US 20120197093A1

(19) **United States**

(12) **Patent Application Publication**

(10) **Pub. No.: US 2012/0197093 A1**

(43) **Pub. Date: Aug. 2, 2012**

LeBoeuf et al.

(54) **APPARATUS AND METHODS FOR MONITORING PHYSIOLOGICAL DATA DURING ENVIRONMENTAL INTERFERENCE**

(76) Inventors: **Steven Francis LeBoeuf**, Raleigh, NC (US); **Jesse Berkley Tucker**, Knightdale, NC (US); **Michael Edward Aumer**, Raleigh, NC (US); **Eric Douglas Romesburg**, Chapel Hill, NC (US); **Joseph Norman Morris**, Chapel Hill, NC (US)

(21) Appl. No.: **13/358,102**

(22) Filed: **Jan. 25, 2012**

Related U.S. Application Data

(60) Provisional application No. 61/436,664, filed on Jan. 27, 2011.

Publication Classification

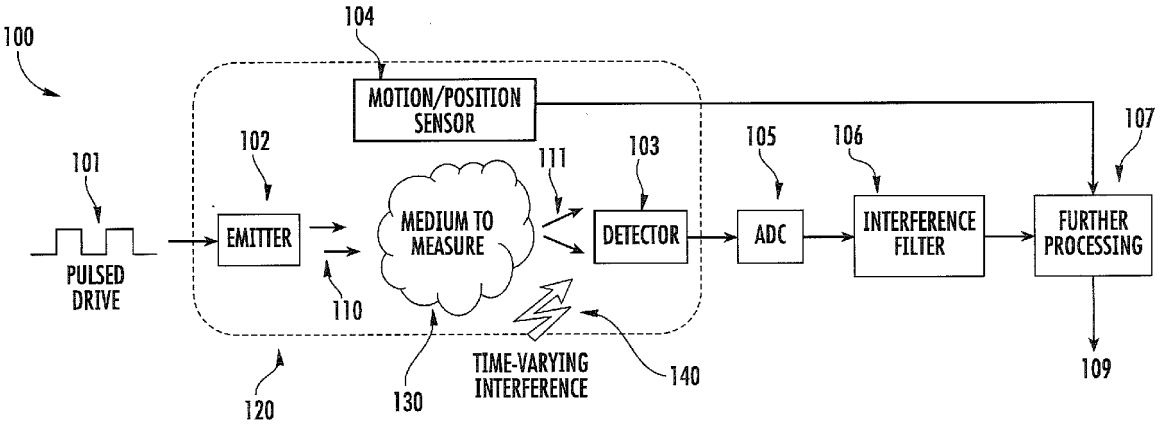
(51) **Int. Cl.**

A61B 5/00	(2006.01)
A61B 6/00	(2006.01)
A61B 8/00	(2006.01)
H01L 31/16	(2006.01)
A61B 5/05	(2006.01)

(52) **U.S. Cl.** **600/301**; 250/226; 600/409; 600/437; 600/436; 600/476

(57) **ABSTRACT**

Apparatus and methods for attenuating environmental interference are described. A wearable monitoring apparatus includes a housing configured to be attached to the body of a subject and a sensor module that includes an energy emitter that directs energy at a target region of the subject, a detector that detects an energy response signal—or physiological condition—from the subject, a filter that removes time-varying environmental interference from the energy response signal, and at least one processor that controls operations of the energy emitter, detector, and filter.



Petitioner WHOOP, Inc. Ex1032
Page 2 of 46

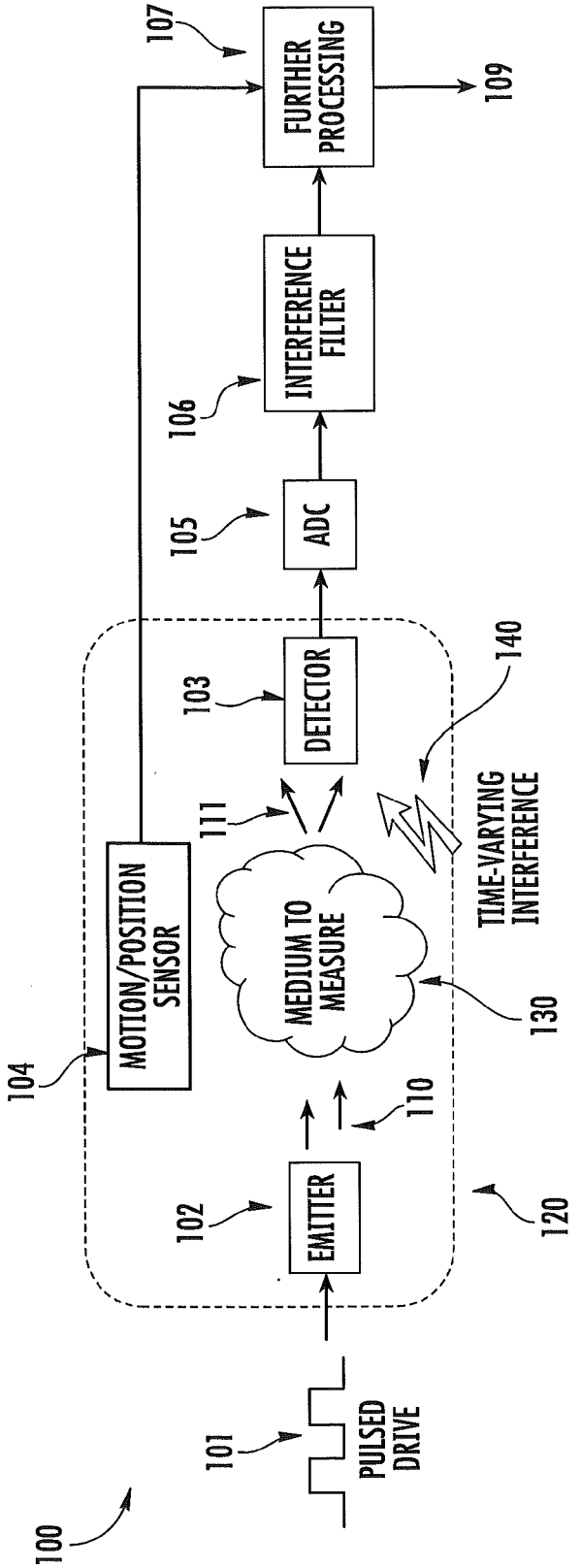


FIG. 1

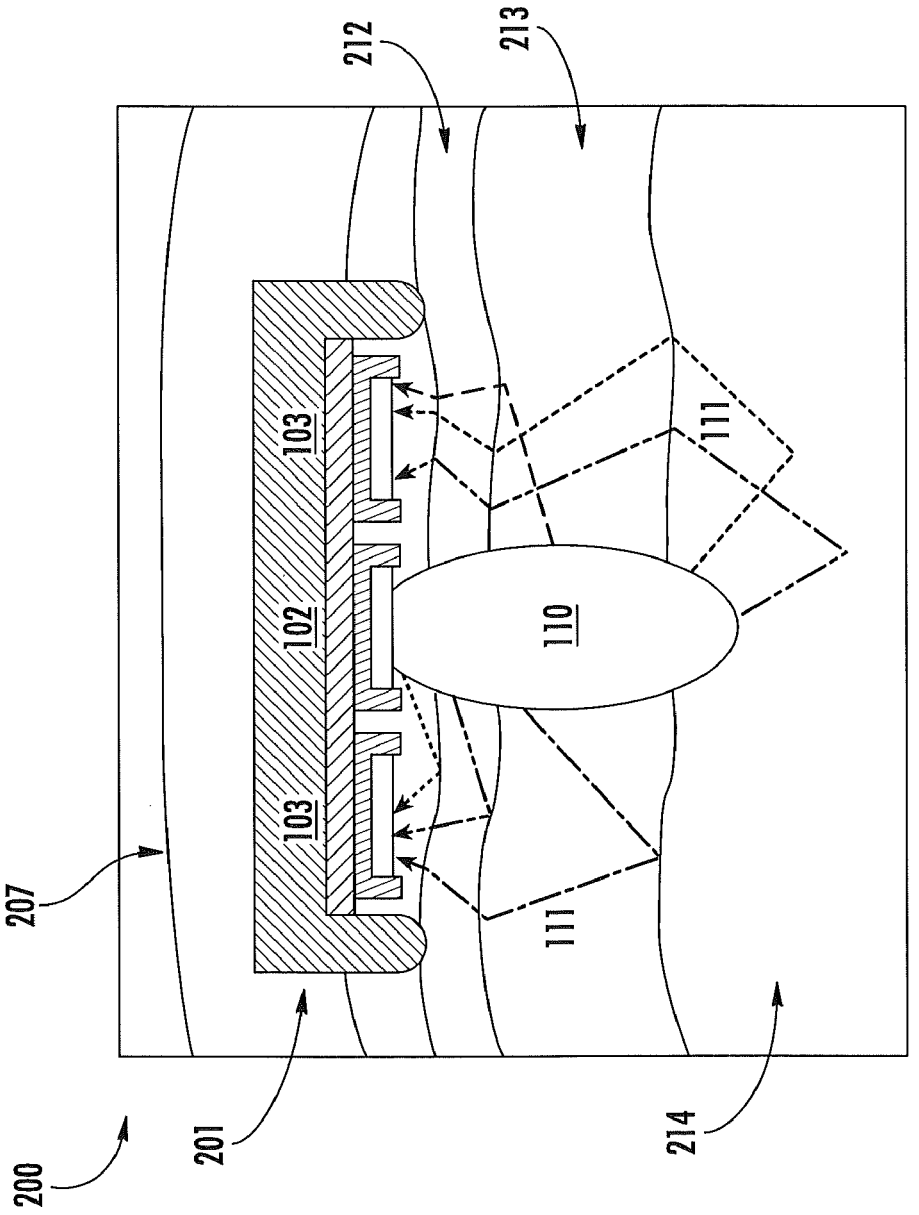


FIG. 2

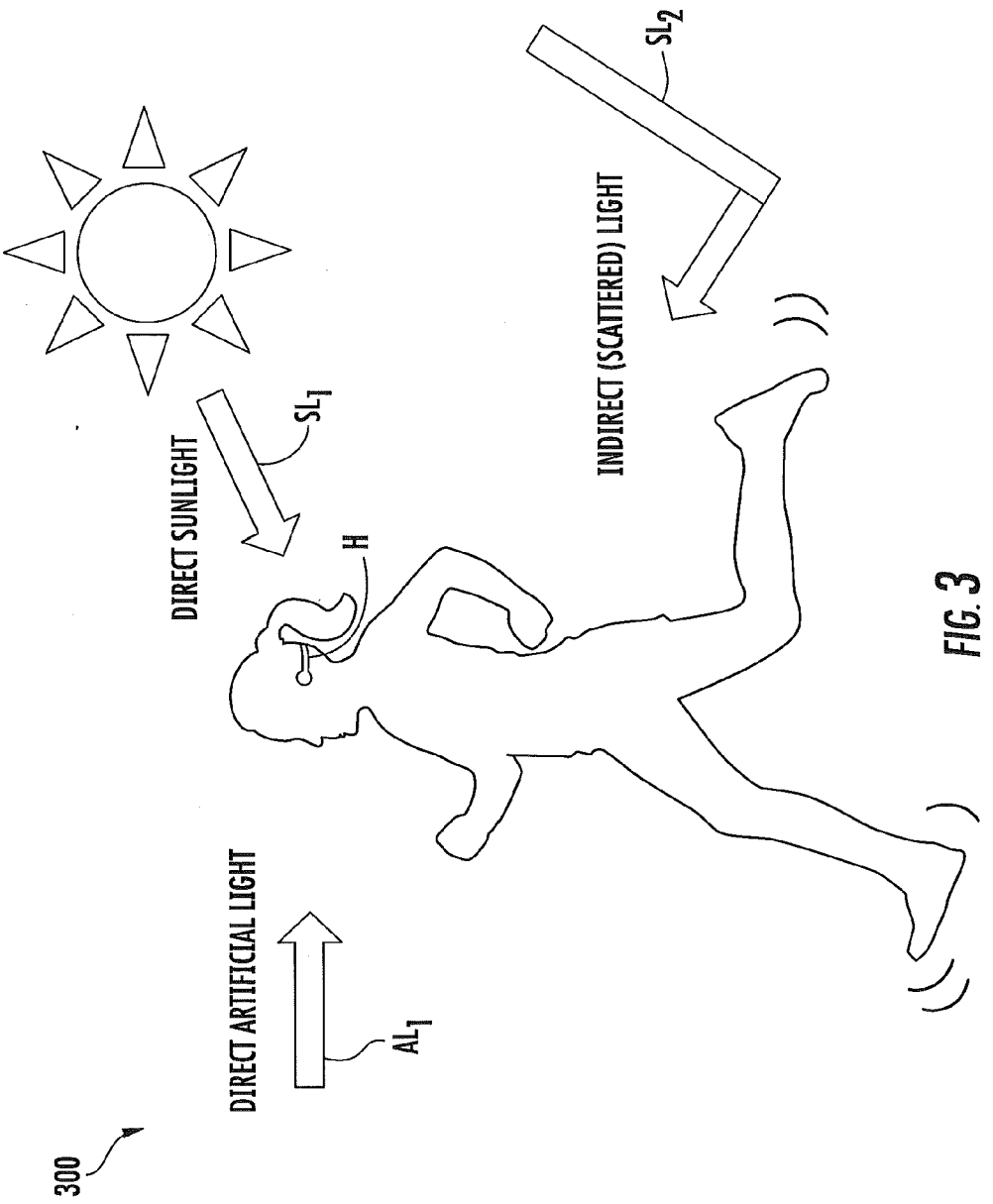

300
DIRECT ARTIFICIAL LIGHT
AL_1
DIRECT SUNLIGHT
SL_1
H
INDIRECT (SCATTERED) LIGHT
SL_2

FIG. 3

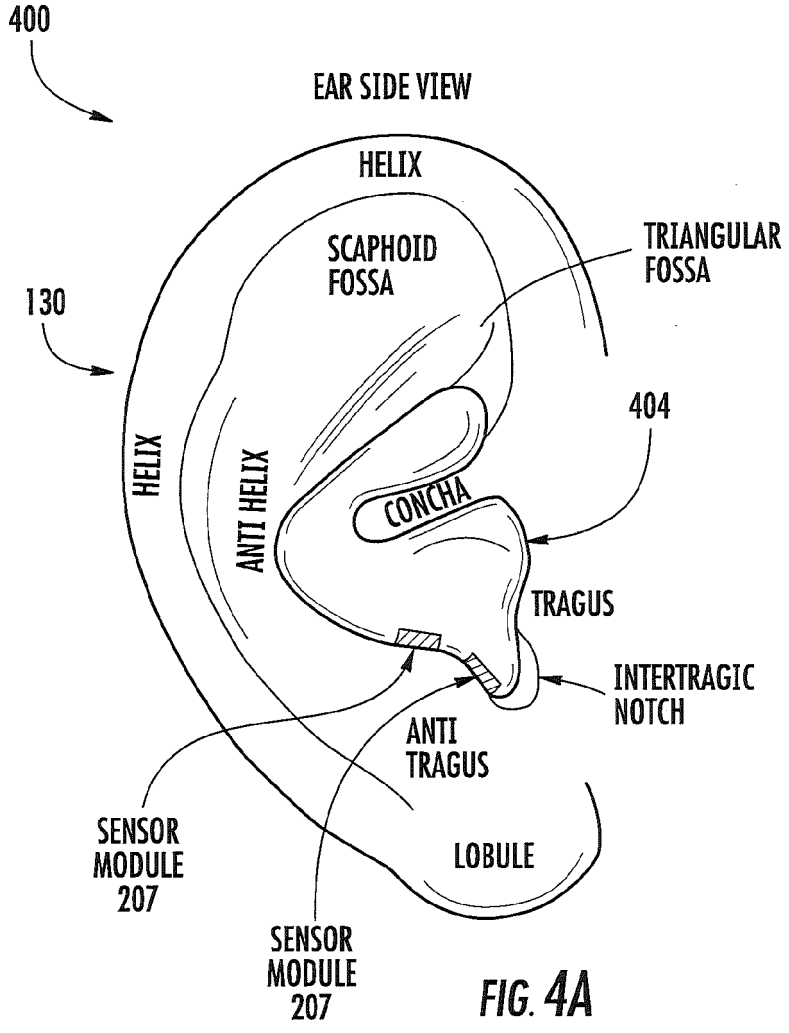

400
EAR SIDE VIEW
HELIX
SCAPHOID FOSSA
TRIANGULAR FOSSA
130
404
HELIX
ANTI HELIX
CONCHA
TRAGUS
INTERTRAGIC NOTCH
ANTI TRAGUS
SENSOR MODULE 207
LOBULE
SENSOR MODULE 207

FIG. 4A

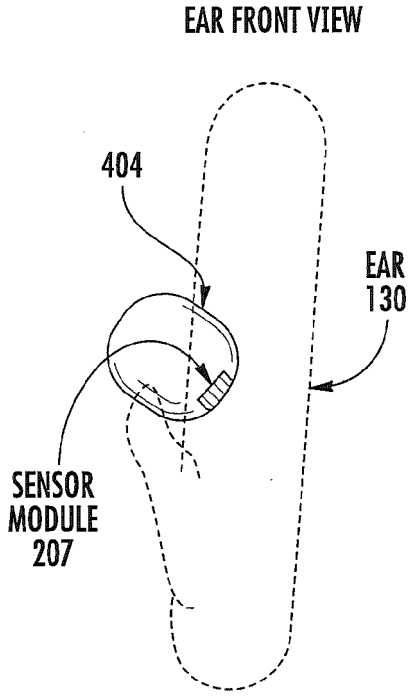

EAR FRONT VIEW
404
EAR 130
SENSOR MODULE 207

FIG. 4B

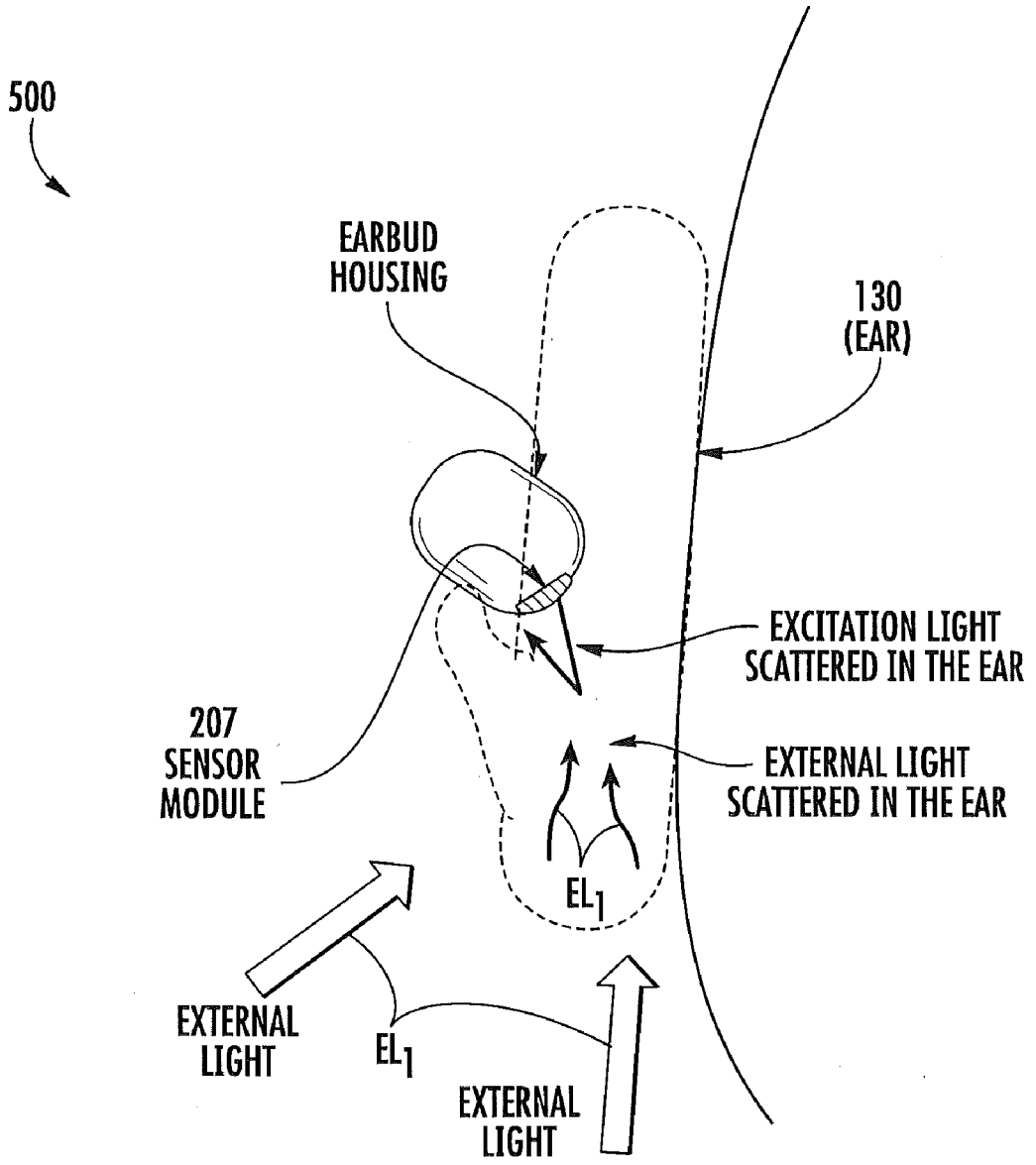

500
EARBUD HOUSING
130 (EAR)
EXCITATION LIGHT SCATTERED IN THE EAR
207 SENSOR MODULE
EXTERNAL LIGHT SCATTERED IN THE EAR
EL1
EXTERNAL LIGHT
EL1
EXTERNAL LIGHT

FIG. 5

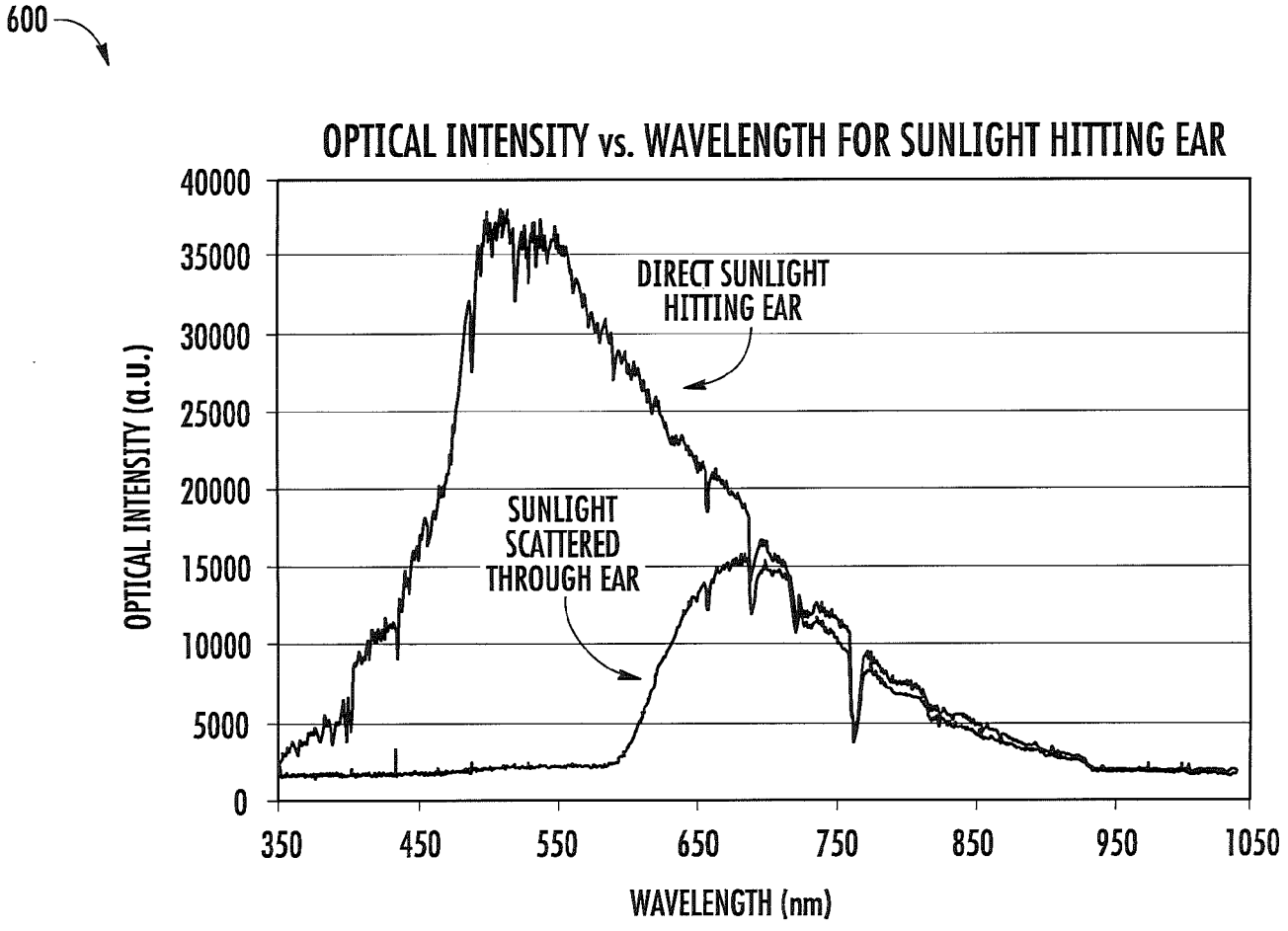

600
OPTICAL INTENSITY vs. WAVELENGTH FOR SUNLIGHT HITTING EAR
OPTICAL INTENSITY (a.u.)
40000
35000
30000
25000
20000
15000
10000
5000
0
DIRECT SUNLIGHT HITTING EAR
SUNLIGHT SCATTERED THROUGH EAR
350
450
550
650
750
850
950
1050
WAVELENGTH (nm)

FIG. 6

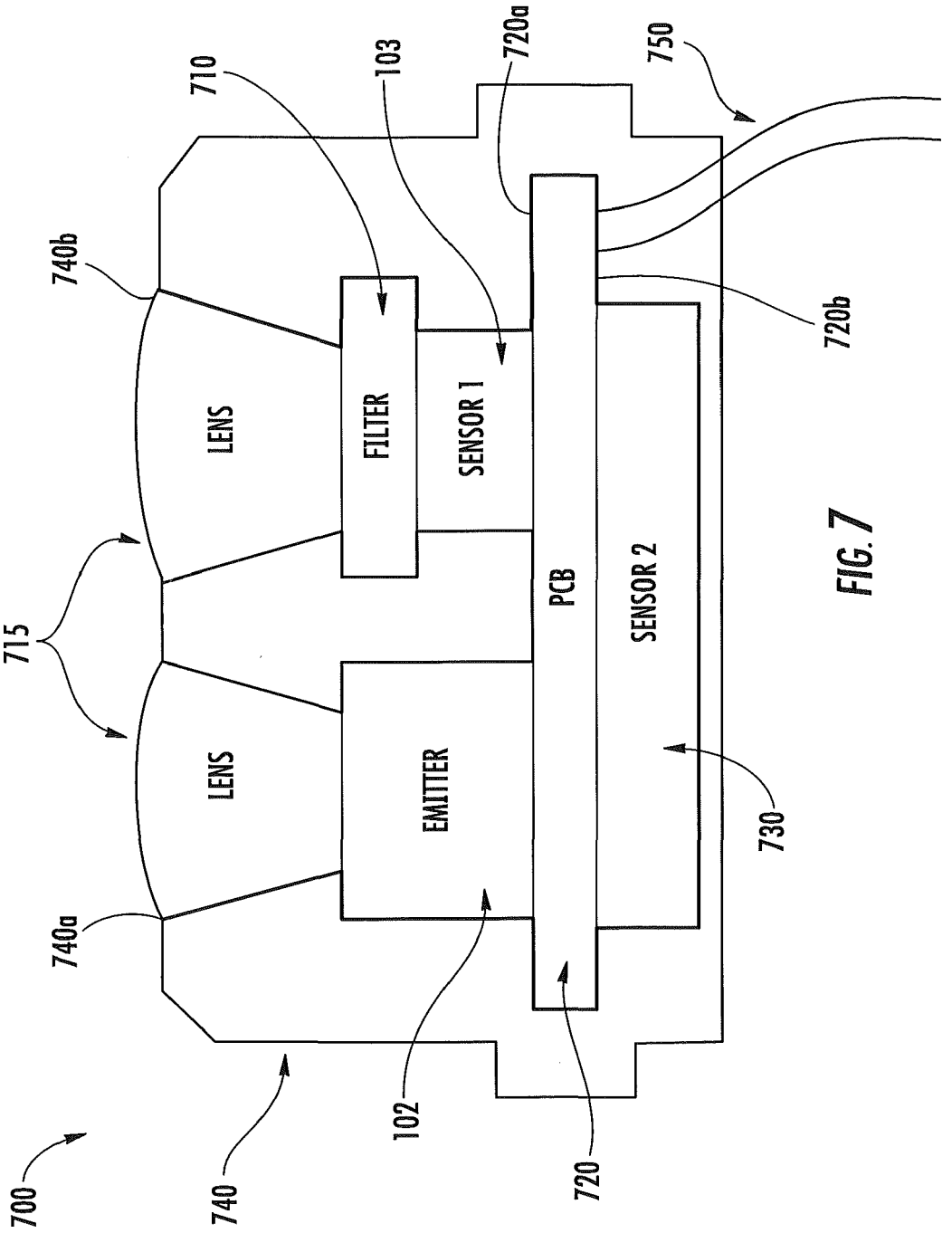

700
740
102
720
740a
740b
715
LENS
LENS
EMITTER
FILTER
SENSOR 1
PCB
SENSOR 2
710
103
720a
750
720b
730

FIG. 7

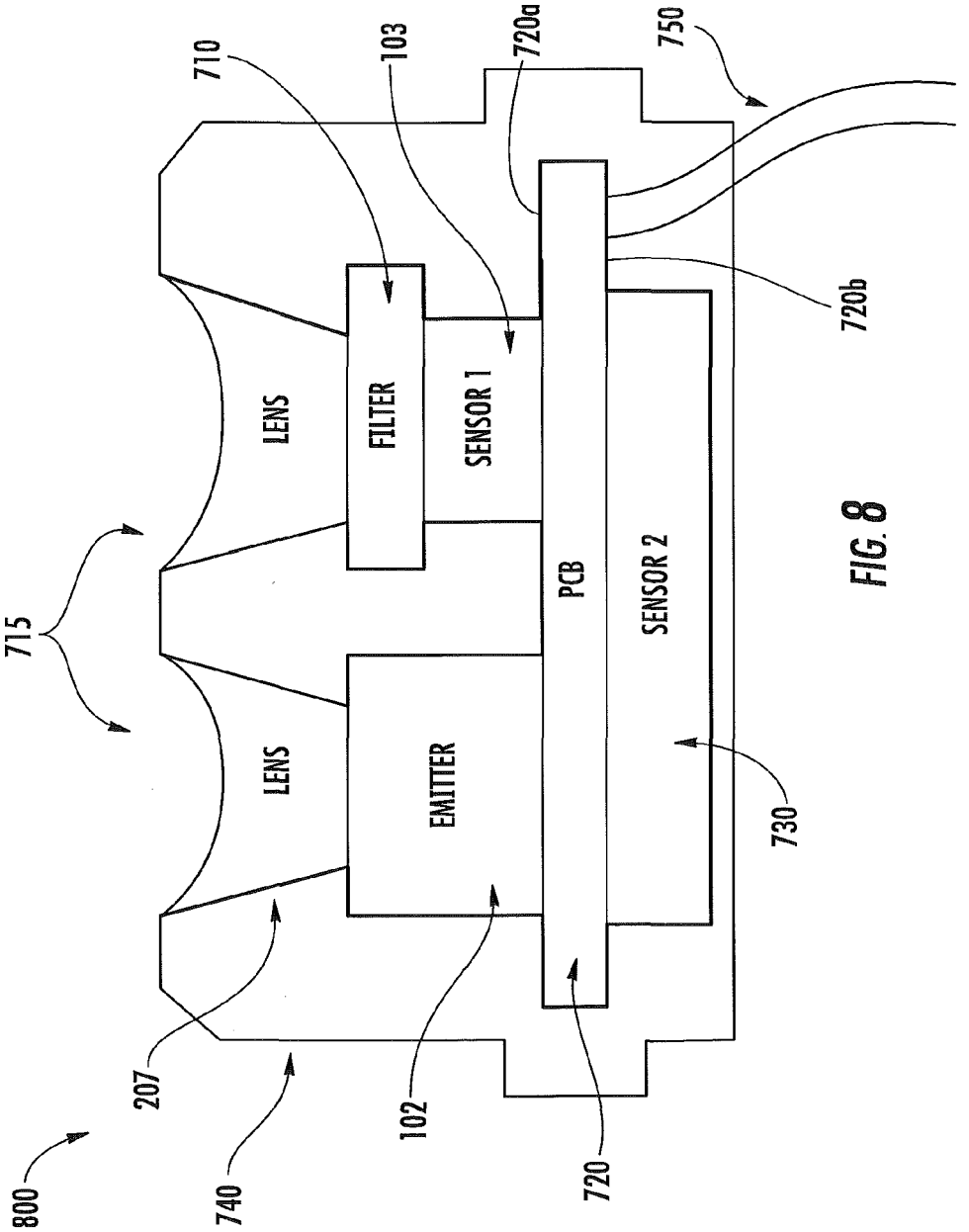

710
103
720a
750
720b
LENS
FILTER
SENSOR 1
PCB
SENSOR 2
715
LENS
EMITTER
730
207
740
102
720
800

FIG. 8

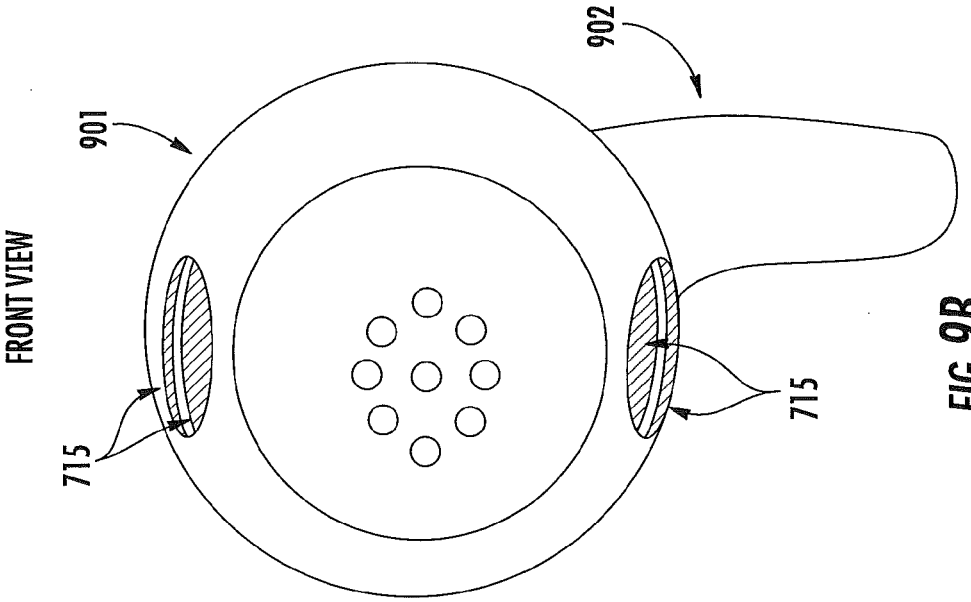

FRONT VIEW
901
902
715
715

FIG. 9B

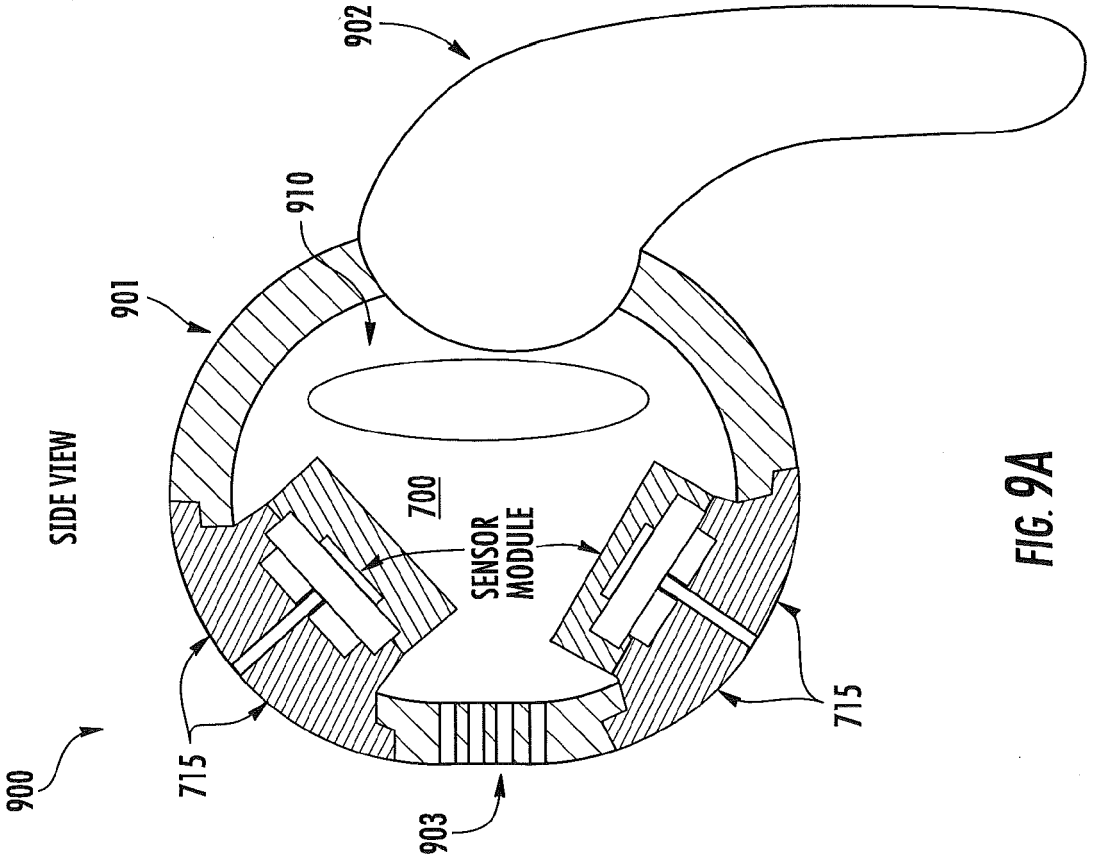

SIDE VIEW
900
901
902
910
700
SENSOR MODULE
715
715
903

FIG. 9A

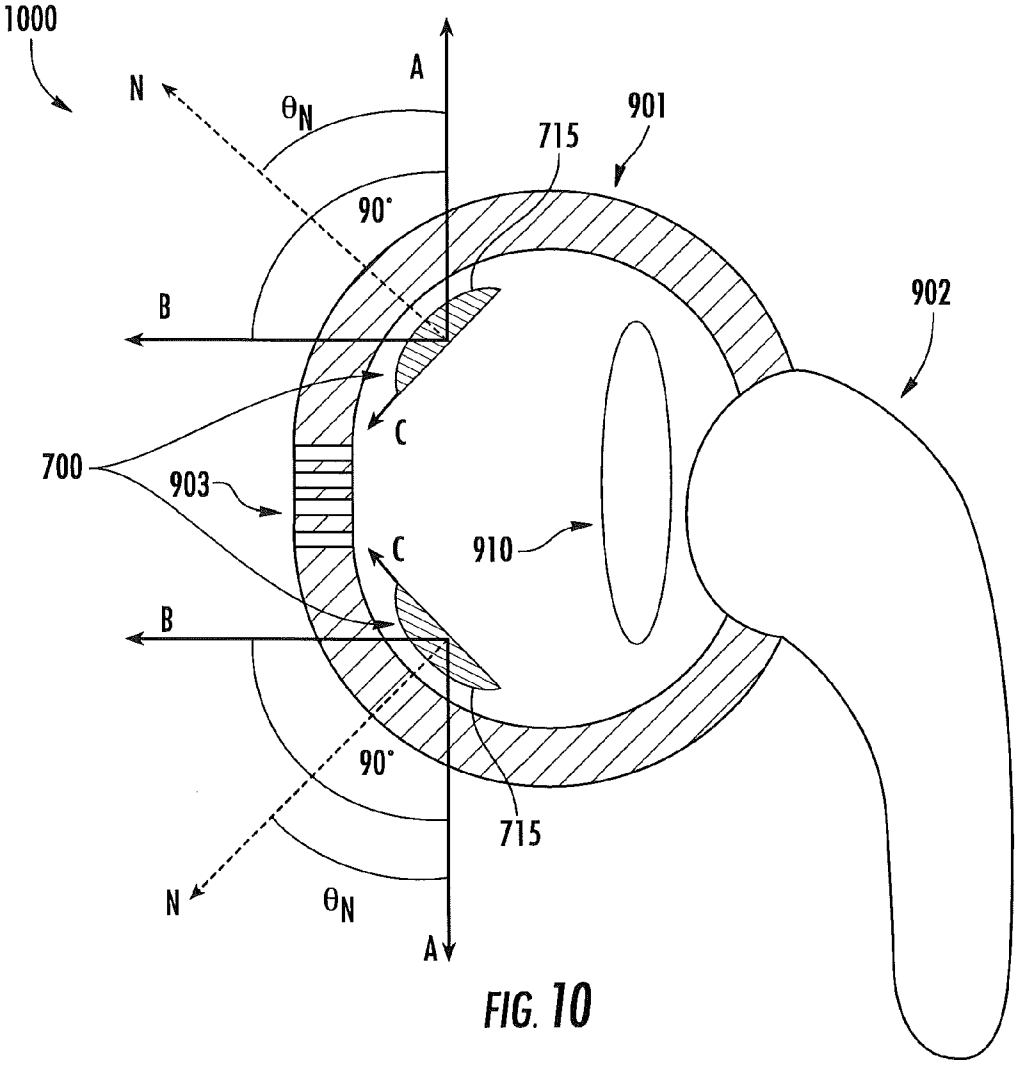


FIG. 10

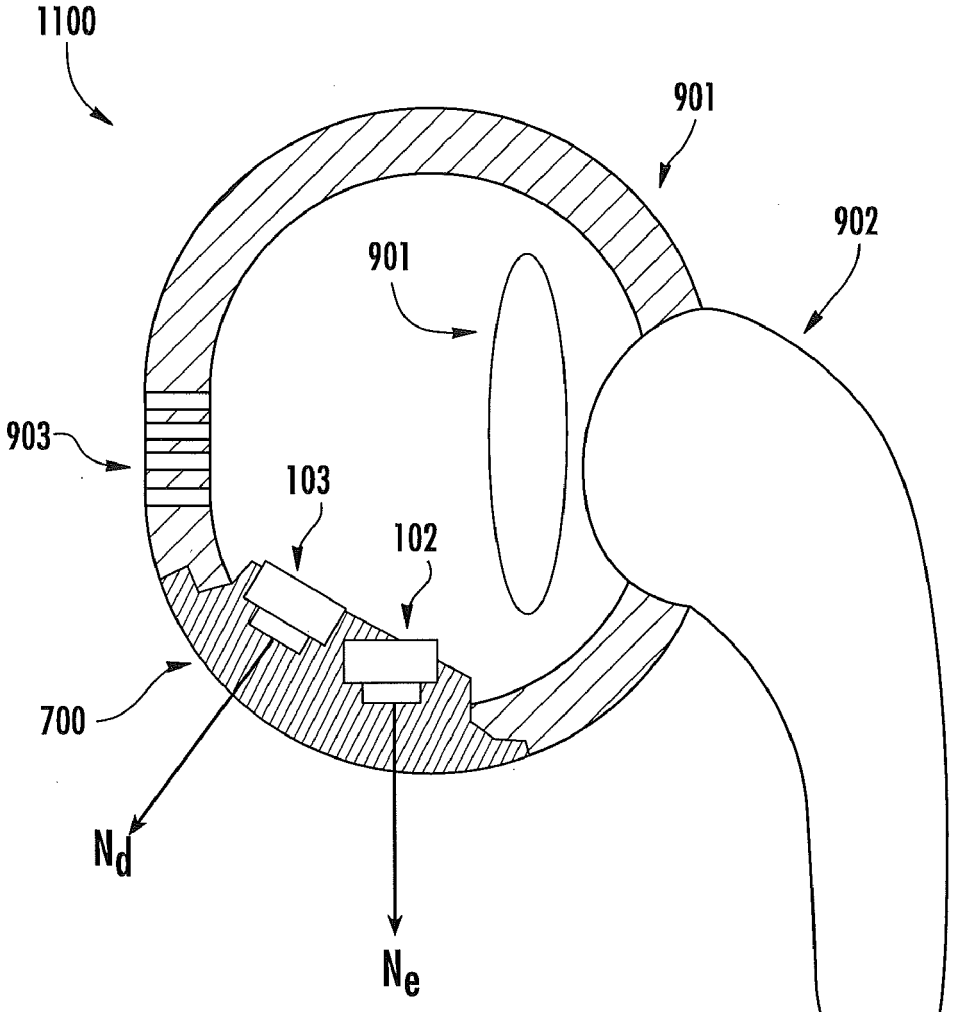


FIG. 11

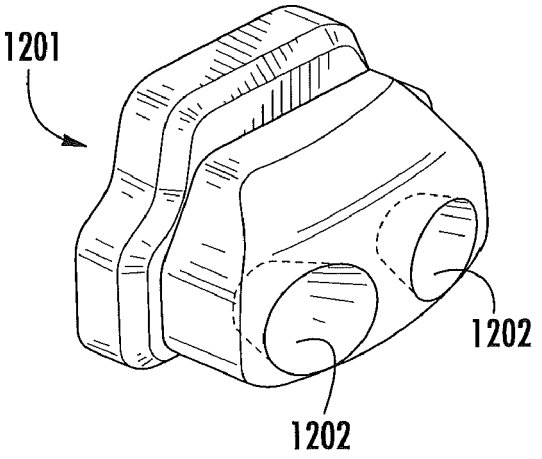


FIG. 12A

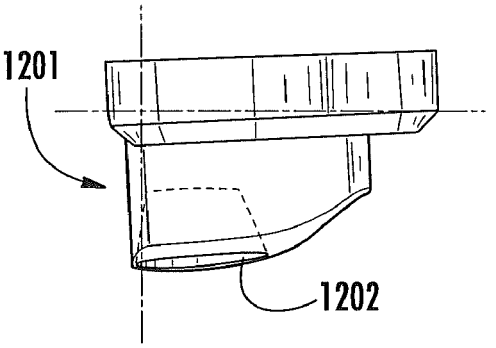


FIG. 12B

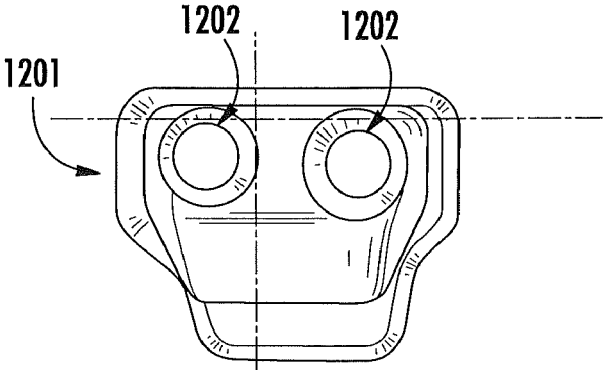


FIG. 12C

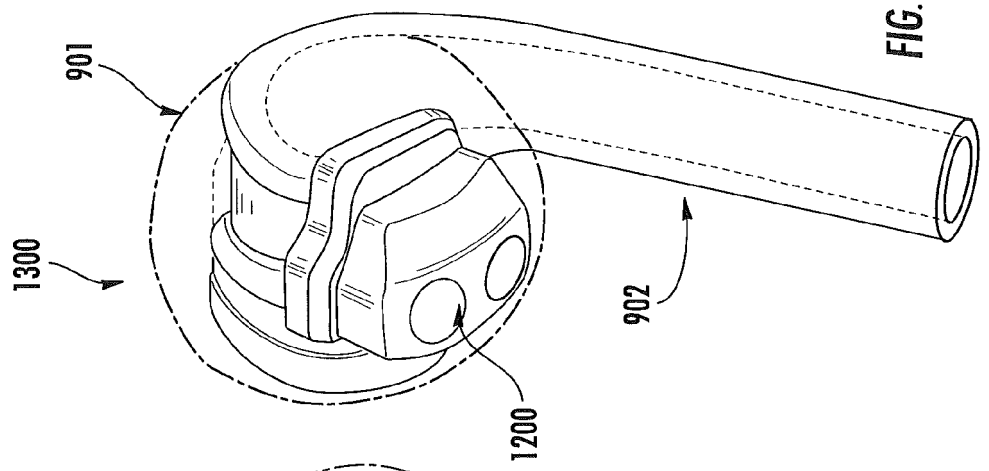


FIG. 13C

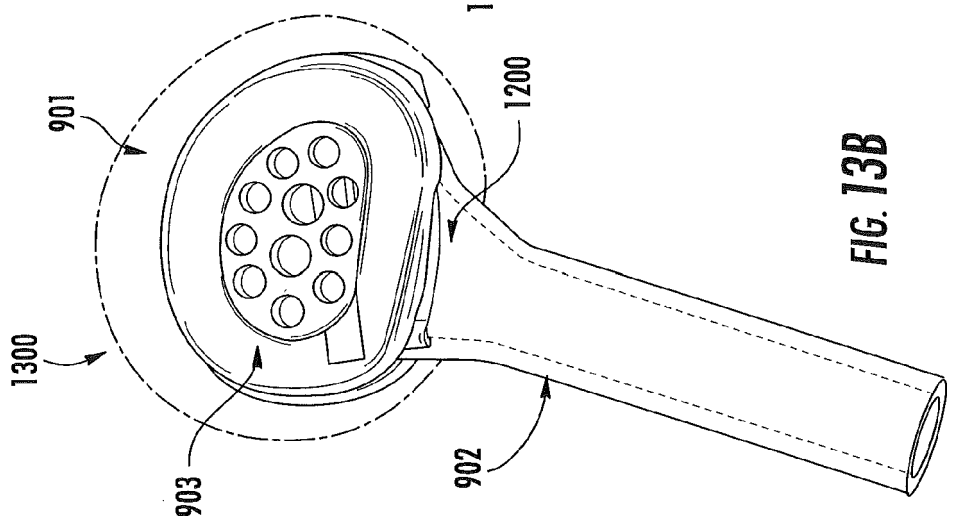


FIG. 13B

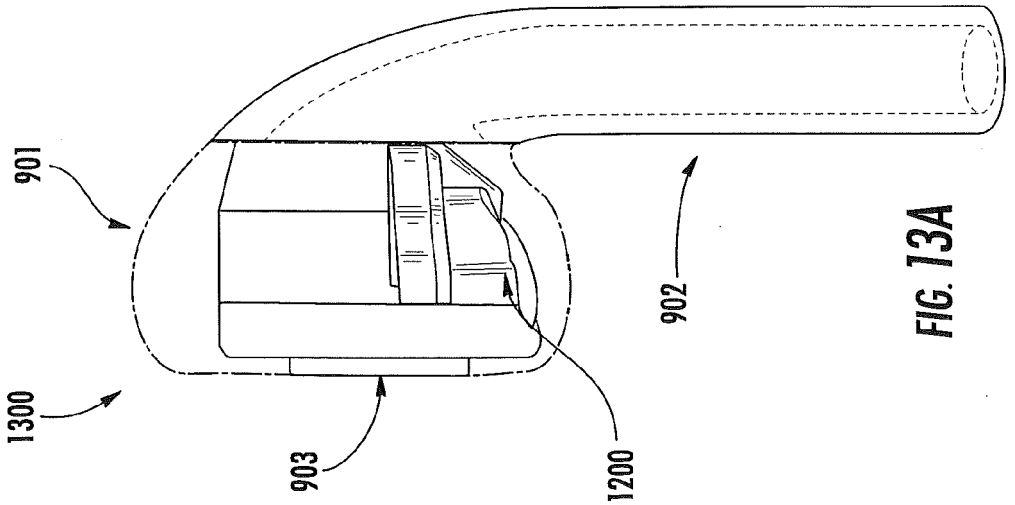


FIG. 13A

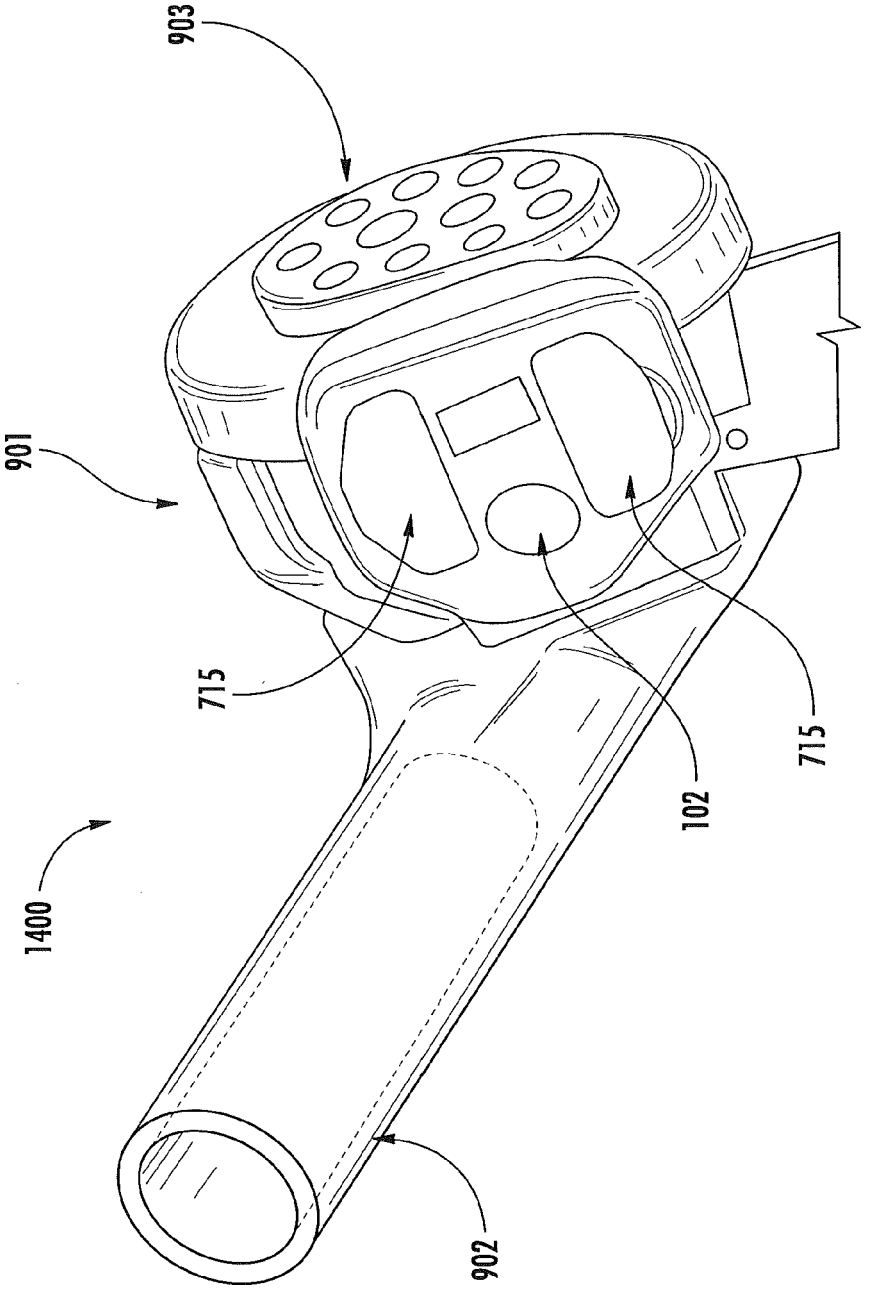


FIG. 14

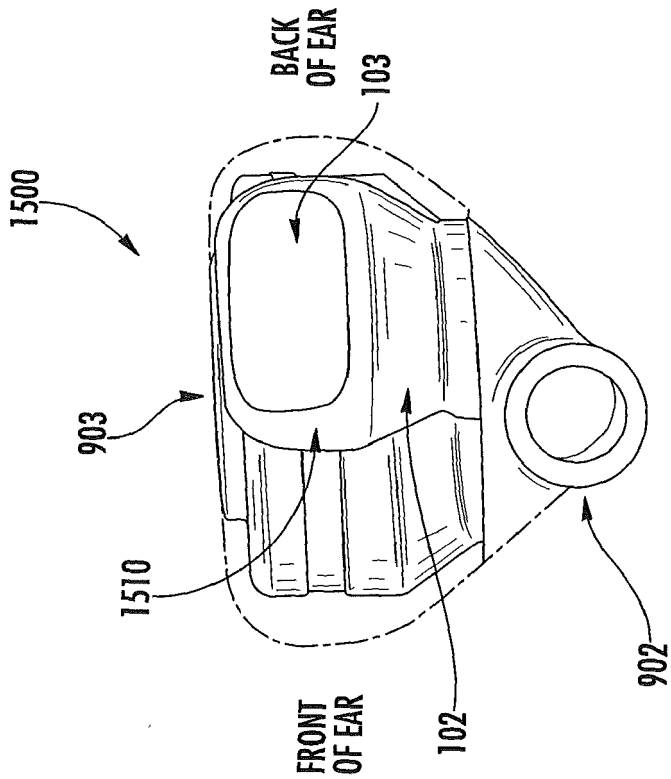


FIG. 15B

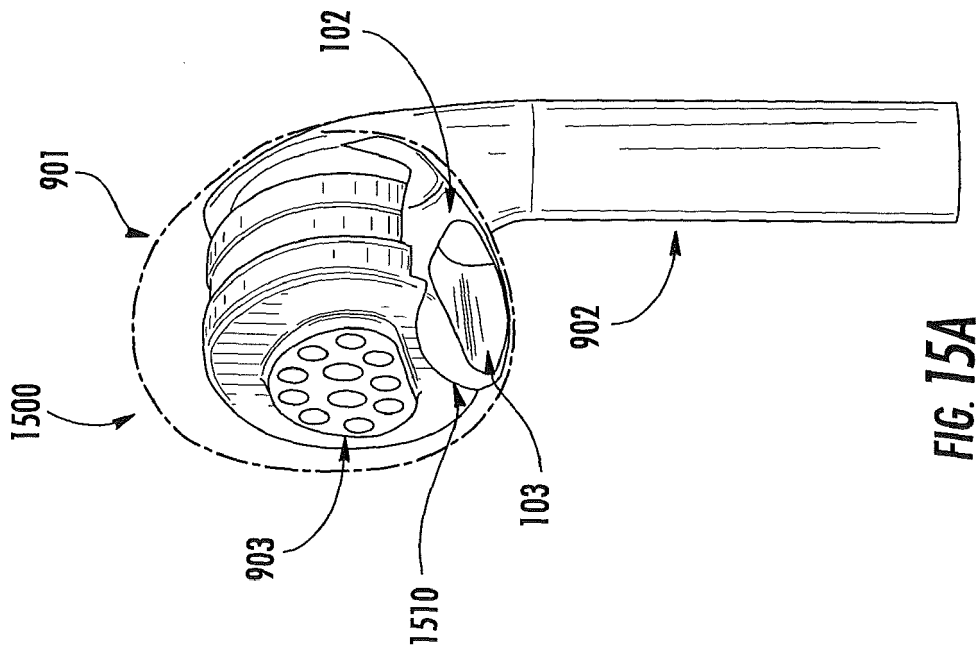


FIG. 15A

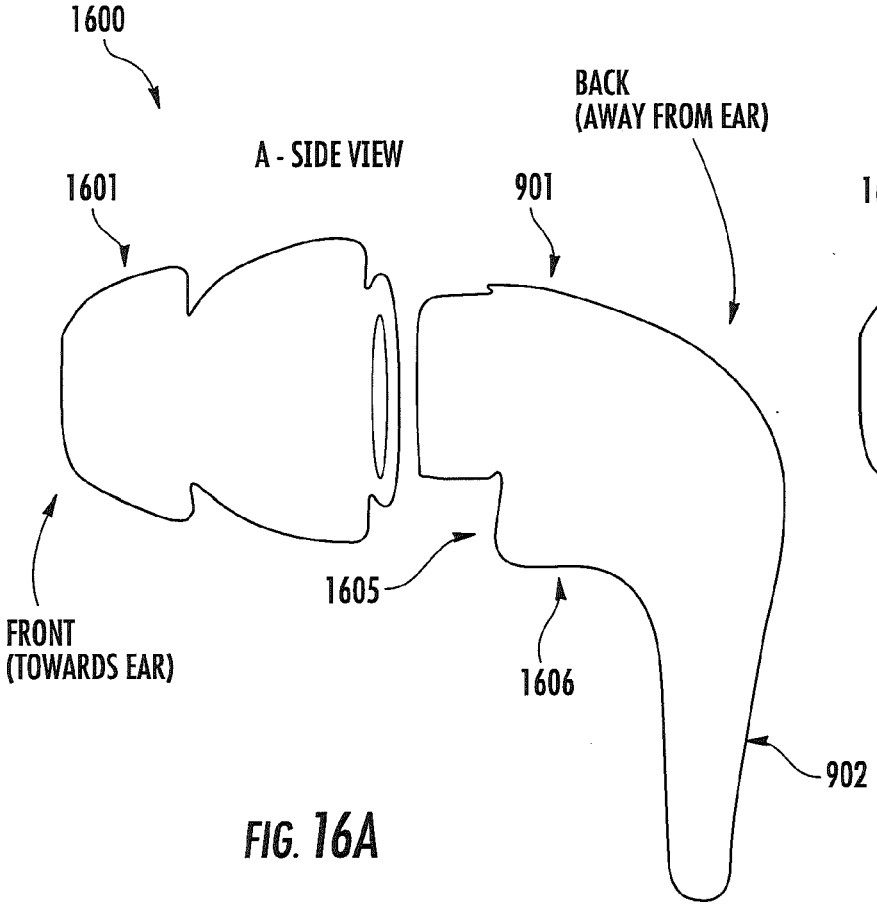


FIG. 16A

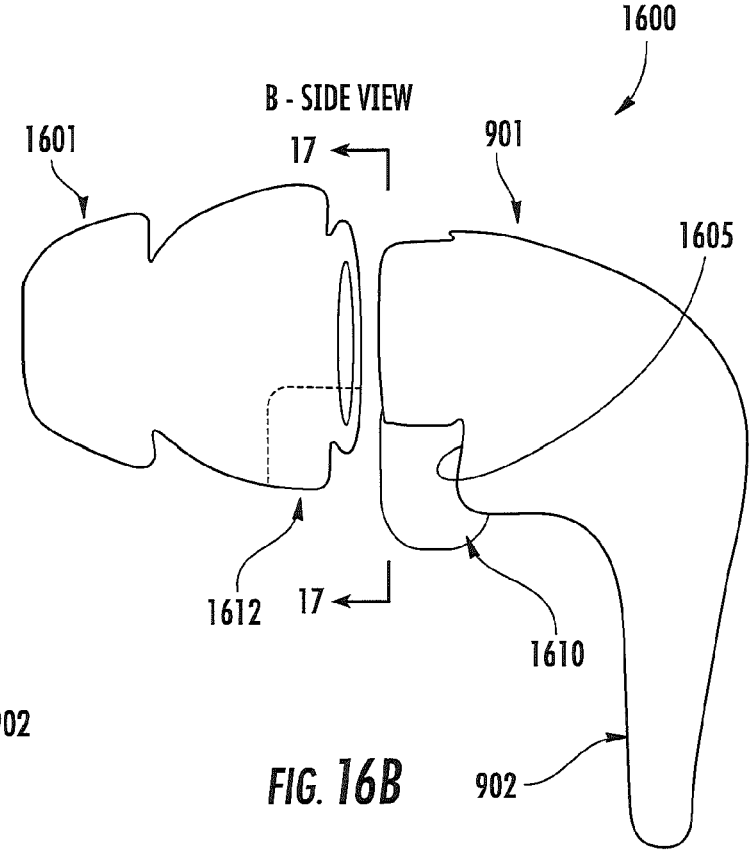


FIG. 16B

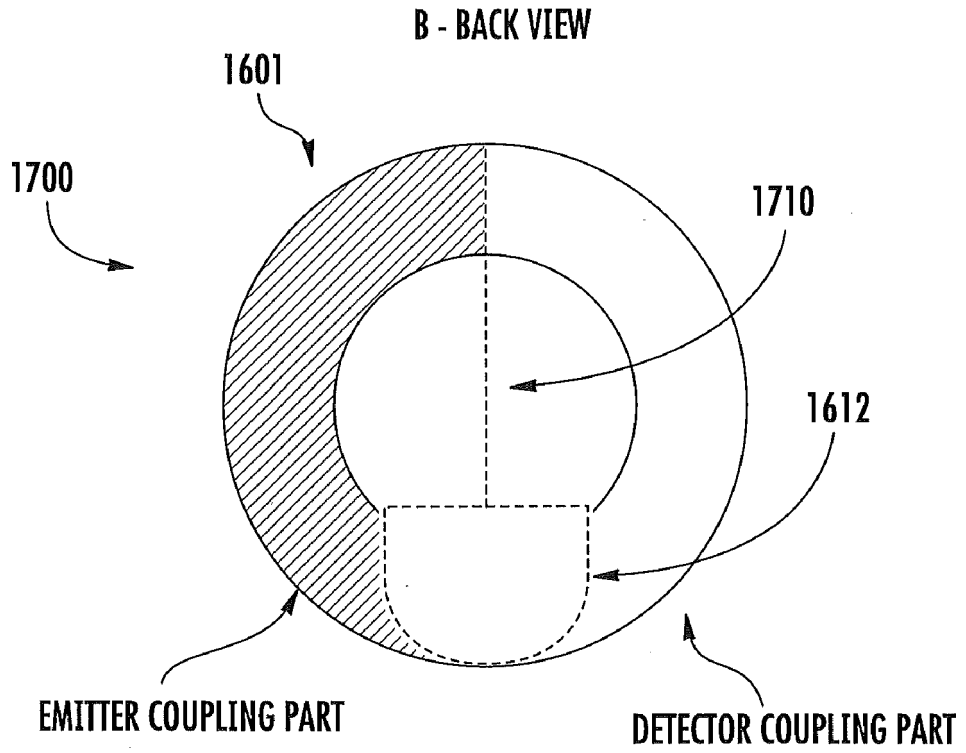

B - BACK VIEW
1601
1700
1710
1612
EMITTER COUPLING PART
DETECTOR COUPLING PART

FIG. 17

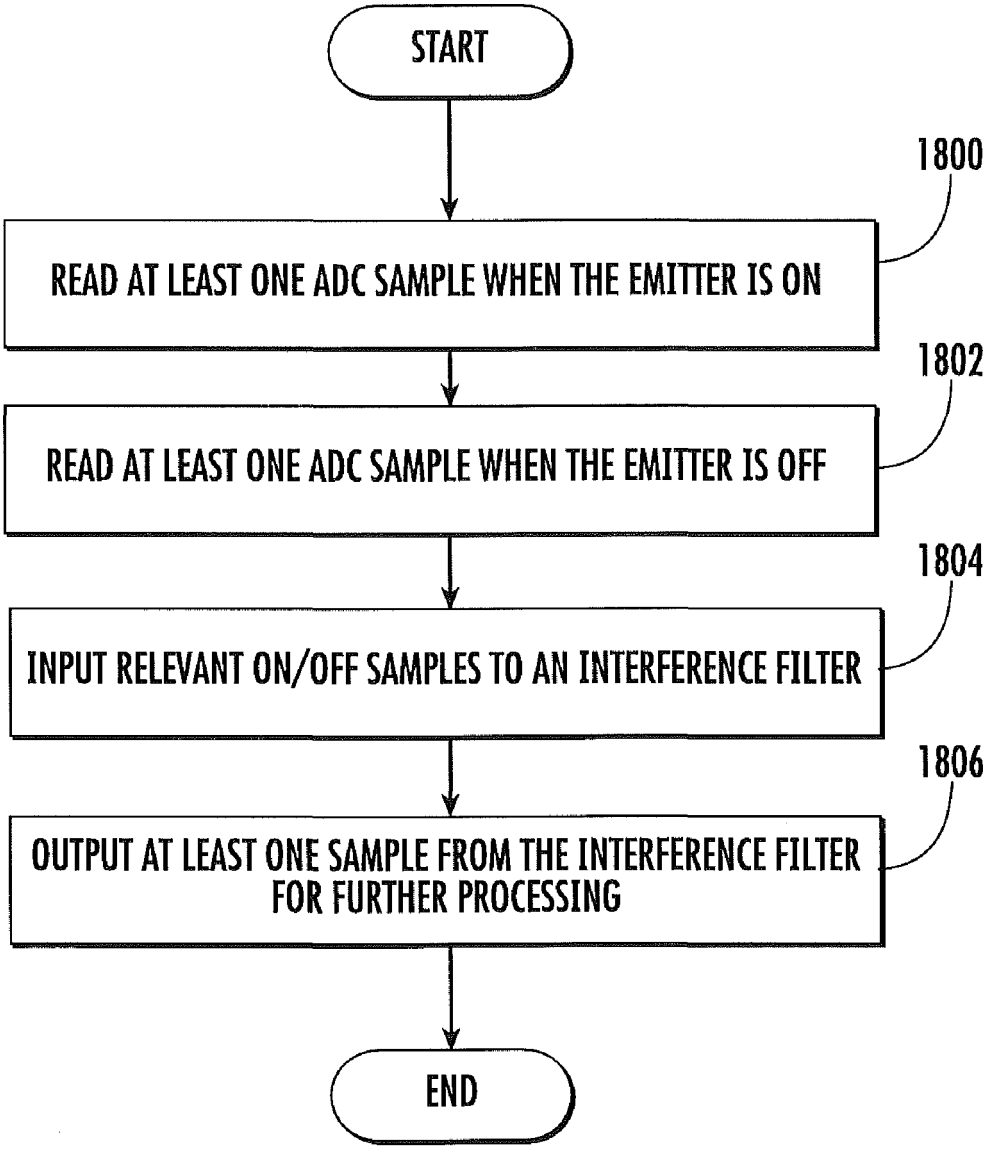

START
1800
READ AT LEAST ONE ADC SAMPLE WHEN THE EMITTER IS ON
1802
READ AT LEAST ONE ADC SAMPLE WHEN THE EMITTER IS OFF
1804
INPUT RELEVANT ON/OFF SAMPLES TO AN INTERFERENCE FILTER
1806
OUTPUT AT LEAST ONE SAMPLE FROM THE INTERFERENCE FILTER FOR FURTHER PROCESSING
END

FIG. 18

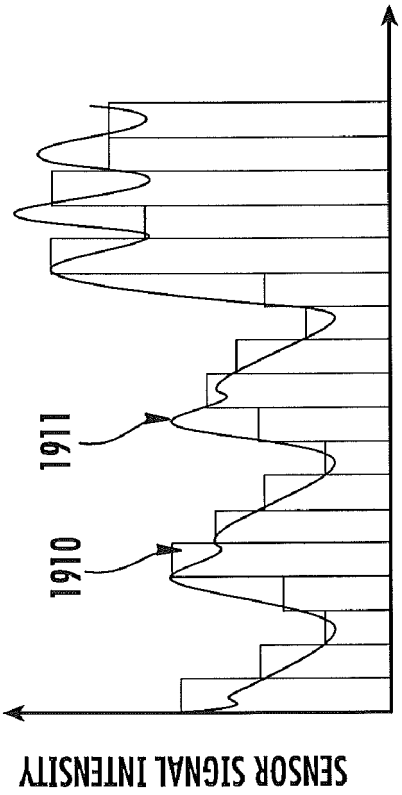


FIG. 19B

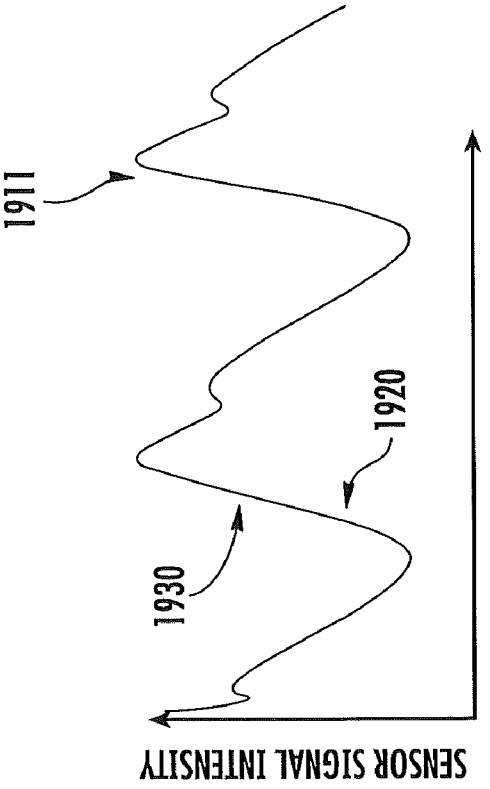


FIG. 19A

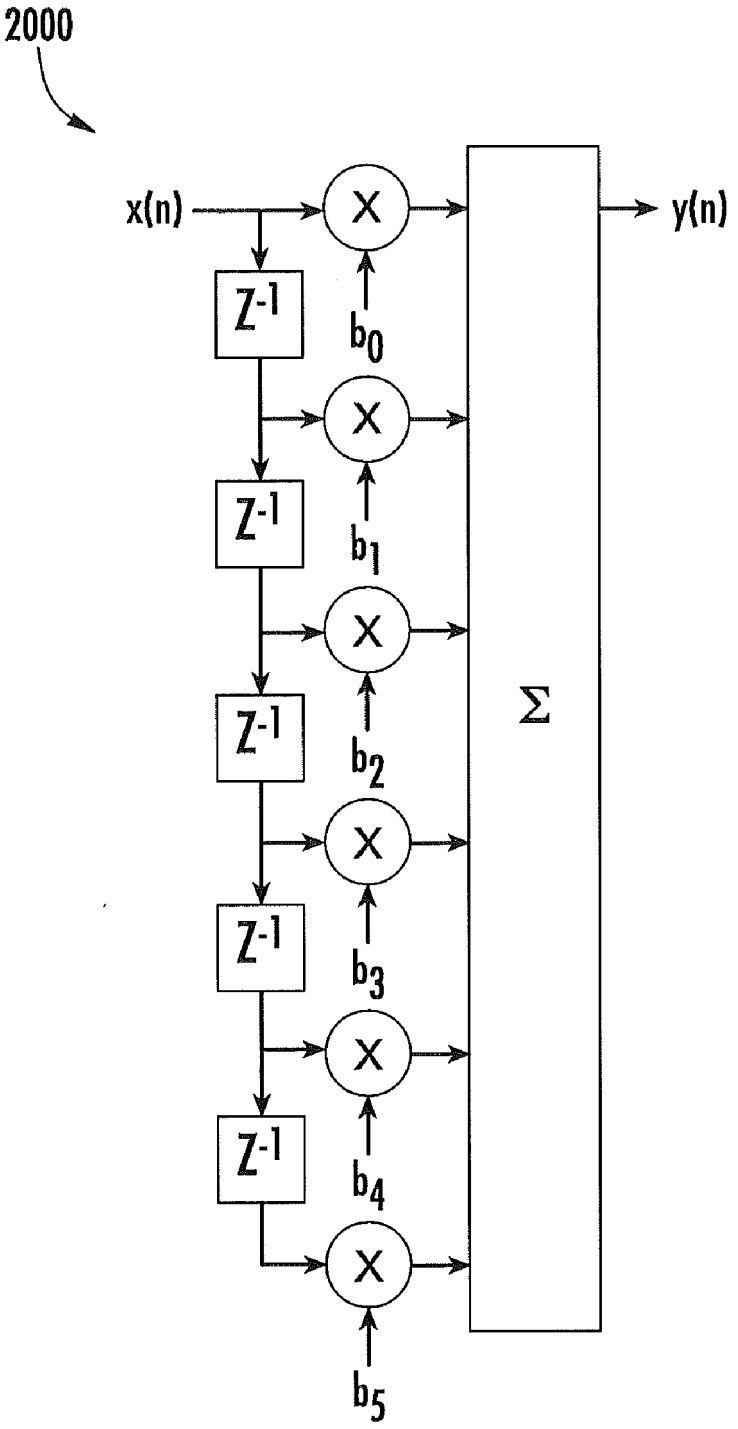


FIG. 20

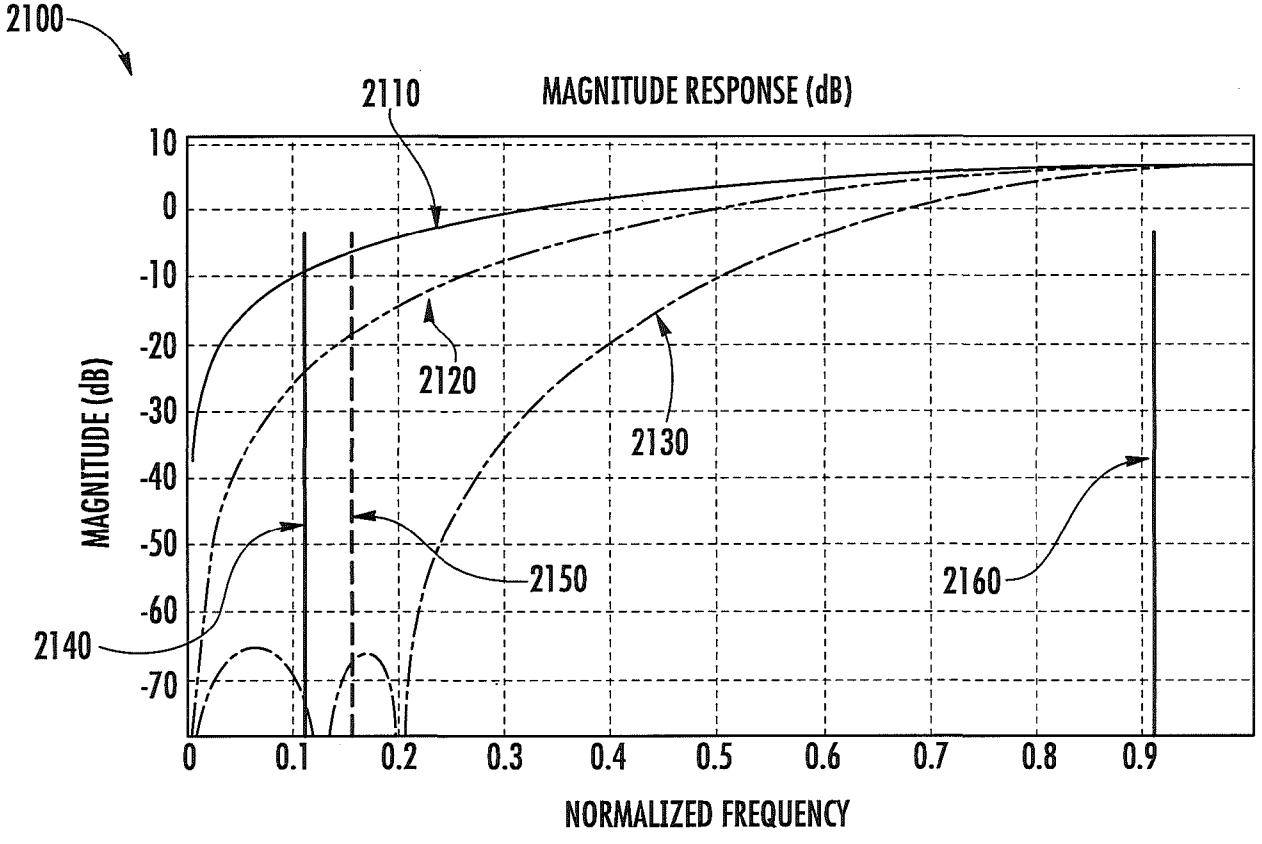

2100
2110
MAGNITUDE RESPONSE (dB)
10
0
-10
-20
-30
-40
-50
-60
-70
MAGNITUDE (dB)
2120
2130
2140
2150
2160
0
0.1
0.2
0.3
0.4
0.5
0.6
0.7
0.8
0.9
NORMALIZED FREQUENCY

FIG. 21

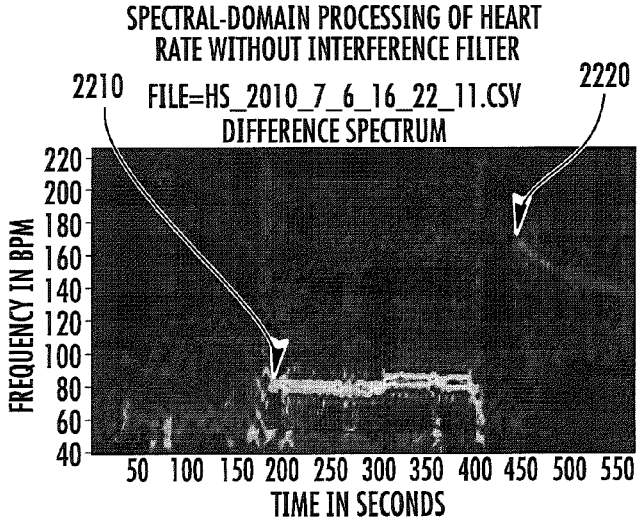


FIG. 22A

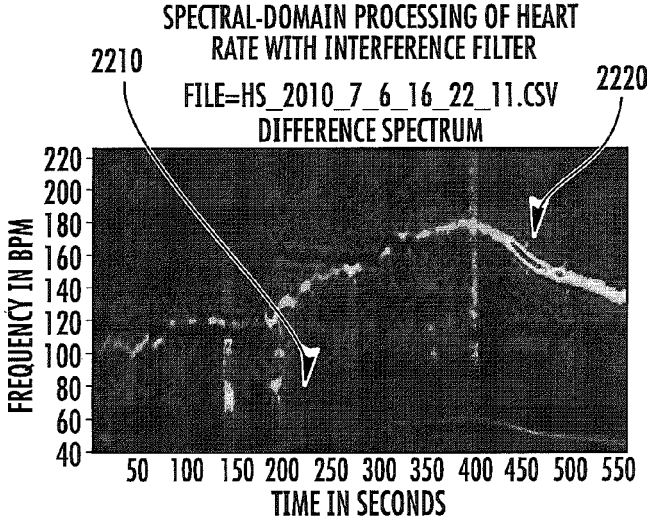


FIG. 22C

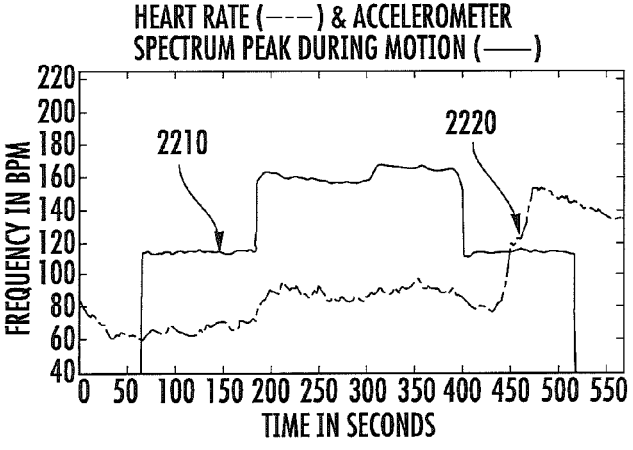


FIG. 22B

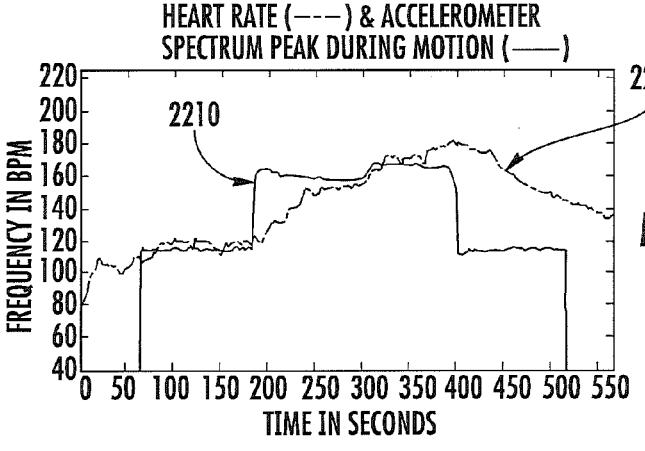


FIG. 22D

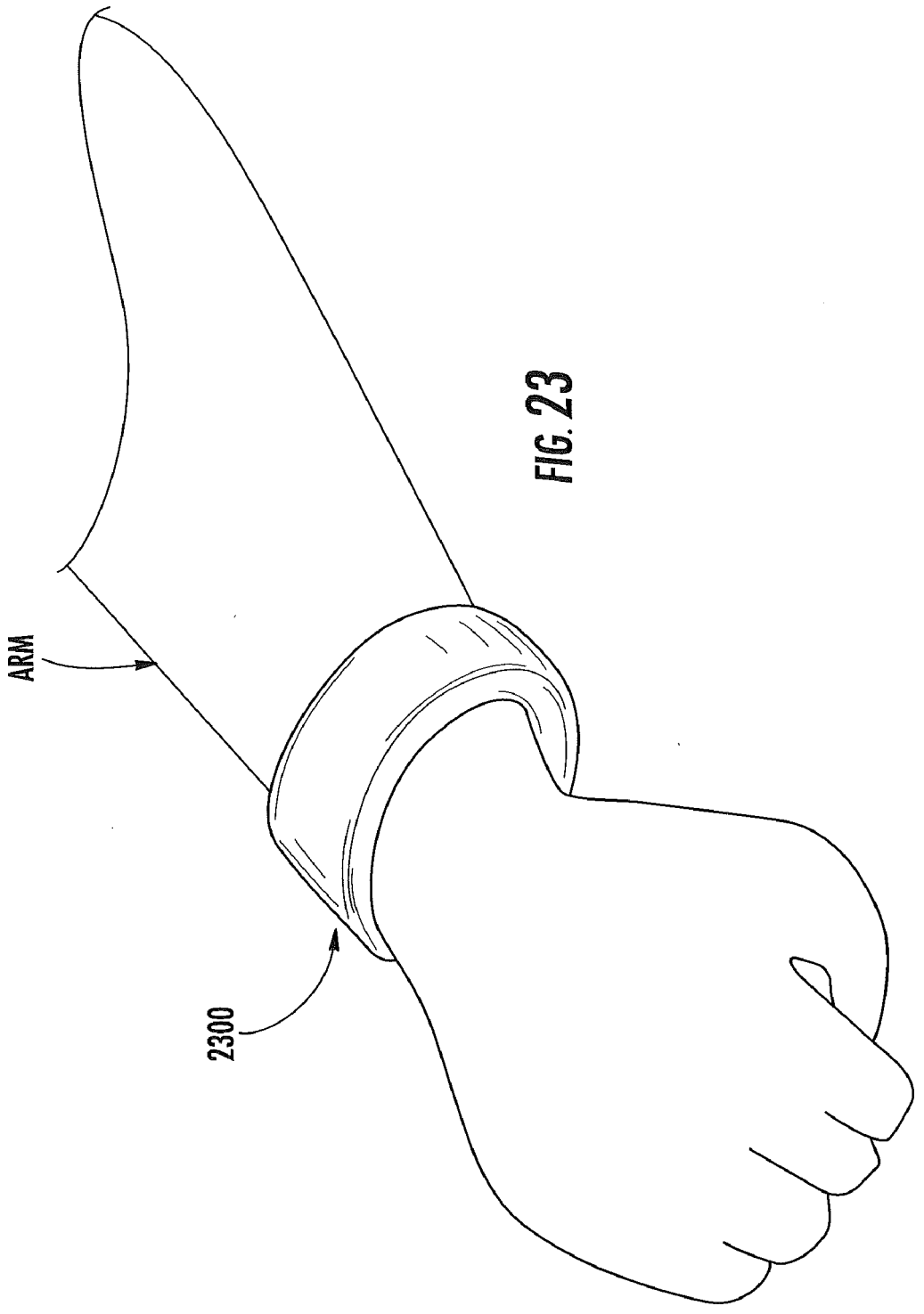


FIG. 23

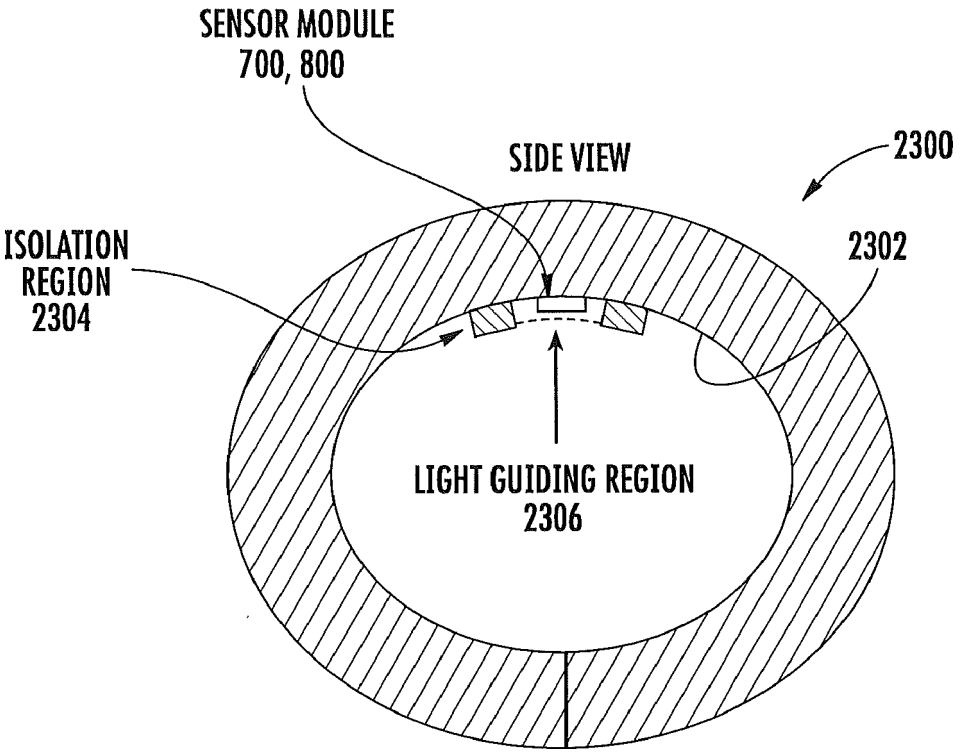

SENSOR MODULE
700, 800
SIDE VIEW
2300
ISOLATION
REGION
2304
2302
LIGHT GUIDING REGION
2306

FIG. 24

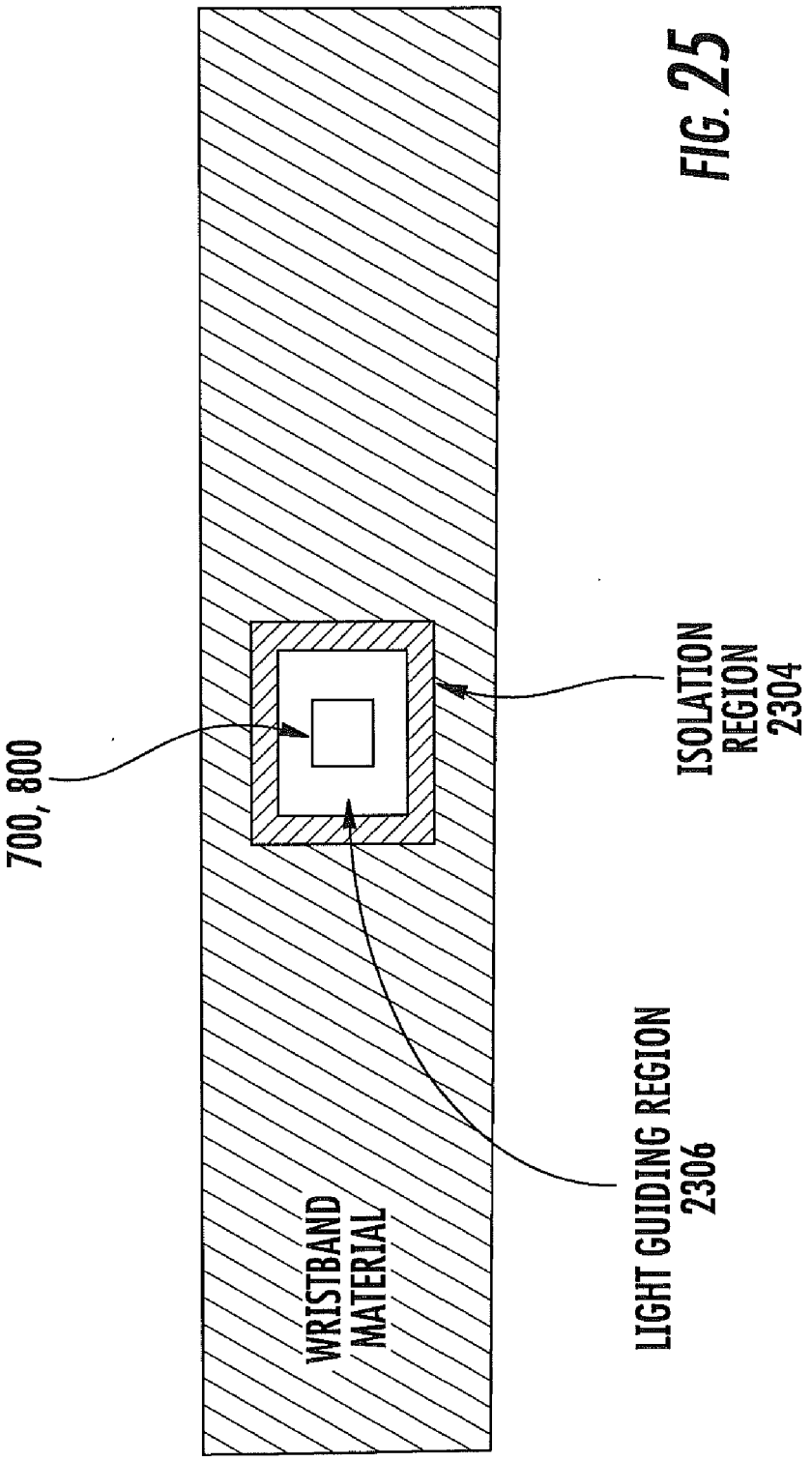

700, 800
ISOLATION REGION 2304
LIGHT GUIDING REGION 2306
WRISTBAND MATERIAL

FIG. 25

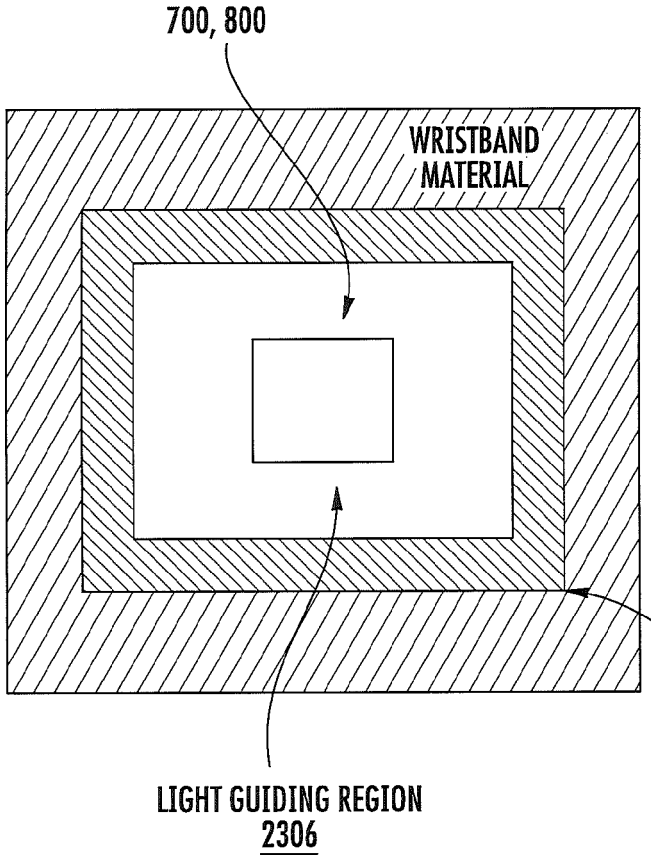

700, 800
WRISTBAND MATERIAL
LIGHT GUIDING REGION 2306
ISOLATION REGION 2304

FIG. 26

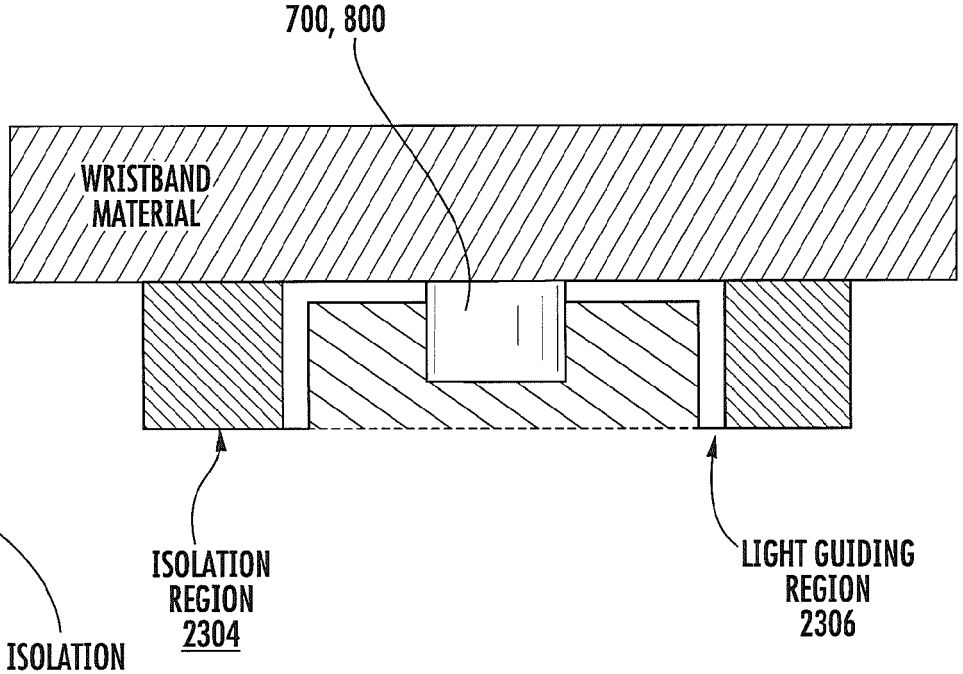

700, 800
WRISTBAND MATERIAL
ISOLATION REGION 2304
LIGHT GUIDING REGION 2306

FIG. 27

Petitioner WHOOP, Inc. Ex1032
Page 28 of 46

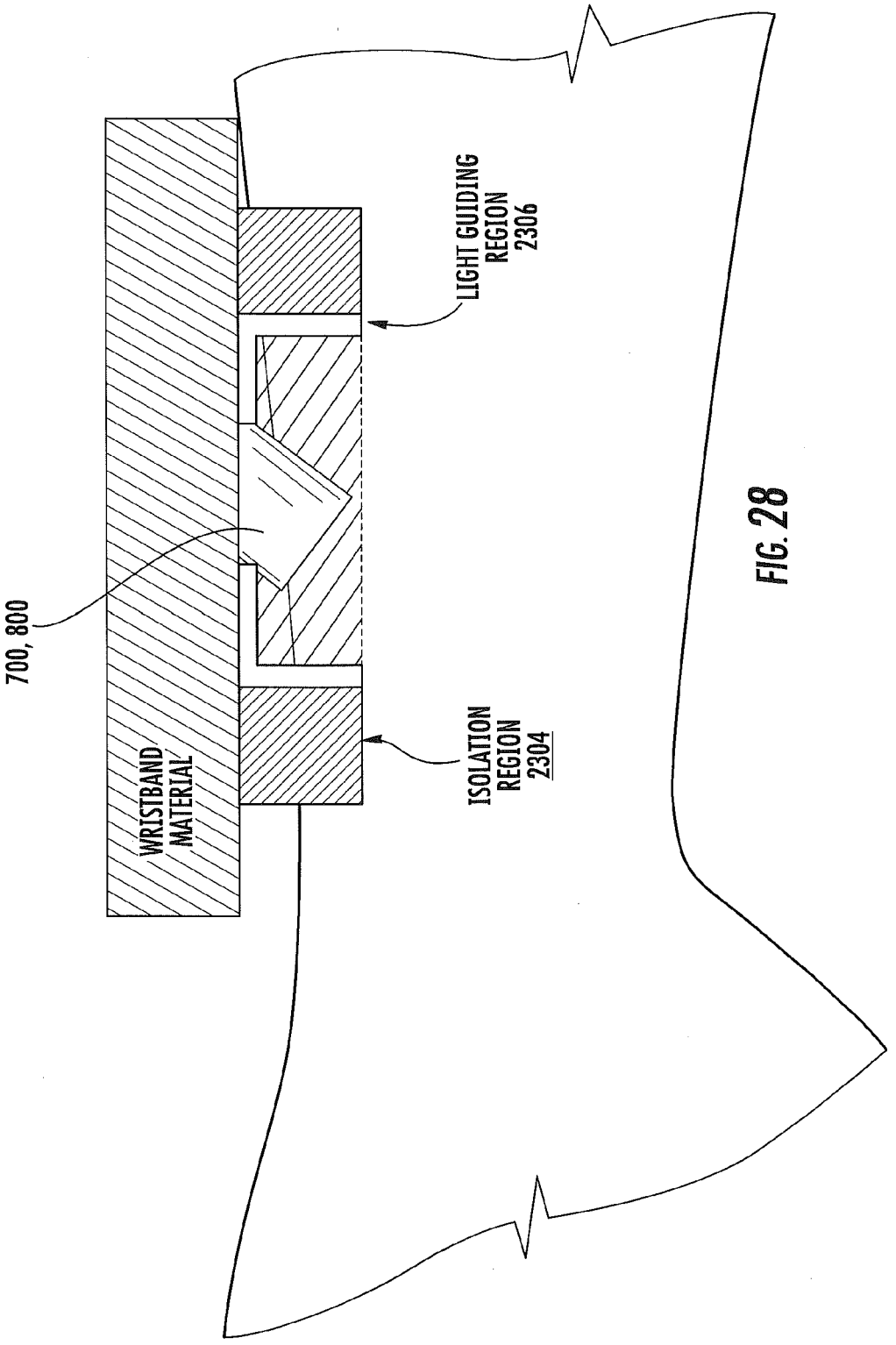


FIG. 28

Petitioner WHOOP, Inc. Ex1032
Page 29 of 46

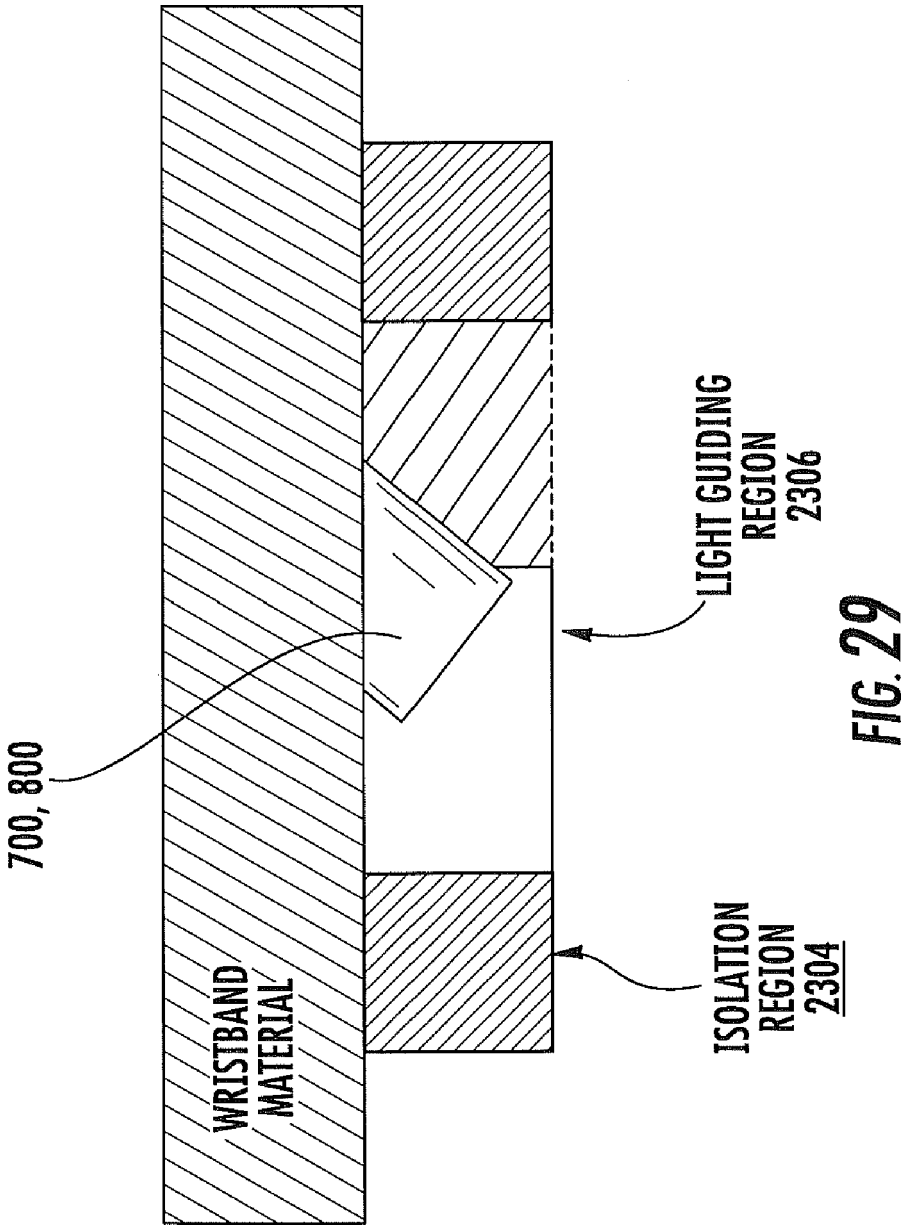


FIG. 29

APPARATUS AND METHODS FOR MONITORING PHYSIOLOGICAL DATA DURING ENVIRONMENTAL INTERFERENCE

RELATED APPLICATION

[0001] This application claims the benefit of and priority to U.S. Provisional Patent Application No. 61/436,664 filed Jan. 27, 2011, the disclosure of which is incorporated herein by reference as if set forth in its entirety.

FIELD OF THE INVENTION

[0002] The present invention relates generally to monitoring apparatus and methods and, more particularly, to physiological monitoring apparatus and methods.

BACKGROUND OF THE INVENTION

[0003] There is growing market demand for personal health and environmental monitors, for example, for gauging overall health, fitness, metabolism, and vital status during exercise, athletic training, work, public safety activities, dieting, daily life activities, sickness, and physical therapy. However, traditional wearable health monitors cannot measure physiological information accurately in typical daily environments. For example, environmental interference from sunlight, temperature changes, and motion-coupled environmental noise can present measurement artifacts on wearable health monitors. These measurement artifacts can reduce sensor accuracy, generate false measurements, and prevent accurate health, fitness, and vital status monitoring. As such, improved ways of removing or preventing environmental interference from measurements taken from wearable sensors are needed.

SUMMARY

[0004] It should be appreciated that this Summary is provided to introduce a selection of concepts in a simplified form, the concepts being further described below in the Detailed Description. This Summary is not intended to identify key features or essential features of this disclosure, nor is it intended to limit the scope of the invention.

[0005] According to some embodiments of the present invention, a medium (e.g., physiological material of a subject), having a region of interest, is monitored via a sensor module having at least one energy emitter for interrogating the medium with energy to generate an energy response associated with the medium, at least one circuit to drive at least one energy emitter, at least one detector for detecting the energy response associated with the medium, a filter that removes time-varying environmental interference from the energy response signal, and a processor that controls operations of the energy emitter, detector, and filter.

[0006] According to some embodiments of the present invention, a wearable monitoring apparatus includes a housing (e.g., an earpiece, earbud, etc.), and a sensor module disposed within or attached to the housing. The housing is configured to be attached to a body of a subject, for example the ear. The sensor module includes an energy emitter, a detector, a filter, and at least one processor. The energy emitter directs energy (e.g., optical energy, acoustic energy, ultrasonic energy, electromagnetic radiation, electrical energy, mechanical energy, magnetic energy, nuclear energy, etc.) at a target region of the subject and the detector detects an energy response signal from the subject. The energy response signal is associated with a physiological condition of the subject (e.g., heart rate, pulse pressure, respiration rate, lactic threshold, blood pressure, volume of blood flow through a blood vessel, blood metabolite level, blood oxygen level, size of at least one blood vessel, etc.). The filter removes or attenuates time-varying environmental interference from the energy response signal, wherein the time-varying environmental interference is caused by one or more of the following: sunlight, ambient light, airflow, temperature, etc.

[0007] The at least one processor controls operations of the energy emitter, detector, and/or filter. In some embodiments of the present invention, the at least one processor is configured to process the detected energy response signal and produce an extracted energy response signal.

[0008] In some embodiments of the present invention, the energy emitter emits pulsed or modulated energy.

[0009] In some embodiments of the present invention, the energy emitter comprises at least one optical emitter, and the detector comprises at least one optical detector. Exemplary optical emitters include, but are not limited to, laser diodes (LDs), light-emitting diodes (LEDs), and organic light-emitting diodes (OLEDs). Exemplary optical detectors include, but are not limited to, photodetectors, photodiodes, phototransistors, photoactive resistors, photomultiplier tubes, photomultiplier diodes, photodetector modules, and the like.

[0010] In some embodiments of the present invention, at least one portion of the housing comprises optically transmissive material through which light from the at least one optical emitter can pass. In some embodiments of the present invention, at least one portion of the housing comprises material configured to attenuate (e.g., reduce or block) light reaching the at least one optical detector at one or more selected wavelengths.

[0011] In some embodiments of the present invention, the monitoring apparatus includes at least one analog-to-digital (ADC) converter that converts analog signals generated by the detector to digital signals.

[0012] According to some embodiments of the present invention, a monitoring apparatus includes a housing configured to be attached to the ear of a subject, and a sensor module disposed within or attached to the housing. The sensor module includes an optical emitter, a detector, a motion/position sensor, a filter, and at least one processor that controls operations of the optical emitter, detector, and/or filter. The optical emitter directs optical energy at a target region of the subject and the detector detects an optical energy response signal from the subject, wherein the energy response signal is associated with a physiological condition of the subject. Light-opaque material surrounds at least part of the sensor module to prevent ambient light from interfering with the detector. Output from the motion/position sensor is associated with the motion or position between the housing and ear of the subject. The filter removes or attenuates time-varying environmental interference from the optical energy response signal, wherein the time-varying environmental interference is caused by one or more of the following: sunlight, ambient light, airflow, and temperature.

[0013] According to some embodiments of the present invention, a sensor module includes a printed circuit board (PCB), in some cases having opposite first and second sides, an optical emitter attached to at least one side of the PCB, an optical detector attached to at least one side of the PCB adjacent to the optical emitter, an optical filter overlying at

least a portion of the optical detector, and light-opaque material adjacent to the optical detector. The optical filter is configured to attenuate (e.g., reduce or block) light at one or more selected wavelengths, and the light-opaque material prevents ambient light from interfering with the optical detector.

[0014] In some embodiments of the present invention, the optical filter has a surface area greater than a surface area of the optical detector, and the optical filter overlies the optical detector such that a periphery of the optical filter overlaps a periphery of the optical detector.

[0015] In some embodiments of the present invention, light-opaque material surrounds the optical emitter and optical detector such that the optical emitter and optical detector are not in direct optical communication with each other. In some embodiments of the present invention, the light-opaque material includes a first aperture in communication with the optical emitter, and a second aperture in communication with the optical detector.

[0016] In some embodiments of the present invention, the sensor module includes a lens positioned above at least one of the optical emitter and filter. The lens may include respective first and second portions configured to matingly engage respective first and second apertures in the light-opaque material.

[0017] In other embodiments of the present invention, a first lens is positioned within the first aperture and is in optical communication with the optical emitter, and a second lens is positioned within the second aperture and is in optical communication with the optical detector. The first lens focuses light emitted by the optical emitter and the second lens focuses light toward the optical detector.

[0018] In some embodiments of the present invention, a second optical detector is attached to the PCB second side.

[0019] According to other embodiments of the present invention, an earbud for a headset includes a housing that is configured to be positioned within an ear of a subject, a speaker, and at least one sensor module disposed within or attached to the housing. The at least one sensor module includes a printed circuit board (PCB) having opposite first and second sides, an optical emitter attached to at least one side of the PCB that directs electromagnetic radiation at a target region of the ear, an optical detector attached to at least one side of the PCB adjacent to the optical emitter that detects an energy response signal associated with a physiological condition of the subject from the subject, and an optical filter overlying at least a portion of the optical detector, wherein the optical filter is configured to attenuate (e.g., reduce or block) light at one or more selected wavelengths. A filter may be included that removes time-varying environmental interference from the energy response signal. Time-varying environmental interference may be caused by one or more of the following: sunlight, ambient light, airflow, temperature, etc. The at least one sensor module may include at least one processor that controls operations of the optical emitter, optical detector, and/or filter.

[0020] In some embodiments of the present invention, the optical filter has a surface area greater than a surface area of the optical detector, and the optical filter overlies the optical detector such that a periphery of the optical filter overlaps a periphery of the optical detector.

[0021] In some embodiments of the present invention, light-opaque material surrounds the optical emitter and optical detector such that the optical emitter and optical detector are not in direct optical communication with each other. In some embodiments of the present invention, the light-opaque material includes a first aperture in communication with the optical emitter, and a second aperture in communication with the optical detector.

[0022] In some embodiments of the present invention, the at least one sensor module include a lens positioned above at least one of the optical emitter and filter. The lens may include respective first and second portions configured to matingly engage respective first and second apertures in the light-opaque material.

[0023] In other embodiments of the present invention, a first lens is positioned within the first aperture and is in optical communication with the optical emitter, and a second lens is positioned within the second aperture and is in optical communication with the optical detector. The first lens focuses light emitted by the optical emitter and the second lens focuses light toward the optical detector.

[0024] In some embodiments of the present invention, a second optical detector is attached to the PCB second side.

[0025] In some embodiments of the present invention, one or more portions of the earbud housing include optically transmissive material through which light from the optical emitter can pass.

[0026] In some embodiments of the present invention, one or more portions of the housing include material configured to attenuate (e.g., reduce or block) light reaching the optical detector at one or more selected wavelengths.

[0027] In some embodiments of the present invention, the at least one sensor module includes an analog-to-digital (ADC) converter that converts analog signals generated by the optical detector to digital signals.

[0028] In some embodiments of the present invention, the at least one sensor module includes at least one motion/position sensor attached to at least one side of the PCB.

[0029] In some embodiments of the present invention, the at least one sensor module housing includes a soft material which deforms when inserted within an ear and that facilitates retention of the earbud within an ear. In other embodiments, the at least one sensor module housing has a shape that facilitates retention of the earbud within an ear.

[0030] In some embodiments of the present invention, a portion of the at least one sensor module housing includes optically transmissive material through which light from the optical emitter can pass, and wherein the housing includes a soft material adjacent to the optically transmissive material which deforms when inserted within an ear and that facilitates retention of the earbud within an ear.

[0031] In some embodiments of the present invention, a portion of the at least one sensor module housing includes material configured to diffuse light from the optical detector and/or diffuse light to the optical detector.

[0032] In some embodiments of the present invention, the at least one sensor module comprises two sensor modules in spaced apart relationship.

[0033] According to other embodiments of the present invention, a method of monitoring at least one physiological property of a subject includes directing pulsed energy at a target region of the subject via an energy emitter, obtaining a first energy response signal from the subject when the emitter is on, obtaining a second energy response signal from the subject when the emitter is off, and processing the first and second energy response signals via an interference filter to produce a processed energy response signal that is associated with a physiological condition (e.g., heart rate, pulse pres-

sure, respiration rate, lactic threshold, blood pressure, volume of blood flow through a blood vessel, blood metabolite level, blood oxygen level, size of at least one blood vessel, etc.) of the subject, wherein the filter removes or attenuates time-varying environmental interference caused by one or more of the following: sunlight, ambient light, airflow, temperature, etc. Directing pulsed energy at a target region may include directing energy selected from the group consisting of optical energy, acoustic energy, ultrasonic energy, electromagnetic radiation, electrical energy, magnetic energy, mechanical energy, nuclear energy, etc.

[0034] In some embodiments of the present invention, the interference filter employs a spectral method to remove or attenuate time-varying environmental interference. In some embodiments of the present invention, the interference filter employs an FIR filtering method to remove or attenuate time-varying environmental interference.

[0035] In some embodiments of the present invention, the processed energy response signal is transmitted to a remote device, for example wirelessly transmitted.

[0036] In some embodiments of the present invention, the environmental interference may comprise ambient light, sunlight, room light, wind, sound, mechanical interference, electrical interference, temperature changes, or the like.

[0037] In some embodiments of the present invention, the geometrical configuration of an emitter and detector may be oriented to maximize the collection of the energy response signal associated with physiological conditions and to minimize the collection of the unwanted scattered light response.

[0038] In some embodiments, multiple emitters, detectors, lenses, light guides, and/or diffusion regions may be employed within a sensor module.

[0039] Emitters and detectors, according to some embodiments of the present invention, may be configured to generate a more universal earbud sensor design. In some embodiments, this may be achieved by employing a diffusion area.

[0040] In some embodiments of the present invention, an earbud may comprise an interchangeable tip, wherein optical coupling may be integrated within the earbud to communicate light to/from the ear region through the interchangeable tip.

[0041] In some embodiments of the present invention, a physiological condition monitored, such as heart rate, for example, may be modulated to improve filtering and then demodulated to generate the desired output.

[0042] In some embodiments of the present invention, an interference filter may employ at least one motion/position sensor to remove interference from a desired physiological signal, such as to remove motion-coupled sunlight interference from a heart rate signal.

[0043] According to some embodiments of the present invention, a wearable monitoring apparatus includes a substrate configured to be attached to a body of a subject, and a sensor module attached to the substrate. The substrate may be configured to surround a portion of a body, and may be flexible. For example, the substrate may be a wristband, armband, legband, neckband, waistband, ankleband, footband, handband, ringband, headband, etc. In other embodiments, the substrate is configured to be adhesively attached to the body of the subject, similar to a bandage.

[0044] The sensor module includes an energy emitter, a detector, a filter, and at least one processor. The energy emitter directs energy (e.g., optical energy, acoustic energy, ultrasonic energy, electromagnetic radiation, electrical energy, mechanical energy, magnetic energy, nuclear energy, etc.) at a target region of the subject and the detector detects an energy response signal from the subject. The energy response signal is associated with a physiological condition of the subject (e.g., heart rate, pulse pressure, respiration rate, lactic threshold, blood pressure, volume of blood flow through a blood vessel, blood metabolite level, blood oxygen level, size of at least one blood vessel, etc.). The filter removes or attenuates time-varying environmental interference from the energy response signal, wherein the time-varying environmental interference is caused by one or more of the following: sunlight, ambient light, airflow, temperature, etc.

[0045] The at least one processor controls operations of the energy emitter, detector, and/or filter. In some embodiments of the present invention, the at least one processor is configured to process the detected energy response signal and produce an extracted energy response signal.

[0046] In some embodiments of the present invention, the energy emitter emits pulsed or modulated energy.

[0047] In some embodiments of the present invention, the energy emitter comprises at least one optical emitter, and the detector comprises at least one optical detector. Exemplary optical emitters include, but are not limited to, LDs, LEDs, and OLEDs. Exemplary optical detectors include, but are not limited to, photodetectors, photodiodes, phototransistors, photoactive resistors, photomultiplier tubes, photomultiplier diodes, photodetector modules, and the like.

[0048] In some embodiments of the present invention, the apparatus includes optically transmissive material through which light from the at least one optical emitter can pass. In some embodiments of the present invention, the apparatus includes material configured to attenuate (e.g., reduce or block) light reaching the at least one optical detector at one or more selected wavelengths.

[0049] In some embodiments of the present invention, the monitoring apparatus includes at least one ADC converter that converts analog signals generated by the detector to digital signals.

[0050] The detectors that may be incorporated into headsets, earbuds, and/or substrates (e.g., wristbands, armbands, legbands, neckbands, waistbands, anklebands, footbands, handbands, ringbands, headbands, etc.) according to some embodiments of the present invention, may be configured to detect and/or measure one or more of the following types of physiological information/conditions: heart rate, pulse rate, breathing rate, blood flow, VO_2, VO_2max, heartbeat signatures, cardio-pulmonary health, organ health, metabolism, electrolyte type and/or concentration, physical activity, caloric intake, caloric metabolism, blood metabolite levels or ratios, blood pH level, physical and/or psychological stress levels and/or stress level indicators, drug dosage and/or dosimetry, physiological drug reactions, drug chemistry, biochemistry, position and/or balance, body strain, neurological functioning, brain activity, brain waves, blood pressure, cranial pressure, hydration level, auscultatory information, auscultatory signals associated with pregnancy, physiological response to infection, skin and/or core body temperature, eye muscle movement, blood volume, inhaled and/or exhaled breath volume, physical exertion, exhaled breath physical and/or chemical composition, the presence and/or identity and/or concentration of viruses and/or bacteria, foreign matter in the body, internal toxins, heavy metals in the body, anxiety, fertility, ovulation, sex hormones, psychological mood, sleep patterns, hunger and/or thirst, hormone type and/or concentration, cholesterol, lipids, blood panel, bone

density, organ and/or body weight, reflex response, sexual arousal, mental and/or physical alertness, sleepiness, auscultatory information, response to external stimuli, swallowing volume, swallowing rate, sickness, voice characteristics, voice tone, voice pitch, voice volume, vital signs, head tilt, allergic reactions, inflammation response, auto-immune response, mutagenic response, DNA, proteins, protein levels in the blood, water content of the blood, pheromones, internal body sounds, digestive system functioning, cellular regeneration response, healing response, stem cell regeneration response, etc.

[0051] It is noted that aspects of the invention described with respect to one embodiment may be incorporated in a different embodiment although not specifically described relative thereto. That is, all embodiments and/or features of any embodiment can be combined in any way and/or combination. Applicant reserves the right to change any originally filed claim or file any new claim accordingly, including the right to be able to amend any originally filed claim to depend from and/or incorporate any feature of any other claim although not originally claimed in that manner. These and other objects and/or aspects of the present invention are explained in detail below.

BRIEF DESCRIPTION OF THE DRAWINGS

[0052] The accompanying drawings, which form a part of the specification, illustrate various embodiments of the present invention. The drawings and description together serve to fully explain embodiments of the present invention.

[0053] FIG. **1** schematically illustrates an interference filtering apparatus and method, according to some embodiments of the present invention.

[0054] FIG. **2** illustrates a multi-wavelength reflection-mode pulse oximetry apparatus that may be utilized in accordance with some embodiments of the present invention.

[0055] FIG. **3** illustrates various types of time-varying environmental interference.

[0056] FIG. **4**A is a side view of a human ear with an earbud monitor, according to some embodiments of the present invention, inserted therein.

[0057] FIG. **4**B is a front view of a human ear with an earbud monitor, according to some embodiments of the present invention, inserted therein.

[0058] FIG. **5** is a schematic illustration of how external light interference can pass through a human ear and reach an optical detector in an earbud monitor attached to the ear.

[0059] FIG. **6** is a comparison graph showing optical detector intensity vs. wavelength for direct sunlight exposure and indirect sunlight exposure caused by scattering through the ear region.

[0060] FIG. **7** illustrates a sensor module with a convex lens configuration, according to some embodiments of the present invention.

[0061] FIG. **8** illustrates a sensor module with a concave lens configuration, according to some embodiments of the present invention.

[0062] FIG. **9**A is a rear perspective view of an earbud monitor, according to some embodiments of the present invention.

[0063] FIG. **9**B is a front perspective view of the earbud monitor of FIG. **9**A.

[0064] FIG. **10** illustrates the angling preferences for sensor modules within an earbud monitor, according to some embodiments of the present invention.

[0065] FIG. **11** illustrates an earbud monitor, according to some embodiments of the present invention, that increases physiological signal and reduces environmental noise.

[0066] FIG. **12**A is a perspective view of a sensor module, according to some embodiments of the present invention.

[0067] FIG. **12**B is a side view of the sensor module of FIG. **12**A.

[0068] FIG. **12**C is a top plan view of the sensor module of FIG. **12**A.

[0069] FIG. **13**A is a side view of an earbud comprising the sensor module of FIGS. **12**A-**12**C, according to some embodiments of the present invention.

[0070] FIG. **13**B is a front perspective view of the earbud of FIG. **13**A.

[0071] FIG. **13**C is a side perspective view of the earbud of FIG. **13**A.

[0072] FIG. **14** is a bottom perspective view of a multi-detector earbud, according to some embodiments of the present invention.

[0073] FIG. **15**A is a side perspective view of a multi-detector earbud comprising two separate optical coupling areas, according to some embodiments of the present invention.

[0074] FIG. **15**B is a bottom plan view of the earbud of FIG. **15**A.

[0075] FIG. **16**A is an exploded side view of a headphone and a replaceable/interchangeable earbud tip, according to some embodiments of the present invention.

[0076] FIG. **16**B is an exploded side view of a headphone and a replaceable/interchangeable earbud tip, according to other embodiments of the present invention.

[0077] FIG. **17** is a rear view of the earbud tip of FIG. **16**B taken along lines **17**-**17**, and illustrating at least two separate parts supporting at least two separate optical paths.

[0078] FIG. **18** is a flowchart of operations for removing environmental noise from a sensor signal, according to some embodiments of the present invention.

[0079] FIGS. **19**A and **19**B are graphs illustrating digital sampling of a detector signal, according to some embodiments of the present invention.

[0080] FIG. **20** is a schematic illustration of an interference filter, according to some embodiments of the present invention.

[0081] FIG. **21** is a graph that illustrates magnitude responses for several interference filters, according to some embodiments of the present invention.

[0082] FIGS. **22**A-**22**D are graphs of the processed heart rate signal output of an earbud module employing two different filter configurations, according to some embodiments of the present invention.

[0083] FIG. **23** is a perspective view of a monitoring apparatus including a sensor module of FIG. **7** or FIG. **8**, according to some embodiments of the present invention, and wherein the monitoring apparatus is adapted to fit around a wrist of a person.

[0084] FIG. **24** is a side view of the monitoring apparatus of FIG. **23**.

[0085] FIG. **25** is a plan view of the sensor module of the monitoring apparatus of FIG. **23**.

[0086] FIG. **26** is an enlarged plan view of the sensor module of the monitoring apparatus of FIG. **23**.

[0087] FIG. **27** is an enlarged side view of the sensor module of the monitoring apparatus of FIG. **23**.

[0088] FIG. **28** illustrates the sensor module of the monitoring apparatus of FIG. **23** in contact with the skin of a subject.

[0089] FIG. **29** is an enlarged side view of the sensor module of the monitoring apparatus of FIG. **23**, according to other embodiments of the present invention.

DETAILED DESCRIPTION

[0090] The present invention will now be described more fully hereinafter with reference to the accompanying figures, in which embodiments of the invention are shown. This invention may, however, be embodied in many different forms and should not be construed as limited to the embodiments set forth herein. Like numbers refer to like elements throughout. In the figures, certain layers, components or features may be exaggerated for clarity, and broken lines illustrate optional features or operations unless specified otherwise. In addition, the sequence of operations (or steps) is not limited to the order presented in the figures and/or claims unless specifically indicated otherwise. Features described with respect to one figure or embodiment can be associated with another embodiment or figure although not specifically described or shown as such.

[0091] It will be understood that when a feature or element is referred to as being "on" another feature or element, it can be directly on the other feature or element or intervening features and/or elements may also be present. In contrast, when a feature or element is referred to as being "directly on" another feature or element, there are no intervening features or elements present. It will also be understood that, when a feature or element is referred to as being "connected", "attached" or "coupled" to another feature or element, it can be directly connected, attached or coupled to the other feature or element or intervening features or elements may be present. In contrast, when a feature or element is referred to as being "directly connected", "directly attached" or "directly coupled" to another feature or element, there are no intervening features or elements present. Although described or shown with respect to one embodiment, the features and elements so described or shown can apply to other embodiments. It will also be appreciated by those of skill in the art that references to a structure or feature that is disposed "adjacent" another feature may have portions that overlap or underlie the adjacent feature.

[0092] The terminology used herein is for the purpose of describing particular embodiments only and is not intended to be limiting of the invention. As used herein, the singular forms "a", "an" and "the" are intended to include the plural forms as well, unless the context clearly indicates otherwise. It will be further understood that the terms "comprises" and/or "comprising," when used in this specification, specify the presence of stated features, steps, operations, elements, and/or components, but do not preclude the presence or addition of one or more other features, steps, operations, elements, components, and/or groups thereof. As used herein, the term "and/or" includes any and all combinations of one or more of the associated listed items.

[0093] Spatially relative terms, such as "under", "below", "lower", "over", "upper" and the like, may be used herein for ease of description to describe one element or feature's relationship to another element(s) or feature(s) as illustrated in the figures. It will be understood that the spatially relative terms are intended to encompass different orientations of the device in use or operation in addition to the orientation depicted in the figures. For example, if a device in the figures is inverted, elements described as "under" or "beneath" other elements or features would then be oriented "over" the other elements or features. Thus, the exemplary term "under" can encompass both an orientation of over and under. The device may be otherwise oriented (rotated 90 degrees or at other orientations) and the spatially relative descriptors used herein interpreted accordingly. Similarly, the terms "upwardly", "downwardly", "vertical", "horizontal" and the like are used herein for the purpose of explanation only unless specifically indicated otherwise.

[0094] It will be understood that although the terms first and second, and the like, are used herein to describe various features/elements, these features/elements should not be limited by these terms. These terms are only used to distinguish one feature/element from another feature/element. Thus, a first feature/element discussed below could be termed a second feature/element, and similarly, a second feature/element discussed below could be termed a first feature/element without departing from the teachings of the present invention.

[0095] Unless otherwise defined, all terms (including technical and scientific terms) used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. It will be further understood that terms, such as those defined in commonly used dictionaries, should be interpreted as having a meaning that is consistent with their meaning in the context of the specification and relevant art and should not be interpreted in an idealized or overly formal sense unless expressly so defined herein. Well-known functions or constructions may not be described in detail for brevity and/or clarity.

[0096] The term "headset" includes any type of device or earpiece that may be attached to or near the ear (or ears) of a user and may have various configurations, without limitation. Headsets as described herein may include mono headsets (one earbud) and stereo headsets (two earbuds), earbuds, hearing aids, ear jewelry, face masks, headbands, and the like.

[0097] The term "modulated energy", as used herein, refers to energy (e.g., optical energy, acoustic energy, ultrasonic energy, electromagnetic radiation, electrical energy, magnetic energy, mechanical energy, nuclear energy, etc.) that is emitted in pulses and/or that is emitted such that the amplitude, frequency, phase, or intensity is varied. A pulsed energy source modulates by effectively multiplying by a waveform that is a periodic sequence of zeros and ones.

[0098] The term "real-time" is used to describe a process of sensing, processing, or transmitting information in a time frame which is equal to or shorter than the minimum timescale at which the information is needed. For example, the real-time monitoring of pulse rate may result in a single average pulse-rate measurement every minute, averaged over 30 seconds, because an instantaneous pulse rate is often useless to the end user. Typically, averaged physiological and environmental information is more relevant than instantaneous changes. Thus, in the context of embodiments of the present invention, signals may sometimes be processed over several seconds, or even minutes, in order to generate a "real-time" response.

[0099] The term "monitoring" refers to the act of measuring, quantifying, qualifying, estimating, sensing, calculating, interpolating, extrapolating, inferring, deducing, or any combination of these actions. More generally, "monitoring" refers to a way of getting information via one or more sensing

elements. For example, "blood health monitoring" includes monitoring blood gas levels, blood hydration, and metabolite/electrolyte levels.

[0100] The term "physiological" refers to matter or energy of or from the body of a creature (e.g., humans, animals, etc.). In embodiments of the present invention, the term "physiological" is intended to be used broadly, covering both physical and psychological matter and energy of or from the body of a creature. However, in some cases, the term "psychological" is called-out separately to emphasize aspects of physiology that are more closely tied to conscious or subconscious brain activity rather than the activity of other organs, tissues, or cells.

[0101] The term "body" refers to the body of a subject (human or animal) who may wear a headset incorporating embodiments of the present invention.

[0102] In the included figures, various embodiments will be illustrated and described. However, it is to be understood that embodiments of the present invention are not limited to those worn by humans.

[0103] The terms "creature" and "subject", as used herein, are interchangeable and include humans and animals.

[0104] The human ear is an ideal location for wearable health and environmental monitors. The ear is a relatively immobile platform that does not obstruct a person's movement or vision. Headsets located at an ear have, for example, access to the inner-ear canal and tympanic membrane (for measuring core body temperature), muscle tissue (for monitoring muscle tension), the pinna and earlobe (for monitoring blood gas levels), the region behind the ear (for measuring skin temperature and galvanic skin response), and the internal carotid artery (for measuring cardiopulmonary functioning), etc. The ear is also at or near the point of exposure to: environmental breathable toxicants of interest (volatile organic compounds, pollution, etc.); noise pollution experienced by the ear; and lighting conditions for the eye. Furthermore, as the ear canal is naturally designed for transmitting acoustical energy, the ear provides a good location for monitoring internal sounds, such as heartbeat, breathing rate, and mouth motion. Wireless, Bluetooth®-enabled, and/or other personal communication headsets may be configured to incorporate physiological and/or environmental sensors, according to some embodiments of the present invention. As a specific example, Bluetooth® headsets are typically lightweight, unobtrusive devices that have become widely accepted socially. Moreover, Bluetooth® headsets are cost effective, easy to use, and are often worn by users for most of their waking hours while attending or waiting for cell phone calls. Bluetooth® headsets configured according to embodiments of the present invention are advantageous because they provide a function for the user beyond health monitoring, such as personal communication and multimedia applications, thereby encouraging user compliance. Exemplary physiological and environmental sensors that may be incorporated into a Bluetooth® or other type of headsets include, but are not limited to accelerometers, auscultatory sensors, pressure sensors, humidity sensors, color sensors, light intensity sensors, pressure sensors, etc.

[0105] Optical coupling into the blood vessels of the ear may vary between individuals. As used herein, the term "coupling" refers to the interaction or communication between excitation light entering a region and the region itself. For example, one form of optical coupling may be the interaction between excitation light generated from within a light-guiding earbud and the blood vessels of the ear. Light guiding earbuds are described in co-pending U.S. Patent Application Publication No. 2010/0217102, which is incorporated herein by reference in its entirety. In one embodiment, this interaction may involve excitation light entering the ear region and scattering from a blood vessel in the ear such that the intensity of scattered light is proportional to blood flow within the blood vessel. Another form of optical coupling may be the interaction between excitation light generated by an optical emitter within an earbud and the light-guiding region of the earbud.

[0106] Embodiments of the present invention are not limited to headsets that communicate wirelessly. In some embodiments of the present invention, headsets configured to monitor an individual's physiology and/or environment may be wired to a device that stores and/or processes data or there may be a combination of wired and wireless communications. In some embodiments, this information may be stored on the headset itself. Furthermore, embodiments of the present invention are not limited to earbuds. In some embodiments, the invention may be employed around another part of the body, such as a digit, finger, toe, limb, wrist, ankle, around the nose or earlobe, or the like. In other embodiments, the invention may be integrated into a patch, such as a bandage that sticks on a person's body.

[0107] FIG. **1** illustrates an interference filtering apparatus/method **100**, according to some embodiments of the present invention. A medium **130**, preferably physiological material of a living subject, comprises at least one target region of interest **120** which is interrogated by energy **110**, preferably modulated energy, such as pulsed energy, generated by an energy emitter **102**. A pulsed driving circuit **101** is used to drive at least one energy emitter **102** at one or more pulsed frequencies to interrogate at least one target region of at least one medium **130** with the pulsed energy **110**. The energy may be in the form of electromagnetic, acoustical, mechanical, nuclear, electrical, magnetic, thermal, or other forms of energy, but typically optical energy from the electromagnetic spectrum. The energy reaching the medium **130** can interact with the medium to generate at least one energy response signal **111**, such as an optical scatter signal **111** between emitted optical energy **110** and the medium **130**. The energy response **111** caused by this interaction is detected by at least one detector **103**, configured to detect energy in the forms described above, but typically in the form of optical energy scattered from the medium **130**. A motion/position sensor **104** may be configured to measure movement, positional changes, or inertial changes in the vicinity of the medium **130**. The outputs of the detector **103** may be sent to at least one analog-to-digital convertor (ADC) **105** and the digitized output may be sent to at least one interference filter **106**, which is configured to remove the effects of time-varying environmental interference **140** from the signal output of the detector **103**. At least one motion/position sensor **104** may be incorporated in the interference filtering method **100** to provide a reference signal for removing the effects of motion from the extracted energy response signal **111** to produce a desired signal **109**. For example, the output of the interference filter **106** may be further processed by signal extraction filter **107** to extract accurate information from the medium **130**, and this signal extraction filter **107** may utilize the output of the motion/position sensor **104** to remove motion artifacts from the desired signal (the extracted energy response signal) **109**. At least one signal processor (not shown) may be used to control

the operations of the energy emitter **102**, detector **103**, filter **106**, and/or other components of the interference filtering method **100**.

[0108] In some embodiments of the present invention, a monitoring apparatus is configured to be a wearable monitor for monitoring at least one physiological condition of the wearer. In such an embodiment, pulsed electromagnetic energy **110** from at least one electromagnetic emitter **102**, typically an optical emitter, is directed towards at least one physiological region **130**, typically the ear region, of a subject. Examples of optical emitters include light-emitting diodes (LEDs), laser diodes (LDs), lamps, organic emitters (such as OLEDs), and the like. The sensor components (**102**, **103**, and **104**) may be integrated within the ear region **120** in the form-factor of an earbud or other ear-worn form-factor such that the measurement medium **130** comprises blood vessels and/or blood flow within the ear region. The intensity of the pulsed optical energy **110** is modulated by at least one pulsed driving circuit **101** such that the intensity is time-varying with at least two states, preferably an on state and an off state. This time-varying energy generates a time-varying energy response, typically an optical interaction response, such as optical absorption, modulation, scatter, transmission, luminescence, or the like, from the physiological region **130**. A first optical interaction response is obtained by at least one detector **103**, typically an optical detector, when the pulsed optical energy **110** is in the on state. A second energy response, in this case a second optical interaction response, is obtained by the optical detector **103** when the pulsed optical energy **110** is in the off state. (In some cases, other optical interaction responses may be collected in other modulated states of the pulsed energy **110**.) The first and second energy response signals are digitized by at least one ADC **105** and the digitized signals are processed via an interference filter **106** to produce a processed energy response signal that is associated with a physiological condition of the subject, wherein the filter removes time-varying environmental interference caused by an interferant, such as sunlight, ambient light, airflow, temperature, etc. The output of the interference filter **106** is then processed by a signal extraction filter **107** to accurately extract at least one physiological property of the subject. A motion/position sensor **104** may be configured to measure the motion/position between the medium **130** and the emitter **102**, the detector **103**, and/or the time-varying interference **140**, for example, caused by motion of the subject. The output signal of the motion sensor **104** may provide a motion artifact reference to the signal extraction filter **107** such that the motion/position information may be selectively removed from the desired signal output. Incorporating a motion sensor in embodiments of the present invention may be particularly important because time-varying interference, such as sunlight hitting an earbud, is often modulated by motion, and monitoring and subtracting this motion from the desired output may be critical to generating an accurate physiological signal in the midst of daily life activities of the subject.

[0109] Various forms of energy **110** can be used to interrogate one or more mediums **130** and to characterize those mediums by detectors **103** configured to detect the energy responses caused by the interaction of the energy **110** with the medium **130**. For example, optical energy **110** can be used to interrogate a target region of skin and blood **130** to provide information regarding a physiological condition of a subject, such as a measure of blood oxygen levels of the subject by pulse oximetry. A specific example of the opto-physiological interaction between light and a physiological medium **130** comprising the skin, blood vessels, and blood of a subject is shown in the reflective optical detection configuration **200** of FIG. **2**. At least one sensor module **207**, disposed within at least one housing configured to be attached to a body of a subject, may be in physical proximity to the skin of the subject, as shown in the reflective pulse oximetry setup **200** where reflected optical wavelengths **111** are measured, as opposed to measuring transmitted optical wavelengths. Optical emitter and optical detector wavelengths for pulse oximetry and photoplethysmography may include virtually any wavelength of electromagnetic radiation, but particularly useful are UV, visible, and IR wavelengths. In the illustrated embodiment, an optical source-detector assembly **201**, including an optical emitter **102** and optical detectors **103**, is integrated into sensor module **207** to generate optical wavelengths **110** and monitor the resulting scattered optical energy **111**. The optical source-detector assembly **201** may contain one or more optical sources emitting one or more optical wavelengths, as well as one or more optical detectors detecting one or more optical wavelengths. The epidermis **212**, dermis **213**, and subcutaneous **214** layers of skin tissue of a human are shown in FIG. **2** for reference. The scattered optical energy **111** may be modulated in intensity by changes in physiological condition, such as: changes in blood flow in the blood vessels, changes in physical motion of the body, changes in blood metabolite levels (such as blood gases, bilirubin, glucose, lactic acid, and the like), respiration, heart rate, pulse pressure, blood pressure, and other physiological changes. In some cases, the scattered optical energy **111** may be luminescent or preferentially polarized energy from the skin, blood, blood metabolites, drugs, or other materials in the body. Thus, the energy response signal **111** may contain information associated with at least one physiological condition of the subject.

[0110] In real-world environments, the energy response signal **111** collected by a wearable sensor module **207** may be corrupted by time-varying environmental interference **140** (FIG. **1**). Moreover, the energy response signal **111** may be even further corrupted by motion of the subject in a time-varying environment. For example, the motion caused by running may cause motion with respect to the emitter **102**, detector **103**, and medium **130** (in this case tissue of the subject), and this motion may cause an unwanted signal on the detector **103**. Moreover, the time-varying environmental interference **140** (FIG. **1**) may be caused by, or exacerbated by, the motion of the subject. A specific example of time-varying interference in a real-world environment **300** is summarized in FIG. **3**. In FIG. **3**, the runner is wearing an audio headset H containing biometric monitoring technology, such as Valencell's Healthset® brand technology (Valencell, Inc., Raleigh, N.C.), where at least one photoplethysmography sensor module **207** (FIG. **2**) is included within a biometric audio earbud **404** as shown in FIG. **4**. The sensor module **207** may contain at least one optical emitter **102**, at least one optical detector **103**, and at least one processor to measure heart rate, respiration rate, pulse pressure, motion, and/or other physiological conditions near the ear region **130** of the subject. These types of measurements may be achieved by detecting the optical scatter response **111** from the ear region as summarized in FIG. **2**. However, direct sunlight SL_1, indirect sunlight SL_2 and/or artificial light AL_1 from the environment may pass into the audio headset H. Time-varying sun-

light SL_1, SL_2 and artificial ambient light AL_1 may impart time-varying optical interference **140** on the optical detector **103** embedded within the audio headset H. For example, a time-varying interference signal **140** (FIG. **1**) from environmental light may impart substantial artifacts on an energy response signal **111** (FIG. **1**) in many real life scenarios, such as when clouds pass through the sky, when a subject runs through shadows, when a subject runs to/from an artificial light source, and/or various thereof. These time-varying artifacts may be difficult to distinguish from the desired time-varying signals associated with time-varying physiological conditions. For example, the interference frequencies associated with a time-varying change in shadows, or the harmonics of these interference frequencies, may correspond with at least one signal frequency associated with footsteps, respiration, or heart rate, and the optical energy response **111** may contain convoluted information comprising interference signals and desired physiological signals.

[0111] The aforementioned time-varying interference signal **140** (FIG. **1**) from direct sunlight SL_1 during jogging may be considered to be "direct interference", in that interfering sunlight may be detected directly by the optical detector **103**. However, there may be cases where the interference may reach the optical detector **103** indirectly, causing the same signal processing challenges as with direct interference. For example, FIG. **5** summarizes how external light EL_1 from the sun or other external source can pass through the ear region and reach the optical detector **103** embedded within the sensor module **207**. It may be difficult to distinguish this external light from the scattered excitation light associated with at least one physiological condition of the subject.

[0112] To address these problems, a novel embodiment of the interference filtering method and apparatus **100** is to employ both novel filtering methods and novel optomechanical earbud designs to: 1) remove sunlight from the desired signal response **109** (FIG. **1**) and 2) prevent sunlight from reaching the detector **103** in the first place. Embodiments of the present invention described herein employ at least one of these approaches, but typically both, to teach how to make a wearable monitor, such as an earbud monitor, that may provide accurate information on physiological conditions in the midst of environmental noise, such as noise from ambient light and/or sunlight.

[0113] An experiment was performed by the Applicant to address the magnitude of the aforementioned sunlight convolution problem for an earbud physiological monitor. In this experiment, an optical spectrometer was optically coupled to a light guide embedded within an earbud worn by a subject in an outdoor sunlight environment. The only light reaching the spectrometer was light guided by an earbud-embedded light guide, positioned in the same basic region as the sensor module **207** shown in FIGS. **4** and **5**. Thus, the light guide was guiding light from the ear region on one end towards the input of the spectrometer at the other end. Because the light guide was covered at one end by the spectrometer and at another end by the ear of the subject, the only significant light reaching the light guide was light generated by external light EL_1 passing through the ear, as shown in FIG. **5**. With the earbud worn by the subject, a first spectrogram was taken of this indirect external sunlight scattered through the ear. The earbud was then removed and pointed directly at the sun, and a second spectrogram was taken of direct sunlight hitting the ear with the earbud directly facing the sun to provide a comparison graph **600**, as shown in FIG. **6**. The comparison graph **600** shows that sunlight at wavelengths shorter than 600 nm is greatly attenuated through the subject's ear, whereas sunlight at wavelengths longer than 650 nm is minimally absorbed through the subject's ear.

[0114] In light of the comparative graph **600** of FIG. **6**, one approach to reducing the effects of sunlight on the optical response signal **111** (FIG. **1**) is to choose an optical emitter **102** (FIG. **1**) that emits light at wavelengths shorter than 600 nm and to choose an optical detector **103** (FIG. **1**) having an optical filter that blocks light having wavelengths longer than 600 nm or that passes light within an optical bandwidth provided by the optical emitter **102** (FIG. **1**). For example, a 400 to 500 nm optical emitter **102** (FIG. **1**) may provide 400-500 nm optical excitation **110** (FIG. **1**) to the ear region **130** (FIG. **1**), and an optical detector **103** (FIG. **1**) having a 400-500 nm optical filter may be used to detect the energy response signal **111** (FIG. **1**) with low interference **140** (FIG. **1**) from sunlight. While this method may be employed, the apparent benefits may be deceiving because the intensity of the optical scatter signal associated with physiological information may be orders of magnitude smaller than the sunlight interference—even with the combined attenuation effects of the optical filtering method and the strategic sensor module placement between the earbud housing and ear region (as shown in FIGS. **4** & **5**). A better approach for attenuating the optical interference signal may be to employ optical filters within the optical detectors **103** such that the only wavelengths passing into an optical detection window may be wavelengths that are naturally attenuated by the earth's atmosphere. For example, there are several attenuation bands for sunlight well-known in the art due to the absorption of sunlight by O_2, O_3, CO_2, and H_2O in the atmosphere. The sharp absorption peak near 763 nm in FIG. **6** is just one example. Thus, a novel design for rejecting sunlight interference may incorporate at least one optical emitter that generates optical wavelengths within at least one sunlight attenuation band combined with at least one bandpass-filtered optical detector, incorporating at least one optical bandpass filter to pass only wavelengths falling within this attenuation band.

[0115] Sunlight is quite powerful and intense, and any sunlight reaching an optical detector **103** (FIG. **1**) may completely saturate the detector **103** and make it virtually impossible to extract a physiological signal from the optical scatter signal **111** (FIG. **1**) coming from the human body. Unfortunately, it may be difficult to make a plastic or metal earbud housing light-tight under standard commercial manufacturing processes. For example, most commercial audio headsets include a housing made of plastic, and this plastic may be molded to include clamshells for assembly. Furthermore, commercial earbuds may be composed of multiple separate pieces that must be aligned and snapped together or adhesively attached. However, the longer wavelengths of sunlight, especially IR light, can leak through such plastic material, the clamshell regions of the plastic housing, or the bordering regions between separate plastic pieces. Furthermore, many audio headsets require openings in the material between the earbud housing and audio speaker cavity such that sound can travel freely between the tympanic membrane and the audio speaker. Sunlight may also travel between these small openings and undesirably reach the detector **103**. Sunlight scattering from unwanted openings in the plastic enclosure may scatter within the clamshells of the enclosure and reach the optical detector **103**.

[0116] According to some embodiments of the present invention, a sensor module **700** with an overmolded design, as shown in FIG. **7**, may be employed to remedy the problems associated with sunlight leaking towards the optical detector **103**. In this design, an overmold layer **740** surrounds the components of the sensor module **700** to prevent light leakage into any spot along the periphery of the sensor module **700**. The overmold layer **740** comprises a light-opaque material surrounding the optical emitter **102** and optical detector **103** such that the optical emitter **102** and optical detector **103** are not in direct optical communication with each other. The light-opaque material of the overmold layer **740** may include a first aperture **740***a* in communication with the optical emitter **102**, and a second aperture **740***b* in communication with the optical detector **103**. Thus, the only device regions having access to outside light may be the regions of the optical emitter **102** and detector **103**, but these may be covered by at least one optical filter **710** tuned to a wavelength region of interest. If utilized, the wavelength pass-band of an optical filter covering the emitter **102** should be tuned to the emitter wavelength band so that all other light is blocked. If utilized, the wavelength pass-band of the optical filter **710** covering the detector **103** should be tuned to at least one wavelength band associated with the optical scatter **111** (FIG. **1**) of interest from the medium **130** (FIG. **1**) so that all other light is blocked.

[0117] In some embodiments of the present invention, an optical filter for the emitter **102** and detector **103** may be the same filter, such as may be the case for optical scatter **111** (FIG. **1**) detection by the detector **103** where all light other than the emitter light of interest may be blocked by the filter **710**. According to some embodiments of the present invention, the optical filter **710** covering the detector **103** is configured to block unwanted sunlight but still allow wavelengths from the optical emitter **102** to pass therethrough. In some embodiments of the present invention, the optical filter **710** over the detector **103** is configured to pass wavelengths centered around 930 nm, and the optical emitter **102** is configured to emit wavelengths centered around 930 nm. According to some embodiments of the present invention, the optical filter **710** may have a surface area greater than a surface area of the optical detector **103**. In some embodiments of the present invention, the optical filter **710** overlies the optical detector **103** such that a periphery of the optical filter **710** overlaps a periphery of the optical detector **103**, as shown in FIG. **7** and FIG. **8**.

[0118] To guide light from the optical emitter **102** towards the skin **130** of a subject and to direct light from the skin **130** to the optical detector **103**, lenses **715** may be utilized, as illustrated in FIG. **7**. The lenses **715** may be physically separated lenses (as shown in FIGS. **7** and **8**) or combined or conjoined lenses. In some embodiments of the present invention, there is no optical coupling between the emitter lens **715** and detector lens **715**. For this reason, a barrier region may be incorporated in the case where the lenses are combined or conjoined. Separated lenses may be isolated by at least one light opaque barrier region greater than 50 μm in thickness. Light opaque plastic, rubber, metal, or polymeric material are a few examples of good choices for the light opaque region. The optical lenses **715** may be designed for the desired optical coupling between the skin/blood vessel region and the emitter **102** or detector **103**. For example, a convex lens design (such as that of FIG. **7**) placed over the emitter **102** may focus light onto the skin, and a concave lens design (such as that of FIG. **8**) placed over the emitter **102** may diverge light over the skin region. In the other direction, a convex lens design placed over the detector **103** may capture more light over a broader region and direct that light towards the detector **103**, whereas a concave lens may collimate light towards the detector **103**.

[0119] The optical lenses **715** may be separate from the overmold layer **740** or may be part of the overmold layer **740**. For example, the overmold layer **740** may be comprised of material that is transparent to light **110** (FIG. **1**) coming from the emitter **102**. In such case, the lenses **715** may integral with the overmold layer **740**. In other cases, the optical lenses **715** may be comprised of different material than the overmold layer **740**, such that the lenses **715** may fit within the overmold layer **740** and be matingly engaged with the overmold layer **740**.

[0120] As shown in FIGS. **7** and **8**, the emitter **102** and detector **103** may be integrated within a circuit board **720** assembly, such as a printed circuit board (PCB) assembly. The PCB board **720** may have opposite first and second sides **720***a*, **720***b*, with at least one optical emitter and optical detector adjacent to each other on the first side **720***a*, and with at least one secondary sensor **730** on the second side **720***b*. The secondary sensor **730** may be integrated within the PCB **720** for sensing another parameter. In one particular embodiment, the secondary sensor **730** may serve as a motion/position sensor **104** (FIG. **1**). Connections or wiring **750** may be used to connect the sensor module **700** to another apparatus, connector, PCB, circuit, or the like. For example, electrical wiring or fiber optic cables may be overmolded such that sunlight cannot pass through the interface between the wires/cables and the overmold layer **740**.

[0121] Another benefit of the overmolded design of FIGS. **7** and **8** is that it may also provide resistance to water, humidity, sweat, wind, and other environmental interferants. For example, an overmolded plastic design around the emitters **102** and detectors **103**, combined with overmolded or glued lenses **715**, may at least partially encapsulate the emitters **102** and detectors **103** and hence at least partially isolate these devices from the environment.

[0122] FIGS. **9**A and **9**B illustrate an environmentally protected earbud **900** that can incorporate overmolded sensor modules **700**, **800**, according to some embodiments of the present invention. In the illustrated embodiment, at least two sensor modules **700** are shown to emphasize that multiple sensor locations can be used, as long as the sensor modules **700** are configured to direct energy towards the ear and detect the energy response from the ear. Additionally, having multiple sensor modules **700** located around the earbud housing **901** may help with: a) making the environmentally protected earbud **900** work uniformly well on a variety of persons having a variety of differing ear physiology and/or b) enabling additional sensor functionality, such as the ability to sense blood gas levels, blood metabolite levels, pulse pressure, blood pressure, glucose, and a variety of other physiological metrics or analytes. At least one supporting arm **902** may be connected to the earbud housing **901** to support a wire, electrical connections, and/or provide additional support around the ear. For example, a supporting arm **902** may be used to house wires or wrapped around the ear to further support the earbud housing **901** within the ear.

[0123] In some embodiments of the present invention, an additional optical filter (e.g., **710**, FIGS. **7** and **8**) may be utilized that serves (or also serves) as an attenuation filter, such as a "neutral density filter", gelatin filter, opaque mate-

rial, or other optical attenuation filter or filtering materials. In one embodiment, an optical filter **710** may serve as both an optical wavelength filter and an attenuation filter. Because sunlight is so powerful, it may be beneficial to reduce sunlight interference as much as possible, even if that means also reducing the amount of optical scatter **111** (FIG. **1**) of interest from the medium **130** (FIG. **1**). To offset this unwanted reduction in optical scatter **111**, the intensity of the optical emitter **102** may be increased to increase the ratio of physiological optical scatter **111** from blood vessels with respect to unwanted sunlight.

[0124] The angling of the sensor modules **700**, **800** (FIGS. **7** and **8**) within the earbud housing **901** may be designed to direct light towards the ear and detect light from the ear while rejecting as much environmental light (such as sunlight) as possible. As shown in FIG. **10**, angling the normal "N" of the lens **715** between the perpendicular lines "A" & "B", where "A" is perpendicular to earth ground and "B" is parallel to earth ground, would help achieve this goal. Phrased another way, the angle "θ_N" between "N" and "A" or "N" and "B" would preferably be less than 90°. The lens **715** placement and angle does not obstruct the audio cavity between the speaker **910** and the earbud housing opening(s) **903**, which helps couple light to the ear canal. For this reason, in some cases, angle "θ_N" approximating 45° may be utilized for limiting the sensor module **700** exposure to outside light while also limiting the obstruction of the audio cavity by the sensor module **700**.

[0125] The lenses **715** described herein may be comprised of any material that is at least partially transparent to the wavelengths of light generated by the emitter **102** and/or the desired wavelengths of light detected by the detector **103**. In some embodiments of the present invention, the lenses **715** are completely transparent to the light of interest, but in other embodiments of the present invention the lenses **715** may be configured to diffuse, attenuate, disperse, or redistribute light uniformly across the lens. For example, a lens **715** incorporating diffusing material, placed over the emitter **102**, may help spread more light from the emitter more uniformly across the area of the lens such that a broader physiological region may be excited by optical radiation. Similarly, a diffusing lens configuration placed over the detector **103** may help detect light from a broader area of the body and direct that light towards the detector **103**. Some plastic materials contain scattering centers or materials that tend to scatter light. For example, silicones may be used to diffuse light in a lens. Partially opaque lenses may also be used to provide diffusion or internal scattering of light within a lens. Additionally, roughened surfaces, such as roughened plastic or glass, may encourage diffuse optical scatter without greatly attenuating the intensity of light. Other methods of creating optical diffusion or scattering in light-guiding materials such as lenses may be utilized.

[0126] The angled emitter-detector earbud configuration **1100** of FIG. **11** may be employed to maximize the collection, by the detector **103**, of the scattered light response **111** (FIG. **1**) related to physiological conditions and to minimize the collection of the unwanted scattered light response **111** (FIG. **1**) not related to physiological conditions. Angling the emitter **102** with respect to the detector **103** can prevent unwanted light generated by the emitter, such as that scattered by the outer surface of the skin, from reaching the detector **103**. While at the same time, desired light that is guided into the blood vessels, scattered within physiological material **130**, and coupled into the detector **103** may contain desired optical information associated with physiological changes. The higher the angle between the emitter an detector, the less the intensity of optical energy that may be detected by the detector **103**. However, the higher the angle, the higher the ratio of desired optical signal to undesired optical signal. In some embodiments of the present invention, the angle between the normal "N_e" of the optical emitter and the normal of the optical detector "N_d" is between 0° and 90°. The position of the emitter **102** and detector **103** as shown in FIG. **11** may be reversed, providing the same effect. However, the optical emitter **102** may be located near the portion of the ear having a higher density of blood vessels, such that the optical energy will be more greatly modulated by physiological conditions (such as blood flow, blood gas levels, and the like) and such that the optical energy detected by the detector **103** will be more indicative of physiological conditions and less indicative of unwanted optical scatter (such as skin reflectance) or motion-related scatter (such as motion caused by running, jogging, or talking).

[0127] It should be noted that the angling of the emitters **102** or detectors **103** in the right location within not only the earbud housing **901**, but also within the ear itself, may be critical for blocking out sunlight **140** while still generating a strong enough physiological signal from the ear region. For this reason, the earbud **1100** (FIG. **11**) may also employ at least one earpiece fitting, or other additional mounting support, to keep the earbud in place within the ear and/or keep the sensor module **700**, **800** directed at the right angle within the ear. Several types of earpiece fittings are well known in the art, such as: ear hooks, ear clips, ear pads, ear loops, concha support, headbands, and the like. A specific example of a supportive earpiece fitting, a concha support that loops around the concha-helix area of the ear, is shown in the earbud **404** of FIG. **4**. In some embodiments of the present invention, the earpiece fitting supports the earbud within the ear while also placing pressure against the earbud to keep the sensor module in place, away from outside light interference.

[0128] At least part of the earbud housing **901** (FIG. **11**) or sensor module **700** (FIG. **11**) may comprise a soft material which deforms when inserted within the ear and that facilitates retention of the earbud within the ear. For example, the shape of earbud housing **901** shown in FIG. **11** may facilitate retention of the earbud **1100** within the ear because it may be shaped to mate or engage with the concha or outer ear canal of the ear. Furthermore, if part of the earbud **1100** comprises a soft material, such as soft plastic, polymer, silicone, or rubber, the material may deform when the earbud **1100** is inserted within the ear such that this part of the earbud **1100** mates or engages with the ear. The sensor modules of FIGS. **7** and **8** may be integrated within the earbud housing **901**, such that at least a portion of the housing **901** may comprise optically transmissive material to allow light to move from the emitter **102** to the ear region or from the ear region to the detector **103**. The soft deforming material may be adjacent to the optically transmissive material or may comprise at least part of the optically transmissive material itself. For example, the lenses **715** of FIG. **9** may be comprised of optically transparent material that is also soft, such as silicone or transparent plastic, polymer, rubber, or the like. In another embodiment, a second layer of soft, yet transparent, material may cover the lenses **715**.

[0129] An exemplary overmolding configuration of a sensor module **1201** is illustrated in FIGS. **12A-12C**. The sensor

module **1201** is shown without an emitter, detector, or lenses, but rather with recesses/apertures **1202** showing where lenses may be located. Exemplary dimensions are also presented in FIG. **12**. In some embodiments of the present invention, dimensions are on the order of millimeters or less, such that the sensor module **1201** can fit within a small-sized earbud without obstructing, or minimally obstructing, the audio cavity. In the illustrated embodiment, an emitter and detector to be used with the sensor module **1201** can be arranged in a line that is parallel with the antitragus. This arrangement is illustrated in FIGS. **13**A-**13**C. However, embodiments of the present invention are not limited to any particular set of dimensions or to any shape or configuration.

[0130] FIG. **14** illustrates a multi-detector earbud **1400**, similar to the earbud **1300** of FIGS. **13**A-**13**C, but where two separate optical detectors (not shown) are covered by two separate optical lenses **715**. An optical emitter **102** is located between the two optical lenses **715**. Employing multiple optical detectors may provide several benefits: 1) more scattered light collection, providing stronger signal strength, 2) additional information on scattered light collection for improving physiological assessments, 3) light collection at different wavelengths for measuring blood constituents, pulse pressure, skin, color, and the like, and 4) other benefits. For example, light entering the ear may scatter throughout several blood vessels, and without multiple detectors, signal information may be lost. Additionally, blood flow scatter collected from the front of the ear may be more indicative of blood flow through the carotid artery system, whereas blood flow scatter collected from the back of the ear may be more indicative of the capillary or venous system. The difference between these two signals may be processed with algorithms to generate an assessment of pulse pressure, blood pressure, cardiac output, and the like. Lastly, collecting light at different wavelengths, and processing these signals collectively, can be used to generate assessments of blood gas levels, such as blood oxygen (SpO_2) and carbon dioxide ($SpCO_2$), blood hemoglobin types and levels, or other blood constituents and their respective concentration levels. Multiple wavelengths may be generated by a signal multiwavelength optical emitter, multiple optical emitters, optically filtered optical emitters, or the like. In such case, multiple optical emitters **102** may be located between the two optical filters **715**.

[0131] FIGS. **15**A-**15**B show a multi-detector earbud **1500**, where two separate optical detectors **103** are covered by two separate optical lenses, at least one optical emitter is covered by at least one optical lens, and where the emitter **102** and detector **103** configuration is oriented perpendicularly to the antitragus (as that shown in FIG. **11**). In FIGS. **15**A-**15**B, the optical detectors **103**, emitters **102**, and lenses are not readily visible as they are surrounded, at least in part, by an optical coupling area **1510**. The lenses (not shown) may cover each separate emitter **102** and detector **103** as shown in FIGS. **7** and **8**. Note that in this configuration, the emitter-detector module **700**, **800** of FIGS. **7** and **8** is oriented towards the back of the ear (near the back of the head). This arrangement may serve two purposes: 1) sunlight may be further blocked from reaching the detectors **103**, as the back of the ear may serve as a shield and 2) there may be less motion artifacts convoluting the desired blood flow signals, as the detectors **103** may be oriented away from mouth-motion-prone areas located near the front of the ear. Another feature of the earbud **1500** of FIGS. **15**A and **15**B is that an optical coupling area **1510** is located on top of the optical emitter **102** and surrounding (but not covering) the optical detector **103**. This optical coupling area **1510** may help diffuse, scatter, and/or guide light from the optical emitter to couple with a broader area of the ear region. Having a broader coupling area may help excite more regions of the ear with optical energy **110** (FIG. **1**) and reduce the chance that the optical energy may miss important blood vessels, which may be located in different regions for different persons. Thus, such a design may promote a more universal design for a biometric earbud. Without an optical coupling area **1510**, the optical energy **110** from the optical emitter(s) **102** may miss blood vessels in different persons due to physiological differences that may exist between different people. Alternatively, the optical coupling area **1510** may be configured to cover the optical detector **103** area and not the optical emitter **102** area, such that more light is guided from different regions of the ear and coupled into the detector **103**. Alternatively, a plurality of optical diffusion areas may be configured to cover the emitter **102** and detector **103** separately.

[0132] The material selection for the optical coupling region **1510** may be any type of optically transmissive material. For example, a plastic, rubber, silicone, or other soft, moldable material may be used. In some embodiments, the material may intentionally contain scattering centers or may contain partially opaque regions to promote optical scatter and generate a uniform, diffuse optical beam across the optical coupling area **1510**. In some embodiments, the material may be roughened, as with roughened plastic or glass, to generate scattering centers.

[0133] FIGS. **16**A-**16**B illustrate an exemplary headphone **1600** having a replaceable/interchangeable earbud tip **1601**. An interchangeable tip **1601** can provide the benefit of allowing larger or smaller tips on the end of the earbud housing **901** to accommodate larger or smaller ears. A typical commercially available headphone structure may look like the embodiment illustrated in FIG. **16**A. In FIG. **16**B, an optical sensor module **700** is integrated into the bottom of the headphone housing **901**, in the region near the antitragus, in a form-fitted shape **1610** designed to make contact with the antitragus region. However, this may increase the size of the housing by a few millimeters in multiple dimensions. For this reason, the interchangeable earbud tip **1601** may be modified with a form-mating region **1612** to mate with the form-fitted region **1610** and thus accommodate the change in size imposed by the optical sensor module **700**.

[0134] According to other embodiments of the present invention, optical coupling and waveguiding are incorporated into a headphone. For example, at least one emitter (not shown) and at least one detector (not shown) may be integrated into a sensor module and stationed within an earbud housing **901** of the headphone **1600** of FIG. **16**A without the addition of a form-fitted shape **1610** (FIG. **16**B) or mating region **1612** (FIG. **16**B). Optical excitation of the ear region can be generated by guiding light from an emitter to an interchangeable earbud tip **1601** and into the ear region or by guiding scattered light from the ear region into the earbud tip **1601** and into an optical detector. To couple light from an optical emitter into the earbud tip **1601**, the optical emitter may be positioned in the earbud face **1605** region to direct light towards the earbud tip **1601**. In some embodiments of the present invention, the optical detector is positioned in the earbud bottom region **1606** (FIG. **16**A) to receive light from the bottom of the ear. Similarly, to couple light from the earbud tip **1601** to an optical detector, the detector may be

located in the front earbud face region **1605** (FIG. **16**A) to receive light from the earbud tip **1601**.

[0135] In some embodiments of the present invention, the optical emitter is positioned within the earbud bottom region **1606** (FIG. **16**A). Using the interchangeable earbud tip **1601** as a light guide, the earbud material is made of at least partially transparent materials, which are transparent to the wavelength of interest. Additionally, an earbud material having a higher index of refraction than air may be used so that more light is guided along the earbud tip **1601** and less light is scattered away from the earbud tip **1601**. Additionally, intermediate regions between the earbud face **1605** and the earbud tip **1601** may be utilized in order to promote optical coupling between the two regions. For example, a lens (not shown) or other light guiding region may be placed over the emitters or detectors to promote this optical coupling.

[0136] Referring to FIG. **16**B, in some embodiments of the present invention, emitter(s) and detector(s) may both be positioned in the earbud face **1605** region to direct light towards the earbud tip **1601**. In this manner, the earbud tip **1601** may help: 1) direct light from the emitter to the ear region and 2) direct light from the ear region to the detector. However, optical scatter interference associated with light from an emitter bouncing around in, around, and about the earbud tip **1601** may convolute a desired optical scatter signal (e.g., **111**, FIG. **1**) associated with physiological information (such as blood flow in the ear region). To reduce this unwanted convolution of undesired optical scatter, the earbud tip **1601** may include at least two separate parts, as shown in FIG. **17**. The earbud tip **1601** illustrated in FIG. **17** is separated by an optical barrier **1710**, with one part coupled to the optical emitter and another part coupled to the optical detector. Each part may support at least one separate optical path isolated by at least one optical barrier **1710**. For example, one part may direct light from the emitter to the ear region and another part may direct light to the detector from the ear region. The optical barrier **1710** may be any material that is partially or completely opaque to the light wavelength of interest or any interface which reduces light transmission or communication between the two earbud tip parts. For example, the earbud tip **1601** may be molded from two (or more) separate materials (plastic, rubber, silicone, and the like) separated by: 1) an opaque material (plastic, rubber, silicone, paint, and the like) or 2) at least one interface between the two (or more) separate materials. The interface may be as simple as the natural interface between the two separate materials or as complex as by specially treating the interface by heat, light, abrasion, dissolving, chemical application, or the like. A roughened interface may help scatter light away from the interface and help keep the optical paths isolated within each respective part. It is important to note that such a multi-part earbud tip design may require a mating region **1612** to help align the earbud coupling part and detector coupling part with the emitter(s) and detector(s) respectively. As a further note, this design should not be limited to the two earbud tip parts (the emitter coupling part and detector coupling part) shown in FIG. **17**. In one embodiment, multiple earbud tip parts may be employed to direct light from multiple separate emitters and/or multiple separate detectors located along the earbud housing **1901**. Moreover, multiple separate materials may be used in each part, such that each part may provide different optical filtering or conditioning properties. Such a design may be particularly important for multiwavelength spectroscopy of the ear region.

[0137] An exemplary filter processes samples **1910**, the off/on samples **1920**/**1930** respectively, taken from a digitized physiological sensor signal **1911** generated by a detector (e.g., **103**, FIG. **1**) as shown in FIGS. **19**A-**19**B. A pulsed emitter (e.g., **102**, FIG. **1**) generates a pulsed beam of light such that some samples **1920** represent signal **1911** from a detector with the emitter turned off and other samples **1930** represent signal **1911** from the detector with the emitter turned on. Both types of samples **1920** and **1930** may contain environmental noise from environmental interferants, but only emitter-on samples **1930** contain physiological information generated by the emitter energy. The digitized samples taken from detector signal **1911**, provided by an ADC (e.g., **105**, FIG. **1**), are processed by an interference filter (e.g., **106**, FIG. **1**) and the output of the interference filter may be directed for further processing (represented by **107**, FIG. **1**).

[0138] These operations are summarized in FIG. **18**, which is a flow chart of operations for removing environmental noise from a sensor signal via an interference filter, according to some embodiments of the present invention. At Block **1800**, at least one ADC sample is read when the emitter is on. At Block **1802**, at least one ADC sample is read when the emitter is off. At Block **1804**, relevant on/off samples are input to an interference filter. At Block **1806**, at least one sample from the interference filter is output for further processing.

[0139] An interference filter (e.g., **106**, FIG. **1**) utilized according to embodiments of the present invention, may vary in nature and complexity. For example, an interference filter may subtract temporally neighboring emitter-off samples **1920** (FIG. **19**A) from temporally neighboring emitter-on samples **1930** (FIG. **19**A) and output a "subtraction" signal for further processing. In this embodiment, the subtraction may involve subtracting temporally neighboring on/off samples, averaging temporally neighboring on samples and averaging temporally neighboring off samples and then subtracting the average off samples from the average on samples, or by other approaches to subtracting off signals **1920** from on signals **1930**. When choosing a subtraction approach, it is important to consider the emitter pulsing frequency and sampling approach. For example, choosing a frequency that is too low may result in unsatisfactory subtraction, as the dynamic environmental conditions between off-states **1920** and on-states **1930** may be too abrupt for the sampling frequency, such that the physiological state of interest is not properly characterized by the interference filter. Thus, the sampling frequency may need to be much higher than the Nyquist frequency required for characterizing the signal in a stationary environment. As a specific example, if an interference filter (e.g., **106**, FIG. **1**) is being used to remove sunlight interference (e.g., SL_1, SL_2, FIG. **3**), from a photoplethysmogram **1911** (FIGS. **19**A, **19**B), and if the desired processed output from the final filtering is heart rate, then the Nyquist criteria for pulsing the emitter may be $2\times f_{max}$, where f_{max} is the maximum heart rate of interest, which is likely to be somewhere around 200 beats/minute (BPM) or 3.33 Hz.

[0140] However, a person running through alternating shadows may generate a sunlight interference frequency greater than 10 Hz. In such case, it may be desirable to select a sampling frequency greater than twice the sunlight interference frequency, which in this case would be greater than 20 Hz. Samples that are temporally neighboring (located close to each other in time) may be averaged in this case to help remove noise associated with unintended transients. For

example, the off samples **1920** (FIGS. **19**A, **19**B) that come before, and/or after, a given on sample **1930** (FIGS. **19**A, **19**B) may be averaged and then that average may be subtracted from the on sample **1930** (or average of the on samples), and this final result may be passed along for further processing.

[0141] As another example, a batch of data may be defined to consist of: 1) a set number of on/off optical detector samples, for instance 6 interleaved on/off samples, 2) a set number of motion sensor samples, for instance 3 samples (one for each on/off optical sample), and 3) a time delay. The time delay may be adjusted to generate the desired batch rate or batch frequency. The 6 on/off optical samples may be input to a multi-tap filter, for instance an M-tap weighted sum filter, and the output may be defined as 1 output per batch for the interference filter. If the optical on/off samples are extended to fill the whole batch period by "N" samples, then the decimation factor is "N", and the effective sample rate is N*batch rate. The parameters for adjustment in this filter may then be N and M, where M is the number of taps in the filter. The batch rate may be chosen to avoid aliasing of interfering harmonics from the desired physical condition monitored, such as the heart rate. For example, batch rate may be chosen as 10*HRmax, where HRmax is the maximum heart rate to be measured. The batch rate may dominate the MIPS (million instructions per second) used by a signal processor, such as a DSP (digital signal processor), since it affects the spectral transform of the desired output signal. In this filtering embodiment, to minimize aliasing from interfering sunlight-shadow changes on the desired heart rate output signal (especially for running or cycling through shadows), a increasing the N and/or M may be preferred.

[0142] Another example of a batch may include nine (9) optical segments per batch: off-on-off-on-off-on-off-on-off. Within a given batch, the average of the "off" samples may be subtracted from the average of the "on" samples, providing one output per batch. This would generate a decimation factor of nine (9). Additional batch configurations and on/off configurations may be used in this invention.

[0143] It should be noted that the modulated light **110** may be pulsed completely on or completely off, or it may be pulsed partially on or partially off. In some embodiments, for example, the modulated light may be sinusoidal. A benefit of complete on/off pulsing is that it may better-facilitate the signal processing methodologies outlined herein for attenuating environmental noise and motion-coupled environmental noise from one or more output signals.

[0144] A finite impulse response (FIR) version of an interference filter, according to some embodiments of the present invention, levering the pulsed-emitter on/off sampling rate, is presented in FIG. **20** and identified as **2000**. The illustrated interference filter **2000** employs a delay of "n" samples according to Z^{-n}. Coefficients in the filter "b_n" allow multi-tap filtering. Pulsing an emitter (e.g., **102**, FIG. **1**) and selectively sampling on/off signals, as described herein, may modulate the physiological signal to a higher frequency. Thus, the output y(n) of the interference filter **2000** may be decimated to demodulate the output back into the baseband. A specific example of a decimation-by-2 algorithm may reject odd-numbered samples such that the decimated signal, w(m), may be described by even-numbered samples according to w(m)=y(2*m).

[0145] Examples of filters embodying the interference filter **2000**, for removing sunlight interference from a PPG signal **1911** (FIGS. **19**A, **19**B) to extract heart rate, according to embodiments of the present invention, are illustrated in the plot **2100** of FIG. **21**. The plot **2100** presents the magnitude response (in dB) for each interference filter vs. normalized frequency (1=Nyquist). Also shown in FIG. **21** is a representation of the modulated heart rate **2160** modulated by the emitter on/off sample rate, the demodulated heart rate **2140** demodulated by decimation, and the interference frequency associated with dynamic sunlight noise **2150**. As a specific example for the previously described "subtraction filter" **2110**, the FIR coefficients may be [b1 b2]=[1 −1]. A filter **2120** for subtracting the temporally neighboring off-samples **1920**, coming before and after a given on-sample **1930**, may employ coefficients of [−0.05 1 −0.5]. A filter **2130** using multiple on/off samples may employ coefficients of [−0.07 0.3−0.6 0.6−0.3 0.07].

[0146] It should be noted from FIG. **21** that interference filter **2000** may reject more unwanted sunlight than less complex filters. Because the dominant sunlight noise frequencies **2150** may be located at lower frequencies, and because the modulated heart rate signal frequency **2160** may be located at a much higher frequency, most or all of the sunlight noise **2150** may be rejected by the interference filter **2130**. Then through demodulation in further-processing (e.g., **107**, FIG. **1**), the heart rate signal may be returned to the baseband so that real-time heart rate may be extracted.

[0147] An interference filtering method, according to some embodiments of the present invention, may employ a motion/position sensor (e.g., **104**, FIG. **1**) to further remove interference from a desired physiological signal (e.g., **109**, FIG. **1**). For the case of sunlight interference (e.g., **140**, FIG. **1**) on an earbud sensor module (e.g., **207**, FIG. **2**), outdoor sunlight may more strongly illuminate an ear and detector **103** in the sensor module **207** than the emitter **102** in the sensor module **207**. While an interference filter (e.g., **2130**, FIG. **21**) may effectively remove the baseband frequencies of this interference, harmonics caused by motion-induced sunlight noise may dominate the digitized signal near the frequencies of the modulated desired information (e.g., **2160**, FIG. **21**). The step rate of a person running or jogging may be the strongest component of this interference. Moreover, because a person running or jogging may be moving through varying sunlight intensity, the interference signal may be a convolution of motion-coupled-sunlight changes in time. A technique for removing sunlight interference, according to some embodiments of the present invention, utilizes a motion or position sensor (e.g., **104**, FIG. **1**), such as an inertial sensor, accelerometer, pedometer, gyroscope, microelectromechanical sensor, capacitive sensor, inductive sensor, optical motion sensor, or the like. The step rate may be measured as the peak frequency in the spectrum of the signal coming from motion/position sensor **104** or as the frequency of the spectral peak of the signal coming from the motion/position sensor **104**. For example, the processed spectrum may employ differentiation or integration to generate a processed motion/position signal, and the peak frequency of this processed spectrum may be more indicative of the step rate. Harmonics and aliased harmonics of the step rate may determine the frequencies of interference to ignore in the desired-information spectrum. For example, the emitter pulse rate minus eight times the step rate (aliased 8th harmonic) may be the frequency of strong interference in the frequency space of the modulated heart

rate (e.g., **2160**, FIG. **21**), and frequencies within a pre-determined range may be set to zero in the desired-information spectrum.

[0148] It should be noted that a motion/position sensor (e.g., **104**, FIG. **1**) utilized in accordance with some embodiments of the present invention may come from a broad range of sensors: inertial sensor, accelerometer, pedometer, gyroscope, microelectromechanical sensor, capacitive sensor, electrical sensor, inductive sensor, optical motion sensor, or the like. This is because many types of sensors may be either intentionally or unintentionally sensitive to motion and/or position. However, in some embodiments of the present invention, a sensor **104** (FIG. **1**) and/or processing algorithm are utilized that can identify motion signals from physiological, environmental, or other signals not directly associated with motion. In some embodiments of the present invention, at least one optical detector (e.g., **103**, FIG. **1**) may also serve as a motion sensor. Motion-related changes in scattered light (e.g., **111**, FIG. **1**) may be several times greater than blood-flow related changes in scattered light. Thus, the output of at least one detector **103** may be processed with a digital filter, such as a spectral filter, adaptive filter, threshold filter, or the like, to identify only motion-related signals and remove these signals from the desired response (e.g., **109**, FIG. **1**). Such processing may identify motion-related signals from physiological-related signals. In some embodiments of the present invention, by employing multiple optical detectors (e.g., **102**, FIG. **1**), motion-related signals may be identified from physiological-related signals by processing signals from the multiple detectors using an algorithm, such as a spectral algorithm. An example of a spectral processing algorithm for identifying motion-related signals, according to some embodiments of the present invention, is to generate a spectral representation of all signals in all detectors (e.g., **102**, FIG. **1**), identify key frequencies in each signal, and subtract, reduce, or remove all frequencies in common with each detector output. This type of filtering technique may work well because physiological signals may generate similar spectral peaks for all detectors (e.g., **102**, FIG. **1**) but motion signals may generate dissimilar spectral peaks in at least one detector (e.g., **103**, FIG. **1**). Another example of processing the detectors (e.g., **102**, FIG. **1**) to identify and remove motion-related signals is to position at least one detector in a location that does not "see" optical scatter (e.g., **111**, FIG. **1**) from the body/ear region but instead sees optical scatter from the earbud housing (e.g., **901**, FIGS. **9**A, **9**B) or other material that may move in synchronization with the body. For example, the secondary sensor **730** (FIG. **7**) may be an optical detector that measures light scattered from the earbud itself; because the sensor **730** may be facing the earbud and not the ear, only light scatter from the earbud may be detected.

[0149] FIGS. **22**A-**22**D are graphs of a processed heart rate signal output **109** of an earbud module (e.g., earbud module **207**, **700**, FIGS. **2**, **7**) employing two different filter configurations, according to some embodiments of the present invention. The graphs illustrated in FIGS. **22**A-**22**D were generated from data collected from a user wearing an earbud (e.g., **404**, FIGS. **4**A, **4**B) during an outdoor run. FIGS. **22**A and **22**C show relative spectrograms of frequency vs. time, where the strongest spectral signals show the brightest relative intensities. FIGS. **22**B and **22**D show the estimates of step rate **2210** and heart rate **2220** following further processing **107** to extract the desired frequencies of interest. FIG. **22**A shows the spectrogram of the digitized sensor output from an optical detector (e.g., **103**, FIG. **1**) following the ADC process (e.g., **105**, FIG. **1**) without employing an interference filter (e.g., **106**, FIG. **1**). FIG. **22**C shows the spectrogram of the same digitized sensor output of FIG. **22**A following signal processing via the interference filter **2130** of FIG. **21**. FIG. **22**A shows a great deal of broadband noise from sunlight, the strongest signal appears to be the step rate signal **2210**, and the heart rate spectrum **2220** appears very faint with respect to the step rate signal. In contrast, FIG. **22**C shows substantially less broadband noise from sunlight, the strongest signal appears to be the heart rate signal **2220**, and the step rate signal **2210** appears very faint with respect to the heart rate signal. Through further filtering (e.g., **107**, FIG. **1**), the heart rate **2220** and step rate **2210** may be extracted, as shown in FIGS. **22**B and **22**D. FIG. **22**B shows that the estimated heart rate signal **2200** is incorrect and does not match that of the spectrogram illustrated in FIG. **22**A. In contrast, FIG. **22**D shows that the estimated heart rate signal **2200** is correct and does match that of the spectrogram illustrated in FIG. **22**C. Namely, the advanced interference filter **2130** is able to remove enough unwanted sunlight interference that the heart rate spectrum may be more easily extracted by spectral algorithms **107** (FIG. **1**) designed to pick out the strongest frequency as heart rate.

[0150] Monitoring apparatus, according to embodiments of the present invention can be adapted to fit around various parts of the body, such as an arm, leg, neck, etc. For example, monitoring apparatus, according to embodiments of the present invention can be implemented as a substrate, such as a wristband, armband, legband, neckband, waistband, ankleband, footband, handband, ringband, headband, or the like. The substrate may be flexible and may be configured to surround all or a portion of a body of a subject. The substrate may be configured to be attached to a body of a subject adhesively, similar to a bandage. The location of a sensor module (e.g., **700**, **800**) may be virtually any place along the skin of a subject; however, better PPG results may be obtained when a sensor module is placed along an major artery.

[0151] Referring to FIGS. **23**-**29**, an exemplary wristband monitoring apparatus **2300** is illustrated. The wristband **2300** houses a power source, circuitry, a sensor module, and electronics as described above with respect to the various earbud embodiments. As illustrated in FIG. **24**, a sensor module **700**, **800** is positioned on the inside surface **2302** of the wristband **2300**. The sensor module **700**, **800** may include an isolation region **2304** that is configured to keep the sensor module **700**, **800** stable with respect to the subject's wrist during use so as to reduce motion artifacts. The isolation region may be constructed out of any sturdy material, but for comfort, a foamy and/or flexible sturdy material may be utilized. Furthermore, the isolation region **2304** may further shield against environmental interference such as sunlight, external temperature, wind, and the like.

[0152] In some embodiments of the present invention, a light guiding region **2306** may surround or partially surround the sensor module **700**, **800** and/or isolation region **2304**, as illustrated in FIGS. **24**-**27**. In FIGS. **24**-**26**, the light guiding region **2306** surrounds the sensor module **700**, **800**. In FIG. **27**, the light guiding region **2306** partially surrounds the sensor module **700**, **800**. The light guiding region **2306** helps direct light to and/or from the sensor module **700**, **800** and a blood flow region within the body part. In some embodiments, the light guiding region **2306** may be a reflector, such as a metal, metallic alloy, mylar, mica, reflective plastic,

reflective textile, or the like. In other embodiments, the light guiding region **2306** may be a transparent light guide, such as transparent silicone, polymers, rubbers, textiles, glass, epoxies, glues, or the like. In the case of a reflective layer, the sensor module **700**, **800** is at least partially exposed. In the case of a transparent light-guiding layer, the sensor module **700**, **800** may be completely covered.

[0153] Referring to FIGS. **28** and **29**, the sensor module **700**, **800** may be tilted with respect to a body part region (e.g., the wrist area) of a subject. In the illustrated embodiments of FIGS. **28** and **29**, the sensor module **700**, **800** is tilted towards the wrist (away from the upper arm). The embodiment illustrated in FIG. **28** has a light guiding region **2306** with a different configuration from the light guiding region **2306** illustrated in the embodiment of FIG. **29**. The tilting of the sensor module **700**, **800** serves at least the following functions: 1) protection from sunlight and 2) improvement of coupling between light from the sensor module **700**, **800** and blood flow in the body part (i.e., wrist area). Sunlight immunity may be improved in this fashion because a human's wrist is typically pointed away from sunlight, and so pointing the sensor module down along the arm may help prevent environmental (i.e., sunlight) interference. Also, pointing the sensor module **700**, **800** towards the wrist may increase the surface area of blood flow exposed to the sensor module **700**, **800**, thus increasing the blood flow signal intensity for PPG and the like.

[0154] The processing of signals generated by the sensor module **700**, **800** in the wristband embodiments of FIGS. **23-29** may be similar or identical to that described above with respect to the earbud embodiments.

[0155] The foregoing is illustrative of the present invention and is not to be construed as limiting thereof. Although a few exemplary embodiments of this invention have been described, those skilled in the art will readily appreciate that many modifications are possible in the exemplary embodiments without materially departing from the teachings and advantages of this invention. Accordingly, all such modifications are intended to be included within the scope of this invention as defined in the claims. The invention is defined by the following claims, with equivalents of the claims to be included therein.

That which is claimed is:

1. A monitoring apparatus, comprising:

a housing configured to be attached to a body of a subject; and

a sensor module disposed within the housing, the sensor module comprising:

an energy emitter that directs modulated energy at a target region of the subject;

a detector that detects an energy response signal from the subject, wherein the energy response signal is associated with a physiological condition of the subject;

a filter that attenuates time-varying environmental interference from the energy response signal, wherein the time-varying environmental interference is caused by one or more of the following: sunlight, ambient light, airflow, and temperature; and

at least one processor that controls operations of the energy emitter, detector, and/or filter.

2. The apparatus of claim **1**, wherein the energy emitter emits energy selected from the group consisting of optical energy, acoustic energy, ultrasonic energy, electromagnetic radiation, electrical energy, mechanical energy, magnetic energy, and nuclear energy.

3. The apparatus of claim **1**, wherein the energy emitter comprises at least one optical emitter, and wherein the detector comprises at least one optical detector.

4. The apparatus of claim **3**, wherein the at least one optical emitter is selected from the group consisting of laser diodes (LDs), light-emitting diodes (LEDs), and organic light-emitting diodes (OLEDs).

5. The apparatus of claim **1**, wherein the physiological condition of the subject comprises one or more of the following: heart rate, pulse pressure, respiration rate, lactic threshold, blood pressure, volume of blood flow through a blood vessel, blood metabolite level, blood oxygen level, and size of at least one blood vessel.

6. The apparatus of claim **1**, wherein the processor is configured to process the detected energy response signal and produce an extracted energy response signal.

7. The apparatus of claim **3**, wherein at least one portion of the housing comprises optically transmissive material through which light from the at least one optical emitter can pass.

8. The apparatus of claim **3**, wherein at least one portion of the housing comprises material configured to attenuate light reaching the at least one optical detector at one or more selected wavelengths.

9. The apparatus of claim **1**, further comprising at least one analog-to-digital (ADC) converter that converts analog signals generated by the detector to digital signals.

10. The apparatus of claim **1**, wherein the housing comprises an earpiece configured to be attached to an ear of the subject.

11. The apparatus of claim **1**, wherein the housing is configured to be positioned within an ear of a subject, wherein the sensor module comprises a printed circuit board (PCB) having opposite first and second sides, wherein the energy emitter comprises an optical emitter attached to the first side of the PCB, wherein the optical emitter directs electromagnetic radiation at a target region of the ear, wherein the detector comprises an optical detector attached to the first side of the PCB adjacent to the optical emitter, and wherein the filter comprises an optical filter overlying at least a portion of the optical detector, wherein the optical filter is configured to attenuate light at one or more selected wavelengths.

12. The apparatus of claim **11**, wherein the optical filter has a surface area greater than a surface area of the optical detector, and wherein the optical filter overlies the optical detector such that a periphery of the optical filter overlaps a periphery of the optical detector.

13. The apparatus of claim **11**, further comprising at least one additional optical detector attached to the PCB second side.

14. The apparatus of claim **11**, further comprising a lens positioned above at least one of the optical emitter and filter.

15. The apparatus of claim **11**, further comprising light-opaque material surrounding the optical emitter and optical detector such that the optical emitter and optical detector are not in direct optical communication with each other.

16. The apparatus of claim **15**, wherein the light-opaque material includes a first aperture in communication with the optical emitter, and a second aperture in communication with the optical detector.

17. The apparatus of claim **15**, further comprising a lens positioned above the optical emitter and filter, and wherein the lens includes respective first and second portions configured to matingly engage the respective first and second apertures in the light-opaque material.

18. The apparatus of claim **15**, further comprising a first lens positioned within the first aperture and in optical communication with the optical emitter, wherein the first lens focuses light emitted by the optical emitter, and a second lens positioned within the second aperture and in optical communication with the optical detector, wherein the second lens focuses light toward the optical detector.

19. The apparatus of claim **1**, wherein the at least one sensor module comprises two sensor modules spaced apart within the housing.

20. The apparatus of claim **11**, wherein the housing comprises a soft material which deforms when inserted within an ear and that facilitates retention of the housing within an ear.

21. The apparatus of claim **11**, wherein the housing comprises a shape that facilitates retention of the housing within an ear.

22. The apparatus of claim **11**, wherein a portion of the housing comprises optically transmissive material through which light from the optical emitter can pass, and wherein the housing comprises a soft material adjacent to the optically transmissive material which deforms when inserted within an ear and that facilitates retention of the housing within an ear.

23. The apparatus of claim **11**, further comprising at least one speaker.

24. The apparatus of claim **11**, wherein a portion of the housing comprises material configured to diffuse light from the optical detector and/or diffuse light to the optical detector.

25. The apparatus of claim **11**, wherein the at least one sensor module also comprises at least one motion/position sensor attached to at least one side of the PCB.

26. A method of monitoring at least one physiological property of a subject, comprising:

directing modulated energy at a target region of the subject via an energy emitter;

obtaining a first energy response signal from the subject when the emitter is on;

obtaining a second energy response signal from the subject when the emitter is off; and

processing the first and second energy response signals via a filter to produce a processed energy response signal that is associated with a physiological condition of the subject, wherein the filter attenuates time-varying environmental interference caused by one or more of the following: sunlight, ambient light, airflow, and temperature.

27. The method of claim **26**, wherein directing modulated energy at a target region comprises directing at the target region energy selected from the group consisting of optical energy, acoustic energy, ultrasonic energy, electromagnetic radiation, electrical energy, magnetic energy, mechanical energy, and nuclear energy.

28. The method of claim **26**, wherein directing modulated energy at the target region comprises directing modulated light at the target region, and wherein obtaining first and second energy response signals comprises detecting light from the subject.

29. The method of claim **26**, wherein the physiological condition of the subject comprises one or more of the following: heart rate, pulse pressure, respiration rate, lactic threshold, blood pressure, volume of blood flow through a blood vessel, blood metabolite level, blood oxygen level, and size of at least one blood vessel.

30. The method of claim **26**, further comprising transmitting the processed energy response signal to a remote device.

31. The method of claim **30**, wherein transmitting the processed energy response signal to a device comprises wirelessly transmitting the processed energy response signal.

32. The method of claim **26**, wherein the interference filter employs a spectral method to remove or attenuate time-varying environmental interference.

33. The method of claim **26**, wherein the interference filter employs an FIR filtering method to remove or attenuate time-varying environmental interference.

34. A sensor module, comprising:

a printed circuit board (PCB) having opposite first and second sides;

an optical emitter attached to at least one side of the PCB;

an optical detector attached to the PCB adjacent to the optical emitter;

an optical filter overlying at least a portion of the optical detector, wherein the optical filter is configured to attenuate light at one or more selected wavelengths; and

light-opaque material adjacent to the optical detector that prevents ambient light from interfering with the optical detector.

35. The sensor module of claim **34**, wherein the optical filter has a surface area greater than a surface area of the optical detector, and wherein the optical filter overlies the optical detector such that a periphery of the optical filter overlaps a periphery of the optical detector.

36. The sensor module of claim **34**, further comprising a second optical detector attached to the PCB second side.

37. The sensor module of claim **34**, further comprising a lens positioned above at least one of the optical emitter and filter.

38. The sensor module of claim **34**, further comprising light-opaque material surrounding the optical emitter and optical detector such that the optical emitter and optical detector are not in direct optical communication with each other.

39. The sensor module of claim **38**, wherein the light-opaque material includes a first aperture in communication with the optical emitter, and a second aperture in communication with the optical detector.

40. The sensor module of claim **39**, further comprising a lens positioned above the optical emitter and filter, and wherein the lens includes respective first and second portions configured to matingly engage the respective first and second apertures in the light-opaque material.

41. The sensor module of claim **39**, further comprising a first lens positioned within the first aperture and in optical communication with the optical emitter, wherein the first lens focuses light emitted by the optical emitter, and a second lens positioned within the second aperture and in optical communication with the optical detector, wherein the second lens focuses light toward the optical detector.

42. A wearable monitoring apparatus, comprising:

a substrate configured to be attached to a body of a subject; and

a sensor module attached to the substrate, the sensor module comprising:

an energy emitter that directs modulated energy at a target region of the subject;

a detector that detects an energy response signal from the subject, wherein the energy response signal is associated with a physiological condition of the subject;

a filter that attenuates time-varying environmental interference from the energy response signal, wherein the time-varying environmental interference is caused by one or more of the following: sunlight, ambient light, airflow, and temperature; and

at least one processor that controls operations of the energy emitter, detector, and/or filter.

43. The apparatus of claim **42**, wherein the energy emitter emits energy selected from the group consisting of optical energy, acoustic energy, ultrasonic energy, electromagnetic radiation, electrical energy, mechanical energy, magnetic energy, and nuclear energy.

44. The apparatus of claim **42**, wherein the energy emitter comprises at least one optical emitter, and wherein the detector comprises at least one optical detector.

45. The apparatus of claim **44**, wherein the at least one optical emitter is selected from the group consisting of laser diodes (LDs), light-emitting diodes (LEDs), and organic light-emitting diodes (OLEDs).

46. The apparatus of claim **42**, wherein the physiological condition of the subject comprises one or more of the following: heart rate, pulse pressure, respiration rate, lactic threshold, blood pressure, volume of blood flow through a blood vessel, blood metabolite level, blood oxygen level, and size of at least one blood vessel.

47. The apparatus of claim **42**, wherein the processor is configured to process the detected energy response signal and produce an extracted energy response signal.

48. The apparatus of claim **44**, comprising optically transmissive material through which light from the at least one optical emitter can pass.

49. The apparatus of claim **44**, comprising material configured to attenuate light reaching the at least one optical detector at one or more selected wavelengths.

50. The apparatus of claim **42**, further comprising at least one analog-to-digital (ADC) converter that converts analog signals generated by the detector to digital signals.

51. The apparatus of claim **42**, wherein the substrate is selected from the group consisting of wristbands, armbands, legbands, neckbands, waistbands, anklebands, footbands, handbands, ringbands, and headbands.

52. The apparatus of claim **42**, wherein the substrate is configured to be adhesively attached to the body of the subject.

* * * * *