGGGAPMADNNEGAD	GVGS	SSGNWHCDSTWLGDR						
AAV12 (165)	XKQ	KDGE	PADSLA	TLDF	EDSGAG	---	DGPF	EGSSSGEMSHD	EMRAAPGN	AVEAG	---	QGAD	GVGNASGD	WHCDSTW	SEGH
AAV4 (161)	XGK	----	QPAK	KRLNFGQTGDSESVDPQP	PLGEPPA	GPSGL	SGTMAAGGGAPMADNNEGAD	GVGS	SSGNWHCDSTWLGDR						
AAV5 (159)	DSK	----	PSTSSDAEAP	PSG	---	SGQ	QIFAQ	PASSL	ADTMS	AGGGG	GLGNN	QGAD	GVGNASGD	WHCDSTW	SEGH
AAV9 (162)	SGA	----	QPAK	KRLNFGQTGDSESVDPQP	PLGEPPA	GPSGL	SGTMAAGGGAPMADNNEGAD	GVGS	SSGNWHCDSTWLGDR						
Consensus (165)	XGQ	----	QPAK	KRLNFGQTGDSESVDPQP	PLGEPPA	GPSGL	TMAAGGGAPMADNNEGAD	GVGNASGNWHCDSTWLGDR							

FIG. 5C

11/27



REPLACEMENT SHEET

12/27

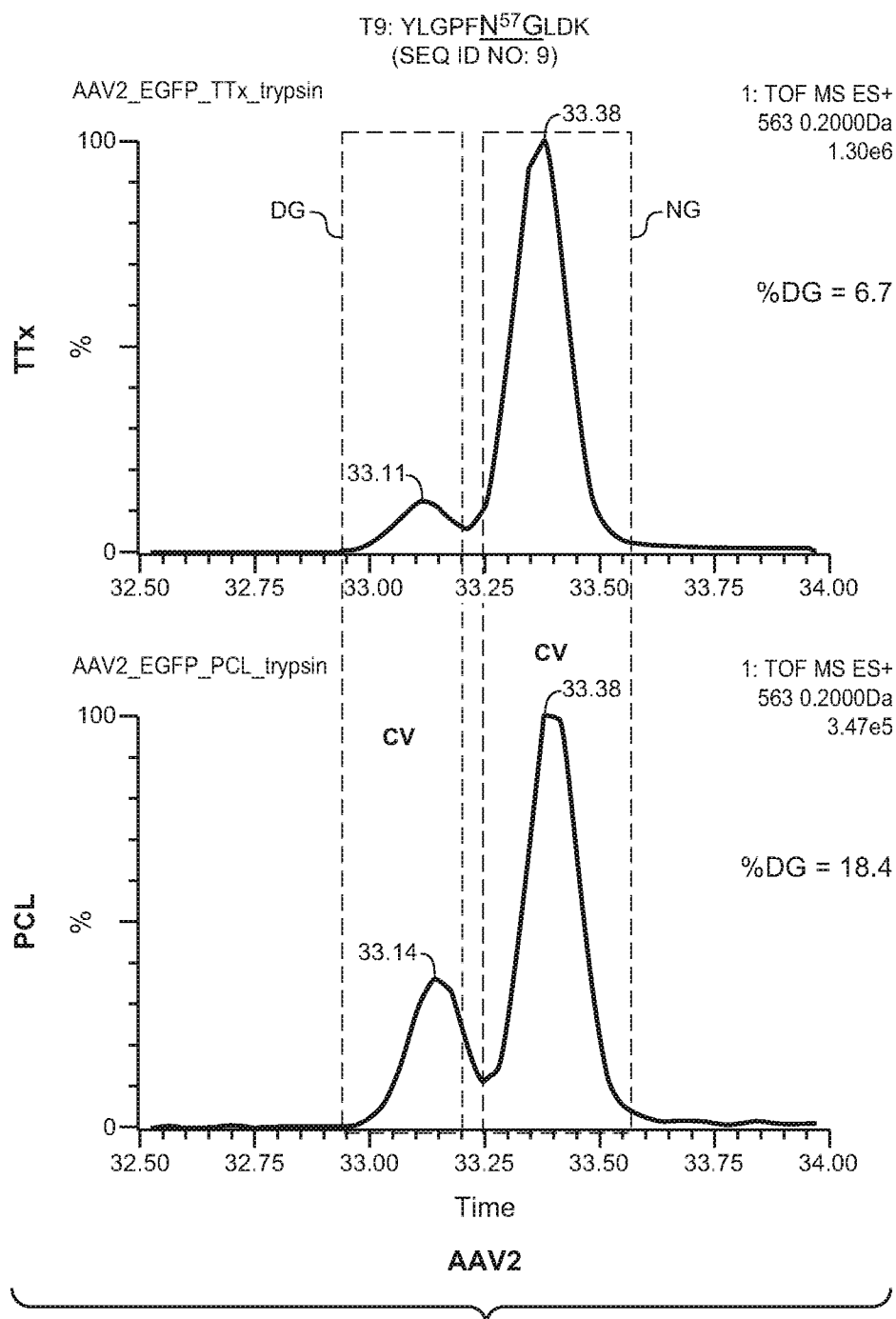


FIG. 6B

REPLACEMENT SHEET

13/27

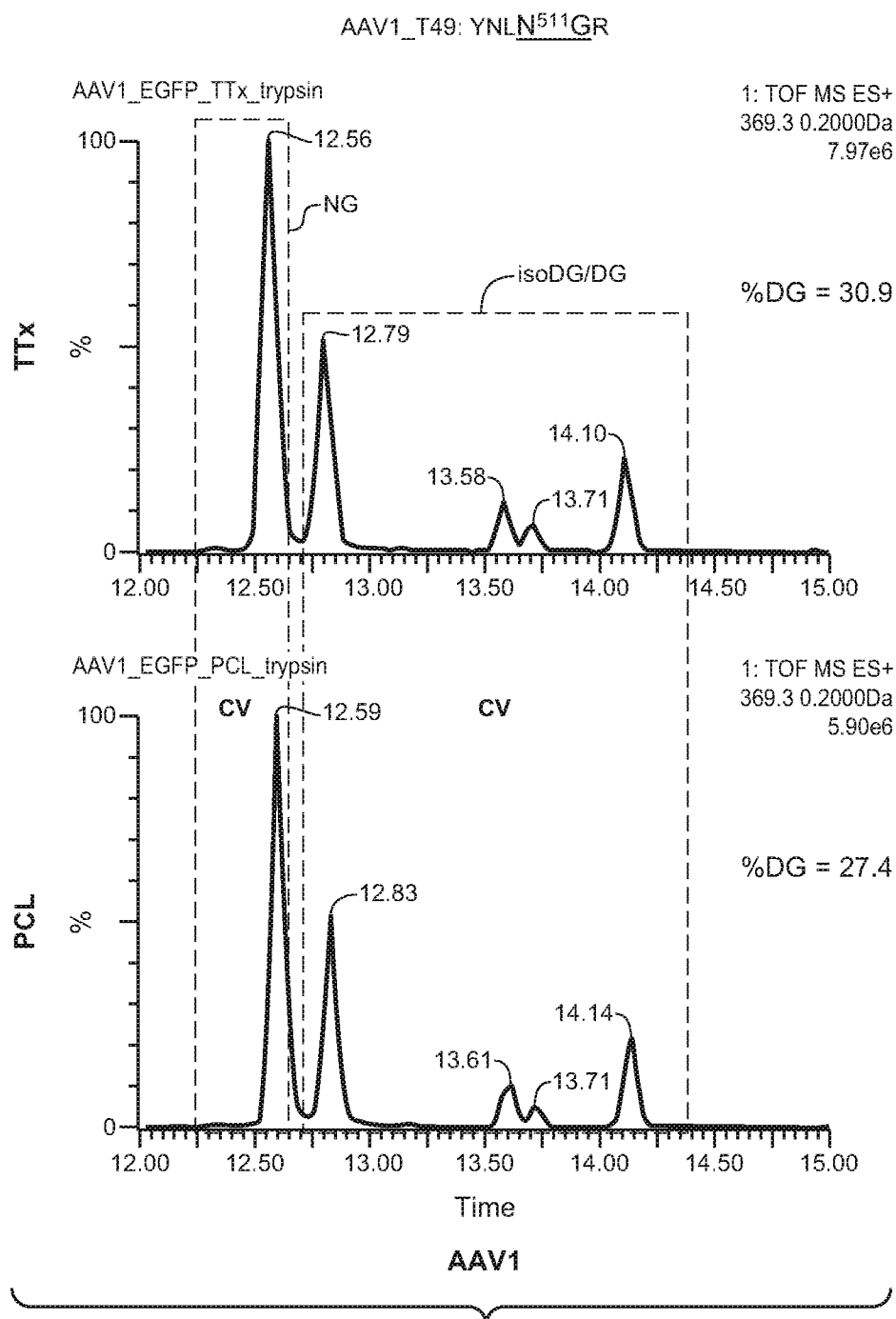


FIG. 7A

REPLACEMENT SHEET

14/27

AAV2_T49: YHLN⁵¹¹GR

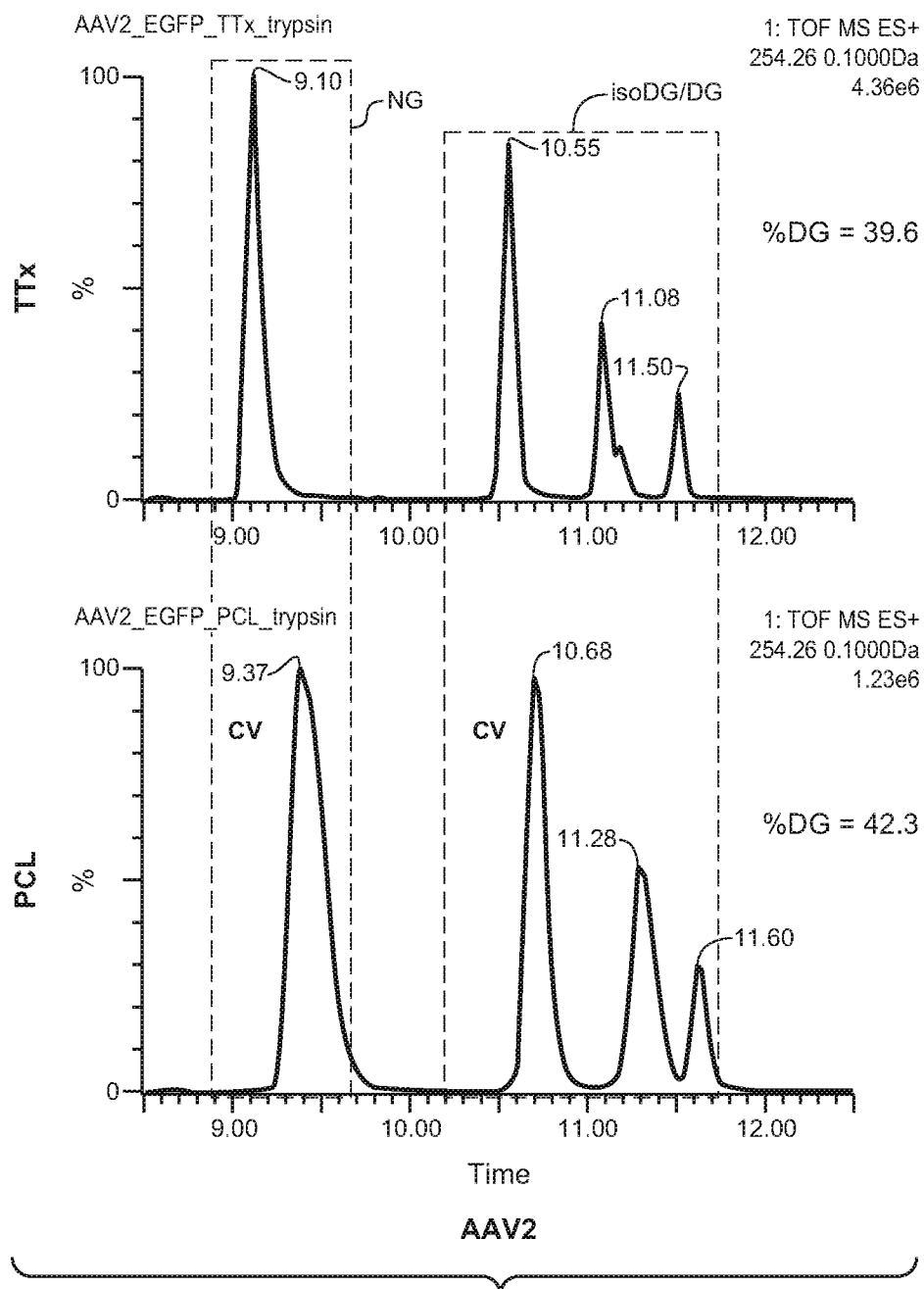


FIG. 7B

REPLACEMENT SHEET

15/27

AAV1_T67: SANVDFTVDNN⁷¹⁵GLYTEPR

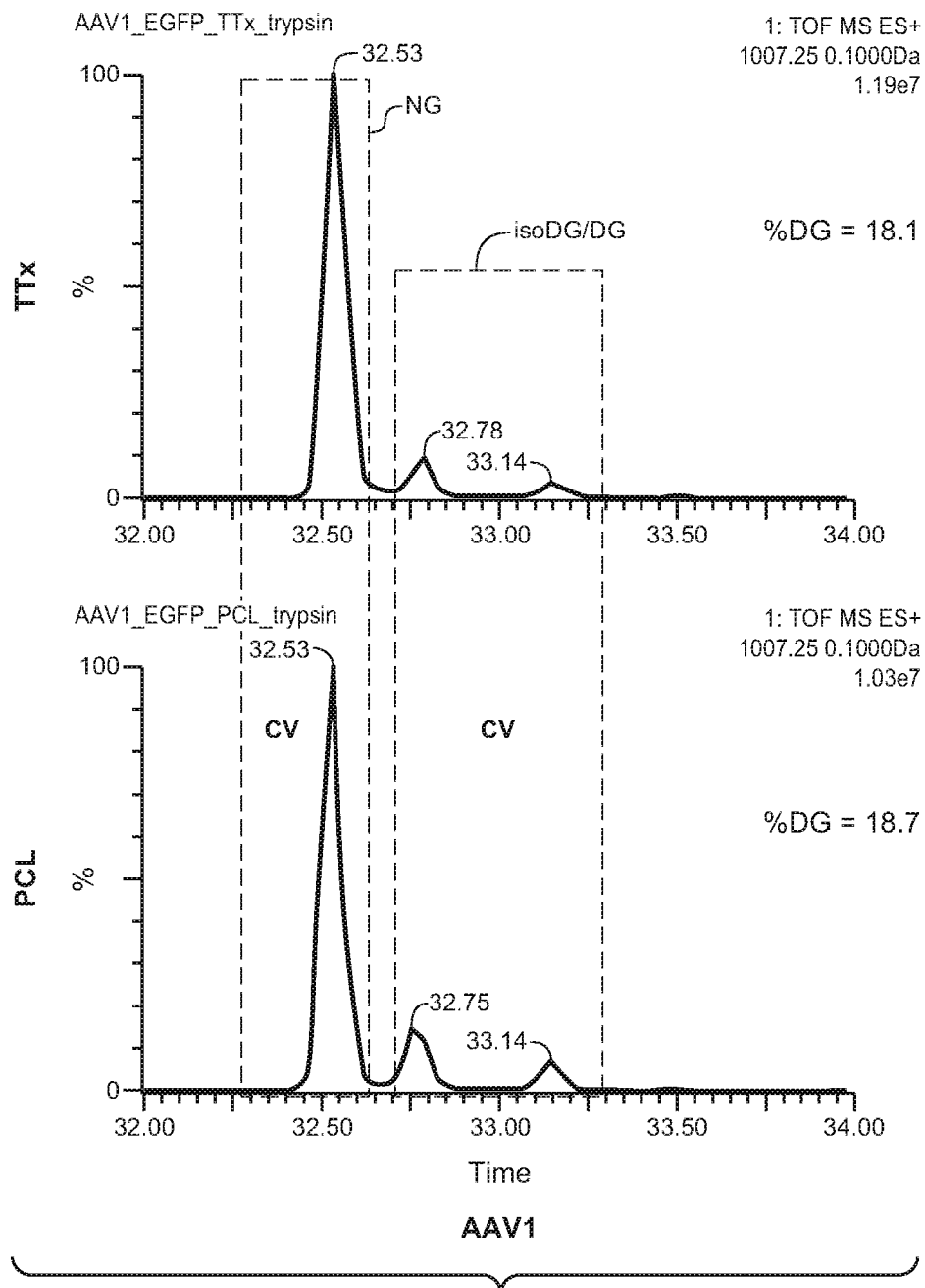


FIG. 8A

REPLACEMENT SHEET

16/27

AAV2_T67: SVNVDFTVDTN⁷¹⁵GVYSEPR

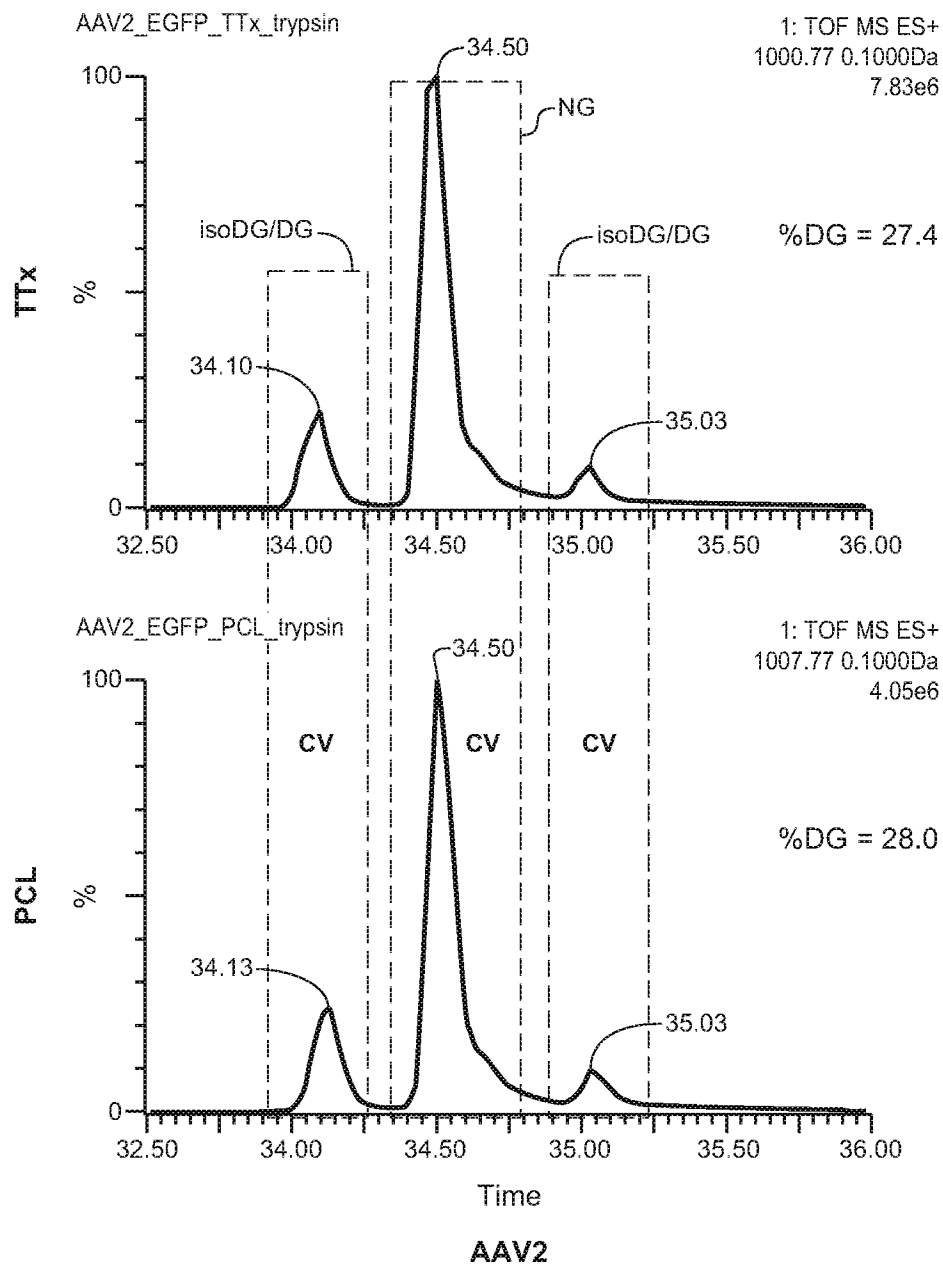


FIG. 8B

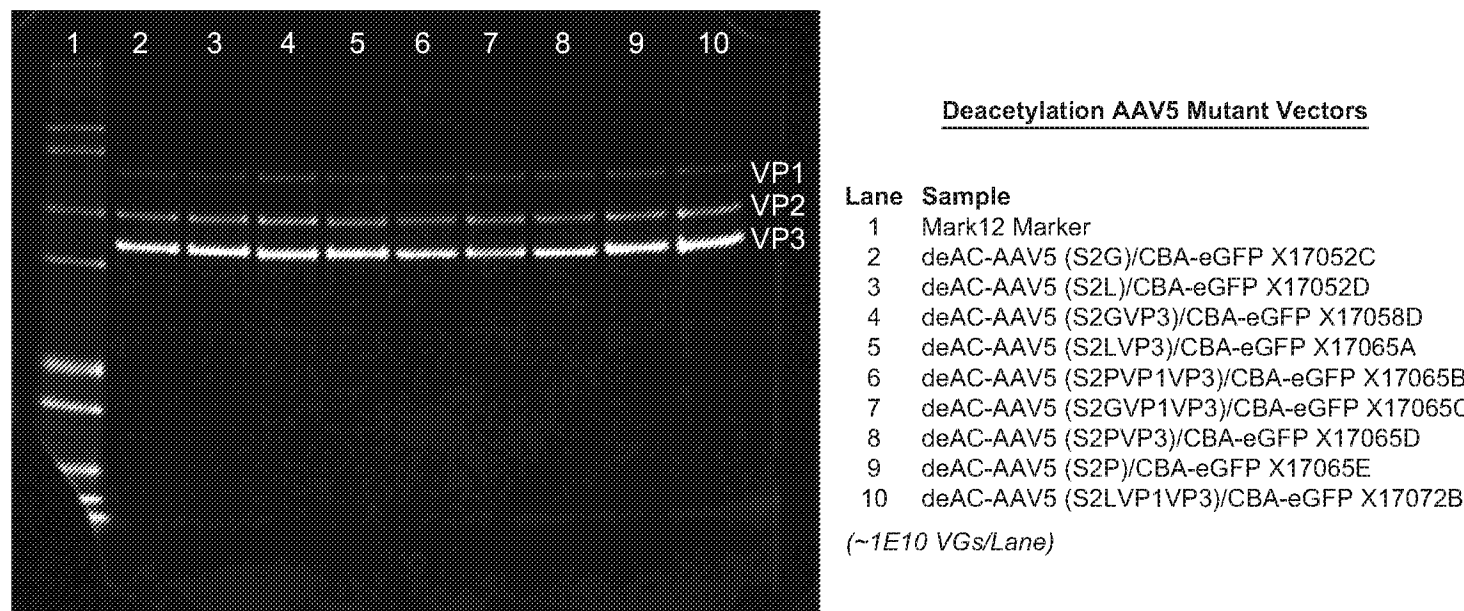


FIG. 9

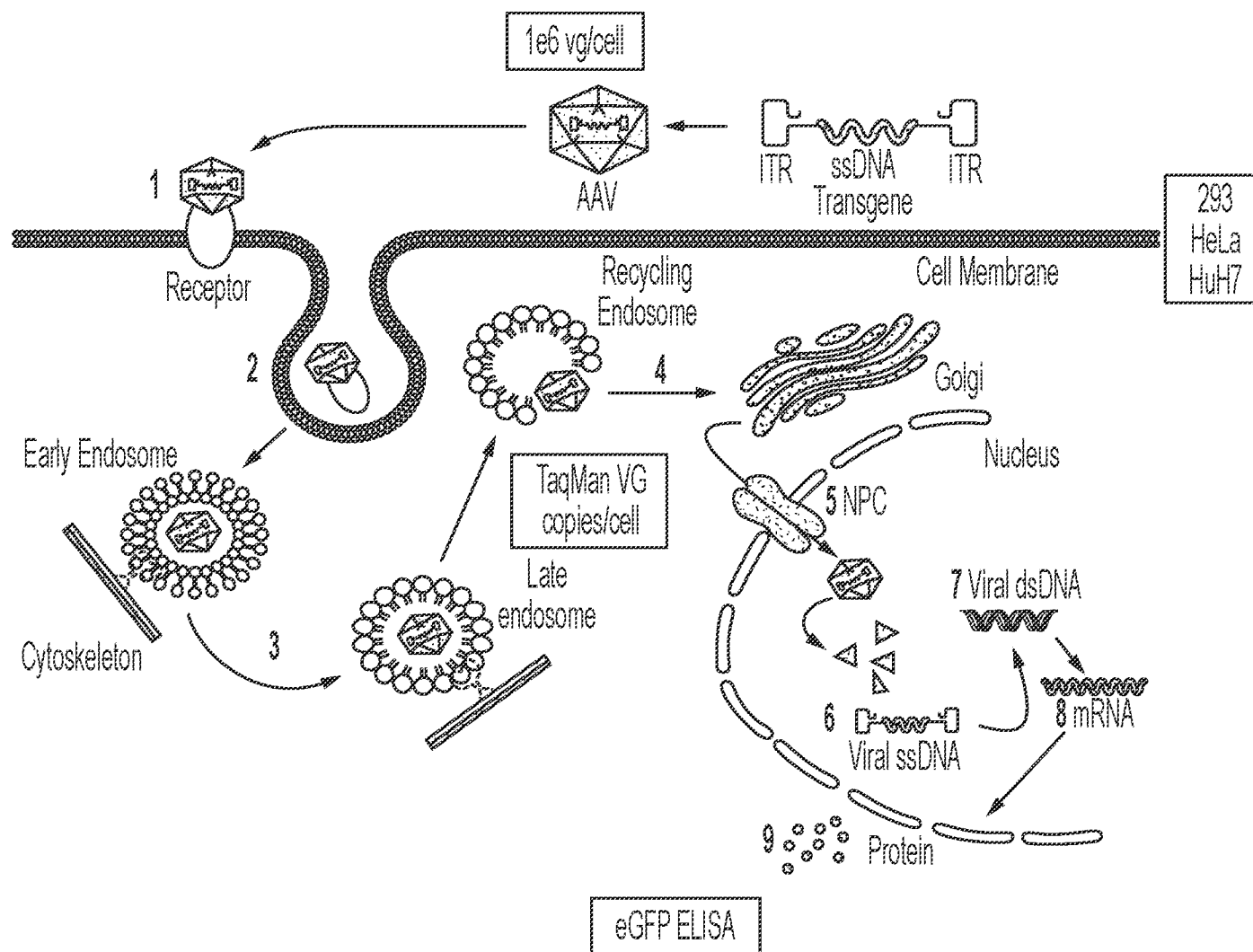


FIG. 10

REPLACEMENT SHEET
19/27

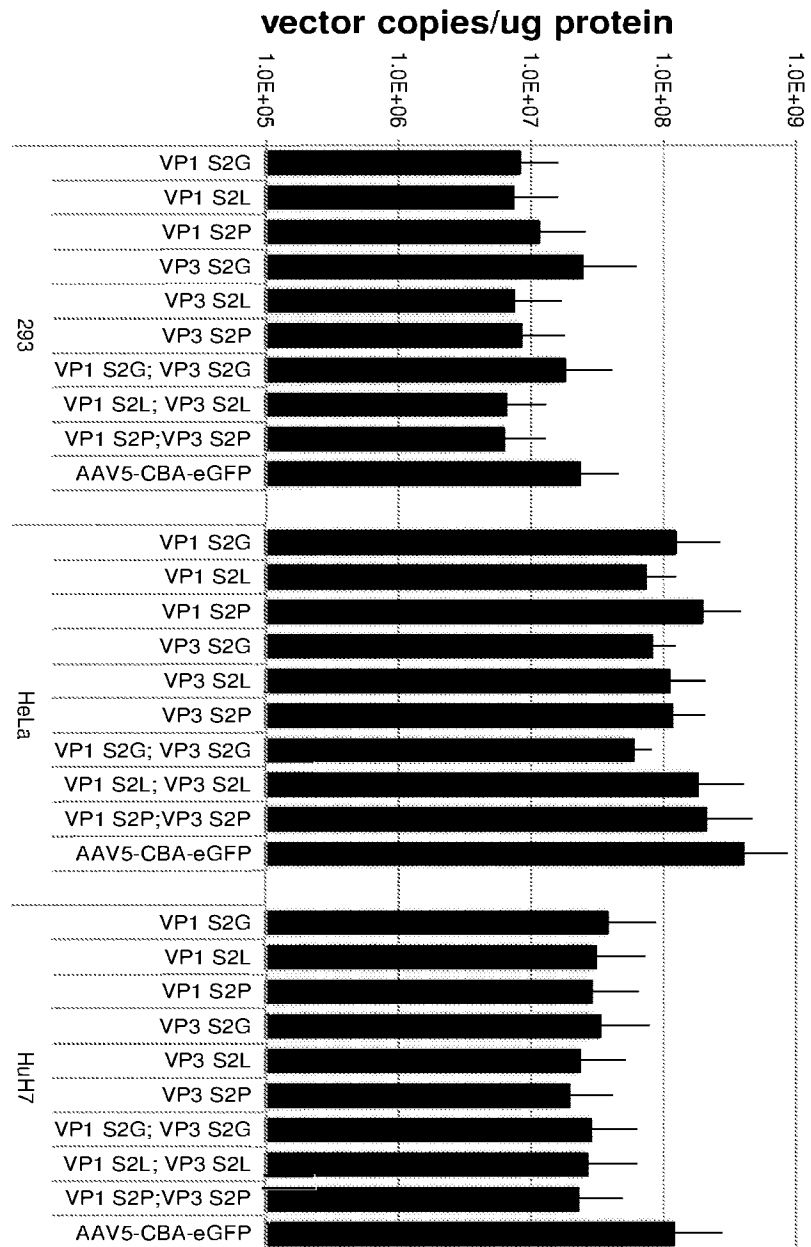


FIG. 11

REPLACEMENT SHEET
20/27

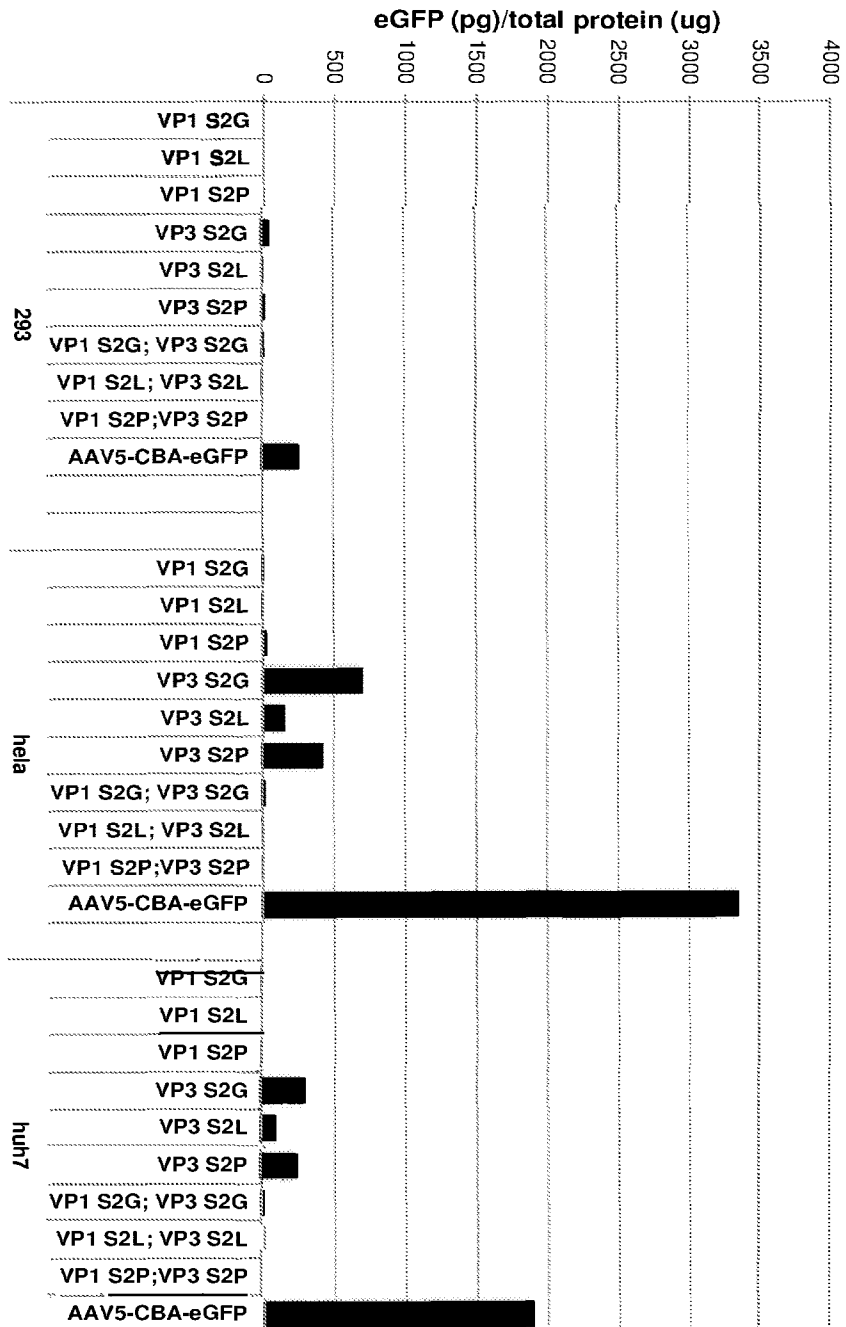


FIG. 12

	(1)	1	10	20	30	40	50	60	
AAVRh10	(1)	MAADGYLPDWLE	DNLS	EGIREWWDL	KPGAPKPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK
AAV10	(1)	MAADGYLPDWLE	DNLS	EGIREWWDL	KPGAPKPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK
AAV8	(1)	MAADGYLPDWLE	DNLS	EGIREWWDL	KPGAPKPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK
AAV7	(1)	MAADGYLPDWLE	DNLS	EGIREWWDL	KPGAPKPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK
AAV1	(1)	MAADGYLPDWLE	DNLS	EGIREWWDL	KPGAPKPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK
AAV6	(1)	MAADGYLPDWLE	DNLS	EGIREWWDL	KPGAPKPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK
AAV2	(1)	MAADGYLPDWLE	DTLSE	GIRQWKKL	KPGPPPKPA	ERHKDD	SRGLVLPGY	KYLGPENG	LDK
AAV3	(1)	MAADGYLPDWLE	DNLS	EGIREWWDL	KPGVQPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK
AAV11	(1)	MAADGYLPDWLE	DNLS	EGIREWWDL	KPGAPKPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK
AAV12	(1)	MAADGYLPDWLE	DNLS	EGIREWWDL	KPGAPKPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK
AAV4	(1)	-MTDGYLPDWLE	DNLS	EGIREWWDL	KPGAPKPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK
AAV5	(1)	MSFVDHPPDWLE	IVG	EGIREWWDL	KPGAPKPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK
AAV9	(1)	MAADGYLPDWLE	DNLS	EGIREWWDL	KPGAPKPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK
Consensus	(1)	MAADGYLPDWLE	DNLS	EGIREWW	LKPGAPKPKAN	QKQDD	GRGLVLPGY	KYLGPENG	LDK

FIG. 13

REPLACEMENT SHEET

22/27

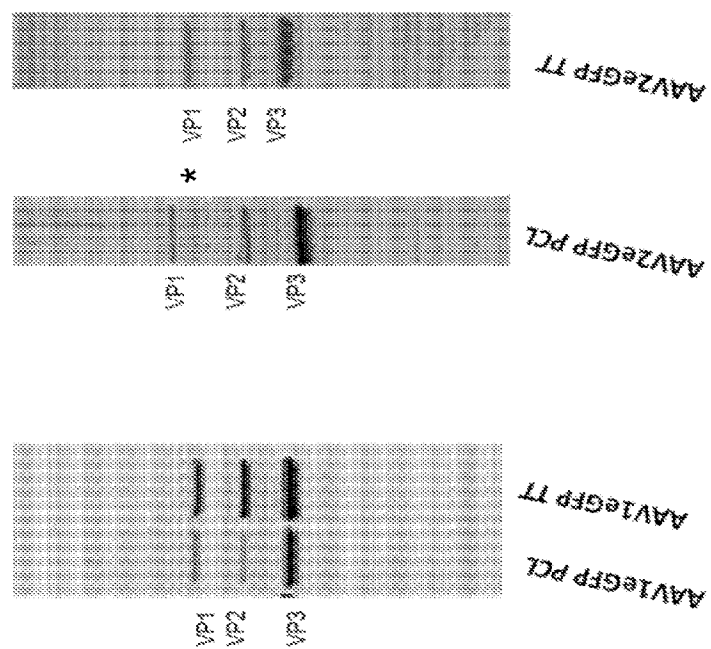


FIG. 14

REPLACEMENT SHEET

23/27

Deamidation of N57(G)

	% Deamidation on N57	replicate
AAV2(A35N)	17.8	1
AAV2(G58D)	1.1	1
AAV2 control	5.7	2

AAV2(A35N) has been stored at 4C for 1 months

FIG. 15

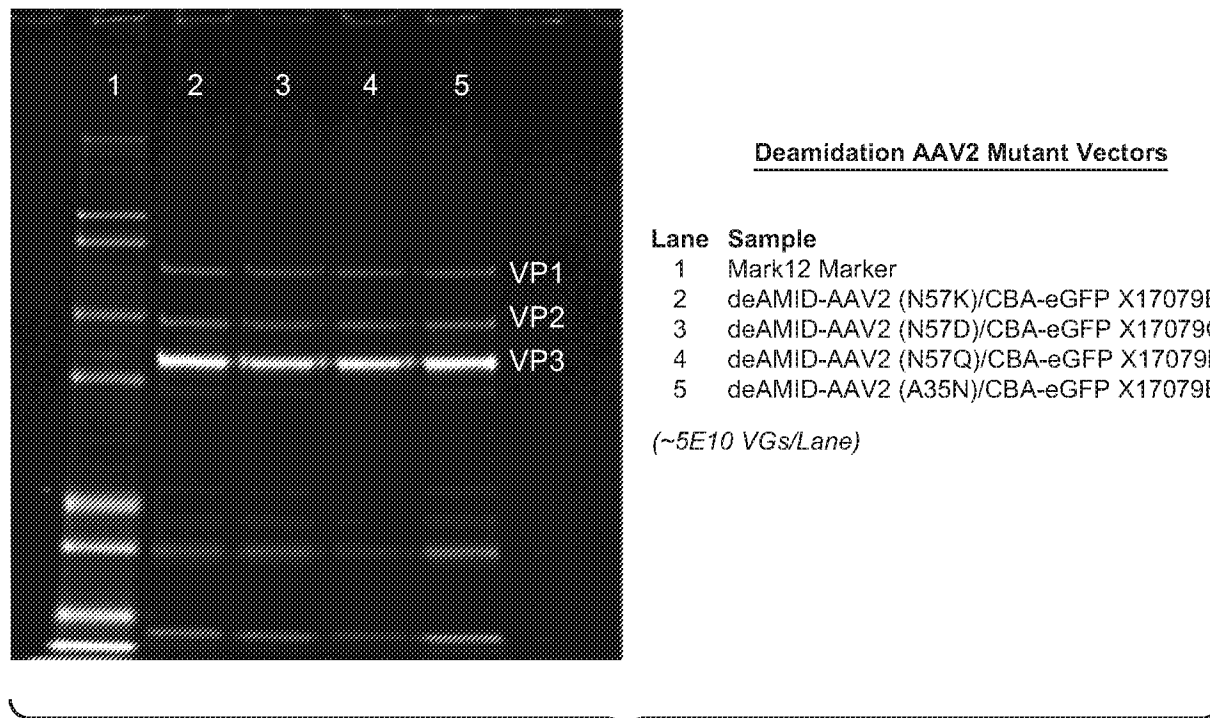


FIG. 16

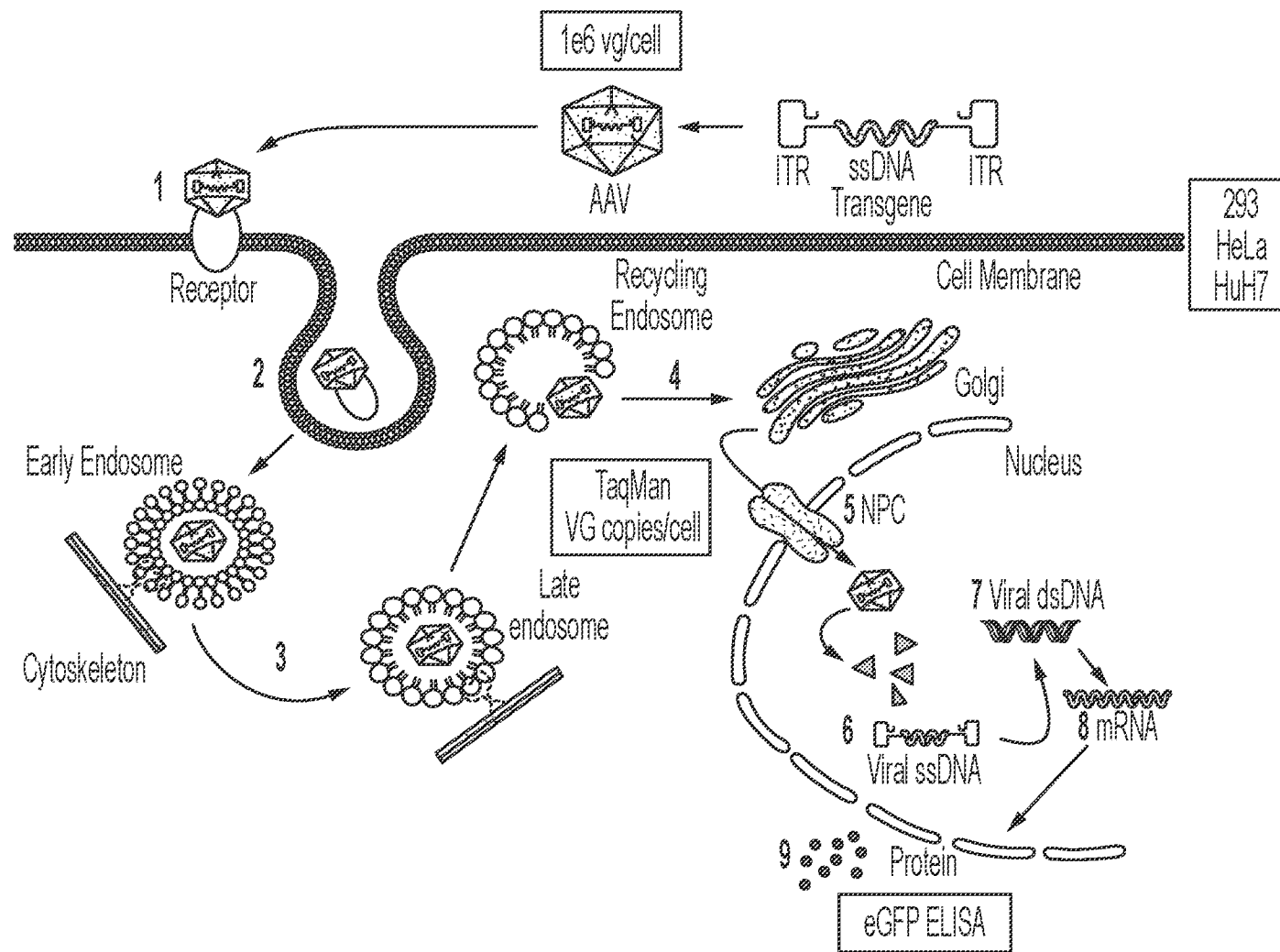


FIG. 17

REPLACEMENT SHEET
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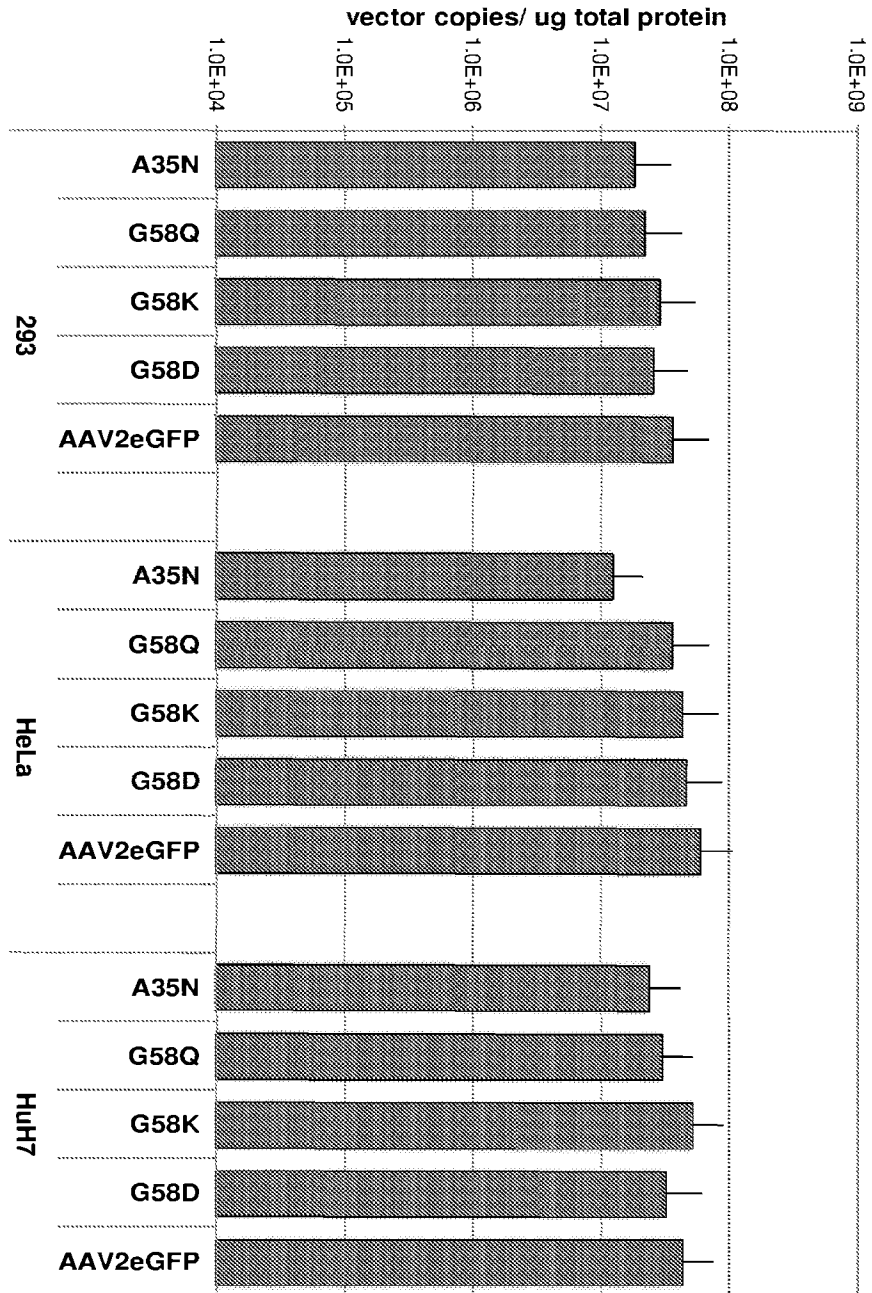


FIG. 18

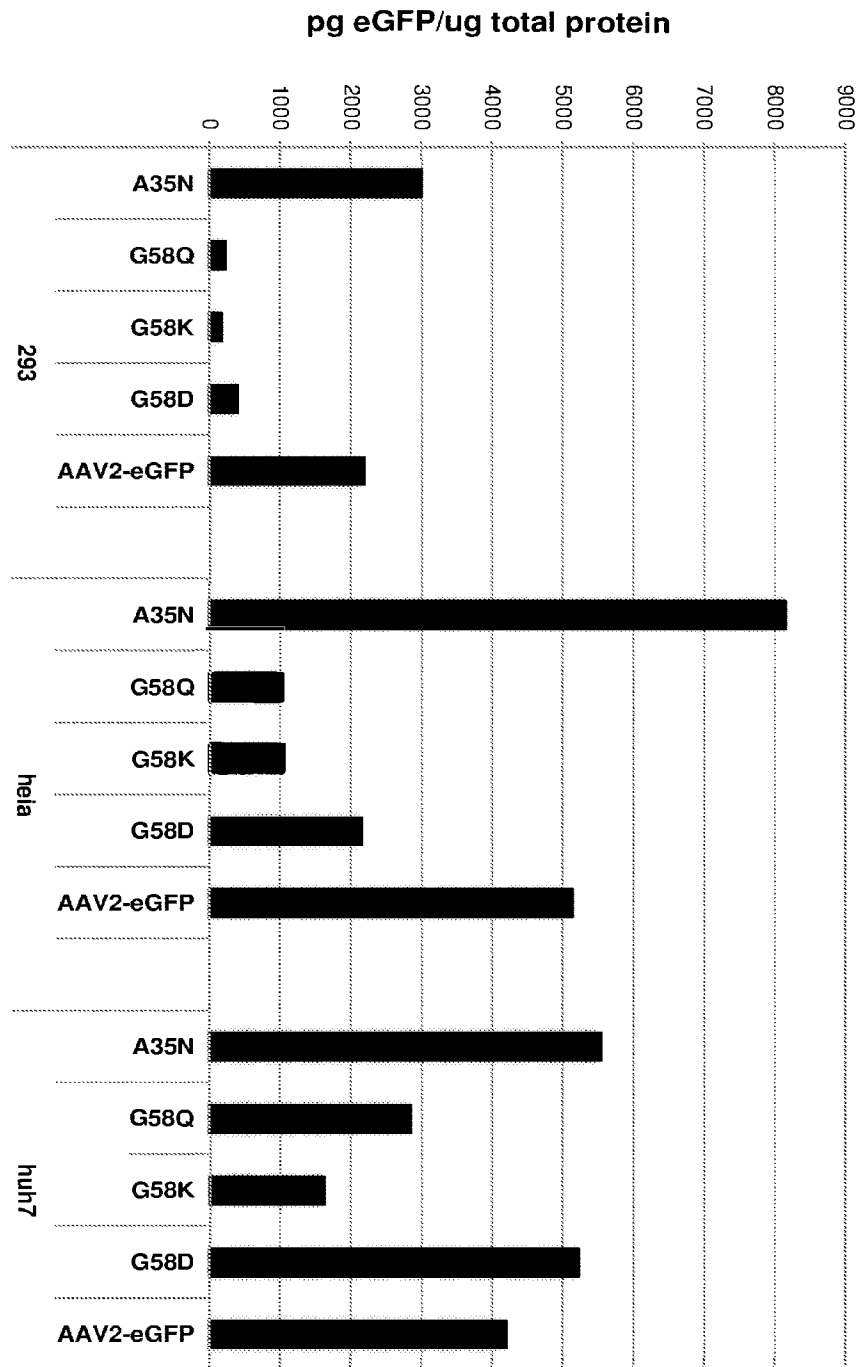


FIG. 19

AMENDMENTS TO THE DRAWINGS

Please replace the Drawings Sheets 1-27 with the attached Replacement Drawing Sheets 1-27. These Replacement Drawing Sheets contain amended drawings for FIGS. 4B, 4C, 10, 15, and 17.

Attachment: Replacement Drawing Sheets 1-27

REMARKS

Claims 1-180 are pending. By virtue of this response, claims 1, 2, 9-16, 18, 19, 22-129, 131, and 134-180 have been cancelled, claims 3-8, 17, 20, 130, 132, and 133 are amended, and new claims 181-189 are added. Accordingly, claims 3-8, 17, 20, 21, 130, 132, 133, and 181-189 are currently under consideration. Support for the amendments to the claims and the new claims can be found throughout the specification as filed, including in original claims 3, 7, 8, 167, 20, and 21, and in paragraphs [0015], [0170], [0186], [0204], [0217], [0220], [0236], and [0242]. No new matter has been added.

Amendment and cancellation of certain claims is not to be construed as a dedication to the public of any of the subject matter of the claims as previously presented. Applicant reserves the right to pursue the subject matter of the cancelled claims in a continuation or divisional application.

Amendments to the Drawings

The Notice to File Missing Parts referenced above (hereinafter referred to as the “Notice”) alleges that the drawings filed in the application are not acceptable, and that replacement drawing sheets in compliance with 37 CFR 1.84 and 37 CFR 1.21(d) are required. Specifically, the Notice states that FIGS. 4B and 4C contain extraneous marks, smudging, or strike-through, and FIGS. 10, 15, and 17 contain portions that are missing and/or blurry.

Replacement Drawing Sheets are submitted herewith to improve the electronic reproducibility of FIGS. 4B, 4C, 10, 15, and 17. FIGS. 4B and 4C have been amended to remove extraneous marks and strikethrough and therefore show the text more clearly. FIGS. 10, 15, and 17 have been updated to enlarge and clarify the text and outlines. No new matter has been added by way of the Replacement Drawing Sheets. Entry of the Replacement Drawing Sheets is respectfully requested.

Payment of Fees

In response to the Notice of Missing Parts, the application fees, surcharge fee, and excess claim fees for one claim in excess of 20 are being submitted herewith. Applicant respectfully requests examination on the merits.

CONCLUSION

If it is determined that a telephone conference would expedite the prosecution of this application, the Examiner is invited to telephone the undersigned at the number given below.

In the event the U.S. Patent and Trademark Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. **03-1952** referencing docket no. **15979-20141.10**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: October 17, 2023

Respectfully submitted,

Electronic signature: /Sarah E. Bloch/
Sarah Bloch

Registration No.: 79,763
MORRISON & FOERSTER LLP
755 Page Mill Road
Palo Alto, CA 94304-1018
+1 (415) 268-6423

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number	18321542
	Filing Date	2023-05-22
	First Named Inventor	XIAOYING JIN
	Art Unit	NYA
	Examiner Name	Not Yet Assigned
	Attorney Docket Number	15979-20141.10

U.S.PATENTS						Remove
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	11698377	B2	2023-07-11	Jin, Xiaoying et al.	

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Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	18321542
Filing Date	2023-05-22
First Named Inventor	XIAOYING JIN
Art Unit	NYA
Examiner Name	Not Yet Assigned
Attorney Docket Number	15979-20141.10

1	
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EXAMINER SIGNATURE

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	18321542
Filing Date	2023-05-22
First Named Inventor	XIAOYING JIN
Art Unit	NYA
Examiner Name	Not Yet Assigned
Attorney Docket Number	15979-20141.10

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Sarah E. Bloch/	Date (YYYY-MM-DD)	2023-10-17
Name/Print	Sarah Bloch	Registration Number	79,763

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal

Application Number:	18321542			
Filing Date:	22-May-2023			
Title of Invention:	METHODS FOR DETECTING AAV			
First Named Inventor/Applicant Name:	Xiaoying JIN			
Filer:	Sarah Elizabeth Bloch/Aurelia Caparas			
Attorney Docket Number:	15979-20141.10			
Filed as Large Entity				
Filing Fees for Utility under 35 USC 111(a)				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
UTILITY APPLICATION FILING	1011	1	320	320
UTILITY SEARCH FEE	1111	1	700	700
UTILITY EXAMINATION FEE	1311	1	800	800
Pages:				
UTILITY APPL SIZE FEE PER 50 SHEETS >100	1081	1	420	420
Claims:				
CLAIMS IN EXCESS OF 20	1202	1	100	100
Miscellaneous-Filing:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
LATE FILING FEE FOR OATH OR DECLARATION	1051	1	160	160
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Extension - 1 month with \$0 paid	1251	1	220	220
Miscellaneous:				
Total in USD (\$)				2720

Electronic Acknowledgement Receipt

EFS ID:	48728156
Application Number:	18321542
International Application Number:	
Confirmation Number:	9227
Title of Invention:	METHODS FOR DETECTING AAV
First Named Inventor/Applicant Name:	Xiaoying JIN
Customer Number:	89300
Filer:	Sarah Elizabeth Bloch/Aurelia Caparas
Filer Authorized By:	Sarah Elizabeth Bloch
Attorney Docket Number:	15979-20141.10
Receipt Date:	17-OCT-2023
Filing Date:	22-MAY-2023
Time Stamp:	15:00:07
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	DA
Payment was successfully received in RAM	\$ 2720
RAM confirmation Number	E20230GF01038723
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Applicant Response to Pre-Exam Formalities Notice	Copy_Notice.pdf	100644	no	3
			80433aab740b1a5cd2710ac7b140358a18071963		
Warnings:					
Information:					
2		Prelim_for_resp_to_MP.pdf	119847	yes	9
			2b127992862f3623c8ad421040feb5489a6ec11		
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Preliminary Amendment		1	1	
	Claims		2	5	
	Drawings-only black and white line drawings		6	6	
	Applicant Arguments/Remarks Made in an Amendment		7	9	
Warnings:					
Information:					
3	Drawings-other than black and white line drawings	Replacement_FIGs.pdf	1312829	no	27
			59f772c516d31f87e34c16fa7b869dde652505e5		
Warnings:					
Information:					
4	Information Disclosure Statement (IDS) Form (SB08)	SB08_SIDS.PDF	1257988	no	4
			d926ac7e74ece745d9ef751562f3b7f32a52ebcc		
Warnings:					
Information:					

5	Fee Worksheet (SB06)	fee-info.pdf	53793	no	2
			43f8a60508a18948eb42d862704b855e14c0a2a8		
Warnings:					
Information:					
Total Files Size (in bytes):				2845101	
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					



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APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
18/321,542	05/22/2023	Xiaoying JIN	15979-20141.10

CONFIRMATION NO. 9227

FORMALITIES LETTER



OC000000059350946

89300
Sanofi/Genzyme c/o Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, CA 94304

Date Mailed: 07/17/2023

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to the above-identified nonprovisional application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- **Drawing Items**

The drawings submitted on 05/22/2023 are not acceptable because:

- Figure(s) 4B, 4C contain extraneous marks, smudging, or strike-through. The drawings must be reasonably free from erasures and must be free from alterations, overwriting, interlineations, folds, and copy marks. See 37 CFR 1.84(e). Replacement drawing sheets in compliance with 37 CFR 1.84 and 1.121(d) are required.
- Figure(s) 10, 15, 17 contain portions that are missing or blurry, and therefore are not electronically reproducible. See 37 CFR 1.84(l). Replacement drawing sheets in compliance with 37 CFR 1.84 and 1.121(d) containing figures that are of sufficient quality to be electronically reproduced are required.
- The statutory basic filing fee has not been received.
- The application search fee must be submitted.
- The application examination fee must be submitted.
- Additional claim fees of **\$25880** as an undiscounted entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Surcharge as set forth in 37 CFR 1.16(f) has not been received.

The surcharge is due for any one of:

- late submission of the basic filing fee, search fee, or examination fee,
- late submission of inventor's oath or declaration,
- filing an application that does not contain at least one claim on filing, or
- submission of an application filed by reference to a previously filed application.

SUMMARY OF FEES DUE:

The fee(s) required (including the fees noted above) are as follows. No entity status discount is in effect. If applicant is qualified for small entity status, a written assertion of small entity status must be submitted to establish small entity status. (See 37 CFR 1.27). If applicant is qualified for micro entity status, an acceptable Certification of Micro Entity Status must be submitted to establish micro entity status. (See 37 CFR 1.29 and forms PTO/SB/15A and 15B.)

- **\$320** basic filing fee.
- **\$420** for **18** pages in excess of **100** application size fee.
- **\$160** surcharge.
- **\$700** search fee.
- **\$800** examination fee.
- **\$6720** for 14 independent claims over 3.
- **\$18300** for 183 total claims over 20.
- **\$860** for multiple dependent claim surcharge.
- **\$(0)** previous unapplied payment amount.
- **\$28280** TOTAL FEE BALANCE DUE.

Replies must be received in the USPTO within the set time period or must include a proper Certificate of Mailing or Transmission under 37 CFR 1.8 with a mailing or transmission date within the set time period. For more information and a suggested format, see Form PTO/SB/92 and MPEP 512.

Replies should be addressed to:

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

REPLY VIA ELECTRONIC FILING

A reply may be submitted via electronic filing only by registered users of the appropriate USPTO patent electronic filing system and must include:

- A separate document having the document description, "**Applicant response to Pre-Exam Formalities Notice**"; and
- the item(s) for which a reply period is set forth above.

For more information about electronic filing, contact the Patent Electronic Business Center (EBC) 1-866-217-9197 or visit <https://www.uspto.gov/ebc>

NOTE: If the reply is not being submitted electronically, a copy of this notice must be included.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at **(571) 272-4000** or **(571) 272-4200** or **1-888-786-0101**.

/ygizaw/



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLAIMS	IND CLAIMS
18/321,542	05/22/2023		2500	15979-20141.10	21	3

89300

Sanofi/Genzyme c/o Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, CA 94304

CONFIRMATION NO. 9227
UPDATED FILING RECEIPT



0000000063469151

Date Mailed: 10/20/2023

Receipt is acknowledged of this non-provisional utility patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF FIRST INVENTOR, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection.

Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a corrected Filing Receipt, including a properly marked-up ADS showing the changes with strike-through for deletions and underlining for additions. If you received a "Notice to File Missing Parts" or other Notice requiring a response for this application, please submit any request for correction to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections provided that the request is grantable.

Inventor(s)

Xiaoying JIN, Cambridge, MA;
Catherine O'RIORDAN, Cambridge, MA;
Lin LIU, Cambridge, MA;
Kate ZHANG, Cambridge, MA;

Applicant(s)

Genzyme Corporation, Cambridge, MA;

Power of Attorney: The patent practitioners associated with Customer Number 89300

Domestic Priority data as claimed by applicant

This application is a DIV of 16/325,653 02/14/2019 PAT 11,698,377
which is a 371 of PCT/US17/46814 08/14/2017
which claims benefit of 62/375,314 08/15/2016

Foreign Applications for which priority is claimed (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see <http://www.uspto.gov> for more information.) - None.

Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access Application via Priority Document Exchange: Yes

Permission to Access Search Results: Yes

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

If Required, Foreign Filing License Granted: 07/13/2023

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 18/321,542**

Projected Publication Date: 01/25/2024

Non-Publication Request: No

Early Publication Request: No

Title

METHODS FOR DETECTING AAV

Preliminary Class

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

SelectUSA

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit <http://www.SelectUSA.gov> or call +1-202-482-6800.

<div>MULTIPLE DEPENDENT CLAIM FEE CALCULATION SHEET</div> <div>Substitute for Form PTO-1360 (For use with Form PTO/SB/06)</div>							<div>Application Number</div> <div>18/321,542</div>		<div>Filing Date</div>				
							<div>Applicant(s) Xiaoying JIN</div>						
							<div>* May be used for additional claims or amendments</div>						
CLAIMS	AS FILED		AFTER FIRST AMENDMENT		AFTER SECOND AMENDMENT			*		*		*	
	Indep	Depend	Indep	Depend	Indep	Depend		Indep	Depend	Indep	Depend	Indep	Depend
1	1								(1)				
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<div> <div>MULTIPLE DEPENDENT CLAIM FEE CALCULATION SHEET</div> <div> Substitute for Form PTO-1360 (For use with Form PTO/SB/06) </div> </div>							<div> Application Number 18/321,542 </div>		<div> Filing Date </div>				
							<div> Applicant(s) Xiaoying JIN </div>						
							<div> * May be used for additional claims or amendments </div>						
CLAIMS	AS FILED		AFTER FIRST AMENDMENT		AFTER SECOND AMENDMENT			*		*		*	
	Indep	Depend	Indep	Depend	Indep	Depend		Indep	Depend	Indep	Depend	Indep	Depend
101	1												
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Total Indep	17												
Total Depend	186												
Total Claims	203		0		0								

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PATENT APPLICATION FEE DETERMINATION RECORD

Substitute for Form PTO-875

Application or Docket Number
18/321,542

APPLICATION AS FILED - PART I

(Column 1)		(Column 2)	SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
FOR	NUMBER FILED	NUMBER EXTRA	RATE(\$)	FEE(\$)		RATE(\$)	FEE(\$)
BASIC FEE (37 CFR 1.16(a), (b), or (c))	N/A	N/A	N/A			N/A	320
SEARCH FEE (37 CFR 1.16(k), (l), or (m))	N/A	N/A	N/A			N/A	700
EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))	N/A	N/A	N/A			N/A	800
TOTAL CLAIMS (37 CFR 1.16(i))	21 minus 20 =	*			OR	x 100 =	100
INDEPENDENT CLAIMS (37 CFR 1.16(h))	3 minus 3 =	*				x =	0
APPLICATION SIZE FEE (37 CFR 1.16(s))	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).						420
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))							0
* If the difference in column 1 is less than zero, enter "0" in column 2.			TOTAL			TOTAL	2340

APPLICATION AS AMENDED - PART II

	(Column 1)		(Column 2)	(Column 3)	SMALL ENTITY		OR	OTHER THAN SMALL ENTITY		
		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)	ADDITIONAL FEE(\$)	RATE(\$)	ADDITIONAL FEE(\$)	
AMENDMENT A	Total (37 CFR 1.16(i))	*	Minus	**	=	x	=	OR	x	=
	Independent (37 CFR 1.16(h))	*	Minus	***	=	x	=	OR	x	=
	Application Size Fee (37 CFR 1.16(s))							OR		
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))							OR		
					TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE		
AMENDMENT B	Total (37 CFR 1.16(i))	*	Minus	**	=	x	=	OR	x	=
	Independent (37 CFR 1.16(h))	*	Minus	***	=	x	=	OR	x	=
	Application Size Fee (37 CFR 1.16(s))							OR		
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))							OR		
					TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE		

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest found in the appropriate box in column 1.



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UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
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Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
18/321,542	05/22/2023	Xiaoying JIN	15979-20141.10

CONFIRMATION NO. 9227

89300

Sanofi/Genzyme c/o Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, CA 94304

PUBLICATION NOTICE



OC000000068075760

Date Mailed: 02/08/2024

Title:METHODS FOR DETECTING AAV

Publication No.US-2024-0044910-A1

Publication Date:02/08/2024

NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently <http://www.uspto.gov/patft/>.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Public Records Division. The Public Records Division can be reached by telephone at (571) 272-3150 or (800) 972-6382, by facsimile at (571) 273-3250, by mail addressed to the United States Patent and Trademark Office, Public Records Division, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently <https://portal.uspto.gov/pair/> PublicPair. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



ELECTRONIC ACKNOWLEDGEMENT RECEIPT

APPLICATION #
18/321,542

RECEIPT DATE / TIME
06/04/2024 04:13:11 PM Z ET

ATTORNEY DOCKET #
15979-20141.10

Title of Invention

METHODS FOR DETECTING AAV

Application Information

APPLICATION TYPE Utility - Nonprovisional Application
under 35 USC 111(a)

PATENT # -

CONFIRMATION # 9227

FILED BY Mei Leung

PATENT CENTER # 65821397

FILING DATE 05/22/2023

CUSTOMER # 89300

FIRST NAMED INVENTOR Xiaoying JIN

CORRESPONDENCE ADDRESS -

AUTHORIZED BY Sarah Bloch

Documents

TOTAL DOCUMENTS: 1

DOCUMENT	PAGES	DESCRIPTION	SIZE (KB)
15979-2014110_TD.pdf	2	Terminal Disclaimer Filed	124 KB

Digest

DOCUMENT	MESSAGE DIGEST(SHA-512)
15979-2014110_TD.pdf	111FDC518C7F871D57758A8FC5D44E07C415F66026BF266736 DF4214A2C3D282543763ADCD2589B9511327D12F2BB971B1C 0A7B2CF512070909DE8646AAE17AA

described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



ELECTRONIC PAYMENT RECEIPT

APPLICATION #
18/321,542

RECEIPT DATE / TIME
06/04/2024 04:13:11 PM Z ET

ATTORNEY DOCKET #
15979-20141.10

Title of Invention

METHODS FOR DETECTING AAV

Application Information

APPLICATION TYPE Utility - Nonprovisional Application
under 35 USC 111(a)

PATENT # -

CONFIRMATION # 9227

FILED BY Mei Leung

PATENT CENTER # 65821397

AUTHORIZED BY Sarah Bloch

CUSTOMER # 89300

FILING DATE 05/22/2023

CORRESPONDENCE
ADDRESS -

FIRST NAMED
INVENTOR Xiaoying JIN

Payment Information

PAYMENT METHOD
DA / 031952

PAYMENT TRANSACTION ID
E202464G14209748

PAYMENT AUTHORIZED BY
Mei Leung

FEE CODE	DESCRIPTION	ITEM PRICE(\$)	QUANTITY	ITEM TOTAL(\$)
1814	STATUTORY DISCLAIMER, INCLUDING TERMINAL DISCLAIMER	170.00	1	170.00
TOTAL AMOUNT:				\$170.00

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application

National Stage of an International Application under 35 U.S.C. 371

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New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING
REJECTION OVER A "PRIOR" PATENT**Docket Number (Optional)
15979-20141.10

In re Application of: Xiaoying JIN et al.

Application No.: 18/321,542

Filed: May 22, 2023

For: METHODS FOR DETECTING AAV

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- is held unenforceable;
- is found invalid by a court of competent jurisdiction;
- is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321;
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- is reissued; or
- is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

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I hereby acknowledge that any willful false statements made are punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

2. ☒ The undersigned is an attorney or agent of record. Reg. No. 79,763

/Sarah E. Bloch/

Signature

June 4, 2024

Date

Sarah Bloch

Typed or printed name

Patent Agent

Title

+1 (415) 268-6423

Telephone Number

- ☒ Terminal disclaimer fee under 37 CFR 1.20(d) included.

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Application Number * 18/321,542 *	Application/Control No. 18/321,542		Applicant(s)/Patent under Reexamination JIN et al.	
	Examiner XU, XIAOYUN		Art Unit 1797	
Document Code - DISQ			Internal Document - DO NOT MAIL	

TERMINAL DISCLAIMER	☒ APPROVED	☐ DISAPPROVED
Date Filed: <u>04 June 2024</u>	This patent is subject to a Terminal Disclaimer	

Approved/Disapproved by:
/TRINA STEPTOE/ Technology Center: <u>OPLC</u> Telephone: <u>(571)272-2577</u> <u>11,698,377</u>

<i>Index of Claims</i> 	Application/Control No. 18/321,542	Applicant(s)/Patent Under Reexamination JIN et al.
	Examiner XIAOYUN R XU, Ph. D.	Art Unit 1797

✓	Rejected	-	Cancelled	N	Non-Elected	A	Appeal
=	Allowed	÷	Restricted	I	Interference	O	Objected

CLAIMS										
☒ Claims renumbered in the same order as presented by applicant ☐ CPA ☒ T.D. ☐ R.1.47										
CLAIM		DATE								
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<i>Index of Claims</i> 	Application/Control No. 18/321,542	Applicant(s)/Patent Under Reexamination JIN et al.
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CLAIM		DATE								
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<i>Search Notes</i> 	Application/Control No. 18/321,542	Applicant(s)/Patent Under Reexamination JIN et al.
	Examiner XIAOYUN R XU, Ph. D.	Art Unit 1797

CPC - Searched*		
Symbol	Date	Examiner
C07K14/005 OR C12N15/86 OR C12N2750/14122 OR C12N2750/14123 OR C12N2750/14143 OR G01N2030/027 OR G01N2333/075 OR G01N30/72 OR G01N30/7233 OR G01N33/6848 (text limited)	06/05/2024	RX

CPC Combination Sets - Searched*		
Symbol	Date	Examiner

US Classification - Searched*			
Class	Subclass	Date	Examiner
436	173 (text limited)	06/05/2024	RX

* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes		
Search Notes	Date	Examiner
PE2E (CPC C07K14/005 OR C12N15/86 OR C12N2750/14122 OR C12N2750/14123 OR C12N2750/14143 OR G01N2030/027 OR G01N2333/075 OR G01N30/72 OR G01N30/7233 OR G01N33/6848 ; USPC 436/173 with key words and inventor names) and Google	06/05/2024	RX

/XIAOYUN R XU, Ph.D./ Primary Examiner, Art Unit 1797	
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<i>Search Notes</i> 	Application/Control No. 18/321,542	Applicant(s)/Patent Under Reexamination JIN et al.
	Examiner XIAOYUN R XU, Ph. D.	Art Unit 1797

Interference Search			
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner
C07K,C12N, G01N	C07K14/005 OR C12N15/86 OR C12N2750/14122 OR C12N2750/14123 OR C12N2750/14143 OR G01N2030/027 OR G01N2333/075 OR G01N30/72 OR G01N30/7233 OR G01N33/6848	06/05/2024	RX
436	173	06/05/2024	RX

/XIAOYUN R XU, Ph.D./ Primary Examiner, Art Unit 1797	
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Issue Classification 	Application/Control No. 18/321,542	Applicant(s)/Patent Under Reexamination JIN et al.
	Examiner XIAOYUN R XU, Ph. D.	Art Unit 1797

CPC						
Symbol					Type	Version
G01N	/	33	/	6848	F	2013-01-01
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G01N	/	30	/	72	I	2013-01-01
C12N	/	2750	/	14123	A	2013-01-01
C12N	/	2750	/	14143	A	2013-01-01
G01N	/	2030	/	027	A	2013-01-01

CPC Combination Sets					
Symbol				Type	Version
	/		/		

NONE	Total Claims Allowed:	
(Assistant Examiner)	(Date)	21
/XIAOYUN R XU, Ph.D./	05 June 2024	O.G. Print Claim(s)
Primary Examiner, Art Unit 1797		O.G. Print Figure
(Primary Examiner)	(Date)	1

Issue Classification 	Application/Control No. 18/321,542	Applicant(s)/Patent Under Reexamination JIN et al.
	Examiner XIAOYUN R XU, Ph. D.	Art Unit 1797

INTERNATIONAL CLASSIFICATION			
CLAIMED			
G01N	/	33	/ 68
NON-CLAIMED			
	/		/

US ORIGINAL CLASSIFICATION	
CLASS	SUBCLASS
436	173

CROSS REFERENCES(S)						
CLASS	SUBCLASS (ONE SUBCLASS PER BLOCK)					
436	173					

NONE		Total Claims Allowed:	
(Assistant Examiner)	(Date)	21	
/XIAOYUN R XU, Ph.D./	05 June 2024	O.G. Print Claim(s)	O.G. Print Figure
Primary Examiner, Art Unit 1797		1	1
(Primary Examiner)	(Date)		

Issue Classification 	Application/Control No. 18/321,542	Applicant(s)/Patent Under Reexamination JIN et al.
	Examiner XIAOYUN R XU, Ph. D.	Art Unit 1797

☒ Claims renumbered in the same order as presented by applicant ☐ CPA ☒ T.D. ☐ R.1.47															
CLAIMS															
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original

NONE	Total Claims Allowed:	
(Assistant Examiner)	(Date)	21
/XIAOYUN R XU, Ph.D./	05 June 2024	O.G. Print Claim(s)
Primary Examiner, Art Unit 1797	(Date)	O.G. Print Figure
(Primary Examiner)		1
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Doc code: IDS

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Doc description: Information Disclosure Statement (IDS) Field

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		Not Yet Assigned
	Filing Date		Concurrently Herewith
	First Named Inventor	Xiaoying JIN	
	Art Unit	Not Yet Assigned	
	Examiner Name	Not Yet Assigned	
	Attorney Docket Number	15979-20141.10	

U.S. PATENTS								
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	1.	US-6,989,264-B2		01-24-2006	Atkinson et al.			
	2.	US-7,588,772-B2		09-15-2009	Kay et al.			
	3.	US-7,629,322-B2		12-08-2009	Kleinschmidt et al.			
	4.	US-8,137,948-B2		03-20-2012	Qu et al.			
	5.	US-8,283,151-B2		10-09-2012	Schmidt et al.			
	6.	US-9,169,299-B2		10-27-2015	Lisowski et al.			
	7.	US-9,441,244-B2		09-13-2016	Schaffer et al.			
U.S. PATENT APPLICATION PUBLICATIONS								
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	1.	US-2011/0275529-A1		11-10-2011	Heilbronn, R.			
	2.	US-2012/0066783-A1		12-05-2013	Wilson et al.			
	3.	US-2012/0164106-A1		03-15-2012	Kay et al.			
	4.	US-2013/0217789-A1		08-22-2013	Taylor et al.			
	5.	US-2013/0323226-A1		06-28-2012	Schaffer et al.			
	6.	US-2014/0017716-A1		01-16-2014	Anderson et al.			
	7.	US-2015/065562-A1		03-05-2015	Yazicioglu et al.			
	8.	US-2021/0041451-A1		02-11-2021	Jin et al.			
FOREIGN PATENT DOCUMENTS								
Examiner Initial*	Cite No	Country Code ²	Foreign Document Number ³	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1.	CN	1826414-A		08-30-2006	University of California	English Equivalent WO-2005005610-A2	X
	2.	JPH	10-502526-A		03-10-1998	University of Pittsburgh	English Equivalent WO-19960058-A1	X
	3.	WO	199600058-A1		01-04-1996	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA	English Equivalent for JPH-10-502526-A	
	4.	WO	2003042397-A2		05-22-2003	The Trustees of the University of Pennsylvania		

Doc code: IDS

PTO/SB/08a (03-15)

Doc description: Information Disclosure Statement (IDS) Field

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	Filing Date		Concurrently Herewith
	First Named Inventor	Xiaoying JIN	
	Art Unit	Not Yet Assigned	
	Examiner Name	Not Yet Assigned	
	Attorney Docket Number	15979-20141.10	

	5.	WO-2004027019-A2	04-01-2004	University of Florida Research Foundation		
	6.	WO-2005005610-A2	01-20-2005	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA	English Equivalent for CN-1826414-A	
	7.	WO-2007046703-A2	04-26-2007	Amsterdam Molecular Therapeutics BV		
	8.	WO-2010148143-A1	12-23-2010	Genzyme Corporation		
	9.	WO-2010093784-A2	08-09-2010	Univ North Carolina		
	10.	WO-2013158879-A1	10-24-2013	The Children's Hospital of Philadelphia		
	11.	WO-2015114365-A1	08-06-2015	The Secretary Of State For Health		
	12.	WO-2015137802-A1	09-17-2015	Unique IP BV		

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1.	"RIPA Buffer" (2021). Brochure For Product Data, located at < https://www.sigmaaldrich.com/GB/en/product/sigma/r0278 >, last visited on November 9, 2021, 9 pages.	
	2.	AHMADIANKIA, A.N. et al. (July 1, 2013). "Generation of Helper Plasmids Encoding Mutant Adeno-Associated Virus Type 2 Capsid Proteins with Increased Resistance against Proteasomal Degradation", Iran J Basic Med Sci 16:813-821.	
	3.	ARNESEN, T. et al. (May 19, 2009, e-pub. May 6, 2009). "Proteomics Analyses Reveal The Evolutionary Conservation And Divergence Of N-Terminal Acetyltransferases From Yeast And Humans," <i>Proc Natl Acad Sci USA</i> 106:8157-8162.	
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	5.	BARK, S.J. et al. (February 1, 2001, e-pub January 31, 2001). "High-Temperature Protein Mass Mapping Using a Thermophilic Protease", <i>Journal Of The American Chemical Society</i> 123(8):1774-1775.	
	6.	BARTLETT, J.S. et al. (March 2000). "Infectious Entry Pathway of Adeno-Associated Virus and Adeno-Associated Virus Vectors," <i>J. Virol.</i> 74(6):2777-2785.	

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	Examiner Name	Not Yet Assigned	
	Attorney Docket Number	15979-20141.10	

7.	BENEVENTO, M. et al. (April 18, 2014). "Adenovirus Composition, Proteolysis, And Disassembly Studied By In-Depth Qualitative And Quantitative Proteomics," Journal of Biological Chemistry 289(16):11421-11430.	
8.	BEN-SAADON, R. et al. (October 1, 2004). "The Tumor Suppressor Protein p16 ^{INK4a} and the Human Papillomavirus Oncoprotein-58 E7 Are Naturally Occurring Lysine-less Proteins That Are Degraded by the Ubiquitin System," <i>J Biol Chem</i> 279(40): 41414-41421.	
9.	BLEKER, S. et al. (February 2005). "Mutational Analysis Of Narrow Pores At The Fivefold Symmetry Axes Of Adeno-Associated Virus Type 2 Capsids Reveals A Dual Role In Genome Packaging and Activation Of Phospholipase A2 Activity," <i>J Virol</i> 79(4): 2528-2540.	
10.	BOSSIS, I. et al. (June 2003). "Cloning of an Avian Adeno-Associated Virus (AAAV) and Generation of Recombinant AAAV Particles," <i>J. Virol.</i> 77(12):6799-6810.	
11.	BROWN, J.L. et al. (February 25, 1976). "Evidence that Approximately Eighty per Cent of the Soluble Proteins from Ehrlich Ascites Cells are N ^α -Acetylated," <i>J Biol Chem</i> 251: 1009-1014.	
12.	CALDERARO, A. et al (October 30, 2014). "Matrix-Assisted Laser Desorption/Ionization Time-Of-Flight (MALDI-TOF) 5 Mass Spectrometry Applied To Virus Identification", Scientific Reports 4(6803):1-10.	
13.	CHENG, K. et al. (February 21, 2013). "MS-H: A Novel Proteomic Approach to Isolate and Type the E.coli H Antigen Using Membrane Filtration and Liquid Chromatography-Tandem Mass Spectrometry (LCMS/MS)", PLoS One 8(2):e57339, 12 pages.	
14.	COULTON, A.T. et al. (October 1, 2010, e-pub. August 31, 2010). "The Recruitment of Acetylated and Unacetylated Tropomyosin to Distinct Actin Polymers Permits the Discrete Regulation of Specific Myosins in Fission Yeast," <i>J Cell Sci</i> 123: 3235-3243.	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		Not Yet Assigned
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	Art Unit	Not Yet Assigned	
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Examiner Signature	/XIAOYUN R XU, Ph.D.		Date Considered 06/05/2024
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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Bibliographic Data

Application No: 18/321,542

Foreign Priority claimed: ☐ Yes ☒ No

35 USC 119 (a-d) conditions met: ☐ Yes ☐ No ☐ Met After Allowance

Verified and Acknowledged: /XIAOYUN R XU, Ph.D./

Examiner's Signature

Initials

Title: METHODS FOR DETECTING AAV

FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
05/22/2023	436	1797	15979-20141.10
RULE			

APPLICANTS

Genzyme Corporation, Cambridge, MA, UNITED STATES

INVENTORS

Xiaoying JIN,

Catherine O'RIORDAN,

Lin LIU,

Kate ZHANG,

CONTINUING DATA

This application is a DIV of 16325653 02/14/2019 PAT 11698377

16325653 is a 371 of PCT/US17/46814 08/14/2017

PCT/US17/46814 has PRO of 62375314 08/15/2016

FOREIGN APPLICATIONS

IF REQUIRED, FOREIGN LICENSE GRANTED**

07/13/2023

STATE OR COUNTRY

ADDRESS

Sanofi/Genzyme c/o Morrison & Foerster LLP

755 Page Mill Road

Palo Alto, CA 94304

UNITED STATES

FILING FEE RECEIVED

\$2,720

PE2E SEARCH - Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	British Equivalents	Time Stamp
L1	20	(US-6989264-\$ US-7588772-\$ US-7629322-\$ US-8137948-\$ US-8283151-\$ US-9169299-\$ US-20110275529-\$ US-20120066783-\$ US-20120164106-\$ US-20130323226-\$).DID.	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/03/08 12:05 PM
L2	1	(US-6989264-\$ US-7588772-\$ US-7629322-\$ US-8137948-\$ US-8283151-\$ US-9169299-\$ US-20110275529-\$ US-20120066783-\$ US-20120164106-\$ US-20130323226-\$).DID. and acetylation	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/03/08 01:19 PM
L3	1	(US-20100129405-\$).DID. and acetylation	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/03/08 01:21 PM
L4	1	(US-6989264-\$ US-7588772-\$ US-7629322-\$ US-8137948-\$ US-8283151-\$ US-9169299-\$ US-20110275529-\$ US-20120066783-\$ US-20120164106-\$ US-20130323226-\$).DID. and deamidation	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/03/08 01:25 PM
L5	1	16/325653.app.	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/03/08 01:25 PM
L6	13645	adeno-associated virus AND ((C07K14/005 OR C12N15/86 OR C12N2750/14122 OR C12N2750/14123 OR C12N2750/14143 OR G01N2030/027 OR G01N2333/075 OR G01N30/72 OR G01N30/7233 OR G01N33/6848).CPC.)	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/03/08 01:26 PM

L7	5489	adeno-associated virus AND serotype and (C07K14/005 OR C12N15/86 OR C12N2750/14122 OR C12N2750/14123 OR C12N2750/14143 OR G01N2030/027 OR G01N2333/075 OR G01N30/72 OR G01N30/7233 OR G01N33/6848).CPC.)	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/03/08 01:27 PM
L8	836	adeno-associated virus AND serotype and denature\$1 and (C07K14/005 OR C12N15/86 OR C12N2750/14122 OR C12N2750/14123 OR C12N2750/14143 OR G01N2030/027 OR G01N2333/075 OR G01N30/72 OR G01N30/7233 OR G01N33/6848).CPC.)	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/03/08 01:27 PM
L9	125	adeno-associated virus AND serotype and denature\$1 and heterogeneity and (C07K14/005 OR C12N15/86 OR C12N2750/14122 OR C12N2750/14123 OR C12N2750/14143 OR G01N2030/027 OR G01N2333/075 OR G01N30/72 OR G01N30/7233 OR G01N33/6848).CPC.)	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/03/08 01:28 PM
L10	91	adeno-associated virus AND serotype and denature\$1 and heterogeneity and mass\$2 and (C07K14/005 OR C12N15/86 OR C12N2750/14122 OR C12N2750/14123 OR C12N2750/14143 OR G01N2030/027 OR G01N2333/075 OR G01N30/72 OR G01N30/7233 OR G01N33/6848).CPC.)	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/03/08 01:28 PM
L11	25	adeno-associated virus AND serotype and	(US-PGPUB; USPAT; USOCR; FPRS; EPO;	ADJ	ON	ON	2021/03/08 01:29 PM

		denature\$1 and heterogeneity and mass\$2 and spectromet\$2 and ((C07K14/005 OR C12N15/86 OR C12N2750/14122 OR C12N2750/14123 OR C12N2750/14143 OR G01N2030/027 OR G01N2333/075 OR G01N30/72 OR G01N30/7233 OR G01N33/6848).CPC.)	JPO; DERWENT; IBM_TDB)				
L12	9	adeno-associated virus AND serotype and denature\$1 and heterogeneity and mass\$2 and spectromet\$2 and @ay< "2016" and ((C07K14/005 OR C12N15/86 OR C12N2750/14122 OR C12N2750/14123 OR C12N2750/14143 OR G01N2030/027 OR G01N2333/075 OR G01N30/72 OR G01N30/7233 OR G01N33/6848).CPC.)	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/03/08 01:29 PM
L13	9	(adeno-associated virus or AAV)AND serotype and denature\$1 and heterogeneity and mass\$2 and spectromet\$2 and @ay< "2016" and ((C07K14/005 OR C12N15/86 OR C12N2750/14122 OR C12N2750/14123 OR C12N2750/14143 OR G01N2030/027 OR G01N2333/075 OR G01N30/72 OR G01N30/7233 OR G01N33/6848).CPC.)	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/03/08 01:30 PM
L15	3	436/173.ccls. and (adeno-associated virus or AAV) AND serotype and denature\$1 and heterogeneity and mass\$2 and spectromet\$2 and @ay< "2016"	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/03/08 01:31 PM

L16	10	(WO-2003042397-\$ WO-2004027019-\$ WO-2010148143-\$ WO-2013158879-\$).DID.	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/03/08 01:33 PM
L17	0	(WO-2003042397-\$ WO-2004027019-\$ WO-2010148143-\$ WO-2013158879-\$).DID. and deamidation	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/03/08 01:34 PM
L18	0	(WO-2003042397-\$ WO-2004027019-\$ WO-2010148143-\$ WO-2013158879-\$).DID. and acetylation	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/03/08 01:34 PM
L19	5	((("JIN") near3 ("Xiaoying"))).INV.	(US-PGPUB; USPAT; USOCR)	ADJ	ON	ON	2021/03/08 01:35 PM
L20	42	((("O'RIORDAN") near3 ("Catherine"))).INV.	(US-PGPUB; USPAT; USOCR)	ADJ	ON	ON	2021/03/08 01:35 PM
L21	1105	((("LIU") near3 ("Lin"))).INV.	(US-PGPUB; USPAT; USOCR)	ADJ	ON	ON	2021/03/08 01:36 PM
L22	5	((("ZHANG") near3 ("Kate"))).INV.	(US-PGPUB; USPAT; USOCR)	ADJ	ON	ON	2021/03/08 01:37 PM
L23	9	(adeno-associated virus or AAV) AND serotype and denature\$1 and heterogeneity and mass\$2 and spectromet\$2 and @ay< "2016" and ((C07K14/005 OR C12N15/86 OR C12N2750/14122 OR C12N2750/14123 OR C12N2750/14143 OR G01N2030/027 OR G01N2333/075 OR G01N30/72 OR G01N30/7233 OR G01N33/6848).CPC.)	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/06/22 12:01 PM
L24	4	wo-2007046703-\$.DID.	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/11/12 10:23 AM
L25	4	wo-2010093784-\$.DID.	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/11/12 10:24 AM
L26	2	wo-2015114365-\$.DID.	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/11/12 10:24 AM
L27	2	wo-2015137802-\$.DID.	(US-PGPUB; USPAT;	ADJ	ON	ON	2021/11/12

			USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)				10:25 AM
L28	2591	virus AND denatur\$3 and (acetic acid or guanidine hydrochloride or organic solvent)and (	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/11/12 04:09 PM
		(C07K14/005 OR C12N15/86 OR C12N2750/14122 OR C12N2750/14123 OR C12N2750/14143 OR G01N2030/027 OR G01N2333/075 OR G01N30/72 OR G01N30/7233 OR G01N33/6848).CPC.)					
L29	585	virus AND denatur\$3 and (acetic acid or guanidine hydrochloride or organic solvent) and AAV and ((C07K14/005	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/11/12 04:09 PM
		OR C12N15/86 OR C12N2750/14122 OR C12N2750/14123 OR C12N2750/14143 OR G01N2030/027 OR G01N2333/075 OR G01N30/72 OR G01N30/7233 OR G01N33/6848).CPC.)					
L30	585	virus AND denatur\$3 and (acetic acid or guanidine hydrochloride or organic solvent)and AAV and ((C07K14/005	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/11/12 04:10 PM
		OR C12N15/86 OR C12N2750/14122 OR C12N2750/14123 OR C12N2750/14143 OR G01N2030/027 OR G01N2333/075 OR G01N30/72 OR G01N30/7233 OR G01N33/6848).CPC.)					
L31	175	virus AND denatur\$3 and (acetic acid or guanidine hydrochloride or organic solvent)and AAV and mass spectrometry and (	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/11/12 04:11 PM
		(C07K14/005 OR C12N15/86 OR C12N2750/14122 OR C12N2750/14123 OR C12N2750/14143 OR					

L32	172	G01N2030/027 OR G01N2333/075 OR G01N30/72 OR G01N30/7233 OR G01N33/6848).CPC.) virus AND denatur\$3 and (acetic acid or guanidine hydrochloride or organic solvent)and AAV and mass spectrometry and digest\$3 and ((C07K14/005 OR C12N15/86 OR C12N2750/14122 OR C12N2750/14123 OR C12N2750/14143 OR G01N2030/027 OR G01N2333/075 OR G01N30/72 OR G01N30/7233 OR G01N33/6848).CPC.)	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/11/12 04:11 PM
L33	129	virus AND denatur\$3 and (acetic acid or guanidine hydrochloride or organic solvent)and AAV and mass spectrometry and digest\$3 and @ay< "2016" and ((C07K14/005 OR C12N15/86 OR C12N2750/14122 OR C12N2750/14123 OR C12N2750/14143 OR G01N2030/027 OR G01N2333/075 OR G01N30/72 OR G01N30/7233 OR G01N33/6848).CPC.)	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/11/12 04:12 PM
L34	99	virus AND denatur\$3 and (acetic acid or guanidine hydrochloride or organic solvent)and AAV and mass spectrometry and digest\$3 and @ay< "2016" and trypsin and ((C07K14/005 OR C12N15/86 OR C12N2750/14122 OR C12N2750/14123 OR C12N2750/14143 OR G01N2030/027 OR G01N2333/075 OR G01N30/72 OR G01N30/7233 OR	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/11/12 04:17 PM

L35	3	G01N33/6848).CPC.) ep-1879912-\$.did.	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/11/12 04:33 PM
L36	2	wo-2012031245-\$.DID.	(US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	ADJ	ON	ON	2021/11/12 04:54 PM

PE2E SEARCH - Search History (Interference)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	British Equivalents	Time Stamp
N1	100	virus AND denatur\$3 AND (acetic acid OR guanidine hydrochloride OR organic solvent) AND AAV AND mass spectrometry AND digest\$3 AND @ay< "2016" AND trypsin AND ((C07K14/005 OR C12N15/86 OR C12N2750/14122 OR C12N2750/14123 OR C12N2750/14143 OR G01N2030/027 OR G01N2333/075 OR G01N30/72 OR G01N30/7233 OR G01N33/6848).CPC.)	(US-PGPUB; USPAT)	ADJ	ON	ON	2023/02/08 04:11 PM
N2	3	436/173.ccls. AND (adeno-associated virus OR AAV) AND serotype AND denature\$1 AND heterogeneity AND mass\$2 AND spectromet\$2 AND @ay< "2016"	(US-PGPUB; USPAT)	ADJ	ON	ON	2023/02/08 04:11 PM



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

89300 7590 07/03/2024
Sanofi/Genzyme c/o Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, CA 94304

EXAMINER	
XU, XIAOYUN	
ART UNIT	PAPER NUMBER
1797	

DATE MAILED: 07/03/2024

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
18/321,542	05/22/2023	Xiaoying JIN	15979-20141.10	9227

TITLE OF INVENTION: METHODS FOR DETECTING AAV

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	\$0.00	\$1200	10/03/2024

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 40% the amount of undiscounted fees, and micro entity fees are 20% the amount of undiscounted fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), by mail or fax, or via the USPTO patent electronic filing system.

By mail, send to: Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

By fax, send to: (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. **Because electronic patent issuance may occur shortly after issue fee payment, any desired continuing application should preferably be filed prior to payment of this issue fee in order not to jeopardize copendency.**

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being transmitted to the USPTO via the USPTO patent electronic filing system or by facsimile to (571) 273-2885, on the date below.

(Typed or printed name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
18/321,542	05/22/2023	Xiaoying JIN	15979-20141.10	9227

TITLE OF INVENTION: METHODS FOR DETECTING AAV

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	\$0.00	\$1200	10/03/2024

EXAMINER	ART UNIT	CLASS-SUBCLASS
XU, XIAOYUN	1797	436-173000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/AIA/122 or PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/AIA/47 or PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list

(1) The names of up to 3 registered patent attorneys or agents OR, alternatively,

1 _____

(2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

2 _____

3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document must have been previously recorded, or filed for recordation, as set forth in 37 CFR 3.11 and 37 CFR 3.81(a). Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. Fees submitted: ☐ Issue Fee ☐ Publication Fee (if required)

4b. Method of Payment: (Please first reapply any previously paid fee shown above)

☐ Electronic Payment via the USPTO patent electronic filing system ☐ Enclosed check ☐ Non-electronic payment by credit card (Attach form PTO-2038)

☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account No. _____

5. Change in Entity Status (from status indicated above)

☐ Applicant certifying micro entity status. See 37 CFR 1.29

☐ Applicant asserting small entity status. See 37 CFR 1.27

☐ Applicant changing to regular undiscounted fee status.

NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.

NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.

NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

Authorized Signature _____

Date _____

Typed or printed name _____

Registration No. _____



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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
18/321,542	05/22/2023	Xiaoying JIN	15979-20141.10	9227
89300	7590	07/03/2024	EXAMINER	
Sanofi/Genzyme c/o Morrison & Foerster LLP			XU, XIAOYUN	
755 Page Mill Road			ART UNIT	
Palo Alto, CA 94304			PAPER NUMBER	
			1797	
DATE MAILED: 07/03/2024				

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. The United States Patent and Trademark Office (USPTO) collects the information in this record under authority of 35 U.S.C. 2. The USPTO's system of records is used to manage all applicant and owner information including name, citizenship, residence, post office address, and other information with respect to inventors and their legal representatives pertaining to the applicant's/owner's activities in connection with the invention for which a patent is sought or has been granted. The applicable Privacy Act System of Records Notice for the information collected in this form is COMMERCE/PAT-TM-7 Patent Application Files, available in the Federal Register at 78 FR 19243 (March 29, 2013).

<https://www.govinfo.gov/content/pkg/FR-2013-03-29/pdf/2013-07341.pdf>

Routine uses of the information in this record may include disclosure to:

- 1) law enforcement, in the event that the system of records indicates a violation or potential violation of law;
- 2) a federal, state, local, or international agency, in response to its request;
- 3) a contractor of the USPTO having need for the information in order to perform a contract;
- 4) the Department of Justice for determination of whether the Freedom of Information Act (FOIA) requires disclosure of the record;
- 5) a Member of Congress submitting a request involving an individual to whom the record pertains, when the individual has requested the Member's assistance with respect to the subject matter of the record;
- 6) a court, magistrate, or administrative tribunal, in the course of presenting evidence, including disclosures to opposing counsel in the course of settlement negotiations;
- 7) the Administrator, General Services Administration (GSA), or their designee, during an inspection of records conducted by GSA under authority of 44 U.S.C. 2904 and 2906, in accordance with the GSA regulations and any other relevant (i.e., GSA or Commerce) directive, where such disclosure shall not be used to make determinations about individuals;
- 8) another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c));
- 9) the Office of Personnel Management (OPM) for personnel research purposes; and
- 10) the Office of Management and Budget (OMB) for legislative coordination and clearance.

If you do not furnish the information requested on this form, the USPTO may not be able to process and/or examine your submission, which may result in termination of proceedings, abandonment of the application, and/or expiration of the patent.

Notice of Allowability	Application No. 18/321,542	Applicant(s) JIN et al.	
	Examiner XIAOYUN R XU, Ph. D.	Art Unit 1797	AIA (FITF) Status Yes

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 10/17/2023.
☐ A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.

2. ☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.

3. ☒ The allowed claim(s) is/are See Continuation Sheet. As a result of the allowed claim(s), you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to **PPHfeedback@uspto.gov**.

4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

Certified copies:
a) ☐ All b) ☐ Some* c) ☐ None of the:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)
1. ☐ Notice of References Cited (PTO-892)
2. ☒ Information Disclosure Statements (PTO/SB/08),
Paper No./Mail Date 05/22/2023, 10/17/2023.
3. ☐ Examiner's Comment Regarding Requirement for Deposit
of Biological Material _____.
4. ☐ Interview Summary (PTO-413),
Paper No./Mail Date _____.
5. ☐ Examiner's Amendment/Comment
6. ☒ Examiner's Statement of Reasons for Allowance
7. ☐ Other _____.

/XIAOYUN R XU, Ph.D./ Primary Examiner, Art Unit 1797	
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Continuation of 3. The allowed claim(s) is/are: 3-8,17,20-21,130,132-133 and 181-189

DETAILED ACTION

Notice of Pre-AIA or AIA Status

1. The present application, filed on or after March 16, 2013, is being examined under the first inventor to file provisions of the AIA.

Allowable Subject Matter

2. **Claim 3-8, 17, 20-21, 130, 132-133 and 181-189** are allowed.

3. The following is an examiner's statement of reasons for allowance: Neither Vliet nor Bark teaches or fairly suggests directly subjecting a denatured AAV or particle to liquid chromatography/mass spectrometry (LC/MS) intact protein analysis, i.e. without using a protease.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to XIAOYUN R XU, Ph. D. whose telephone number is (571)270-5560. The examiner can normally be reached M-F 8am-5pm.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at <http://www.uspto.gov/interviewpractice>.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Lyle Alexander can be reached on 571-272-1254. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of published or unpublished applications may be obtained from Patent Center. Unpublished application information in Patent Center is available to registered users. To file and manage patent submissions in Patent Center, visit: <https://patentcenter.uspto.gov>. Visit <https://www.uspto.gov/patents/apply/patent->

center for more information about Patent Center and <https://www.uspto.gov/patents/docx> for information about filing in DOCX format. For additional questions, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/XIAOYUN R XU, Ph.D./
Primary Examiner, Art Unit 1797

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Xiaoying JIN et al.

Application No.: 18/321,542

Filed: May 22, 2023

For: METHODS FOR DETECTING AAV

Confirmation No.: 9227

Art Unit: 1797

Examiner: X. Xu

AMENDMENT AFTER ALLOWANCE UNDER 37 C.F.R. 1.312

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Commissioner:

INTRODUCTORY COMMENTS

A Notice of Allowance was mailed on July 3, 2024 for which the issue fee is due on October 3, 2024. This Rule 312 Amendment is being filed to amend the claims. No new matter is added. Accordingly, no additional search or examination is required.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 6 of this paper.

AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions, and listings, of claims in the application:

In the claims:

Claims 1-2 (cancelled)

Claim 3 (previously presented): A method of analyzing a preparation of AAV particles, the method comprising

- a) denaturing the AAV particles,
 - b) subjecting the denatured AAV particles to liquid chromatography/mass spectroscopy (LC/MS) intact protein analysis, and
 - c) determining the masses of one or more viral proteins (VPs) of the particles in the preparation,
- wherein the method is performed in the absence of a gel separation step.

Claim 4 (previously presented): The method of claim 3, wherein the VPs comprise VP1, VP2, and VP3 capsid proteins and one more variants of VP1, VP2, or VP3 capsid proteins.

Claim 5 (previously presented): The method of claim 3, wherein the AAV particles are denatured with acetic acid, guanidine hydrochloride and/or an organic solvent.

Claim 6 (previously presented): The method of claim 3, wherein the liquid chromatography is reverse phase liquid chromatography, size exclusion chromatography, hydrophilic interaction liquid chromatography, or cation exchange chromatography.

Claim 7 (previously presented): The method of claim 3, wherein the liquid chromatography is reverse phase chromatography.

Claim 8 (previously presented): The method of claim 7, wherein the reverse phase chromatography is performed with a C4 column.

Claims 9-16 (cancelled)

Claim 17 (previously presented): The method of claim 3, wherein the liquid chromatography is ultra-performance liquid chromatography (UPLC).

Claims 18-19 (cancelled)

Claim 20 (previously presented): The method of claim 3, wherein the mass spectrometry comprises assisted calibration.

Claim 21 (original): The method of claim 20, wherein sodium iodide is used as a calibrant.

Claims 22-129 (cancelled)

Claim 130 (previously presented): A method of determining post-translational modifications of viral proteins (VPs) in a preparation of viral particles, the method comprising

- a) denaturing the viral particles,
- b) subjecting the denatured viral particles to liquid chromatography/mass spectroscopy (LC/MS) intact protein analysis, and
- c) determining the masses of one or more VPs of the viral particles

wherein a deviation of one or more of the masses of the one or more VPs from the theoretical masses of VPs that have not undergone post-translational modifications is indicative of post-translational modifications of the VPs,

and wherein the method is performed in the absence of a gel separation step.

Claim 131 (cancelled)

Claim 132 (previously presented): The method of claim 130, wherein the liquid chromatography is reverse phase liquid chromatography, size exclusion chromatography, hydrophilic interaction liquid chromatography, or cation exchange chromatography.

Claim 133 (previously presented): The method of claim 130, wherein the viral particles comprise a viral vector encoding a heterologous transgene.

Claims 134-180 (cancelled)

Claim 181 (previously presented): The method of claim 130, wherein the liquid chromatography is reverse phase chromatography.

Claim 182 (previously presented): The method of claim 181, wherein the reverse phase chromatography is a C4 or C8 reverse chromatography.

Claim 183 (previously presented): The method of claim 130, wherein the liquid chromatography is ultra-performance liquid chromatography (UPLC).

Claim 184 (previously presented): The method of claim 130, wherein the mass spectrometry comprises assisted calibration.

Claim 185 (previously presented): The method of claim 184, wherein sodium iodide is used as a calibrant.

Claim 186 (**currently amended**): A method of determining the extent of deamidation of viral proteins (VPs) in a preparation of viral particles, the method comprising

- a) denaturing the viral particles,
 - b) subjecting the denatured viral particles to liquid chromatography/mass spectrometry/mass spectrometry (LC/MS/MS) intact protein analysis, and
 - c) determining the percentage of deamidated VPs in the preparation,
- wherein the method is performed in the absence of a gel separation step.

Claim 187 (**currently amended**): The method of claim 186, wherein the liquid chromatography is reverse phase chromatography, wherein the reverse phase chromatography is performed with a C4 column.

Claim 188 (**currently amended**): The method of claim 186, wherein the liquid chromatography is reverse phase chromatography, wherein the reverse phase chromatography is performed with a C8 column.

Claim 189 (previously presented): The method of claim 4, wherein the one more variants of VP1, VP2, or VP3 capsid proteins comprise one or more post-translational modifications, wherein the method comprises determining the masses of the VP1, VP2, and VP3 capsid proteins and the one more variants of VP1, VP2, or VP3 capsid proteins, and wherein a deviation of one or more of the masses of the variants of VP1, VP2, or VP3 capsid proteins from the theoretical masses of VP1, VP2, and VP3 capsid proteins that have not undergone post-translational modifications is indicative of post-translational modifications of the variants of VP1, VP2, or VP3 capsid proteins.

REMARKS

Applicant acknowledges, with appreciation, the allowance of claims 3-8, 17, 20, 21, 130, 132, 133, and 181-189 as indicated in the Notice of Allowance mailed July 3, 2024.

Applicant herein requests entry of amendments to claims 186-188 to correct, as a formal matter without changing the scope, inadvertent grammatical and typographical errors that were not previously caught. No claims have been added or canceled, and no new matter has been introduced by this amendment. The scope of the claims will not change by way of this amendment.

It is abundantly clear from reading allowed claim 186, and from the context of the remaining claims, that it has an inadvertent typographical error in that the word “liquid” was omitted before the word “chromatography” in clause (b). The present amendment to claim 186 adds the word “liquid” before “chromatography” in clause (b). Claim 186 (b) as amended recites “subjecting the denatured viral particles to liquid chromatography/mass spectrometry/mass spectrometry (LC/MS/MS) intact protein analysis.” The scope of the claims is not broadened by the amendment to claim 186. If anything, the present amendment to claim 186 could be seen as narrowing the scope of the claim. Therefore, no further consideration of claim 186 as presently amended is required for allowance.

Further, it is abundantly clear from reading allowed claims 187 and 188 that they both have a grammatical error. Each of claims 187 and 188 depends from claim 186 and recites “the reverse phase chromatography,” which is a grammatical error, as claim 186 does not provide an antecedent for “the reverse phase chromatography.” Claims 187 and 188 as presently amended recite “wherein the liquid chromatography is reverse phase chromatography, wherein the reverse phase chromatography is ...” and therefore provide an antecedent for the term “the reverse phase chromatography.” Further, claim 186 recites “liquid chromatography” and thereby provides an antecedent for the term “the liquid chromatography” in claims 187 and 188. Both claims 187 and 188 as amended still recite the specific column with which the reverse phase chromatography is performed, as recited in the allowed claims (a C4 column in claim 187 and a C8 column in claim

188). Therefore, the scope of the claims does not change with the amendments to claims 187 and 188.

If it is determined that a telephone conference would expedite the prosecution of this application, the Examiner is invited to telephone the undersigned at the number given below

In the event the U.S. Patent and Trademark Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. **03-1952** referencing docket no. **15979-20141.10**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: August 12, 2024

Respectfully submitted,

Electronic signature: /Sarah E. Bloch/

Sarah E. Bloch

Registration No.: 79,763

MORRISON & FOERSTER LLP

425 Market Street

San Francisco, CA 94105

+1 (415) 268-6423



UNITED STATES
PATENT AND TRADEMARK OFFICE

P.O. Box 1450
Alexandria, VA 22313 - 1450
www.uspto.gov

ELECTRONIC ACKNOWLEDGEMENT RECEIPT

APPLICATION #
18/321,542

RECEIPT DATE / TIME
08/12/2024 04:22:35 PM Z ET

ATTORNEY DOCKET #
15979-20141.10

Title of Invention

METHODS FOR DETECTING AAV

Application Information

APPLICATION TYPE Utility - Nonprovisional Application
under 35 USC 111(a)

PATENT # -

CONFIRMATION # 9227

FILED BY Aurelia Caparas

PATENT CENTER # 66748938

FILING DATE 05/22/2023

CUSTOMER # 89300

FIRST NAMED
INVENTOR Xiaoying JIN

CORRESPONDENCE
ADDRESS -

AUTHORIZED BY Sarah Bloch

Documents

TOTAL DOCUMENTS: 4

DOCUMENT		PAGES	DESCRIPTION	SIZE (KB)
After_allowance_amendment.pdf		7	-	91 KB
After_allowance_amendme nt-A.NA.pdf	(1-1)	1	Amendment after Notice of Allowance (Rule 312)	78 KB
After_allowance_amendme nt-CLM.pdf	(2-5)	4	Claims	82 KB
After_allowance_amendme nt-REM.pdf	(6-7)	2	Applicant Arguments/Remarks Made in an Amendment	80 KB
Issue_Fee_Transmittal.pdf		1	Issue Fee Payment (PTO-85B)	1196 KB

Digest

DOCUMENT**MESSAGE DIGEST(SHA-512)**

After_allowance_amendment.pdf

0605EB752D9A04553DD3CB0AF73B80B351D35136EE354EF7C
BF8AEE391B3D8F680B7B733A49008D9C4C4088B917651D096
3DDA0EAF4F40BB749CBBC2671BEED

After_allowance_amendment-A.NA.pdf

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DF97661643F77C820C682DF453C230

After_allowance_amendment-CLM.pdf

8412819B29B6939939FC935B6A053D6A2CA2F65A03AA33981
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7EFFC22914DE90A93A7ADF36437EEB8

After_allowance_amendment-REM.pdf

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3E9286022FF1B11D86E5F34804E461010

Issue_Fee_Transmittal.pdf

8AF5487B03F345D90772C92815593ED3C313E12D29E6F873C
CB3398A15392E9E9AA9870D93BA4521DEAD42412D395E03E9
BC9B483FF305DD30848868F361B77F

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), by mail or fax, or via the USPTO patent electronic filing system.

By mail, send to: Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

By fax, send to: (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. **Because electronic patent issuance may occur shortly after issue fee payment, any desired continuing application should preferably be filed prior to payment of this issue fee in order not to jeopardize coendency.**

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

89300 7590 07/03/2024

Sanofi/Genzyme c/o Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, CA 94304

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being transmitted to the USPTO via the USPTO patent electronic filing system or by facsimile to (571) 273-2885, on the date below.

(Typed or printed name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
18/321,542	05/22/2023	Xiaoying JIN	15979-20141.10	9227

TITLE OF INVENTION: METHODS FOR DETECTING AAV

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	\$0.00	\$1200	10/03/2024

EXAMINER	ART UNIT	CLASS-SUBCLASS
XU, XIAOYUN	1797	436-173000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/AIA/122 or PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/AIA/47 or PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list

(1) The names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

MORRISON & FOERSTER LLP

1 _____

2 _____

3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document must have been previously recorded, or filed for recordation, as set forth in 37 CFR 3.11 and 37 CFR 3.81(a). Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Genzyme Corporation

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Cambridge, MA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. Fees submitted: ☒ Issue Fee ☐ Publication Fee (if required)

4b. Method of Payment: (Please first reapply any previously paid fee shown above)

☒ Electronic Payment via the USPTO patent electronic filing system ☐ Enclosed check ☐ Non-electronic payment by credit card (Attach form PTO-2038)

☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account No. 03-1952

5. Change in Entity Status (from status indicated above)

☐ Applicant certifying micro entity status. See 37 CFR 1.29

☐ Applicant asserting small entity status. See 37 CFR 1.27

☐ Applicant changing to regular undiscounted fee status.

NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.

NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.

NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

Authorized Signature /Sarah E. Bloch/

Date August 12, 2024

Typed or printed name Sarah E. Bloch

Registration No. 79,763



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Alexandria, VA 22313 - 1450
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ELECTRONIC PAYMENT RECEIPT

APPLICATION #	RECEIPT DATE / TIME	ATTORNEY DOCKET #
18/321,542	08/12/2024 04:22:35 PM Z ET	15979-20141.10

Title of Invention

METHODS FOR DETECTING AAV

Application Information

APPLICATION TYPE	Utility - Nonprovisional Application under 35 USC 111(a)	PATENT #	-
CONFIRMATION #	9227	FILED BY	Aurelia Caparas
PATENT CENTER #	66748938	AUTHORIZED BY	Sarah Bloch
CUSTOMER #	89300	FILING DATE	05/22/2023
CORRESPONDENCE ADDRESS	-	FIRST NAMED INVENTOR	Xiaoying JIN

Payment Information

PAYMENT METHOD	PAYMENT TRANSACTION ID	PAYMENT AUTHORIZED BY
DA / 031952	E20248BG24596444	Aurelia Caparas

FEE CODE	DESCRIPTION	ITEM PRICE(\$)	QUANTITY	ITEM TOTAL(\$)
1501	UTILITY ISSUE FEE	1200.00	1	1200.00
TOTAL AMOUNT:				\$1,200.00

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course

Sarepta Exhibit 1002, page 327

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
18/321,542	05/22/2023	Xiaoying JIN	15979-20141.10	9227
89300	7590	09/20/2024	EXAMINER	
Sanofi/Genzyme c/o Morrison & Foerster LLP			XU, XIAOYUN	
755 Page Mill Road			ART UNIT	
Palo Alto, CA 94304			PAPER NUMBER	
			1797	
			NOTIFICATION DATE	
			DELIVERY MODE	
			09/20/2024	
			ELECTRONIC	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

EOfficePA@mofo.com
PatentDocket@mofo.com
pair_mofo@firsttofile.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Xiaoying JIN et al.

Application No.: 18/321,542

Filed: May 22, 2023

For: METHODS FOR DETECTING AAV

Confirmation No.: 9227

Art Unit: 1797

Examiner: X. Xu

AMENDMENT AFTER ALLOWANCE UNDER 37 C.F.R. 1.312

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Commissioner:

INTRODUCTORY COMMENTS

A Notice of Allowance was mailed on July 3, 2024 for which the issue fee is due on October 3, 2024. This Rule 312 Amendment is being filed to amend the claims. No new matter is added. Accordingly, no additional search or examination is required.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 6 of this paper.

Doc code: IDS

PTO/SB/08a (03-15)

Doc description: Information Disclosure Statement (IDS) Field

Approved for use through 07/31/2016. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		Not Yet Assigned
	Filing Date		Concurrently Herewith
	First Named Inventor	Xiaoying JIN	
	Art Unit	Not Yet Assigned	
	Examiner Name	Not Yet Assigned	
	Attorney Docket Number	15979-20141.10	

U.S. PATENTS								
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	1.	US-6,989,264-B2		01-24-2006	Atkinson et al.			
	2.	US-7,588,772-B2		09-15-2009	Kay et al.			
	3.	US-7,629,322-B2		12-08-2009	Kleinschmidt et al.			
	4.	US-8,137,948-B2		03-20-2012	Qu et al.			
	5.	US-8,283,151-B2		10-09-2012	Schmidt et al.			
	6.	US-9,169,299-B2		10-27-2015	Lisowski et al.			
	7.	US-9,441,244-B2		09-13-2016	Schaffer et al.			
U.S. PATENT APPLICATION PUBLICATIONS								
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	1.	US-2011/0275529-A1		11-10-2011	Heilbronn, R.			
Applied	2.	US-2012/0066783-A1		12-05-2013	Wilson et al. 03/2012	Kay et al.		
	3.	US-2012/0164106-A1		03-15-2012	Kay et al.			
	4.	US-2013/0217789-A1		08-22-2013	Taylor et al.			
	5.	US-2013/0323226-A1		06-28-2012	Schaffer et al. 12/2013	Wilson et al.		
	6.	US-2014/0017716-A1		01-16-2014	Anderson et al.			
	7.	US-2015/065562-A1		03-05-2015	Yazicioglu et al.			
	8.	US-2021/0041451-A1		02-11-2021	Jin et al.			
FOREIGN PATENT DOCUMENTS								
Examiner Initial*	Cite No	Country Code ²	Foreign Document Number ³	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1.	CN	1826414-A		08-30-2006	University of California	English Equivalent WO-2005005610-A2	X
	2.	JPH	10-502526-A		03-10-1998	University of Pittsburgh	English Equivalent WO-19960058-A1	X
	3.	WO	199600058-A1		01-04-1996	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA	English Equivalent for JPH-10-502526-A	
	4.	WO	2003042397-A2		05-22-2003	The Trustees of the University of Pennsylvania		

Change(s) applied
to document
/C.S./
7/11/2024



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APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
18/321,542	10/22/2024	12123880	15979-20141.10	9227

89300 7590 10/02/2024
Sanofi/Genzyme c/o Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, CA 94304

ISSUE NOTIFICATION

The projected patent number and issue date are specified above. The patent will issue electronically. The electronically issued patent is the official patent grant pursuant to 35 U.S.C. § 153. The patent may be accessed on or after the issue date through Patent Center at <https://patentcenter.uspto.gov/>. The patent will be available in both the public and the private sides of Patent Center. Further assistance in electronically accessing the patent, or about Patent Center, is available by calling the Patent Electronic Business Center at 1-888-217-9197.

The USPTO is implementing electronic patent issuance with a transition period, during which period the USPTO will mail a ceremonial paper copy of the electronic patent grant to the correspondence address of record. Additional copies of the patent (i.e., certified and presentation copies) may be ordered for a fee from the USPTO's Certified Copy Center at <https://certifiedcopycenter.uspto.gov/index.html>. The Certified Copy Center may be reached at (800)972-6382.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Center (<https://patentcenter.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Patents Stakeholder Experience (OPSE), Stakeholder Support Division (SSD) at (571)-272-4200.

INVENTOR(s) (Please see PATENT CENTER site <https://patentcenter.uspto.gov> for additional inventors):

Xiaoying JIN, Cambridge, MA;
Catherine O'RIORDAN, Cambridge, MA;
Lin LIU, Cambridge, MA;
Kate ZHANG, Cambridge, MA;

APPLICANT(s) (Please see PATENT CENTER site <https://patentcenter.uspto.gov> for additional applicants):

Genzyme Corporation, Cambridge, MA;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit [SelectUSA.gov](https://selectusa.gov).



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Sanofi/Genzyme c/o Morrison & Foerster LLP 755 Page Mill Road Palo Alto, CA 94304			EXAMINER XU, XIAOYUN	
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			1797	
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PatentDocket@mofo.com
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APPLICATION NO.	ISSUE DATE	PATENT NO.
18/321,542	22-Oct-2024	12123880

Sanofi/Genzyme c/o Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, CA 94304

EGRANT NOTIFICATION

Your electronic patent grant (eGrant) is now available, which can be accessed via Patent Center at <https://patentcenter.uspto.gov>

The electronic patent grant is the official patent grant under 35 U.S.C. 153. For more information, please visit <https://www.uspto.gov/electronicgrants>



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ELECTRONIC ACKNOWLEDGEMENT RECEIPT

APPLICATION #
18/321,542

RECEIPT DATE / TIME
10/23/2024 05:39:10 PM Z ET

ATTORNEY DOCKET #
15979-20141.10

Title of Invention

METHODS FOR DETECTING AAV

Application Information

APPLICATION TYPE Utility - Nonprovisional Application
under 35 USC 111(a)

PATENT # 12123880

CONFIRMATION # 9227

FILED BY Aurelia Caparas

PATENT CENTER # 67694880

FILING DATE 05/22/2023

CUSTOMER # 89300

FIRST NAMED
INVENTOR Xiaoying JIN

CORRESPONDENCE
ADDRESS -

AUTHORIZED BY Seth Snyder

Documents

TOTAL DOCUMENTS: 2

DOCUMENT	PAGES	DESCRIPTION	SIZE (KB)
Req_for_COC.pdf	2	Request for Certificate of Correction	90 KB
COC.pdf	2	Request for Certificate of Correction	159 KB

Digest

DOCUMENT	MESSAGE DIGEST(SHA-512)
Req_for_COC.pdf	B756F82D119506E726AC709729CC09DF2A817EAD1E6CD6231 759E8F1C556E38961026B239F8DC67DEA70B3CCE3C5AEB05 98678A84B6B638EA132254A2791EECB
COC.pdf	E5164879EE14458E68DAF0ACB1ABEFBA08E1717B4F7EA50A

004D759B0120CC0B08815AA4E0F51FD0E0FF27E577B51E998
F68CD8935F467B386BECFA14A39342D

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New Applications Under 35 U.S.C. 111

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New International Application Filed with the USPTO as a Receiving Office

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**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**Page 1 of 1

PATENT NO. : 12,123,880
APPLICATION NO.: 18/321,542
ISSUE DATE : October 22, 2024
INVENTOR(S) : Xiaoying JIN

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the Claims:

At column 83, Claim 1, line number 14, please replace "spectroscopy" with -- spectrometry --; and
At column 83, Claim 10, line number 46, please replace "spectroscopy" with -- spectrometry --.

MAILING ADDRESS OF SENDER (Please do not use Customer Number below):

MORRISON & FOERSTER LLP
425 Market Street
San Francisco, CA 94105-2482

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



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ELECTRONIC PAYMENT RECEIPT

APPLICATION #	RECEIPT DATE / TIME	ATTORNEY DOCKET #
18/321,542	10/23/2024 05:39:10 PM Z ET	15979-20141.10

Title of Invention

METHODS FOR DETECTING AAV

Application Information

APPLICATION TYPE	Utility - Nonprovisional Application under 35 USC 111(a)	PATENT #	12123880
CONFIRMATION #	9227	FILED BY	Aurelia Caparas
PATENT CENTER #	67694880	AUTHORIZED BY	Seth Snyder
CUSTOMER #	89300	FILING DATE	05/22/2023
CORRESPONDENCE ADDRESS	-	FIRST NAMED INVENTOR	Xiaoying JIN

Payment Information

PAYMENT METHOD	PAYMENT TRANSACTION ID	PAYMENT AUTHORIZED BY
DA / 031952	E20240MH40015319	Aurelia Caparas

FEE CODE	DESCRIPTION	ITEM PRICE(\$)	QUANTITY	ITEM TOTAL(\$)
1811	CERTIFICATE OF CORRECTION	160.00	1	160.00
TOTAL AMOUNT:				\$160.00

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submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of: Xiaoying JIN et al.

Patent No.: 12,123,880

Issued On: October 22, 2024

For: METHODS FOR DETECTING AAV

**REQUEST FOR CERTIFICATE OF CORRECTION
PURSUANT TO 37 C.F.R. § 1.323**

Attention: Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Commissioner:

Upon reviewing the above-identified patent, Patentee noted typographical errors which should be corrected. The certificate corrects claim 1 and claim 10 at clause b), which incorrectly refer to liquid chromatography/mass spectroscopy (LC/MS) rather than liquid chromatography/mass spectrometry (LC/MS). The error resulted from a preliminary amendment filed by Applicant on October 17, 2023, where the term liquid chromatography/mass spectroscopy was added rather than the term liquid chromatography/mass spectrometry in amended claims 3 and 130, which issued as claims 1 and 10, respectively. The issued patent includes other claims which correctly refer to mass spectrometry, including issued claims 8, 16, and 18. Moreover, the specification as filed consistently refers to liquid chromatography/mass spectrometry (LC/MS). *See*, for instance, the issued patent at col. 1, lines 30-31, col. 2, lines 37-38 and col. 2, line 49.

sf-6167778

In the Claims:

At column 83, Claim 1, line number 14, please replace “spectroscopy” with -- spectrometry --; and

At column 83, Claim 10, line number 46, please replace “spectroscopy” with -- spectrometry --.

As this is an evident error, the correction does not involve new matter or require reexamination. Transmitted herewith is a proposed Certificate of Correction effecting such amendment. Patentee respectfully solicits the granting of the requested Certificate of Correction.

In the event the U.S. Patent and Trademark Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. **03-1952** referencing docket no. **15979-20141.10**.

Dated: October 23, 2024

Respectfully submitted,

Electronic signature: /Seth Snyder/
Seth Snyder

Registration No.: 60,243
MORRISON & FOERSTER LLP
425 Market Street
San Francisco, CA 94105-2482
+1 (415) 268-7046



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ELECTRONIC PAYMENT RECEIPT

APPLICATION #	RECEIPT DATE / TIME	ATTORNEY DOCKET #
18/321,542	11/18/2024 06:49:26 PM Z ET	15979-20141.10

Title of Invention

METHODS FOR DETECTING AAV

Application Information

APPLICATION TYPE	Utility - Nonprovisional Application under 35 USC 111(a)	PATENT #	12123880
CONFIRMATION #	9227	FILED BY	Aurelia Caparas
PATENT CENTER #	68005963	AUTHORIZED BY	Seth Snyder
CUSTOMER #	89300	FILING DATE	05/22/2023
CORRESPONDENCE ADDRESS	-	FIRST NAMED INVENTOR	Xiaoying JIN

Payment Information

PAYMENT METHOD	PAYMENT TRANSACTION ID	PAYMENT AUTHORIZED BY
DA / 031952	E2024AHI50255025	Aurelia Caparas

FEE CODE	DESCRIPTION	ITEM PRICE(\$)	QUANTITY	ITEM TOTAL(\$)
1811	CERTIFICATE OF CORRECTION	160.00	1	160.00
TOTAL AMOUNT:				\$160.00

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15979-20141.10

Title of Invention

METHODS FOR DETECTING AAV

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APPLICATION TYPE Utility - Nonprovisional Application
under 35 USC 111(a)

PATENT # 12123880

CONFIRMATION # 9227

FILED BY Aurelia Caparas

PATENT CENTER # 68005963

FILING DATE 05/22/2023

CUSTOMER # 89300

FIRST NAMED
INVENTOR Xiaoying JIN

CORRESPONDENCE
ADDRESS -

AUTHORIZED BY Seth Snyder

Documents

TOTAL DOCUMENTS: 2

DOCUMENT	PAGES	DESCRIPTION	SIZE (KB)
Req_for_COC.pdf	2	Request for Certificate of Correction	80 KB
COC.pdf	1	Request for Certificate of Correction	121 KB

Digest

DOCUMENT	MESSAGE DIGEST(SHA-512)
Req_for_COC.pdf	B8E7788E27F1E44917E6A39FA42409CA64DAB9AE381FE6B94 CB17C074D4647CEE0D6209F5B549E4E8CD01D17983F7106B0 90819B81DFAED44E3F89A4E405C554
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BB482DC91B792FC0601DB256F2D0E3B

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of: Xiaoying JIN et al.

Patent No.: 12,123,880

Issued On: October 22, 2024

For: METHODS FOR DETECTING AAV

**REQUEST FOR CERTIFICATE OF CORRECTION
PURSUANT TO 37 C.F.R. § 1.323**

Attention: Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Commissioner:

Upon reviewing the above-identified patent, Patentee noted typographical errors which should be corrected.

In the Abstract:

In line 2 of the abstract, please replace “and/or or determining” with --and/or determining--;

In the Claims:

In Claim 2, Column 83, line 22: please replace “and one more variants” with --and one or more variants--;

sf-6203051

In Claim 21, Column 84, line 45: please replace “the one more variants” with --the one or more variants--; and

In Claim 21, Column 84, line 49: please replace “the one more variants” with --the one or more variants--.

As this is an evident error, the correction does not involve new matter or require reexamination. Transmitted herewith is a proposed Certificate of Correction effecting such amendment. Patentee respectfully solicits the granting of the requested Certificate of Correction.

In the event the U.S. Patent and Trademark Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. **03-1952** referencing docket no. **15979-20141.10**.

Dated: November 18, 2024

Respectfully submitted,

Electronic signature: /Seth Snyder/
Seth Snyder
Registration No.: 60,243
MORRISON & FOERSTER LLP
425 Market Street
San Francisco, CA 94105-2482
+1 (415) 268-7046

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**Page 1 of 1

PATENT NO. : 12,123,880

APPLICATION NO.: 18/321,542

ISSUE DATE : October 22, 2024

INVENTOR(S) : Xiaoying JIN, Catherine O'RIORDAN, Lin LIU, Kate ZHANG

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the Abstract:

In line 2 of the abstract, please replace "and/or or determining" with --and/or determining--;

In the Claims:

In Claim 2, Column 83, line 22: please replace "and one more variants" with --and one or more variants--;

In Claim 21, Column 84, line 45: please replace "the one more variants" with --the one or more variants--; and

In Claim 21, Column 84, line 49: please replace "the one more variants" with --the one or more variants--.

MAILING ADDRESS OF SENDER:

MORRISON & FOERSTER LLP
425 Market Street
San Francisco, CA 94105-2482

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
18/321,542	05/22/2023	Xiaoying JIN	15979-20141.10	9227
89300	7590	11/19/2024	EXAMINER	
Sanofi/Genzyme c/o Morrison & Foerster LLP			XU, XIAOYUN	
755 Page Mill Road			ART UNIT	
Palo Alto, CA 94304			PAPER NUMBER	
			1797	
			NOTIFICATION DATE	
			DELIVERY MODE	
			11/19/2024	
			ELECTRONIC	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

EOfficePA@mofo.com
PatentDocket@mofo.com
pair_mofo@firsttofile.com

APPLICATION NO.	ISSUE DATE	PATENT NO.
18/321,542	19-Nov-2024	12123880

Sanofi/Genzyme c/o Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, CA 94304

ELECTRONIC CERTIFICATE OF CORRECTION NOTIFICATION

Your electronic certificate of correction is now available, and can be accessed via Patent Center at <https://patentcenter.uspto.gov>.

The electronic certificate of correction is the official certificate of correction under 35 U.S.C. 254 or 255. For more information, please visit <https://www.uspto.gov/electronicgrants>.

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 12,123,880 B2
APPLICATION NO. : 18/321542
DATED : October 22, 2024
INVENTOR(S) : Xiaoying Jin et al.

Page 1 of 1

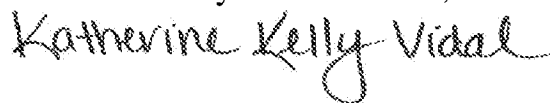
It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the Claims

At Column 83, Claim 1, Line number 14, please replace “spectroscopy” with -- spectrometry --; and

At Column 83, Claim 10, Line number 46, please replace “spectroscopy” with -- spectrometry --.

Signed and Sealed this
Nineteenth Day of November, 2024



Katherine Kelly Vidal
Director of the United States Patent and Trademark Office



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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
18/321,542	05/22/2023	Xiaoying JIN	15979-20141.10	9227
89300	7590	12/31/2024	EXAMINER	
Sanofi/Genzyme c/o Morrison & Foerster LLP			XU, XIAOYUN	
755 Page Mill Road			ART UNIT	
Palo Alto, CA 94304			PAPER NUMBER	
			1797	
			NOTIFICATION DATE	
			DELIVERY MODE	
			12/31/2024	
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Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

EOfficePA@mofo.com
PatentDocket@mofo.com
pair_mofo@firsttofile.com

APPLICATION NO.	ISSUE DATE	PATENT NO.
18/321,542	31-Dec-2024	12123880

Sanofi/Genzyme c/o Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, CA 94304

ELECTRONIC CERTIFICATE OF CORRECTION NOTIFICATION

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The electronic certificate of correction is the official certificate of correction under 35 U.S.C. 254 or 255. For more information, please visit <https://www.uspto.gov/electronicgrants>.

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 12,123,880 B2
APPLICATION NO. : 18/321542
DATED : October 22, 2024
INVENTOR(S) : Xiaoying Jin et al.

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the Title Page

Item (57) In the Abstract:

In Line 2: please replace “and/or or determining” with --and/or determining--;

In the Claims

In Claim 2, Column 83, Line 22: please replace “and one more variants” with --and one or more variants--;

In Claim 21, Column 84, Line 45: please replace “the one more variants” with --the one or more variants--; and

In Claim 21, Column 84, Line 49: please replace “the one more variants” with --the one or more variants--.

Signed and Sealed this
Thirty-first Day of December, 2024

A handwritten signature in black ink, appearing to read "Derrick A. Brent", written in a cursive style.

Derrick Brent
Acting Director of the United States Patent and Trademark Office

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**CHANGE OF
CORRESPONDENCE ADDRESS**
*Patent*Address to:
Mail Stop Post Issue
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Patent Number	12,123,880
Issue Date	October 22, 2024
Application Number	18/321,542
Filing Date	May 22, 2023
First Named Inventor	Xiaoying JIN
Attorney Docket Number	15979-20141.10

Please change the Correspondence Address for the above-identified patent to:



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Patentee.



If the Patentee was not the applicant for patent (37 CFR 1.42), then a Statement under 37 CFR 3.73(c) (Form PTO/AIA/96 or equivalent) is enclosed or was filed on _____. See 37 CFR 3.71.

Attorney or agent of record. Registration Number 79763.

Patent practitioner acting in a representative capacity whose correspondence address is the correspondence address of record. Notice has been given to the patentee or owner. Registration Number _____

Signature /Sarah E. Bloch/

Typed or
Printed Name Sarah E. Bloch

Date January 7, 2025

Telephone +1 (415) 268-6423

NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications. Submit multiple forms if more than one signature is required, see below*.



*Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Post Issue, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ELECTRONIC ACKNOWLEDGEMENT RECEIPT

APPLICATION #
18/321,542

RECEIPT DATE / TIME
01/07/2025 05:34:38 PM Z ET

ATTORNEY DOCKET #
15979-20141.10

Title of Invention

METHODS FOR DETECTING AAV

Application Information

APPLICATION TYPE	Utility - Nonprovisional Application under 35 USC 111(a)	PATENT #	12123880
CONFIRMATION #	9227	FILED BY	Aurelia Caparas
PATENT CENTER #	68635367	FILING DATE	05/22/2023
CUSTOMER #	89300	FIRST NAMED INVENTOR	Xiaoying JIN
CORRESPONDENCE ADDRESS	-	AUTHORIZED BY	Sarah Bloch

Documents

TOTAL DOCUMENTS: 1

DOCUMENT	PAGES	DESCRIPTION	SIZE (KB)
Change_of_Corr.pdf	1	Change of Address	164 KB

Digest

DOCUMENT	MESSAGE DIGEST(SHA-512)
Change_of_Corr.pdf	3939941145DDAAD5D6DE9B46370181247B59C6C76ADF8F2D 02D13CB4D9FB4640060B2D80EA3614C16FFF714A741F3130D E2DF9A8952FFE0A7C1DE05B60326D25

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National Stage of an International Application under 35 U.S.C. 371

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