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(Not for submission under 37 CFR 1.99)

Application Number	17226671
Filing Date	2021-04-09
First Named Inventor	AHMAD
Art Unit	1615
Examiner Name	
Attorney Docket Number	20110.0024USU1

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Examiner Initial*	Cite No	Patent Number	Kind Code[1]	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	9,333,190		2016-05-10	Ahmad, et al.	
	2	10,376,479		2019-08-13	Ahmad, et al.	

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	1	2014/141292	WO		2014-09-18			

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Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T[5]
	1	Ahmad, et al., "Endoxifen, a New Treatment Option for Mania: A Double-Blind, Active-Controlled Trial Demonstrates the Antimanic Efficacy of Endoxifen", Clin Transl Sci (2016) 9, 252–259	
	2	Ali, et al., "Endoxifen is a new potent inhibitor of PKC: A potential therapeutic agent for bipolar disorder", Bioorganic & Medicinal Chemistry Letters 20 (2010) 2665–2667	

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CERTIFICATION STATEMENT

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That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

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☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Masako Yoshida/	Date (YYYY-MM-DD)	2021-07-13
Name/Print	Masako Yoshida	Registration Number	70,057

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