

background radiation expected at various locations in space indicates that readings representative of deep space can be obtained with rocket borne radiometric equipment at altitudes of only 200 to 300 km. The effects of undesired radiation from sources in our galaxy and our solar system can be largely avoided by "looking" in the right direction.

When the anticipated infrared flux intensity and distribution is related to our present instrumentation capability it appears that the required measurements can be made with relatively simple equipment. The only component requiring significant developmental effort is the radiometer and is well within the state of the art.

Because of its over-all simplicity this experiment should have a high probability of success. As space experiments go it should be relatively inexpensive.

Including development, the cost of the first flight should be in the range of \$150,000 to \$300,000. The cost of subsequent flights should not exceed \$100,000 total, even with allowance for moderate revisions of the radiometer.

Although cosmologists may reasonably question the assumptions here made in estimating the infrared radiation to be expected in space, this should in no way lower our confidence in the fact that space rocketry and infrared technology have now advanced to a point where important new information may be obtained. On this basis the proposed measurements should be made, if for no other reason than that they may provide important new information. In comparison with other space experiments now under way the task does indeed seem worth doing. Since the technology exists for doing it, it can and should be done now.

The Silicon Insulated-Gate Field-Effect Transistor*

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Summary—The device discussed in this paper represents a significant departure from the conventional unipolar transistor in that the reverse-biased p - n junction has been superseded by a Metal-Oxide-Semiconductor (MOS) control structure. This structure can be used to enhance as well as deplete the charge near the surface of the semiconductor. A simple model is proposed and the basic transistor current-voltage relationships are derived for the case of a thick oxide and shallow conducting channel. This case is in contrast to the p - n junction-type described by Shockley, the latter being analogous to a very thin oxide and a deep uniformly doped channel.

A more detailed model is subsequently proposed in order to explain some experimentally observed anomalies for units not adequately described by the simpler model. In particular, the usual approximation of constant current in the saturation region is abandoned and consideration is given to the behavior of the drain resistance in this region. It is found that the relations predicting and describing this behavior are closely analogous to similar relations for vacuum tubes. Experimental data from units to which this model may be applied has shown close agreement with the theoretical predictions.

Breakdown in the channel is also investigated and found to be a double-valued function of the gate voltage. For low-gate voltages the breakdown is induced directly by the drain-to-source field and occurs across the constricted portion of the channel near the drain. For higher-gate voltages carrier generation is induced directly by the gate-to-drain field with the source-to-drain sweep field acting to remove the impact ionized and/or field emitted carriers.

Brief consideration is also given to the question of the validity of using Boltzmann or Fermi-Dirac statistics in devices which are not necessarily in thermal equilibrium. The use of these statistics to predict the formation of a gate-field-induced inversion layer at the oxide-silicon interface in typical depletion-type transistors is found to be unjustified. This is due to generation and flow rate limitations on the source of the carriers which form this layer, and contrasts with the case of the induced channel-type unit for which the use of these statistics appears justified. Experimental evidence regarding saturation and complete pinch-off of drain current has indicated that the inversion layer does, in fact, fail to exist, as predicted, for the depletion-type transistor.

Units fabricated to date have the following typical characteristics: input impedance, $7 \mu\text{f}$, 10^{15} ohms; transconductance, 2000 μmhos ; cutoff bias, -7 volts (depletion unit); rise time, 10 nsec. The yield of units has averaged over 95 per cent on recently fabricated wafers, indicating great promise for integrated electronics applications.

INTRODUCTION

AS DESCRIBED by Shockley [1], the unipolar field-effect transistor utilized the depletion region of a reverse-biased p - n junction to control the effective cross section, and hence the conductance, of a bar of semiconductor material. This device is illustrated in Fig. 1. The ohmic contacts are conventionally referred to as the source and drain, rather than emitter and collector, so as to emphasize the fact that they, in essence, inject and remove only majority carriers. The conductive region between the ohmic source and drain contact is again, by convention, referred to as the channel, with the reverse-biased p - n junction

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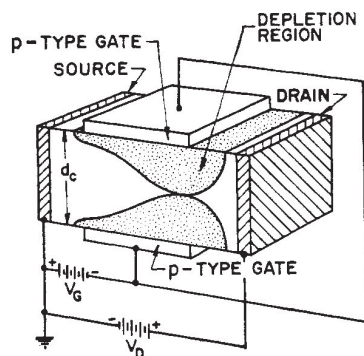


Fig. 1—Basic unipolar transistor structure.

space-charge control electrode acting as a "gate." The theoretical characteristics of this device derived by Shockley [1] and others [2] have since been experimentally confirmed [2]. The device to be discussed in this paper represents a significant departure from the Shockley unit in that the reverse-biased p - n junction has been superseded by a metal-insulator-semiconductor control structure [9]. In sharp contrast to the p - n junction, this structure can be used to enhance as well as deplete charge near the surface of the semiconductor. This results in a field-effect device possessing a significantly increased versatility.

The concept of using an external electric field normal to the surface of a semiconductor to control the carrier density near the surface is discussed by Shockley and Pearson [3]. They placed a thin insulating strip between the semiconductor and an evaporated metal film forming a parallel plate capacitor. The change in conductance of the semiconductor was measured as a function of the voltage applied across the capacitor. The results of this experiment showed that roughly 10 per cent of the excess charge placed in the germanium was mobile. The rest was postulated to reside in bound states on the surface of the semiconductor. It has been experimentally observed that surface states (both acceptor and donor types) are distributed in energy throughout the forbidden gap and are further characterized by a delay in response, or time constant [4]. These states have also been found to be highly dependent upon surface conditions, including the effects of adsorbed gases, surface strains, mechanical damage, etc.

Many characteristics of the ideal MOS structure have been derived elsewhere [4], [5]. Experimental measurements have confirmed the general predictions made for these structures [4] and have, in addition, provided some specific information on the density, energy levels and time constants of the surface states at a silicon-silicon-dioxide interface.

It has been reported [5], [6] that silicon dioxide which is thermally grown on the surface of a silicon wafer has the property of passivating the surface, or greatly decreasing the density of deep surface traps. This decrease has been found sufficient to reduce the

effects of these states on device characteristics to the point where they may be neglected.

This paper is divided into five general sections: Section I derives the first-order theoretical device parameters; Section II involves a discussion of the experimentally observed parameters; Section III concerns itself with several aspects of the physical theory of MOS-structure devices necessary to understand some significant deviations from the first order theory of Section I; Section IV contains a discussion of experimental results pertaining to the predictions of Section III; and Section V deals with the application of the device to integrated circuitry.

A minimum of mathematics has been used in Section III in order to emphasize the physics rather than the algebra of the phenomena involved.

I. THEORY OF TRANSISTOR OPERATION

A. Basic Structure

The basic structure of the insulated gate device we have fabricated is illustrated in Fig. 2. This is a planar device, with the substrate passive and acting as a support.¹ The channel, source and drain contacts, and control electrode are fabricated using conventional diffusion, photoresist, and vacuum-evaporation techniques. It might be noted that this device differs from the thin-film transistor described by Weimer [8] in that the conduction in Weimer's device takes place in an evaporated thin film rather than a layer genetically derived from the substrate.

B. Depletion-Type Transistor

Referring to Fig. 2, consider, first, a transistor fabricated with the channel of the same conductivity type as the source and drain contacts. This may be operated in either the *depletion* or *enhancement* modes.

Depletion Mode: To operate in this mode, the gate is reverse biased so that carriers are depleted from the channel. Therefore maximum channel current flows in this mode of operation for zero-gate bias. This is analogous to the operation of the Shockley unit.

Enhancement Mode: Here, the gate is forward biased so that carriers are drawn into the channel. Minimum channel current flows in this mode of operation for zero-gate bias. In contrast to the Shockley unit, no gate current flows due to the insulating silicon-dioxide layer.

C. Induced Channel-Type Transistor

This unit is fabricated with source and drain contacts of opposite conductivity type from the channel. Back-to-back diodes are formed between source and drain contacts and the channel current is essentially zero for zero-gate bias. To simplify the following discussion, a

¹ In practice, the substrate may be modified to form a junction with the channel and a bias voltage may then be applied to the substrate. However for the following analysis, a passive substrate will be assumed.

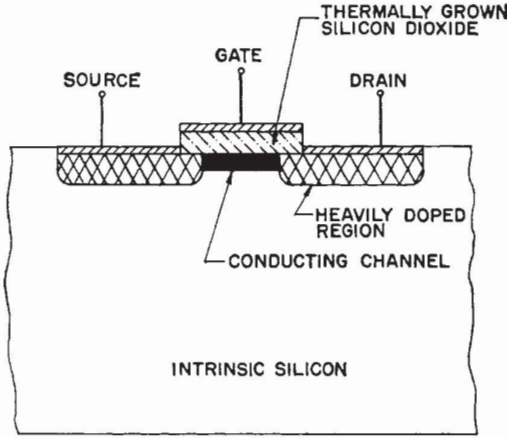


Fig. 2—Insulated-gate field-effect transistor.

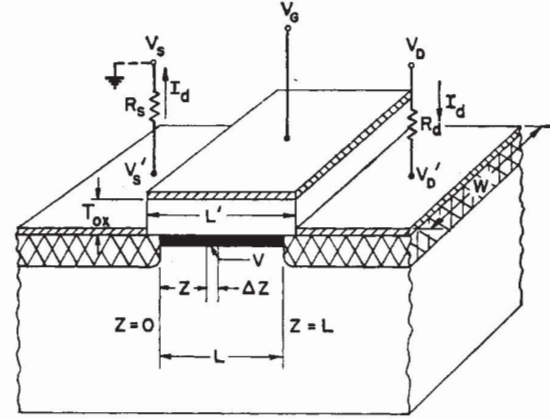


Fig. 3—Schematic representation used in calculations.

p-type channel with *n*-type source and drain contacts will be assumed. If a positive voltage is applied to the gate, holes will be depleted from the surface and a further increase in bias will produce an accumulation of electrons at the surface. The surface channel goes from *p*-type, through intrinsic, to an inverted *n*-type layer, at which point ohmic conduction from source to drain commences. Enhancement-mode operation is obtained for a further increase in gate bias.

In the following analysis a characteristic parameter for the unit will be called the pinch-off voltage V_P . This is defined as the potential difference across the oxide (at any point) which will just cause the mobile charge concentration in the channel (at that point) to go to zero. It may be positive (electron conduction induced-channel device), negative (electron conduction depletion device), or zero. Also, the oxide is assumed to be much thicker than the channel depth so that almost all of the control voltage appears across the oxide and very little across the charged semiconductor surface. With this approximation the channel is essentially a surface sheet, and the electric field in the oxide is normal to the semiconductor surface.

With reference to Fig. 3, let the drain current be I_d , with the external source connection grounded and with the external drain and gate electrodes biased at V_D and V_G , respectively. The internal source and drain connections differ in potential from the accessible terminals by the potential drop across the parasitic series resistances R_S and R_D , respectively.

D. Analysis of an Electron Conduction Device

An electron conduction device is considered, and *n*+ source and drain contacts are employed. The potential at some point, *z*, in the channel is given by $V(z)$, where $0 \leq V(z) \leq V_D$. With a thick oxide implicitly assumed, one has

$$E_{ox}(z) = \frac{V_G - V(z)}{T_{ox}} \quad (1)$$

and

$$\sigma_c(z) = \epsilon_{ox} E_{ox}(z) = \frac{\epsilon_{ox}}{T_{ox}} [V_G - V(z)], \quad (2)$$

where σ_c is the induced surface-charge density in the channel at point *z*, ϵ_{ox} is the dielectric constant of the oxide and T_{ox} is the oxide thickness. Not all of this charge may be mobile. By the definition of V_P there will be mobile charge at the point *z* only if $V_G - V(z) \geq V_P$. Thus we have for the mobile charge density σ_m , at any point,²

$$\begin{aligned} \sigma_m(z) &= \frac{\epsilon_{ox}}{T_{ox}} \{ [V_G - V(z)] - V_P \}, \text{ for } V_G - V(z) > V_P; \\ \sigma_m(z) &= 0, \quad \text{for } V_G - V(z) \leq V_P. \end{aligned} \quad (3)$$

The conductance $G(z)$ of the infinitesimal channel section of width *W* and of length Δz is given by

$$G(z) = \frac{\sigma_m(z) \mu W}{\Delta z}, \quad (4)$$

where μ is the carrier mobility.³

Ohm's law yields the channel current

$$I_d = G(z) \Delta V = \sigma_m(z) \mu W \frac{\Delta V}{\Delta z}, \quad (5)$$

hence

$$I_d = \frac{\epsilon_{ox} \mu W}{T_{ox}} [V_G - V_P - V(z)] \frac{\Delta V}{\Delta z}. \quad (6)$$

An integration from one end of the channel to the other yields

² The presence of intrinsic channel charge to be depleted before reaching the onset of channel conduction merely offsets the relations involving gate voltage by an amount V_P .

³ For the purposes of this analysis, the mobility will be assumed to be constant.

$$I_d \int_0^L dz = \frac{\epsilon_{ox}\mu W}{T_{ox}} \int_{V_{S'}}^{V_{D'}} [V_G - V(z) - V_P] dV \quad (7)$$

$$I_d = \frac{\epsilon_{ox}\mu W}{LT_{ox}} [(V_G - V_P)(V_{D'} - V_{S'}) - \frac{1}{2}(V_{D'}^2 - V_{S'}^2)]. \quad (8)$$

The two auxiliary equations needed to eliminate $V_{D'}$ and $V_{S'}$ are

$$V_{D'} = V_D - I_d R_D, \quad V_{S'} = I_d R_S, \quad (9)$$

and substitution into (8) yields the desired set of drain characteristics for this device. However, this solution is valid only for $V_G - V_{D'} \geq V_P$, for when the difference between gate and internal drain voltage is less than V_P , the channel is pinched-off near the drain and the limits of integration used in (7) are no longer valid. As in the Shockley unit, the current remains essentially constant [1] for larger values of drain voltage and will be designated by I_{ds} . Imposing the condition that $V_{D'} = V_G - V_P$ yields I_{ds} from (8) and (9):

$$I_{ds} = \beta \frac{(V_G - V_P)^2}{1 + \beta R_S(V_G - V_P) + \sqrt{1 + 2\beta R_S(V_G - V_P)}} \quad (10)$$

and

$$\beta \equiv \frac{\epsilon_{ox}\mu W}{LT_{ox}}. \quad (11)$$

It should be noted that for small values of series source resistance the transfer function is essentially parabolic yielding a square law device. The drain current beyond saturation is essentially independent of the parasitic series drain resistance R_D . This resistance merely increases the drain voltage at which the drain current saturates.

Differentiating (10) yields the transconductance of the device as shown below.

$$g_m = \frac{\partial I_{ds}}{\partial V_G} = (2\beta) \left[\frac{(V_G - V_P)}{1 + 2\beta R_S(V_G - V_P) + \sqrt{1 + 2\beta R_S(V_G - V_P)}} \right]. \quad (12)$$

The total input capacitance C_{in} is simply the parallel plate capacitor formed by the gate electrode of area $L'W$, separated from the semiconductor by spacing T_{ox} . In practice, $L' \approx L$ and the g_m/C_{in} ratio is a good measure of the frequency response of this device.

$$g_m/C_{in} = \left(\frac{2\mu}{L^2} \right) \left(\frac{(V_G - V_P)}{1 + 2\beta R_S(V_G - V_P) + \sqrt{1 + 2\beta R_S(V_G - V_P)}} \right). \quad (13)$$

II. EXPERIMENTAL RESULTS

We have experimentally observed the appearance of a thin n -type skin in the surface of p -type silicon after thermal oxidation. Using this shallow inversion layer as a channel, a field-effect transistor capable of operation in the depletion mode was fabricated on 1000 ohm-cm p -type silicon, using the thermally produced n -type inversion layer for the channel. The channel length L was 0.5 mils, the channel width W was 50 mils, and the oxide thickness T_{ox} was 2000 Å. The family of drain characteristics is shown in Fig. 4. A small-signal transconductance of 2000 μ mhos is indicated for the device which is operated in both the depletion and enhancement modes. If (10) is normalized to the saturation drain current for $V_G = 0$ and expressed as a function of normalized gate voltage, $V' = V_G/V_P$, the result is

$$\frac{I_{ds}}{I_{ds}|V_G=0} \equiv I_{ds}' = (1 - V')^2 \left(\frac{1 + K/2 + \sqrt{1 + K}}{1 + K/2(1 - V') + \sqrt{1 + K(1 - V')}} \right), \quad (14)$$

where

$$K \equiv -2\beta R_S V_P. \quad (15)$$

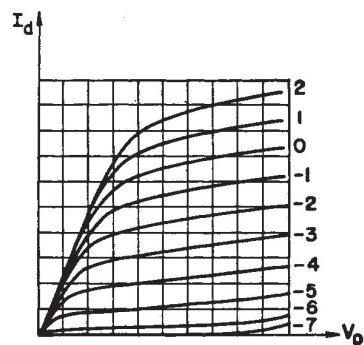
Eq. (14) is plotted in Fig. 5 (for operation in the depletion mode) for several values of K . The experimental points on this plot were taken from the drain characteristics shown in Fig. 4 at a drain voltage of 16 volts. The close agreement suggests that $K=2$ for this device, and

$$-2\beta R_S V_P = 2, \quad R_S = -\frac{1}{\beta V_P}. \quad (16)$$

A simple algebraic manipulation shows that $-\beta V_P$ is actually the channel conductance at zero-gate bias for a depletion device. Thus the parasitic source resistance R_S is equal to the zero-bias channel resistance for this device.

By use of a mercury relay pulser and sampling oscilloscope the pulse response of this unit was measured. The circuit used and waveforms obtained are shown in Fig. 6, a rise time (10 per cent to 90 per cent) of 10 nsec is indicated. No storage or transit delays appear to be present and an improvement in dimensions should yield a corresponding improvement in response time.

The induced-channel unit is desirable for use in logical switching circuits since the output voltage of the transistor is of the correct polarity to make direct coupled transistor logic feasible, without shifting voltage levels between stages. The device, the drain characteristics of which are shown in Fig. 7, was fabricated on 5 ohm-cm p -type silicon. The inversion layer usually produced during thermal oxidation was compensated by



2 VOLTS / cm, 2 mA / cm

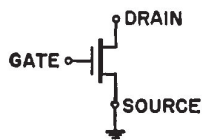


Fig. 4—Drain characteristics of experimental transistor.

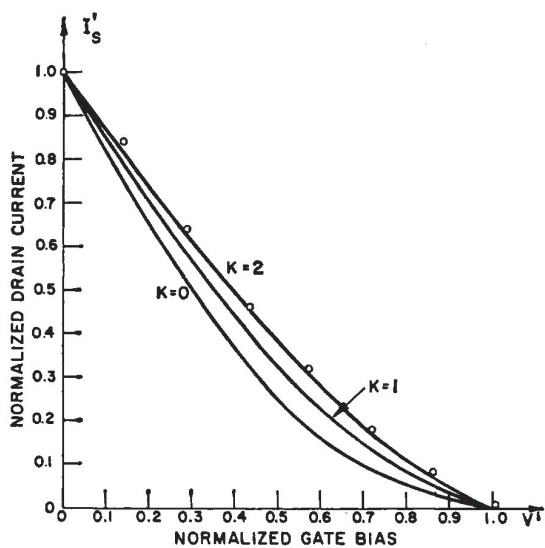


Fig. 5—Variation in drain current with gate bias.

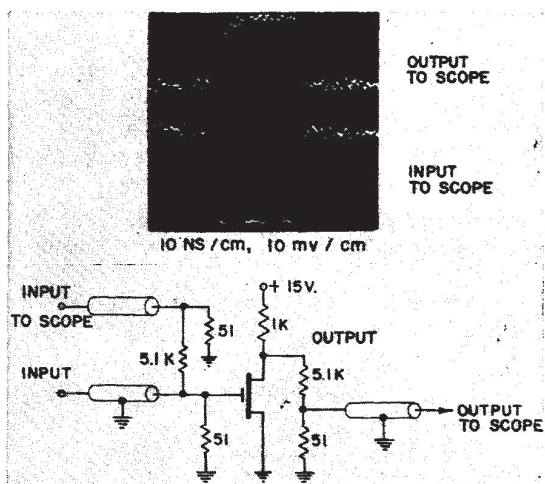


Fig. 6—Pulse response of transistor.

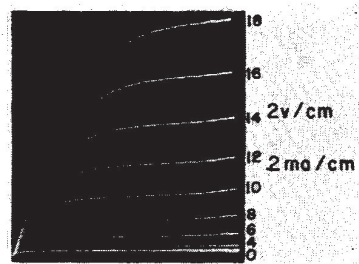
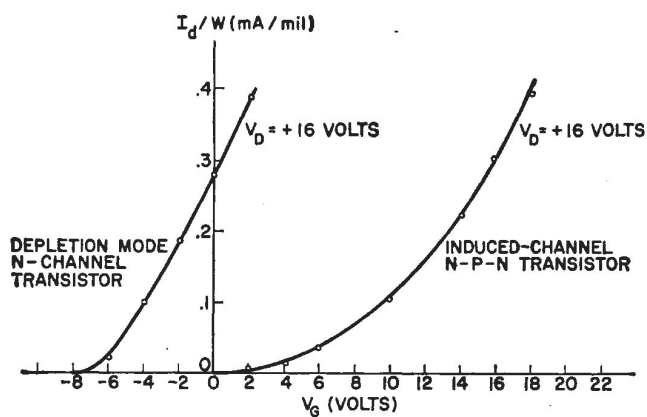
Fig. 7—Induced-channel *n-p-n* field-effect transistor.

Fig. 8—Comparison of transfer characteristics for field-effect transistors.

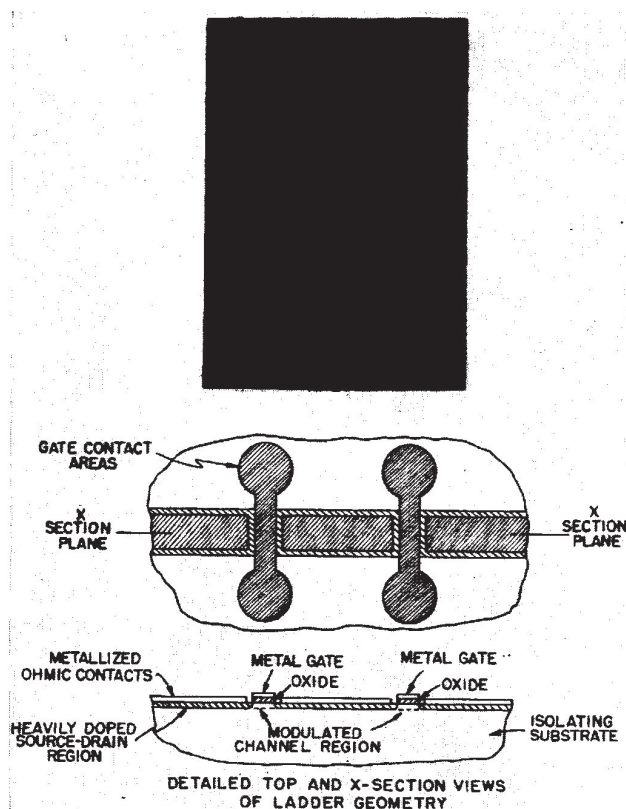


Fig. 9—Ladder geometry layout of field-effect transistor.

a pre-oxidation p -type diffusion. The channel length L , of this device, is 0.5 mils, its width W is 5 mils, and the oxide thickness T_{ox} is 1000 Å. The effective pinch-off voltage for this device is approximately +2 volts. Fig. 8 compares the transfer characteristics of the depletion unit with the induced-channel unit. The drain current scale is normalized to unit channel width.

Fig. 9 shows the layout of induced-channel field-effect transistors arranged in a ladder pattern. The interconnection of many devices in an integrated circuit is greatly facilitated with this geometry. The packing density shown in Fig. 9 is 2200 transistors per square inch. This allows ample passive surface area for heat dissipation, interconnection-wiring and dicing.

III. SOME ADDITIONAL ASPECTS OF THE PHYSICS OF MOS DEVICES

Experimentally observed characteristics of the units described in this report have possessed anomalies not explicable in terms of the simpler models [1], [2], [5]. These anomalies may be understood if the model is extended to include a) The effect of the failure of the Shockley condition (channel length-to-depth ratio less than two), and b) The general problem of thermal equilibrium in three terminal and non-ideal two-terminal MOS field-effect devices.

A. Theory of the Drain Saturation Resistance

For convenience, an n -type channel and n -type source-drain contacts will be assumed in the following discussion. However, the discussion may be readily extended to include units which operate in the induced-channel mode of operation without any change in the physical principles involved.

The pentode-like characteristics of the field-effect transistor, in regard to the saturation of the drain current, is basically a geometry-dependent effect. A good qualitative understanding may be obtained from the following: as the voltage at the drain end of the channel exceeds $V_G - V_P$, the depletion region at that point extends completely across the channel. The channel may now be thought of as consisting of three regions: region 1—the section from the source up to the point where the channel voltage equals V_G ;⁴ region 2—from the V_G point to the point where the channel voltage is $V_G - V_P$; and finally, region 3—from the $V_G - V_P$ point to the drain contact. It should be noted that in region 1 there is an enhancement of the channel charge, in region 2 there is a partial depletion of channel charge and in region 3 the depletion region extends completely across the channel. Hence, in regions 1 and 2 the current flow is essentially ohmic whereas in region 3 it is space-charge limited.

Referring now to the Shockley-type (depletion mode) model of Fig. 1, the channel may be divided into a

region 2 and a region 3⁵ in accordance with the preceding discussion. The penetration of the drain field into regions 2 and 3 is an exponentially decaying function with a "length constant" equal to the total channel depth d_c [1]. This result hinges on the assumption that the gates are so highly doped as to be essentially metallic. If channel depth is very much smaller than channel length, then this penetration will be very small and the channel shape will be only slightly affected by variations in drain voltage. Hence the resistance of region 2 is nearly constant as V_D varies past the saturation value $V_G - V_P$. Since the voltage across region 2 is fixed (by definition) at $V_G - V_P$, the current through this region (and by continuity through region 3) is essentially independent of changes in V_D for V_D greater than $V_G - V_P$. Therefore, for this model one would expect an extremely high saturation drain resistance. In the fabricated structure (Fig. 2) there are several additional mechanisms which act to reduce this resistance to a value below that predicted by the simple model.

1) *Effective Failure of the Shockley Condition*: The channel length-to-depth ratio for the units under discussion is typically of the order of 100. However, penetration of the drain field into the channel region is a function of the effective spacing between the metal gate and the "substrate gate." (Since the substrate is slightly p -type it acts as a control electrode as in the Shockley unit.) This spacing includes the actual doped channel depth plus the space-charge region between the channel and substrate. For a sufficiently low doped substrate this spacing may be as large as (or even exceed) the channel length, as the drain voltage rises into the saturation region.

Hence the Shockley condition no longer holds and a new model must be postulated. This model is shown in Fig. 10. The basic assumption is that the substrate is sufficiently low-doped so that for dimensions of the order of the channel length the contribution of the substrate space charge is negligible. In other words, the substrate is treated as an insulator and Laplace's equation (rather than Poisson's equation) is used to determine the substrate fields. The drain electrode now acts both as a collector of channel carriers and as a somewhat inefficient gate.

If the special case of oxide thickness $\gg$ channel depth is considered, the saturation drain resistance may be directly related to the input transconductance of the device.⁶

Defining

$$\frac{1}{r_{ds}} = g_{ds} \equiv \left. \frac{\partial I_{ds}}{\partial V_D} \right|_{V_G}, \quad \mu_A \equiv \left. \frac{\partial V_D}{\partial V_G} \right|_{I_d},$$

⁵ Enhancement of channel charge, and hence a region 1 can exist only for an insulated gate so that $V_G > 0$ does not result in a large gate current.

⁶ See Appendix I.

⁴ Region 1 exists only for $V_G > 0$.

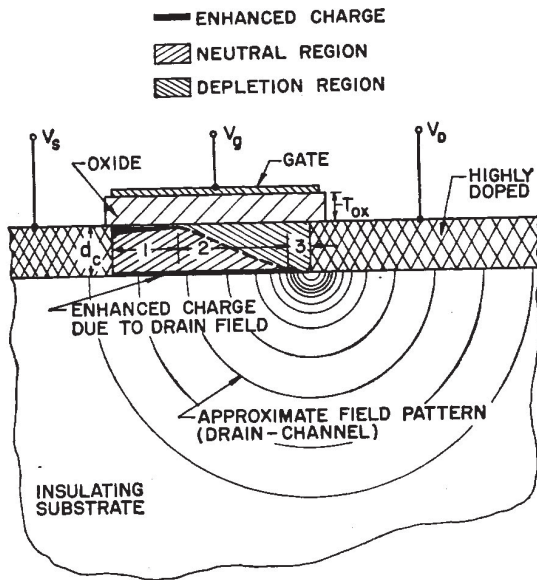


Fig. 10—Model for calculating the saturation drain resistance.

and

$$g_m = \left. \frac{\partial I_{ds}}{\partial V_G} \right|_{V_D}, \text{ hence } g_m r_{ds} = \mu_A,$$

one finds that⁶

$$g_m/g_{ds} = \mu_A = C_{gc}/C_{dc}, \quad (17)$$

where

$$C_{dc}/C_{gc} = \frac{\text{effective drain-to-channel coupling capacitance}}{\text{gate to channel capacitance}} \approx \frac{T_{ox}\epsilon_{si}}{L\epsilon_{ox}}, \quad (18)$$

and

ϵ_{si} = dielectric constant of the intrinsic silicon substrate.

Therefore the transistor amplification factor μ_A is dependent solely on the geometry. This result is particularly interesting since it is exactly analogous to that which one obtains for the vacuum tube triode.

$$\mu = \left. \frac{\partial V_P}{\partial V_G} \right|_{I_P} = \frac{C_{pk}^{(10)}}{C_{k0}}. \quad (19)$$

This again illustrates the strikingly close parallel between this type of transistor and the vacuum tube. Since⁶

$$g_m = G_0 \frac{V_G - V_P}{V_P} \quad (20)$$

(G_0 = unmodulated channel conductance)

for the thick oxide case, we may put (17) in the following form:

$$g_{ds}/G_0 = \left(\frac{C_{dc}}{C_{gc}} \right) \left(\frac{V_G - V_P}{V_P} \right). \quad (21)$$

Hence this theory predicts that for a fixed geometry and for substrate dopings meeting the stated assumptions, the normalized saturation drain conductance is a linear function of the normalized gate voltage with a slope equal to an effective capacitance ratio dependent only on the geometry.

2) *High-Field Carrier Multiplication*: It can be seen that for sufficiently small dimensions, internal fields may become large enough to cause avalanche breakdown within the channel or even directly from the drain to the substrate. Breakdown in the channel will usually commence in the region of maximum field (*i.e.*, the space-charge limited region near the drain). However the drain voltage required for breakdown is not a monotonic function of the gate voltage. As the gate is made more positive to enhance the conductivity of the channel the length of regions 2 and 3 decreases. This yields an increased electric field in these regions resulting in a diminishing of the excess drain voltage required for channel breakdown. Conversely, for a negative gate voltage, region 1 vanishes and regions 2 and 3 are enlarged, yielding an increase in the drain voltage required for breakdown. Now, however, as the gate voltage continues to go negative significantly beyond cutoff, the gate field begins to directly add to and enhance the effect of the drain field, thereby again reducing the drain voltage required for channel breakdown.

It is to be expected that the shape of the channel breakdown curves will differ from those of the conventional avalanche breakdown diode. As carrier multiplication commences a plasma is generated which tends to shield the effect of the gate electrode. (This is exactly analogous to the sheath formed around probes in a conventional gas plasma.) In the depletion mode this is a negative feedback effect, allowing the drain current to rise and, hence, acting to "soften" the knee of the breakdown curve. Hence one would expect the breakdown in the channel to be easily differentiated from the direct drain to substrate breakdown, since the latter is a conventional reverse-biased *p-n* junction diode. This "soft" channel avalanche yields a poor drain saturation resistance for units whose dimensions are small enough to exhibit this effect.

B. Thermal Equilibrium in Two-Terminal MOS Devices

Analyses have been made [4], [5] of MOS diodes and MOS triodes, assuming the ideal case of a perfectly insulating oxide (although including the effect of surface states) and applying Boltzmann statistics to determine the characteristics of the space-charge region at the oxide-semiconductor interface. The application of Boltzmann statistics, or any equilibrium statistics

for that matter, rests upon the assumption that the system is in a state of thermal equilibrium, or at least so close to it as to be treated as such. The assumption of thermal equilibrium must be reconsidered carefully if one generalizes the model to include the effect of power input to the system. In the case of a two-terminal device such as the MOS diode this can occur for ac operation or through a leaky oxide. However, in a three-terminal device such as the field-effect transistor, this power input is inherent in its basic mode of operation.

From the ideal theory it is usually assumed that the surface potential V_i at the oxide-semiconductor interface of a MOS diode cannot exceed 0.75 volt [4], [5] (with the body of the semiconductor taken as reference). At this point the Boltzmann factor $\exp(V_i/kT)$ is sufficiently large so that any further increase in gate voltage is met with an increasing accumulation layer at the interface. (For example, for an n -type semiconductor the depletion layer ceases to grow and further control voltage increases are taken up by an accumulation layer of holes.) However, if means are now available to remove these holes (assuming an n -type body for convenience), such as transverse sweep fields or the aforementioned leaky oxide, then careful consideration must be given to the source of supply of the holes and its rate limitations, if any. If the source can supply holes at a rate significantly in excess of their removal then the use of Boltzmann statistics as an approximation is usually valid. If not, then these statistics do not provide an accurate picture, and the steady-state configuration must be determined as a supply-flow limited process.

As an illustration of the above discussion, consider the "induced-channel-" and "depletion-" type transistors, respectively. In the former type unit, with n -type source and drain contacts and a p -type body, the gate is made positive so as to first deplete the holes and finally cause an accumulation (inversion) layer of electrons. This accumulation layer inverts the surface, bridges the n -type source-drain contacts and allows ohmic current flow. In this case the electrons are supplied by the source contact, which may be considered an infinite supply. Hence, the use of Boltzmann statistics to predict the behavior of this unit is justified [5].

In the depletion-type unit the gate is made negative so that electrons are depleted from the n -type channel. Assume for the moment that the surface potential at the silicon-oxide interface V_i reaches the value required (by Boltzmann statistics) to cause inversion *before* the channel has been completely depleted. The statistical theory then says that all further control voltages will be taken up by the accumulation layer of holes. If the field pattern of a long, shallow channel is considered, it can be shown⁷ that the transverse field at

the interface is approximately the same as that in the ohmic region of the channel for $V_D \gg V_i$. Thus the hole accumulation layer at the surface will, in general, be subjected to a field which will sweep the holes to the source contact.

Whether or not the accumulation layer is destroyed depends solely on whether or not the source of the holes can supply them as quickly as the sweep field removes them. In the case of the typical electron conduction-type unit, the holes are supplied by thermal generation and diffusion from the n -type channel and the depletion region underlying the oxide. (It will be assumed that the p -type substrate is reverse biased with respect to the channel.) A typical value of this thermal "back current" for a diode (considering junction generation-recombination as the dominant mechanism) is of the order of 10^{-5} to 10^{-7} a/cm² [7]. For units with channel lengths of the order of a few mils or less, a further calculation shows that a sweep field in the range of millivolt per centimeter is sufficient to remove these holes quickly enough to reduce the steady-state inversion layer significantly below the thermal equilibrium value.⁸

Since transverse fields in these transistors are typically of the order of 10^8 to 10^4 v/cm, it may theoretically be predicted that saturation and pinch-off (both of which would be prevented by an accumulation layer) will, in fact, be observed for all such transistors. It should be added that an oxide that is sufficiently leaky may also be considered (in terms of accumulation layer removal) to act more as a reverse-biased p - n junction rather than as an ideal MOS structure.

Experimental evaluation of the previous discussions appear in the following section.

IV. EXPERIMENTAL RESULTS

A. Saturation Resistance

Several units were fabricated using 1000 ohm-cm p -type material as a substrate. A circular geometry was employed as shown in Fig. 11. The physical dimensions of the units were identical:

Channel length = 5 mils
Channel width = 50 mils
Oxide thickness = 2000 Å.

The varying parameters are the unmodulated channel resistance $1/G_0$ and the pinch-off voltage V_P .

	Unit 1	Unit 2	Unit 3
Pinch-off Voltage, V_P	-2.5 v	-4.0 v	-20.0 v
Channel Resistance, $1/G_0$	10.8 K	18.5 K	1.4 K

A plot of the normalized saturation drain conductance g_d vs gate voltage appears in Fig. 12. For units 1 and

⁷ From the requirement that $\nabla \times \mathbf{E} = -\partial \mathbf{B} / \partial t = 0$.

⁸ See Appendix II.

2 there is a very good degree of linearity and the two curves agree within a few per cent. For unit 3 there is still "factor of two" agreement, which is quite good considering the "order of magnitude" variation in pinch-off voltage and channel resistance and the relative simplicity of the assumed model. Calculating the slope $[C_{de}/C_{ge}=1/\mu_A$ from relation (18)] yields 0.5×10^{-2} . The observed values from Fig. 12 vary between 2.0×10^{-2} to 4.5×10^{-2} . Hence the observed μ_A of the device was somewhat lower than the predicted value.

From the preceding results, it may be concluded that the proposed model does, in fact, provide a good repre-

sentation for the behavior of these units in the saturation mode.

B. Avalanche Breakdown

The breakdown characteristics predicted by the preceding theory were evidenced by all depletion-type units tested (including those of noncircular geometry). A typical set of curves appears in Figs. 13 and 14. The expected "soft" breakdown at zero bias is quite apparent, with a "hardening" of the knee as gate voltage approaches pinch-off. The asymptote is the voltage for direct breakdown from drain to substrate. As the gate voltage is advanced past the pinch-off value the drain voltage required for breakdown is now observed to decrease. It is interesting to note, in Fig. 14, that the difference between the drain voltage and the gate voltage at the commencement of avalanche is fairly constant, tending to indicate that the "breakdown" occurs directly between the drain and gate with the substrate acting as a collector of the impact ionized carriers.

C. Incomplete Pinch-Off

All units tested possessed saturation characteristics. Nonzero currents at pinch-off were traced to reverse leakage between the drain and substrate due to poor diode characteristics. Hence, no evidence to support the presence of an inversion layer in depletion-type units, even for units with 20 to 30 volt pinch-off voltages, was found.

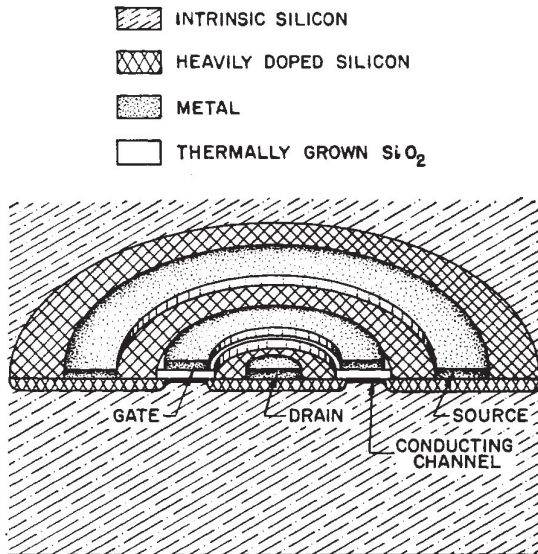


Fig. 11—Circular geometry for MOS field-effect transistors.

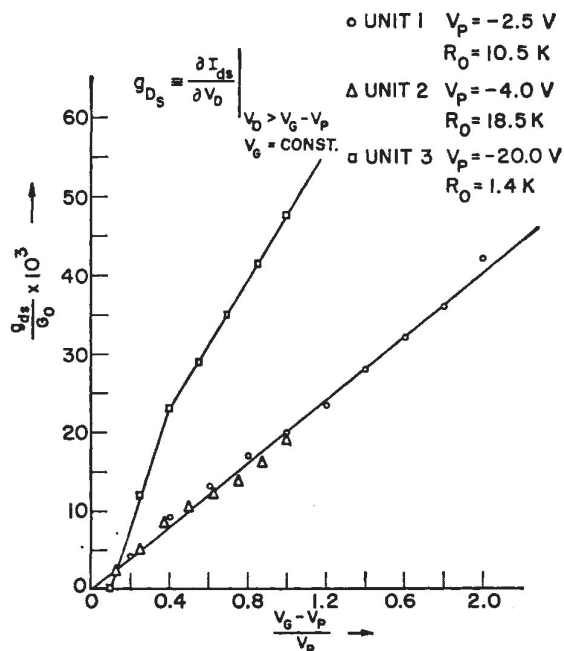


Fig. 12—Plot of g_{ds}/G_0 vs $(V_G - V_P)/V_P$.

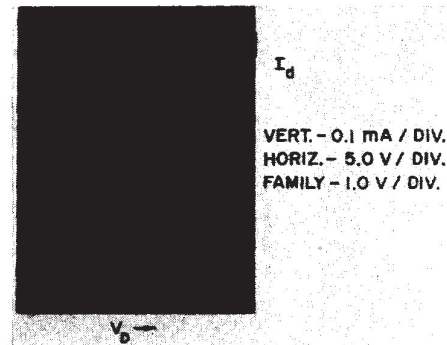


Fig. 13—Characteristic curves illustrating breakdown—n-type channel; $V_{Gate} > V_{Pinch-off}$.

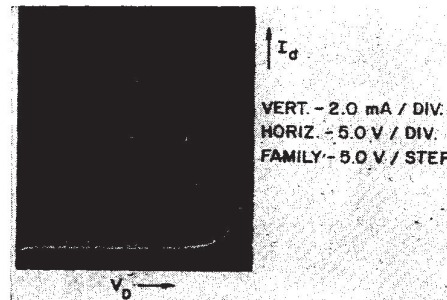


Fig. 14—Characteristic curves illustrating breakdown—n-type channel; $V_{Gate} < V_{Pinch-off}$.

V. INTEGRATED CIRCUITS

Before choosing a logic circuit suitable for integration with induced-channel field-effect transistors, the problems of yield and tolerance must be solved. It has been experimentally observed that the prime failure mechanism in these devices is a faulty gate insulator, probably caused by pinholes in the thermally grown oxide layer, yielding a short circuit between gate and channel. Direct measurements indicate that the oxide defects are randomly distributed on the surface of the silicon crystal and are most probably caused by irregular oxide growth in the neighborhood of surface imperfections. Assuming that the area of the pinhole is much smaller than the area of the gate electrode, one may postulate a model of randomly distributed points. Then the probability of covering k pinholes with an electrode of area A is given by the Poisson distribution,

$$P(k; A) = \frac{(nA)^k}{k!} e^{-nA}, \quad (22)$$

where n is the average surface density of pinholes. The probability of success P_s is just the probability of covering zero pinholes and is given by

$$P_s = P(0, A) = e^{-nA}. \quad (23)$$

Since the pinholes were assumed to be randomly distributed the success of one unit is independent of the success of an adjacent unit (uncorrelated events), and the probability of obtaining N good transistors in an array is simply

$$P_c \equiv (P_s)^N = (e^{-nA})^N = e^{-n(AN)}, \quad (24)$$

and depends only on the total active area of the circuit NA . The pinhole density n has been determined experimentally by verification of (23). Its value is approximately 1000 cm^{-2} for the crystals dealt with. Thus a transistor having a gate electrode area of $6 \times 1 \times 10^{-6} \text{ in}^2 = 3.87 \times 10^{-5} \text{ cm}^2$ has a probability of success equal to $P_s = 0.968$, and an array of 16 such transistors has a probability of success equal to $P_c = 0.538$. These figures have been confirmed on a series of recently fabricated wafers. When room for wiring and dicing is taken into consideration a normal 1-inch diameter silicon wafer will easily accommodate 20 circuits containing a 4×4 array of 16 transistors per circuit. The probability of obtaining exactly m operative circuits out of 20, with a probability of success for each circuit given by P_c , is simply

$$P_m = \frac{(20)!}{m!(20-m)!} P_c^m (1 - P_c)^{20-m}. \quad (25)$$

The probability of getting at least r operative circuits is the sum of probabilities P_m for $r \leq m \leq 20$,

$$P_{m \geq r} = \sum_{m=r}^{20} \frac{(20)!}{m!(20-m)!} P_c^m (1 - P_c)^{20-m}. \quad (26)$$

The plot of $P_{m \geq r}$ as a function of r (with $P_c = 0.5$ for convenience), is shown in Fig. 15.

Although the over-all yield of operative circuits is 50 per cent, there may be occasions when a certain minimum number of operative circuits per wafer becomes the governing parameter. As an example, consider the special case where it is desired to integrate r circuits from the 20 on each wafer and, furthermore, assume that any pattern of the r circuits may be integrated just as easily. The total yield of useful wafers is then given by the factor $P_{m \geq r}$. If, for instance, it is desired to integrate five circuits out of the 20, then Fig. 15 gives a total yield of successfully integrated wafers in excess of 99.4 per cent.

In the light of the above results, a logic block containing 16 transistors was fabricated. The schematic diagram is shown in Fig. 16. The necessary load resistors will be fabricated as a part of the package and will not be integrated on the silicon wafer. Fig. 17 is a photograph of the wiring layout on the surface of the silicon wafer. All wiring is insulated from the silicon by evaporated silicon monoxide and room is left between circuits for dicing. A change in circuit design only necessitates a new wiring pattern. The transistor layout shown in Fig. 9 is fabricated before wiring.

The tolerance problem, when applied to logical circuits, reduces to a problem of worst-case design. That is, all transistors having at least a minimum performance parameter are acceptable. The circuit analyzed is the simple logical inverter shown in Fig. 18, where the parasitic resistances, R_s and R_D , of the induced-channel field-effect transistor have been assumed to be zero. (This assumption is quite good in the recently fabricated devices.) The condition for stable inversion is that the output voltage of the inverter V_D be less than V_P (a positive quantity for the n - p - n induced channel unit) when the input voltage is V_B (the supply voltage). This means that V_D applied to a successive stage will cut off this transistor and produce an output of V_B . Equating V_D to V_P gives the equations for marginal stability.

With $R_s = R_D = 0$, (8) becomes

$$I_d = \beta(V_G - V_P)V_D \left[1 - \frac{1}{2} \frac{V_D}{V_G - V_P} \right], \quad (27)$$

and expresses the drain characteristics of the transistor before saturation of drain current. If the load resistance R_L is chosen to operate in this region, we have, setting $V_D = V_P$ and $V_G = V_B$,

$$I_d = \beta(V_B - V_P)V_P \left[1 - \frac{1}{2} \frac{V_P}{V_G - V_P} \right] \quad (28)$$

and

$$V_B - V_P = I_d R_L. \quad (29)$$

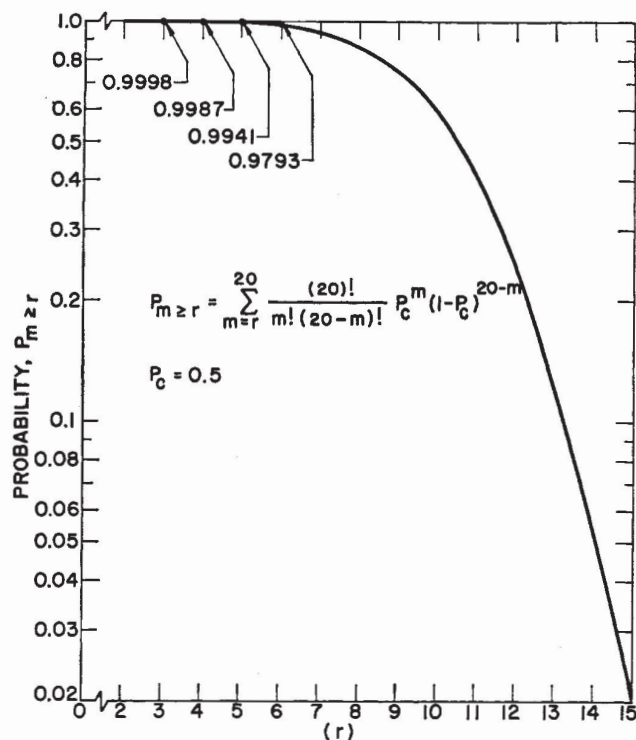


Fig. 15—Probability of obtaining at least r operative circuits out of 20.

Eliminating I_d from the above equations yields the following relation between R_L , V_B , β , and V_P :

$$R_L = \frac{1}{(\beta V_P)} \frac{1}{\left[1 - \frac{1}{2(V_B/V_P - 1)}\right]}. \quad (30)$$

From physical reasoning it is seen that the load resistor given above is the minimum value that can be tolerated to yield logical stability. The function multiplying $1/\beta V_P$ is monotonically decreasing for $V_B/V_P > 1$ and lies between 2 and 1 for $V_B/V_P \geq 2$. Therefore, for logical stability with a reasonable choice of supply voltage V_B ,

$$R_L \geq \frac{2}{\beta V_P}. \quad (31)$$

The worst-case design dictates that we choose a load resistor compatible with a transistor having the smallest β and V_P . If we assume that the output of each transistor is connected to the gate of f others (f is the fan-out), then $\tau = fR_L C_{in}$ is the time constant of each stage. Using the equality in (31) yields

$$\tau = fR_L C_{in} = f \frac{2L^2}{\mu V_P}, \quad (32)$$

where τ is roughly the rise time, or pair delay of the inverter. This analysis was carried out for the n - p - n induced-channel field-effect transistor where V_P is a positive number.

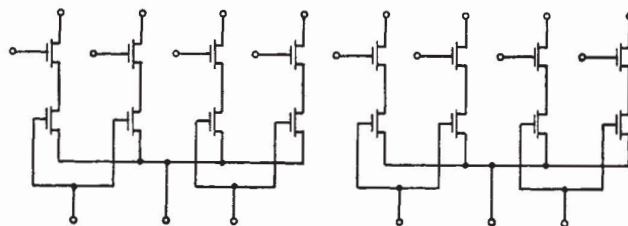


Fig. 16—Schematic diagram of 16-transistor logic block.



Fig. 17—Wiring layout of integrated logic blocks.

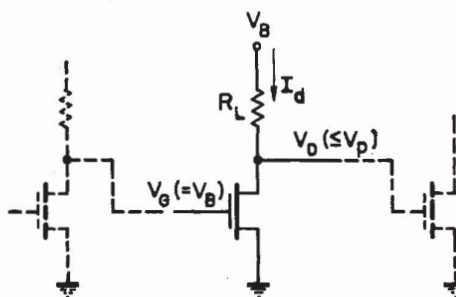


Fig. 18—Circuit of simple logical inverter.

APPENDIX I

For the following it will be assumed that:

- 1) The oxide thickness $> 3 \times$ channel depth.
- 2) The silicon substrate is intrinsic and may be treated as an insulator.
- 3) The transistor is operating in the saturation region.
- 4) All quantities are in terms of per unit width of the channel unless otherwise specified.

Condition 1) assures that most of the gate-channel voltage drop appears across the oxide. This yields a "square law" characteristic, *i.e.*

$$g_m \equiv \frac{\partial I_{ds}}{\partial V_D} \bigg|_{V_G} = G_0 \frac{V_G - V_P}{V_P}, \quad (33)$$

where

$$G_0 \equiv \frac{\partial I_d}{\partial V_D} \bigg|_{V_G=0, V_D=0} = \text{unmodulated channel conductance.}$$

A change in drain voltage ΔV_D will therefore cause a change in the average channel sheet charge of $\Delta Q = C_{dc} \Delta V/L$ per unit area, where

C_{dc} = effective drain-to-channel coupling capacitance,⁹
per unit channel width, and
 L = channel length.

This change in charge sees an average electric field from source to drain of $V_G - V_P/L$ with an effective channel mobility of μ_{eff} . The change in the drain current may therefore be written as

$$\Delta I_{ds} = \left(\frac{C_{dc} \Delta V_D}{L} \right) \left(\frac{V_G - V_P}{L} \right) (\mu_{eff}) \quad (34)$$

⁹ It should be mentioned at this point that C_{dc} is an equivalent "lumped" feedback capacitance. Actually, the drain-to-channel feedback capacitance is distributed along the channel length, and its effect on the channel charge and drain current must be computed using an incremental technique similar to the one used to calculate the basic device characteristic equations. However, as a first approximation, the model of Fig. 10 may be used with the assumption that the field lines between the drain and the underside of the channel are semicircles. Then the incremental capacitance from the drain to a section of channel dx in length is simply

$$d(C_{dc}) = \frac{\epsilon_{si}}{\pi x} dx \quad (1)$$

where x = the distance from the edge of the drain contact to dx . To obtain the total capacitance the above expression must be integrated over the ohmic regions of the channel (regions 1 and 2).

$$C_{dc} = \int_{x_{min}}^L \frac{\epsilon_{si}}{\pi x} dx = \frac{\epsilon_{si}}{\pi} \ln (L/X_{min}). \quad (2)$$

X_{min} corresponds to the beginning of region 3 (the space-charge region) and hence will be a function of V_d . However, C_{dc} varies logarithmically with X_{min} and hence will be relatively invariant. Setting L/X_{min} typically at 10^{+1} – 10^{+2} yields

$$C_{dc} \approx \epsilon_{si} \text{ unit width of channel.} \quad (3)$$

or

$$g_{ds} \equiv \frac{\Delta I_{ds}}{\Delta V_D} \bigg|_{V_G} = \frac{C_{dc}(V_G - V_P)\mu_{eff}}{L^2}. \quad (35)$$

The above relation may be further simplified by use of the following two sub-relations:

$$G_0 = Q\mu_{eff}/L, \quad (36)$$

$$V_P = \left(\frac{L}{C_{gc}} \right) Q, \quad (37)$$

where

Q = unmodulated channel sheet charge/unit area

and

C_{gc} = gate-to-channel capacitance.

Using these relations in (35) yields

$$g_{ds} = g_m(C_{dc}/C_{gc}). \quad (38)$$

Since $C_{cg} = (L/T_{ox})(\epsilon_{ox})$, relation (38) may be rewritten as

$$g_m/g_{ds} = \mu_{in} = C_{gc}/C_{dc} = \frac{L}{T_{ox}} \frac{\epsilon_{ox}}{\epsilon_{si}}. \quad (39)$$

APPENDIX II

Assume an n -type channel and hence an inversion layer of holes. Let

I_r = reverse saturation hole current to gate, (assuming for the moment that the gate is a hole sink, *e.g.*, a p - n junction),

E_s = transverse sweep field,

L_c = channel length,

μ_o = effective mobility of the holes in the inversion layer,

T_{ox} = oxide thickness,

Qe_{TH} = inversion layer density (sheet charge/unit area) from the thermal equilibrium equation,

Qe_{ss} = the actual steady-state inversion layer density,

ϵ_{ox} = dielectric constant of the SiO_2 , and

V_{Gi} = gate potential for which the surface potential V_i (at the oxide-silicon interface) is just sufficient to cause inversion.

From the requirement of conservation of charge, one may then write to a close approximation

$$Qe_{ss}E_s\mu_o \approx I_rL_c,$$

hence

$$Qe_{ss} = \frac{I_rL_c}{E_s\mu_o}.$$

Now

$$Qe_{TH} = \left(\frac{V_G - V_{Gi}}{T_{ox}} \right) (\epsilon_{ox}) \text{ (for a thick oxide) } V_G > V_{Gi}$$

and hence

$$Qe_{ss}/Qe_{TH} = \frac{(I_s L_c)(T_{oz})}{(E_s \mu_e)(V_G - V_{G_i})\epsilon_{oz}}$$

For a typical unit

$$L_c \approx 10^{-3} \text{ cm (worst-case approximation)}$$

$$\mu_e = 300 \text{ cm}^2/\text{v}\cdot\text{sec}$$

$$T_{oz} \approx 1000 \text{ \AA} = 1 \times 10^{-5} \text{ cm}$$

$$\epsilon_{oz} = \frac{1}{3} \times 10^{-12}/\text{cm},$$

and letting, typically, $V_G - V_{G_i} = 10 \text{ v}$, one has

$$Qe_{ss}/Qe_{TH} = \left(\frac{10^{-5} \times 10^{-5}}{300 E_s} \right) \left(\frac{1}{\frac{1}{3} \times 10^{-12}} \right) \left(\frac{10^{-5}}{10} \right) \\ \approx \frac{10^{-4}}{E_s}.$$

Hence, $E_s \geq \text{mv/cm}$ is adequate to reduce the inversion layer far below its thermal equilibrium value.

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points were brought to light in the stimulating discussions with T. O. Stanley and W. M. Webster. The authors would also like to thank J. McCusker, L. Pensak, A. Rose, M. E. Sekely, R. B. Schilling, H. S. Sommers, Jr., J. T. Wallmark and K. H. Zaininger for their helpful comments. J. Briggs is responsible for the delicate job of dicing the wafers and G. Lang did the chemical polishing.

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Reliability vs Component Tolerances in Microelectronic Circuits*

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Summary—It is well known that the reliability of a circuit can be increased by designing it for worst-case conditions so that, even if component characteristics drift, the circuit will still operate satisfactorily. However, it is shown in this paper that extreme worst-case design can lead to increased operating temperature and, therefore, again reduced reliability. A method, illustrated by two practical examples, is indicated to find the compromise in component and circuit design tolerances leading to maximum reliability at any specified time or over any specified time interval.

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I. INTRODUCTION

IN THE design of electronic circuits considerable attention must be given to the tolerances of the components used. These tolerances include initial deviations from nominal values at room temperature, reversible deviations caused by the operating temperature being higher than room temperature (drift), and large nonreversible deviations caused by aging, nuclear environment, etc. (catastrophic failures).

In general, circuits are designed to operate satisfactorily even if all components have values which are at the extreme end of the tolerance range—so-called worst-

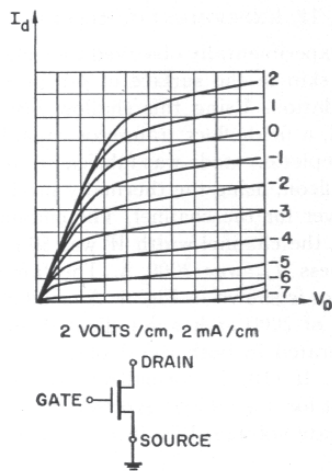


Fig. 4—Drain characteristics of experimental transistor.

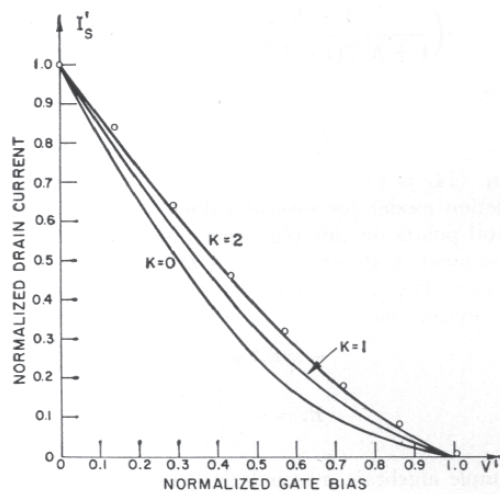


Fig. 5—Variation in drain current with gate bias.

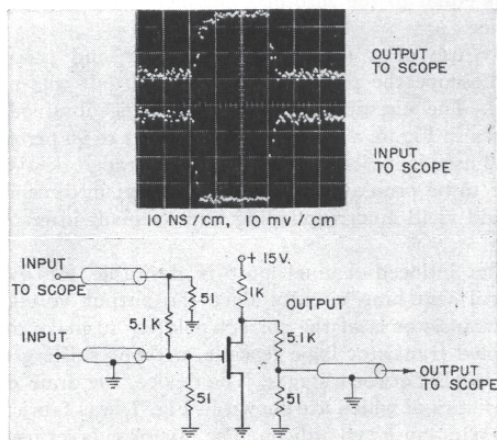


Fig. 6—Pulse response of transistor.

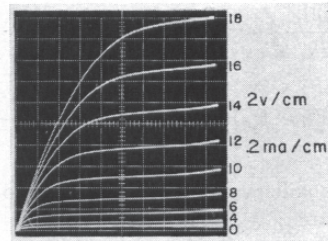
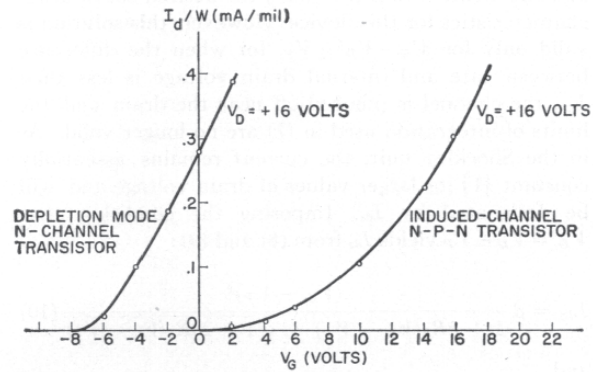
Fig. 7—Induced-channel *n-p-n* field-effect transistor.

Fig. 8—Comparison of transfer characteristics for field-effect transistors.

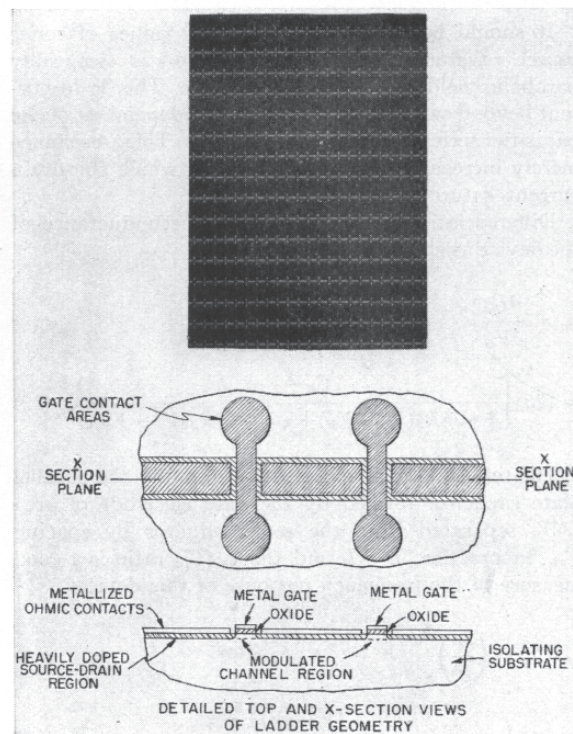


Fig. 9—Ladder geometry layout of field-effect transistor.

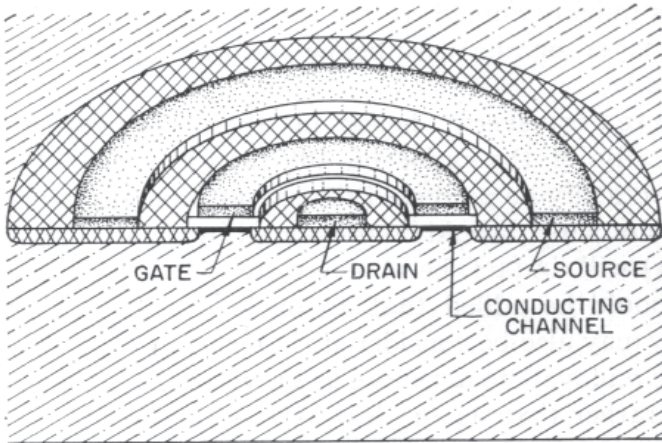


Fig. 11—Circular geometry for MOS field-effect transistors.

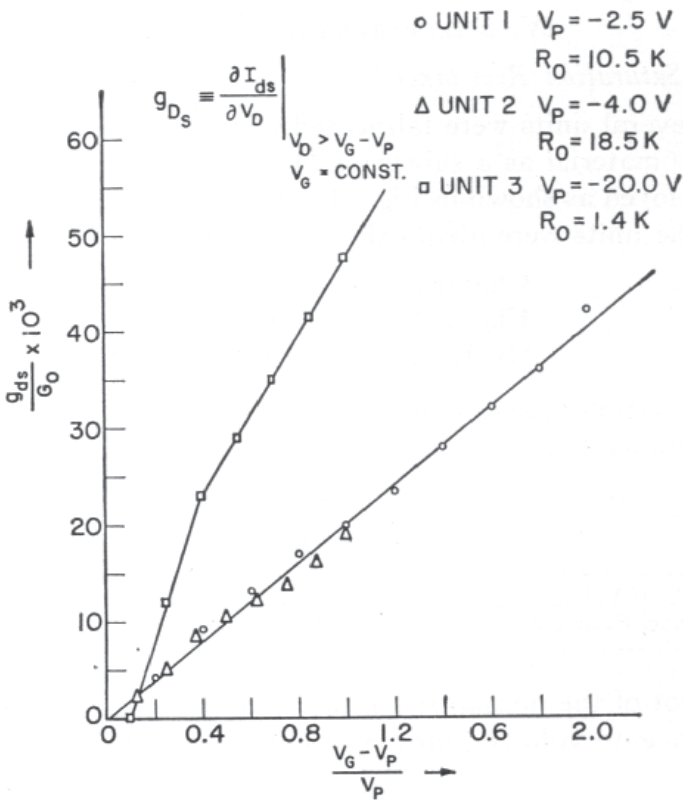


Fig. 12—Plot of g_{ds}/G_0 vs $(V_G - V_P)/V_P$.

substrate acting as a collector of the impact ionized carriers.

C. Incomplete Pinch-Off

All units tested possessed saturation characteristics. Nonzero currents at pinch-off were traced to reverse leakage between the drain and substrate due to poor diode characteristics. Hence, no evidence to support the presence of an inversion layer in depletion-type units, even for units with 20 to 30 volt pinch-off voltages, was found.

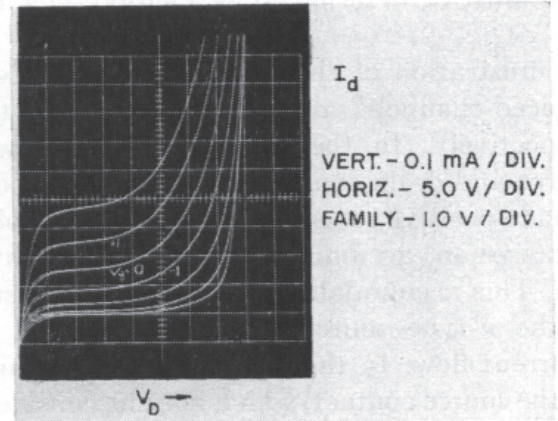


Fig. 13—Characteristic curves illustrating breakdown— n -type channel; $V_{Gate} > V_{Pinch-off}$.

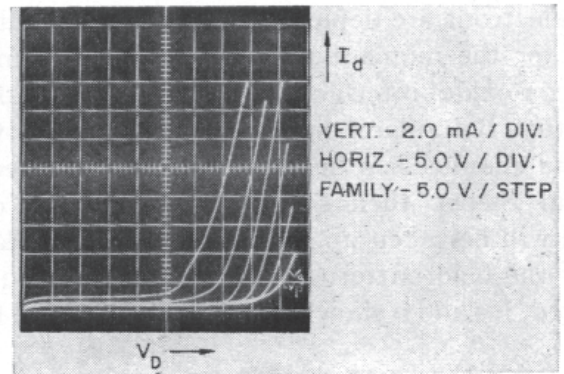


Fig. 14—Characteristic curves illustrating breakdown— n -type channel; $V_{Gate} < V_{Pinch-off}$.

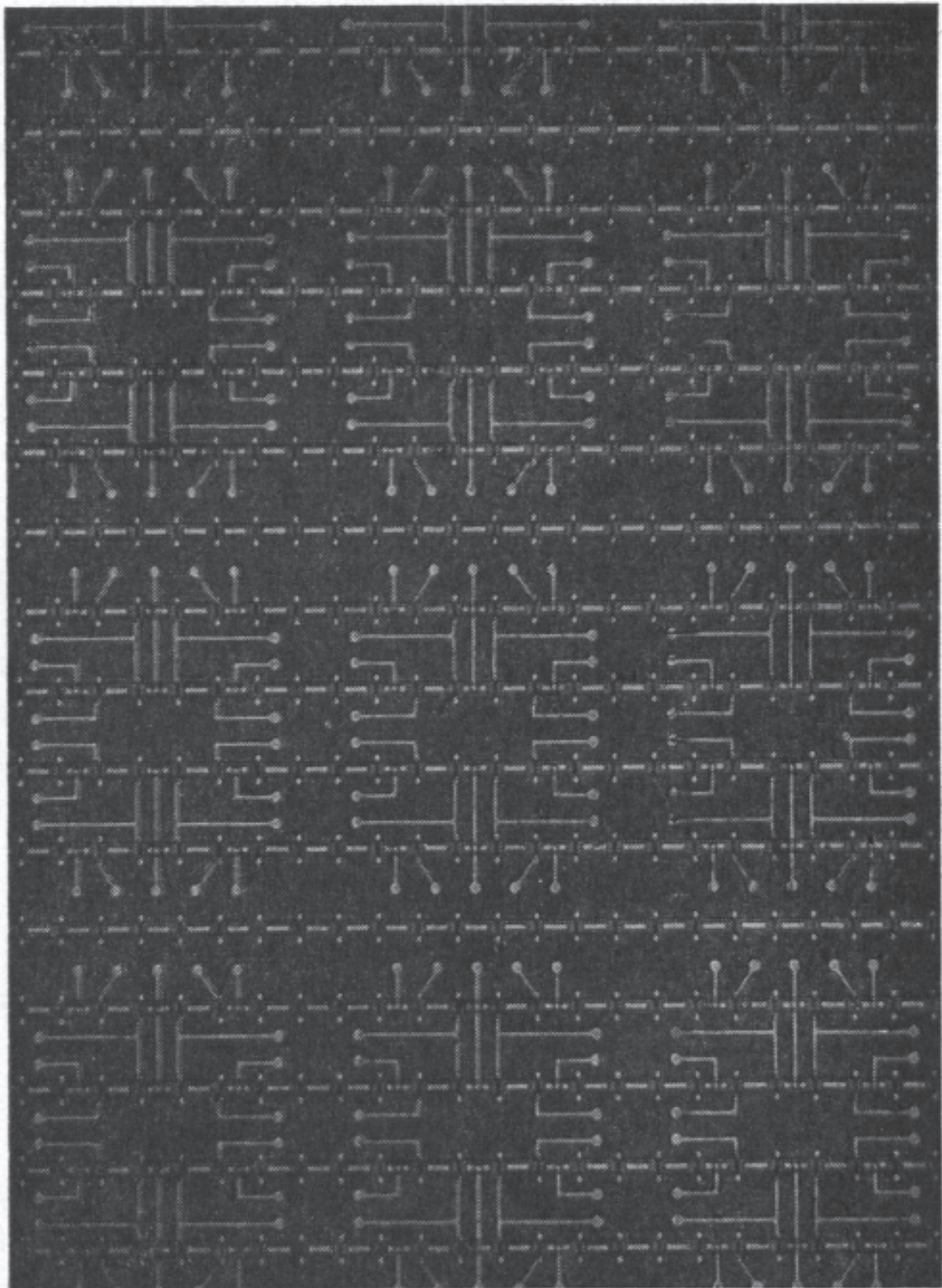


Fig. 17—Wiring layout of integrated logic blocks.